# Java by Dissection 2nd Edition

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ISBN 978-1-4116-5238-5

Updated: August 2, 2006

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# PREFACE

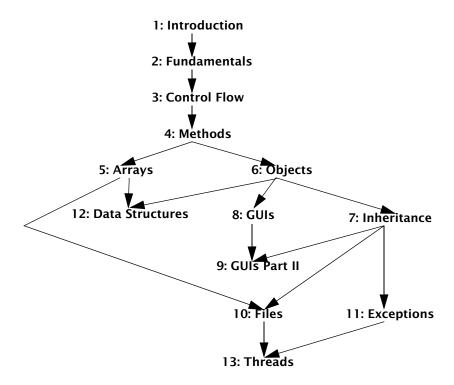
Java by Dissection:2ed is an introduction to programming in Java that assumes no prior programming experience. It thoroughly teaches modern programming techniques using Java with generics. It shows how all the basic data types and control statements are used traditionally. The book then progresses to the object-oriented features of the language and their importance to program design. As such the first half of the book can be used in a first programming course for college students. By careful choice of exercises and supplemental material it could be used for a gentle introduction to programming for computer science or engineering majors also.

The second half of the book explains in detail much that is sophisticated about Java, such as its threading, exception handling, graphical user interface (GUI), generics, and file manipulation capabilities. As such the book is suitable as the primary text in an advanced programming course, or as a supplementary text in a course on data structures, software methodology, comparative languages, or other course in which the instructor wants Java to be the language of choice.

Java, invented at Sun Microsystems in the mid-1990s, is a powerful modern successor language to C and C++. Java, like C++, adds to C the object-oriented programming concepts of *class, inheritance* and run-time type binding. The class mechanism provides user-defined types also called *abstract data types*. While sharing many syntactic features with C and C++, Java adds a number of improvements, including automatic memory reclamation called *garbage collection*, bounds checking on arrays, and strong typing. In addition, the standard Java libraries, called *packages* in Java, provide platform independent support for distributed programming, multi-threading and graphical user interfaces.

Although Java shares many syntactic similarities to C, unlike C++, Java is not a superset of C. This has allowed the creators of Java to make a number of syntactic improvements that make Java a much safer programming language than C. As a result, Java is much better as a first programming language.

Java by Dissection:2ed begins with a classical programming style starting with programs as a simple sequence of instructions, then adding in control flow and functional abstraction. After that comes arrays and data abstraction using classes, which can be covered in either order - arrays first, or data abstraction with classes first. Then comes the material on inheritance and graphical user interfaces. Again, the chapter on inheritance can be covered before or after the first chapter on graphical user interfaces. Finally come the advanced chapters. The following figure illustrates the flexibility in the order in which the material can be covered.



The book emphasizes working code. One or more programs particularly illustrative of the chapter's themes are analyzed by dissection, which is similar to a structured walk-through of the code. Dissection explains to the reader newly encountered programming elements and idioms.

Because Java includes a relatively easy-to-use, standard package for creating graphical user interfaces, it is possible to introduce the use of GUIs in a beginning programming book. Creating programs with GUIs is as fundamental today as being able to create nicely formatted text output. To fully understand the GUI packages in Java, it is necessary to have some understanding of OOP and inheritance. The main chapters on GUI building immediately follow the chapters on objects and inheritance. For those students interested in getting an early exposure to some of the graphical aspects of Java, we have provided a series of extended exercises at the end of the early chapters, that introduce GUIs and applets. These exercises provide templates for some simple applets, without providing complete explanations of some of the language features required.

The following summarizes the primary design features that are incorporated into this book.

*Dissections.* Each chapter has several important example programs. Major elements of these programs are explained by dissection. This step-by-step discussion of new programming ideas helps the reader encountering these ideas for the first time to understand them. This methodology has been proven effective in numerous programming books since its first use in *A Book on C* in 1984.

*Teaching by Example.* The book is a tutorial that stresses examples of working code. Right from the start the student is introduced to full working programs. Exercises are integrated with the examples to encourage experimentation. Excessive detail is avoided in explaining the larger elements of writing working code. Each chapter has several important example programs. Major elements of these programs are explained by dissection.

Object-Oriented Programming (OOP). The reader is led gradually to an understanding of the object-oriented style. Objects as data values are introduced in Chapter 2, Program Fundamentals. This allows an instructor partial to the objects early approach to emphasize OO from the start. Chapter 6, Objects: Data Abstraction, shows how the programmer can benefit in important ways from Java and object-oriented programming. Object-oriented concepts are defined, and the way in which these concepts are supported by Java is introduced. Chapter 7, Inheritance, develops inheritance and dynamic method dispatch, two key elements in the OOP paradigm.

Terminal input and output. Java has added a Scanner class that makes terminal input easy. This replaces our first edition tio package which is no longer needed. Also the addition of printf() for variable argument fromatted output is discussed.

*Container Classes and Generics in Java.* The text covers this added feature to Java 5.0. Containers are implemented as generics. Standard containers include Vector, LinkedList, ArrayList and PriorityQueue. These also allow Iterator access and can be used with the for-iterator statement that hides indexing.

Swing and Graphical User Interfaces. An important part of Java is its support for platform independent creation of graphical user interfaces and the web based programs called applets. InChapter 8, *Graphical User Interfaces: Part II*, we present a basic introduction to using the standard Java package Swing, for building GUIs. These chapters provide enough information to create useful and interesting applets and GUIs. A few additional GUI components are presented briefly in Appendix C, *Summary of Selected Swing Components*. For students anxious to begin writing applets, simple applets are introduced in a series of exercises beginning in Chapter 2, *Program Fundamentals*. These exercises are completely optional. The coverage of applets and GUIs in Chapter 8, *Graphical User Interfaces: Part II* and Chapter 9, *Graphical User Interfaces: Part II* does not depend upon the student having done or read the earlier applet exercises.

Threads. Multi-threaded programming is not usually discussed in a beginning text. However, some understanding of threads is essential for a true understanding of the workings of event driven GUI based programs. In addition, the authors feel that thread-based programming will become increasingly important at all levels of the programming curriculum. Threading is explained in Chapter 13, *Threads: Concurrent Programming*, and used to introduce the reader to client/server computing. This book gives a treatment suitable to the beginning programmer that has mastered the topics in the preceding chapters.

*Course-Tested.* This book is the basis of courses given by the authors, who have used its contents to train students in various forums since 1997. The material is course-tested, and reflects the author's considerable teaching and consulting experience.

*Code examples.* All the major pieces of code were tested. A consistent and proper coding style is adopted from the beginning and is one chosen by professionals in the Java community. The code is available at the Addison Wesley Longman Web site (*www.awl.com*).

*Common Programming Errors.* Many typical programming bugs, along with techniques for avoiding them, are described. This books explains how common Java errors are made and what must be done to correct them. The new edition also describes the use of assertions that came in with Java1.4.

*Exercises.* The exercises test and often advance the student's knowledge of the language. Many are intended to be done interactively while reading the text, encouraging self-paced instruction.

*Web site.* The examples both within the book are intended to exhibit good programming style. All examples for this book are available on the web at www.soe.ucsc.edu/~pohl/java.

*New in the Second Edition.* Java 5.0 came out in September of 2004 with important changes over the original language. These include: container based generics, enum types, assertions, more threading refinements, terminal IO using Scanner, and formatted IO using printf(). We have included all these important topics and where possible revised the text to take advantage of them.

#### Course use:

- First Course in Programming: Java by Dissection is designed to be used as a basic first programming course similar in scope to courses that used C, Pascal, or C++. Chapters 1-6 cover such a curriculum.
- First Course in Programming Swing emphasis: By using supplementary material at the end of each of the first chapters the instructor can take a GUI approach as opposed to the traditional terminal I/O approach.
- CS1 Course in Programming: By covering material at a more rapid pace for well prepared computer science majors the book can function as the primary text in a CS1-2 sequence. The instructor would select more advanced exercises and cover material through chapter 11.

## Preface

Second Course in Programming: The book can be used as a second or advanced course covering object-oriented programming. Chapters 2-5 can be skimmed by anyone already familiar with a procedural programming language such as C or Pascal. A programmer already familiar with OOP concepts could also skim chapters 6 and 7. Chapters 8-13 provide a mix of interesting advanced topics, not generally covered in a beginning programming course.

# **ACKNOWLEDGMENTS**

Our special thanks go to Debra Dolsberry and Linda Werner for their encouragement and careful reading and suggestions for improvement. Debra was especially helpful with typesetting issues. Our student Sarah Berner was an important contributor to the effectiveness of the text in its first edition and especially helpful in converting many examples and exercises over to SWING. Thanks to Uwe F. Mayer for his careful review of the second edition which caught numerous errors.

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# INTRODUCTION

Java is the first major programming language to be shaped by the World Wide Web (the Web). Java allows you to do traditional programming. Java also has many special features and libraries that allow you conveniently to write programs that can use the Web's resources. These include extensive support for graphical user interfaces, the ability to embed a Java program in a Web document, easy communication with other computers around the world, and the ability to write programs that run in parallel or on several computers at the same time.

In this chapter we give an overview of how to solve a problem on a computer. In this process, you must first construct a recipe for solving the problem. Then you must convert the recipe into a detailed set of steps that the computer can follow. Finally, you must use a programming language, such as Java, to express the steps in a form "understandable" by the computer. The Java form of the solution is then translated by a program called a compiler into the low-level operations that the computer hardware can follow directly.

We then discuss why Java is creating such a fuss in the computing world. In general terms, we explain the importance of computing on the Web and the character of the graphical user interfaces, or GUIs, that are partly behind the switch to Java.

Throughout this text we feature carefully described examples, many of which are complete programs. We often dissect them, allowing you to see in detail how each Java programming construct works. Topics introduced in this chapter are presented again in later chapters, with more detailed explanations. The code and examples are meant to convey the flavor of how to program. You should not be concerned about understanding the details of the examples. They are given in the spirit of providing an overview. If you are already familiar with what a program is and want to start immediately on the nitty-gritty of Java programming, you may skip or scan this chapter.

# 1.1 RECIPES

Computer programs are detailed lists of instructions for performing a specific task or for solving a particular type of problem. Programlike instruction lists, sometimes called *algorithms*, for problem solving and task performance are commonly found in everyday situations. Examples include detailed instructions for knitting a sweater, making a dress, cooking a favorite meal, registering for classes at a university, traveling from one destination to another, and using a vending machine. Examining one of these examples is instructive.

Consider this recipe for preparing a meat roast:

Sprinkle the roast with salt and pepper. Insert a meat thermometer and place in oven preheated to 150 °C. Cook until the thermometer registers 80 °C–85 °C. Serve roast with gravy prepared from either meat stock or from pan drippings if there is a sufficient amount.

The recipe is typically imprecise—what does "sprinkle" mean, where is the thermometer to be inserted, and what is a "sufficient amount" of pan drippings?

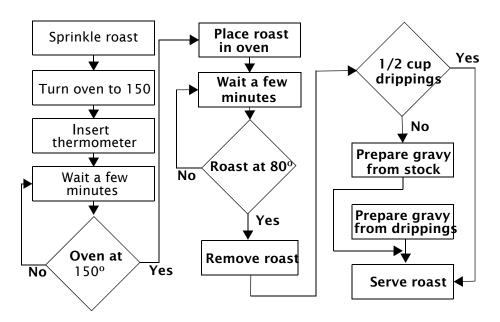
However, the recipe can be formulated more precisely as a list of instructions by taking some liberties and reading "between the lines."

# **COOKING A ROAST**

- 1. Sprinkle roast with 1/8 teaspoon salt and pepper.
- 2. Turn oven on to 150° C.
- 3. Insert meat thermometer into center of roast.
- 4. Wait a few minutes.
- 5. If oven does not yet register 150° C, go back to step 4.
- 6. Place roast in oven.
- 7. Wait a few minutes.
- 8. Check meat thermometer. If temperature is less than 80° C, go back to step 7.
- 9. Remove roast from oven.
- 10. If there is at least 1/2 cup of pan drippings, go to step 12.
- 11. Prepare gravy from meat stock and go to step 13.
- 12. Prepare gravy from pan drippings.
- 13. Serve roast with gravy.

These steps comprise three categories of instructions and activities—those that involve manipulating or changing the ingredients or equipment, those that just examine or test the "state" of the system, and those that transfer to the next step. Steps 1 and 6 are examples of the first category; the temperature test in step 8 and the pan dripping test in step 10 are instances of the second category; and the transfers in steps 5 and 8 ("go to step x") are examples of the last category.

By using suitable graphical symbols for each of these categories, a simple two-dimensional representation of our cooking algorithm can be obtained, as shown in the following illustration.



Such a figure is called a *flowchart*. To perform the program (prepare the roast), just follow the arrows and the instructions in each box. The manipulation activities are contained in rectangles, the tests are shown in diamonds, and the transfer or flow of control is determined by the arrows. Because of their visual appeal and clarity, flowcharts are often used instead of lists of instructions for informally describing programs. Some cookbook authors even employ flowcharts extensively. In this book we use flowcharts in Chapter 3, *Statements and Control Flow*, when describing the behavior of some Java language constructs.

# 1.2 ALGORITHMS—BEING PRECISE

Our recipe for preparing a roast can't be executed by a computer because the individual instructions are too loosely specified. Let's consider another example—one that manipulates numbers instead of food. You need to pay for some purchase with a dollar bill and get change in dimes and pennies. The problem is to determine the correct change with the fewest pennies. Most people do this simple everyday transaction unthinkingly. But how do we precisely describe this algorithm?

In solving such a problem, trying a specific case can be useful. Let's say that you need to pay 77 cents and need change for a dollar. You can easily see that one dollar minus the 77 cents leaves you with 23 cents in change. The correct change having the fewest coins in dimes and pennies would be two dimes and three pennies. The number of dimes is the integer result of dividing 23 by 10 and discarding any fraction or remainder. The number of pennies is the remainder of dividing the 23 cents by 10. An algorithm for performing this change for a dollar is given by the following steps.

## **CHANGE-MAKING ALGORITHM**

- 1. Assume that the price is written in a box labeled price.
- 2. Subtract the value of price from 100 and place it in a box labeled change.
- 3. Divide the value in change by 10, discard the remainder, and place the result in a box labeled dimes.
- 4. Take the integer remainder of change divided by 10 and place it in a box labeled pennies.
- 5. Print out the values in the boxes dimes and pennies with appropriate labels.
- 6. Halt.

#### Introduction

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This algorithm has four boxes, namely, price, change, dimes, and pennies. Let's execute this algorithm with the values given. Suppose that the price is 77 cents. Always start with the first instruction. The contents of the four boxes at various stages of execution are shown in the following table.

Box	STEP 1	STEP 2	STEP 3	STEP 4	STEP 5
PRICE	77	77	77	77	77
CHANGE		23	23	23	23
DIMES			2	2	2
PENNIES				3	3

To execute step 1, place the first number, 77, in the box price. At the end of instruction 2, the result of subtracting 77 from 100 is 23, which is placed in the box change. Each step of the algorithm performs a small part of the computation. By step 5, the correct values are all in their respective boxes and are printed out. Study the example until you're convinced that this algorithm will work correctly for any price under \$1.00. A good way to do so is to act the part of a computer following the recipe. Following a set of instructions in this way, formulated as a computer program, is called *hand simulation* or *bench testing*. It is a good way to find errors in an algorithm or program. In computer parlance these errors are called *bugs*, and finding and removing them is called *debugging*.

We executed the change-making algorithm by acting as an agent, mechanically following a list of instructions. The execution of a set of instructions by an agent is called a *computation*. Usually the agent is a computer; in that case, the set of instructions is a computer program. In the remainder of this book, unless explicitly stated otherwise, we use *program* to mean *computer program*.

The algorithm for making change has several important features that are characteristic of all algorithms.

### **CHARACTERISTICS OF ALGORITHMS**

- The sequence of instructions will terminate.
- The instructions are precise. Each instruction is unambiguous and subject to only one interpretation.
- The instructions are simple to perform. Each instruction is within the capabilities of the executing agent and can be carried out exactly in a finite amount of time; such instructions are called effective.
- There are inputs and outputs. An algorithm has one or more outputs ("answers") that depend on the particular input data. The input to the change-making algorithm is the price of the item purchased. The output is the number of dimes and pennies.

Our description of the change-making algorithm, although relatively precise, is not written in any formal programming language. Such informal notations for algorithms are called *pseudocode*, whereas real code is something suitable for a computer. Where appropriate we use pseudocode to describe algorithms. Doing so allows us to explain an algorithm or computation to you without all the necessary detail needed by a computer.

The term *algorithm* has a long, involved history, originally stemming from the name of a well-known Arabic mathematician of the ninth century, Abu Jafar Muhammed Musa Al-Khwarizmi. It later became associated with arithmetic processes and then, more particularly, with Euclid's algorithm for computing the greatest common divisor of two integers. Since the development of computers, the word has taken on a more precise meaning that defines a real or abstract computer as the ultimate executing agent—any terminating computation by a computer is an algorithm, and any algorithm can be programmed for a computer.

# 1.3 IMPLEMENTING OUR ALGORITHM IN JAVA

In this section we implement our change-making algorithm in the Java programming language. You need not worry about following the Java details at this point; we cover all of them fully in the next two chapters. We specifically revisit this example in Section 2.10.1, *An Integer Arithmetic Example: Change*, on page 31. For now, simply note the similarity between the following Java program and the informal algorithm presented earlier. You not only have to be able to formulate a recipe and make it algorithmic, but you finally have to express it in a computer language.

```
// MakeChange.java - change in dimes and pennies
import java.util.*; // use Scanner for input
class MakeChange {
  public static void main (String[] args) {
    int price, change, dimes, pennies;
    Scanner scan = new Scanner(System.in);
    System.out.println("type price (0 to 100):"):
    price = scan.nextInt();
                               //how much change
    change = 100 - price;
    dimes = change / 10;
                               //number of dimes
    pennies = change % 10;
                               //number of pennies
    System.out.print("The change is :");
    System.out.print(dimes):
    System.out.print(" dimes ");
    System.out.print(pennies);
    System.out.print(" pennies.\n");
}
```

# Dissection of the MakeChange Program

```
java.util.*; // use Scanner for input
```

A package is a library or collection of previously written program parts that you can use. This line tells the Java compiler that the program MakeChange uses information from the package java.util. It allows you to use the Scanner class, which we explain shortly.

```
int price, change, dimes, pennies;
```

This program declares four integer variables. These hold the values to be manipulated.

```
Scanner scan = new Scanner(System.in);
```

This declares a Scanner variable scan that is tied to System.in. This lets scan provide input by typing to the terminal.

```
System.out.println("type price(0 to 100):");
```

This line is used to *prompt* you to type the price. Whenever a program is expecting a user to do something, it should print out a prompt telling the user what to do. The part in quotes appears on the user's screen when the program is run.

```
price = scan.nextInt();
```

The scan.nextInt() is used to obtain the input from the keyboard. The value read is stored in the variable price. The symbol = is called the *assignment operator*. Read the first line as "price is *assigned the value* obtained from the typing an integer on your keyboard. At this point you must type in an integer price. For example, you would type 77 and then hit Enter.

```
change = 100 - price; //how much change
```

This line computes the amount of change.

```
dimes = change / 10;  //number of dimes

pennies = change % 10;  //number of pennies
```

The number of dimes is the integer or whole part of the result of dividing change by 10. The symbol /, when used with two integers, computes the whole (nonfraction) part of the division. The number of pennies is the integer remainder of change divided by 10. The symbol % is the integer remainder or modulo operator in Java. So if change is 23, the integer divide of 23/10 is 2 and the integer remainder or modulo of 23%10 is 3.

```
System.out.print("The change is : ");
System.out.print(dimes);
System.out.print(" dimes ");
System.out.print(pennies);
System.out.print(" pennies.\n");
```

In this example the <code>System.out.print()</code> statements cause the values between the parentheses to be printed on the computer console. The first one just prints out the characters between the quotation marks. The second one converts the value in <code>dimes</code> to the sequence of digits and prints those digits. The other print statements are similar. For an input value of 77, the output would be

```
The change is : 2 dimes 3 pennies
```

The \n in the last print statement indicates that a newline should be sent to the console, ending the line of output.

# 1.4 WHY JAVA?

A variety of programming languages are available for expressing programs, but the most useful ones are suitable for both machine and human consumption. In this book we cover programming by using one such language—the programming language Java.

Java is a relatively new programming language, first introduced in 1995. In this book a language is needed that allows algorithms to be easily understood, designed, analyzed, and implemented as computer programs. The following is an excerpt from the original paper introducing Java to the world.

In his science-fiction novel *The Rolling Stones*, Robert A. Heinlein comments:

Every technology goes through three stages: first a crudely simple and quite unsatisfactory gadget; second, an enormously complicated group of gadgets designed to overcome the short-comings of the original and achieving thereby somewhat satisfactory performance through extremely complex compromise; third, a final proper design therefrom.

Heinlein's comment could well describe the evolution of many programming languages. Java presents a new viewpoint in the evolution of programming languages—creation of a small and simple language that's still sufficiently comprehensive to address a wide variety of software application development. Although Java is superficially similar to C and C++, Java gained its simplicity from the systematic removal of features from its predecessors.

We agree with its creators that Java has, to a large extent, lived up to the promise of a small, simple, yet comprehensive programming language. As such, Java is both an excellent programming language for real program development and a good first programming language.

Possibly even more important for Java's initial success are the features that make it appropriate for development of programs distributed over the Internet. These programs, called *applets*, execute inside Internet browsers such as Firefox, Mozilla, Netscape, and Internet Explorer.

# 1.5 NETWORK COMPUTING AND THE WEB

Much of modern computing is done in a connected environment. That is, computers are connected to other computers in a network. This connection allows the computers to exchange information or to "talk to each other." Java was developed with network computing as the normal environment. It has many features and libraries of parts of programs that promote networking. Earlier languages and systems viewed a computer as a lone instrument doing significant computations, the results of which would largely be output to a screen or printer. By promoting networking whereby computers are connected and pass results to each other dynamically—and cooperate on large-scale computations—Java became the principal language for computing on networks.

The largest network is the global network called the *Internet*. Using the Internet, researchers at the European Particle Physics Laboratory (CERN) developed a way to share information via a formatting language called *hyper-text markup-language*, or *HTML*. The computers exchanged HTML documents by means of a protocol called *hyper-text transfer protocol*, or *HTTP*. A *protocol* is like a language that computers use to "talk" to each other. HTML allows one electronic document to link to another electronic document on a different computer. The program used to view HTML documents and follow the links is called a *browser*. With the click of a button, a user could move from one document on one computer to another, related document, on another computer. Because of these links, the resulting web of documents was dubbed the *World Wide Web* or simply *the Web*. Today, many people equate the Web with the Internet, but the Web is only one application of the technology known as the Internet.

Java programs, called *applets*, can be written to automatically load and execute by following a link in the Web. This ability to embed Java programs in HTML documents was a primary factor in the early success of Java. With Java applets, Web documents are no longer static text, images, or video segments. Web documents can provide all the interaction of any program. We discuss graphical user interfaces and applets in Chapter 8, *Graphical User Interfaces: Part I.* 

If you're interested in an early exposure to applets, we also provide templates for simple applets in the exercises at the end of Chapters 2 through 6.

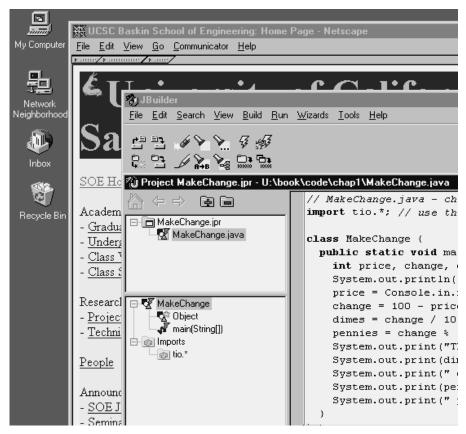
From the World Wide Web (www) and HTTP, you can begin to make some sense of the ubiquitous Internet addresses such as *http://www.company.com*. This type of address is called a *universal resource locator*, or *URL*. These are addresses embedded in HTML documents, linking one document to another.

Client-server computing is an essential new element in computers that manage communications and computations. The server, typically a fast computer with a very large amount of hard disk storage, gives out information to clients upon request. An example would be a server giving out stock market quotes to clients that request it. The network support in Java makes implementing client-server programming solutions easy. In Chapter 9, *Graphical User Interfaces: Part II*, we show you how to implement a simple Java server program that can connect and respond to requests from multiple clients on other computers.

# 1.6 HUMAN-COMPUTER INTERACTION AND THE GUI

In the early days of computing, most interaction between a computer and a human being was in the form of typed input to the computer and printed output to the person. Most human-computer interaction today is done with a *graphical user interface* (*GUI*—pronounced gooey). The user has a keyboard, usually similar to a standard typewriter keyboard, a pointing device, usually a mouse, and a display device capable of displaying both text and graphics.

The user's display is usually divided into viewing areas called *windows*. Associated with the windows are menus of commands relating to manipulation of the data displayed in the window. The display (screen) may also contain icons, or small graphical images, whose visual appearance is designed to signify their functions. For example, a picture of a trash can represents deleting a file, and an arrowhead is used to scroll a viewing screen. The following screen shot of a typical desktop shows several windows, icons, and a row of menus across the top of each window.



For many years, the task of creating a graphical user interface for a new application was extremely time-consuming and difficult. The programming languages and operating systems in use did not make building such interfaces easy. These interfaces were usually built from libraries of program parts that were suitable only

for one particular operating system. If a company building a new program wanted to have its program run on computers with different operating systems, it would have to create multiple versions of the programs.

Java provides a solution. Software companies now sell programs that make building graphical user interfaces relatively easy. Java includes a library of program parts called *Swing* for building graphical user interfaces. The program parts in Swing can be used on any computer that can run Java programs. Thus a programmer can now write one version of a program and have it run on many different kinds of computers. Although indepth coverage of Swing is beyond the scope of this book, Swing is simple enough to use that even beginning programmers can begin to build programs with graphical user interfaces. We discuss Swing further in Chapter 8, *Graphical User Interfaces: Part I* and Chapter 9, *Graphical User Interfaces: Part II*.

By the end of this book, you will be writing your own sophisticated applets and regular Java programs with GUIs. If you've used a Java-enabled browser, such as Netscape or Explorer, you've probably unknowingly made use of an applet. If not, you can try out a simple applet calculator right now by going to www.soe.ucsc.edu/~charlie/java/jbd/MiniCalcApplet.html. This is the same applet presented in Section 8.8, Applets, on page 248.

# **SUMMARY**

- Informally, an algorithm is a list of instructions for performing a specific task or for solving a particular type of problem. Algorithmlike specifications for problem solving and task performance are commonly found in everyday situations. A recipe is a kind of algorithm.
- One graphical representation of an algorithm is a flow chart. To perform the algorithm, the user just follows the arrows and the instructions in each box. The manipulation activities are contained in rectangles, the tests are shown in diamonds, and the transfer or flow of control is determined by the arrows. Because of their visual appeal and clarity, flow charts are often used instead of lists of instructions for informally describing algorithms.
- Algorithms that can be executed on computers are called programs. Programs are written in special languages called programming languages, such as Java, which we use in this book.
- Modern computing is done in a connected environment. A computer is connected to other computers in a network. This connection allows the computers to exchange information or to "talk to each other." Java was developed with network computing as the normal environment. The largest network is the global network called the Internet. This network is used to exchange documents throughout the world with a common format called HTML. This format allows links to be followed across the Internet. Because of these links, the resulting web of documents was named the World Wide Web or simply the Web.
- It is possible to write Java programs called applets, that are automatically loaded and executed as the result of following a link in the Web. This ability to embed Java programs in HTML documents is a primary factor in the success of Java. With Java applets, Web documents are no longer static text, images, or video segments. Web documents can provide all the interactions of any program.

# **REVIEW QUESTIONS**

- 1. What is an algorithm?
- 2. A graphical description of a computation using boxes and arrows is called a \_\_\_\_\_\_.
- 3. Informal, but relatively precise descriptions of algorithms are called \_\_\_\_\_\_.

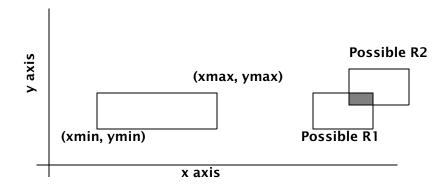
- 4. What is bench testing? Why is it important?
- 5. What did java.util refer to in the MakeChange.java program?
- 6. What was System.out.print() used for in the Java program?
- 7. What is HTML? What is HTTP? What is URL?
- 8. What is a browser? Name a commonly used browser.
- 9. What are the primary components of a typical human-computer interface today?
- 10. The information provided by the user to a program when it executes is called the \_\_\_\_\_\_. The answers provided by the program are called the \_\_\_\_\_\_.
- 11. What is Swing?

# **EXERCISES**

1. Write a flow chart for the following recipe for cooking baked beans (taken from *The Natural Foods Cookbook*, Nitty Gritty Productions, Concord, California, 1972).

Bring apple juice and water to a boil and add beans so slowly that boiling doesn't stop. Reduce heat after beans are in water and simmer 2 to 2 and a half hours or until beans are almost tender. Drain beans, reserving liquid, and add other ingredients to beans. Place in oiled baking dish and bake covered 2 to 3 hours in 2508 oven. Uncover for the last hour of cooking. If beans become dry, add a little of the reserved bean water. About 15 minutes before removing from the oven, add the fresh diced tomato.

- 2. Let *m* and *n* be positive integers. Draw a flowchart for the following algorithm for computing the quotient *q* and remainder *r* of dividing *m* by *n*.
  - 1. Set *q* to 0.
  - 2. If m < n, then stop. q is the quotient and m is the remainder.
  - 3. Replace m by m n.
  - 4. Increment q by 1.
  - 5. Go to step 2.
- 3. Bench test the algorithm in the previous exercise. Use m = 4 and n = 2 as values.
- 4. Let a rectangle that is aligned with the coordinate axes be represented by the coordinates of its lower left and upper right corners, (xmin, ymin) and (xmax, ymax), respectively, as shown in the following illustration. Given two such rectangles, R1 and R2, devise an algorithm that finds the rectangle, if any, that is common to both R1 and R2. The input data are the eight real numbers representing the coordinates of the rectangles' corners. The illustration shows two rectangles that have a common rectangle, shown in grey.



- 5. Suppose that a line segment is represented by its two endpoints, PE = (xe, ye) and PP = (xp, yp). For two line segments L1 and L2, devise an algorithm that computes the coordinates of their point of intersection, if any. (Two line segments intersect if they have only one point in common.)
- 6. Make a list of all the automatic interactions that you have with computers—for example, utility bills, automatic mailing lists, class scheduling, and so on.
- 7. Give a detailed step-by-step procedure, also called an algorithm, for
  - traveling from your place of residence to school or work,
  - manually dividing one number by another (long division),
  - taking an unordered set of numbers and putting them in ascending sequence (i.e., "sorting" the numbers in ascending sequence), or
  - playing, and never losing, the game of Tic-Tac-Toe.

# **PROGRAM FUNDAMENTALS**

In this chapter we introduce programming fundamentals in Java. We write a Java program by using different elements of the Java language. The most basic elements are the tokens of the language. Tokens are the words that make up the sentences of the program. Programming is comparable to writing. To write an essay we write words that make up sentences, sentences that make up paragraphs, and paragraphs that make up essays. In this chapter we concentrate on how to use the "words" and combine them into the program equivalent of useful sentences and paragraphs.

# 2.1 "HELLO, WORLD!" IN JAVA

A simple first Java program is the classic "Hello, world!" program, so named because it prints the message Hello, world! on the computer's screen.

```
/* HelloWorld.java
 * Purpose:
 * The classic "Hello, world!" program.
 * It prints a message to the screen.

* Author: Jane Programmer
 * as derived from Kernighan and Richie
 */

class HelloWorld {
  public static void main (String[] args) {
    System.out.println("Hello, world!");
  }
}
```

# Dissection of the HelloWorld Program

```
/* HelloWorld.java
    * Purpose:
    ...
    */
```

Everything between a /\* and a \*/ is a comment. Comments are ignored by the Java compiler and are inserted to make the program more understandable to the human reader. Every program should begin with a comment such as the one in this example. In our examples, the name of the file appears in the comment. This example indicates that it is from the file <code>HelloWorld.java</code>. Other things to include in program comments are the function or purpose of the program, the author of the program, and a revision history with dates, indicating major modifications to the program.

class HelloWorld {

The word class is a keyword preceding the name of the *class*. A *keyword* has a predefined special purpose. A *class* is a named collection of data and instructions. The name of the class being defined in this example is Helloworld. The left brace "{" begins the definition of a class. A matching right brace "}" is needed to end the class definition. Forgetting to match braces is a common error.

public static void main (String[] args) {

This line declares that the class Helloworld contains a *method* with the name main. A *method* is a named group of instructions within a class. In this example, only one method, named main, is defined for the class Helloworld. In Chapter 4, *Methods: Functional Abstraction*, we create classes that contain several methods in a single class. When we use the name of a method, we add parentheses at the end to remind you that it is a method. This convention comes from actual Java code, wherein the name of a method is always followed by parentheses. The method defined by this line is thus referred to as main().

There are two kinds of Java programs: *stand-alone applications* and *applets*. The method main() appears in every stand-alone Java program indicating where program execution will begin. Later we use a different line that serves a similar purpose for applets. An explanation of the words public, static, and void in this line is left until later.

```
System.out.println("Hello, world!");
}
```

The entire body of the method main(), the real instructions to the computer, appears between the braces. In this example, just one instruction prints the desired message. You can memorize this instruction and use it as an incantation to get something printed out on your computer screen. What gets printed is between the quotation marks.

# 2.2 COMPILING AND RUNNING YOUR JAVA PROGRAM

You will be dealing with two different representations of your programs: the part you write and a form more suitable for the computer to use when it finally runs your program. The text you write to give the computer instructions is called the *source code* or simply the *source*. This source code will be compiled by the Java *compiler* into a form more suitable as instructions to the computer called *object code*. The source code form of

the program is represented in the language Java that you will be learning. Informally, you can think of the source code as the *raw* form of the program in contrast to the object code, which is the *cooked* or compiled form. In Java, all source code file names have the suffix *.java*, such as *HelloWorld.java*. The result of correctly compiling *HelloWorld.java* is the object code *HelloWorld.class*. In some situations, the name of the file without the *.java* and the name of the class defined in the file must be the same. Although this requirement does not apply to the programs in the first part of this book, we follow that practice even when it isn't required and suggest that you do the same.

There are many names and forms for the machine representation of a program after it has been processed somewhat by the computer. A common first step in processing source code is to compile it, which means to translate it into a form more suitable for the computer. For most common programming languages, this compiled form is called the *machine code*, *object code*, or *binary form*. When you buy a piece of software you usually get *binaries* or an *executable image*. For Java, this form is a bit different and is called *Java Bytecode*. These bytecodes are the same whether you are running on a Macintosh, on an Intel machine with Microsoft Windows, or on a machine from Sun running Unix. This sameness is an important advantage of Java programs, as they can be written to be platform independent, which is generally not true for most other programming languages, such as C or COBOL.

In Java, all bytecode files must have a name that ends in *.class* such as *HelloWorld.class*. The word *class* is used because, in Java, programs are broken into chunks called classes, much like a chapter is broken into sections. The following diagram illustrates the compilation process and the conventions just described.

# **The Compilation Process**



There are two principal methods for compiling and running Java programs. One method uses an Integrated Development Environment (IDE), of which there are many for Java. The actual details of compiling for each of the IDEs vary slightly but the basic steps are the same.

#### **IAVA VIA THE IDE**

- 1. Use the editor that comes with the IDE to create the source file. These editors generally help with syntax by using special fonts or colors for keywords and helping you match braces, and so on.
- 2. Create a project and add your source file to the project.
- 3. Select Run from a menu. The IDE will automatically determine that the source file needs to be compiled and compile it before trying to run the program.

The other principal method is the command line approach. In it you run the compiler yourself from the command line of either a Command Prompt in Windows or Unix terminal or shell program. For both Unix and Windows the steps are the same.

# JAVA VIA THE COMMAND LINE

- 1. Use your favorite text editor to create the source file. Save the source into a file ending with the extension *.java*.
- 2. Compile the program with the command javac followed by the source file name—for example, javac Helloworld.java.
- 3. If the program compiles without errors, run the program with the command java followed by the name of the class. Do not append .class, as in HelloWorld.class; use only the class name— for example, java HelloWorld.

The last two steps are shown as follows for the program HelloWorld.

os-prompt>javac HelloWorld.java os-prompt>java HelloWorld Hello, world! os-prompt>

# 2.3 LEXICAL ELEMENTS

Java has rules about how its language elements can be put together to form a complete program. In this section we begin by looking at the various words and symbols, called *lexical elements*, that are used to construct Java programs.

The most fundamental element in the structure of a program is a single character that can be displayed on a computer screen or typed at a computer keyboard. Prior to Java, most programming languages, such as C and C++, used the ASCII character set. It provides for 127 different characters, which is enough to represent all the characters on the conventional English language keyboard. This set may seem like a lot, but when you consider all the human languages in the world and the various symbols they use, it is inadequate. Because Java was designed to be used throughout the world, not just in English-speaking countries, Java developers adopted the Unicode character set. It provides for more than 64,000 different characters.

When a Java compiler first begins to analyze a Java program, it groups the individual characters into larger lexical elements, usually called *tokens*. Some tokens—such as the plus sign, +, which is the Java symbol used to add two numbers—are only one character long. Other tokens—such as the keywords class and public—are many characters long. These basic tokens are then combined into larger language forms, such as expressions. An example of an expression comprising three tokens is x+y.

There are five types of tokens: keywords, identifiers, literals, operators, and punctuation. White space and comments are two additional lexical elements that are discarded early in the compilation process.

# 2.3.1 WHITE SPACE

White space in Java refers to the space character, which you get when you strike the space bar on the keyboard; the tab character, which is actually one character, although it may appear as several spaces on your screen; and the newline character which you get when you hit the Return or Enter key on the keyboard. White space is used primarily to make the program look nice and also serves to separate adjacent tokens that are not separated by any other punctuation and would otherwise be considered a single, longer token. For example, white space is used to separate the following three tokens:

```
public static void
```

in the HelloWorld program. In such situations, where one white space character is required, any number of white space characters can be used. For example, we could have put each of the words public, static, and void on separate lines or put lots of spaces between them as in

```
public static void main(...
```

Except for string literals, which we discuss shortly, any number of adjacent white space characters—even mixing tab, space, and newline characters—is the same as just one white space character as far as the structure and meaning of the program are concerned. Stated another way, if you can legally put in one space, you can put in as many spaces, tabs, and newlines as you want. You can't put white space in the middle of a *keyword* or *identifier*, such as a *variable* or class name. We discuss keywords, identifiers, and variables later in this chapter.

# 2.3.2 COMMENTS

Comments are very important in writing good code and are too often neglected. The purpose of a comment is to provide additional information to the person reading a program. It serves as a concise form of program documentation. As far as the computer is concerned, the comment does not result in a token; it separates other tokens or is ignored completely when it isn't needed to separate tokens. Java has three ways to specify comments.

A *single line* comment begins with // and causes the rest of the line—all characters to the next newline—to be treated as a comment and ignored by the compiler. It is called a single line comment because the comment can't be longer than a single line. By definition, the comment ends at the end of the line containing the //.

A *multiline* comment can extend across several lines in a program. The beginning of the comment is marked with /\* and the end of the comment is marked with \*/. Everything between the marks and the marks themselves is a comment and is ignored. Here is the multiline comment from our first Java program.

```
/* HelloWorld.java
 * Purpose:
 * This is the classic "Hello, world!" program.
 * It simply prints a message to the screen.
 * Author:
 * Jane Programmer
 * as derived from Kernighan and Richie
 */
```

The single asterisks on the intermediate lines are not required and are used merely to accent the extent of the comment. These comments are also called *block comments*.

The third style of comment is a minor variation on the multiline comment. The beginning marker has an additional asterisk; that is, the beginning of the comment is marked with /\*\* and the end of the comment is marked with \*/. These comments are identical to the multiline comment except that they are recognized by a special program called *javadoc* that automatically extracts such comments and produces documentation for the program organized as an HTML document. See Section 4.13, *Programming Style*, on page 111, for more about *javadoc*.

# 2.3.3 KEYWORDS

*Keywords*, also known as *reserved words*, have a predefined special purpose and can't be used for any but that purpose. Each of the 47 keywords in Java has a special meaning to the Java compiler. A keyword must be separated from other keywords or identifiers by white space, a comment, or some other punctuation symbol. The following table shows all the Java keywords.

1					
	abstract	continue	for	new	synchronized
	assert	default	goto	package	this
	boolean	do	if	private	throw
	break	double	implements	protected	throws
	byte	else	import	public	transient
	case	enum	instanceof	return	try
	catch	extends	int	short	void
	char	final	interface	static	volatile
	class	finally	long	super	while
	const	float	native	switch	

The keywords const and goto have no meaning in Java. They are keywords in C++, a language that was a precursor to Java. They are included as keywords to facilitate error reporting when programmers with C++ experience accidentally use them. In addition, the words null, true, and false look like keywords in that they have a predefined meaning, but they are in fact literals, as discussed later.

# 2.3.4 IDENTIFIERS

Identifiers are the names used to specify different elements of a Java program, such as a class, method, or variable. (We discuss variables in Section 2.4.1, *Variables*, on page 20.) An identifier in our first program was HelloWorld, a name we picked for the class. Another identifier was the library method name println, a name picked by the Java developers. In both cases, the name gives a clue as to the use of that element of the program. An *identifier* is any sequence of Java letters and digits, the first of which must be a *Java letter*, with two exceptions. A keyword can't be an identifier, and the special literal terms true, false, and null can't be used as identifiers. The Java letters and digits include the letter and digit symbols for many modern written languages. The Java letters include the English language uppercase and lowercase letters, the \$, and the \_(underscore). The last two are included because of Java's close kinship to the programming language C, which included these symbols as legal characters in identifiers. The Java digits are 0 through 9. In our examples, we use only English letters and digits. The following are some examples of legal identifiers along with comments providing some explanation.

```
data //variable name conveying its use
HelloWorld //class name
youCanAlmostMakeASentence //unlikely
nextInt //method name from Scanner class
x //simple variable usually double
__123 //obscure name-a poor choice
```

The following are some illegal identifiers, along with comments indicating what the sequence of symbols really is.

# 2.3.5 LITERALS

Java has built-in *types* for numbers, characters, and booleans. Java also has a standard class type for strings. The *type* of a data value tells the computer how to interpret the data. These built-in types are called *primitive types* in Java. *Literals*, also called *constants*, are the literal program representations of values for the primitive numeric types, the primitive type boolean, the primitive character type char, and the standard class string type String. Without going into the details of these various types, the following are some examples of literal values

JAVA TYPE	EXPLANATION	EXAMPLES
int	Integers—numbers without fractional parts	123 -999 0
double Double precision numbers with fractional parts  String Arbitrary strings of characters		1.23 -0.01
		"Oh J" "123"
boolean	boolean Logical values true or false	
char	Single characters	'a' '1'

Like keywords, the symbols true and false can't be used as identifiers. They are reserved to represent the two possible boolean values. We explain later, in more detail, what constitutes an acceptable literal for each data type.

# 2.3.6 OPERATORS AND PUNCTUATION

In addition to keywords, identifiers, and literals, a Java program contains operators and separators or punctuation. The operators are things like "+", and the separators are things like the ";" that terminates some statements and the braces "{ }" used to group things. To fully understand operators, you need to understand type, precedence, and associativity. *Type* determines the kind of value computed, such as int or double. *Precedence* determines among operators, such as + and / used in an expression, which is done first. *Associativity* is the order in which operators of the same precedence are evaluated and is usually left-most first—for example,

```
int n = 2;

n = n * 3 + 2; //an assignment expression
```

The variable n is an integer variable initialized to 2. Next n is multiplied by the integer literal 3. This result is added to 2, and finally this value is assigned to n, replacing the original value of 2 with the new value of 8. Precedence of the multiplication operator \* is higher than +, so the multiplication is done before the addition. Precedence of the assignment operator = is lowest, so assignment occurs as the last action in this expression. We discuss precedence and associativity of operators further in Section 2.13, *Precedence and Associativity of Operators*, on page 37.

# 2.4 DATA TYPES AND VARIABLE DECLARATIONS

In order to do something useful, computer programs must store and manipulate data. Many programming languages, including Java, require that each data item have a declared type. That is, you must specify the kind of information represented by the data, or the data's *type*. The data's type determines how data is represented in the computer's memory and what operations can be performed on the data.

Different programming languages support different data types. A data type can be something as fundamental as a type for representing integers or as complex as a type for representing a digital movie. Some examples of data types found in Java are

- int—for representing integers or whole numbers;
- double—for representing numbers having a fraction;
- String—for representing text;
- Button—for representing a push button in a graphical user interface; and
- Point—for representing points in a plane.

The types of data that are created, stored, and manipulated by Java programs can be separated into two main groups: *primitive types* and class types, or simply *classes*.

- There are eight primitive types:
- the numeric types byte, short, int, long, float, and double for storing numeric values;
- the character type char for storing a single alphabetic character, digit, or symbol; and
- the type boolean for storing true or false.

Primitive data values can be created by using literals such as 100, -10.456, 'a', and true. Primitive values can also be operated on by using built-in operators such as + for addition and - for subtraction of two numeric values, producing a new primitive value. For example,

uses + (addition) to operate on the two numeric literal values, 2 and 3, to produce the new primitive value, 5.

Standard Java has more than 1500 classes. The **String**, **Button**, and **Point** types mentioned previously are standard Java classes. You will learn in Chapter 6, *Objects: Data Abstraction*, that you can create your own classes. Also, in Chapter 5, *Arrays And Containers*, we discuss arrays, which are a special case of class types.

The data values that are class types are called *objects*. You can create object data values by using the special operator new followed by the class name and possibly some additional values needed to create the new object. For example,

```
new Button("Quit")
```

creates a new object describing a button with the label "Quit."

You can create new objects of type String, as a special case, by using the string literal notation that surrounds the text of the string with double quotation marks. For example, "Hello, world!" creates a new string object.

In most cases, the operations supported for the particular class are given a name and invoked by placing the name after the object value, separated by a dot. For example,

```
"Hello, world!".length()
```

operates on the literal string "Hello, world!" and evaluates to 13—the number of characters in this string, including any blanks. Operations such as length() defined for a particular class are called *methods*. We discuss methods in great detail in subsequent chapters.

# 2.4.1 VARIABLES

In all but the most trivial programs, such as Helloworld, you will declare *variables* that are identifiers used to refer to data values that are stored in the computer's memory. These are called variables because a variable actually refers to a particular place in the computer's memory, and the value stored in the computer's memory can vary as the program runs. A variable declaration always begins with a type and ends with a semicolon. The *type* is used to identify the kind of data that will be stored in the memory location associated with the variable being declared. Some examples of variable declarations are

```
int i, j;
String sentence;
boolean hot, cold, lukeWarm;
Button clickToExit;
```

Note that you can declare several variables of the same type by separating the names with a comma. Good choice of variable names is important to writing clearly understandable code. Stylistically, choose variable names that are meaningful. Also, variable names usually start in lowercase, and if they are multiword, the internal words start with an uppercase character, as in clickToExit.

# 2.4.2 VARIABLE INITIALIZATION

Variables can be given initial values. The preceding set of declarations given initial values for each variable becomes

```
int i = 2, j = 3;
String sentence = "I am a camera.";
boolean hot = true, cold = false, lukeWarm = false;
Button clickToExit = new Button("Exit");
```

Initializing variables with literals of their respective type is normal. In this example, the int variable i is initially given the value 2. The boolean variable hot is initially true. The String variable sentence is initialized with a string literal.

With the exception of String, Java has no literals for creating object values. You initialize the Button variable by creating a Button object, using new as discussed briefly earlier. We discuss object creation in Chapter 6, *Objects: Data Abstraction*.

# 2.5 AN EXAMPLE: STRING CONCATENATION

The following example is a complete program that declares three variables: word1, word2, and sentence. Values are then assigned to the parts of the computer memory referred to by those variables.

# Dissection of the HelloWorld2 program

```
String word1; // declare a String variable
String word2, sentence; // declare two more
```

Whenever you introduce a new identifier you must declare it. You declare that an identifier is a variable by first writing the name of the kind of value the variable refers to, called the *type*. The type is <code>String</code> in this example. Insert the name of the new variable after the type. For variables in Java, the computer must always know the type of value to be stored in the memory location associated with that variable. As shown in the second line, you can declare more than one variable at a time by giving first the type and then a comma separated list of new identifiers.

```
word1 = "Hello, ";
word2 = "world!";
```

The symbol = is called the *assignment operator* and is used to store values in variables. Read the first statement as "wordl *gets* the value Hello," or "wordl is *assigned* the value Hello,". Here it is used to assign the String literals "Hello," and "world!" to the newly declared variables wordl and word2, respectively. The variable name will always be on the left and the new value to be assigned to the variable will always be on the right. Saying "assign the value "Hello," to the variable wordl" really means to store the String value "Hello," in the computer memory location associated with the variable wordl.

```
sentence = word1.concat(word2);
```

This statement contains an expression used to create a third <code>String</code> value, which is then assigned to the variable <code>sentence</code>. This expression uses the method <code>concat()</code>, which is defined for values of type <code>String</code>. Recall that operations on objects are called methods. This particular operation requires a second <code>String</code> value placed between the parentheses. The method name <code>concat</code> is short for concatenation. The concatenation of two strings is a new string that contains the symbols from the first string followed by the symbols from the second string. Note that the first string, <code>word1</code>, contains a space at the end. Thus, when we concatenate the two strings, we get the new string <code>"Hello, world!"</code>, which is assigned to the variable <code>sentence</code>.

```
System.out.println(sentence);
```

The program then prints out the string in the variable sentence, which now is "Hello, world!".

As we showed earlier, when a variable is declared, it can be given an initial value. This approach essentially combines an assignment with the declaration. Using this notation, you can now write the body of main() in Helloworld2 as

```
String word1 = "Hello, ";
String word2 = "world!";
String sentence = word1.concat(word2);
System.out.println(sentence);
```

You could even combine two initializations in a single statement,

```
String word2 = "world!", sentence = word1.concat(word2);
```

although, as a general rule, multiple complex initializations such as this should be placed on separate lines.

# 2.5.1 STRINGS VERSUS IDENTIFIERS VERSUS VARIABLES

A *string* is a particular data value that a program can manipulate. A *variable* is a place in the computer's memory with an associated *identifier*. The following example uses the identifier hello to refer to a variable of type String. The identifier stringVary refers to a second variable of type String. We first assign stringVary the value associated with the String variable hello. Later we reassign it the string value "hello".

```
//StringVsId.java - contrast strings and identifiers
class StringVsId {
  public static void main(String[] args) {
    String hello = "Hello, world!";
    String stringVary;
    stringVary = hello;
    System.out.println(stringVary);
    stringVary = "hello";
    System.out.println(stringVary);
}
```

The output of this program is

```
Hello, world!
hello
```

The program demonstrates two important points. First it shows the difference between the identifier hello, which in fact refers to the string "Hello, world!", and the string "hello", which is referred to at one point by the variable stringVary. This example also shows that a variable can vary. The variable stringVary first refers to the value "Hello, world!" but later refers to the value "hello".

# 2.6 USER INPUT

In the programs presented so far, we have generated output only by using System.out.println(). Most programs input some data as well as generate output. There are lots of ways to input data in Java, but the simplest is to use a class Scanner provided in the package java.util, as shown in the following example.

```
// Area.java -reading from the keyboard
import java.util.*;

class Area {
  public static void main (String[] args)
  {
    double width, height, area;
    Scanner scan = new Scanner(System.in);
    System.out.println("type two doubles for the width and height of a rectangle");
    width = scan.nextDouble();
    height = scan.nextDouble();
    assert (width > 0 & height > 0);
    area = width * height;
    System.out.print("The area is ");
    System.out.println(area);
  }
}
```

# Dissection of the Area Program

import java.util.\*;

This line tells the Java compiler that the program SimpleInput uses some of the classes defined in the package java.util. The \* indicates that you might use any of the classes in the package. The class used here is Scanner. This class allows you to do simple terminal input.

```
Scanner scan = new Scanner(System.in);
```

This creates the variable scan that is associated with System.in. This makes the variable scan a proxy for using terminal input.

Here is a table of some of the methods used for getting input from the terminal using a Scanner. As you can see the basic data types have a corresponding <code>nextType()</code> method for reading and returning an input of that type. If the input is of the wrong type these methods fail and throw an exception. To avoid this, we can use methods of the form <code>hasNextType()</code> that return true if the next input can be correctly interpreted. An example would be <code>hasNextInt()</code> that checks that the input can be interpreted as an <code>int</code>.

SCANNER METHOD	EXPLANATION	EXAMPLES
nextInt()	Integers expected	123 -999 0
nextDouble()	Doubles expected	1.23 -0.01
next()	Arbitrary strings	"Java" "123"
nextBoolean()	Logical values	true false
Scanner()	Attaches source of input	new Scanner(System.in)

# 2.7 CALLING PREDEFINED METHODS

A method is a group of instructions having a name. In the programs introduced so far, we've defined a single method called main(). In addition, we've used some methods from other classes that were standard Java classes. The methods have names, which makes it possible for you to request the computer to perform the instructions that comprise the method. That's what we're doing by using the expression System.out.println("Hello, world!"). We're calling a method with the name println and asking that the instructions be executed. Just as two people can have the same name, two methods can have the same name. We must therefore, in general, tell Java where to look for the method. Using System.out tells Java that we're interested in the println() method associated with the object identified by System.out. (We must still postpone a full explanation of the meaning of System.out.) As we have shown, the same method can be called several times in one program. In SimpleInput, we called the method println() twice.

For many methods, we need to provide some data values in order for the method to perform its job. These values are provided to the method by placing them between parentheses following the name of the method. These values are called the *parameters* of the method, and we say that we are *passing* the parameters to the method. The println() method requires one parameter, which is the value to be printed. As we indicated previously, this parameter can be either a string or a numeric value. In the latter case the numeric value will be converted by the println() method to a string and then printed. If more than one parameter is required, we separate the parameter values by commas. For example, the predefined method Math.min() is used to determine the minimum of two numbers. The following program fragment will print out 3.

```
int numberOne = 10, numberTwo = 3, smallest;
smallest = Math.min(numberOne, numberTwo);
System.out.println(smallest);
```

The method min() is contained in the standard Java class Math, which includes other common mathematical functions, such as Math.sqrt() that is used to find the square root of a number.

Here are some of the predefined methods that we've mentioned so far.

Java includes a rich set of many more predefined methods. They include methods for the most common mathematical functions (see Appendix B, *Reference Tables*), for creating graphical user interfaces (see Chapter 8, *Graphical User Interfaces: Part II*), for reading and writing information from and to files (see Chapter 10, *Reading and Writing Files*), for communicating with programs on other computers using a network (see Chapter 13, *Threads: Concurrent Programming*), and many more. An important aspect of Java is the ability to use parts of programs created by others.

# 2.8 print(), println(), AND printf()

The print() and println() methods can print all the primitive types. The method System.out.println() is a convenient variant of System.out.print() which appends a newline to the end of the output. You can always achieve the same effect by adding \n to the end of what is being printed. For example, the following two lines are equivalent.

```
System.out.print("Hello, world!\n");
System.out.println("Hello, world!");
```

Recall, however, that you can't put a an actual newline in the middle of a string, so the following would not be legal.

In the same way that you can combine two strings with the string concatenation operator you can also combine one string and one value of any other type. In this case, the nonstring operand is first converted into a new string and then the two strings are concatenated. This allows rewriting the printing of the result in Area from Section 2.6, *User Input*, on page 23, in the form

```
System.out.println("The area is " + area);
```

This version emphasizes that one message will appear on a single line of the output. The double value area is first converted to a String and then combined with the other string, using string concatenation.

What about the opposite? What if you wanted to have an output message that spanned several output lines but with a single println()? You can do so by putting the symbols \n in a string literal to represent new-lines within the string. Such a string will print on more than one output line. Thus for

```
System.out.println("One\nword\nper\nline.");
```

The output is

One word per line.

The pair of symbols \n, when used in a string literal, mean to put a newline at this point in the string. This *escape sequence* allows you to escape from the normal meaning of the symbols \ and n when used separately. You can find out more about escape sequences in Section 2.9.3, *The char Type*, on page 29.

Care must be taken when you're using string concatenation to combine several numbers. Sometimes, parentheses are necessary to be sure that the + is interpreted as string concatenation, not numeric addition. For

```
int x = 1, y = 2;
System.out.println("x + y = " + x + y);
```

the output is x + y = 12 because the string "x + y =" is first concatenated with the string "1", and then "2" is concatenated onto the end of the string "x + y = 1". To print x + y = 3 you should use parentheses first to force the addition of x and y as integers and then concatenate the string representation of the result with the initial string, as in

```
System.out.println("x + y = " + (x + y));
```

# 2.8.1 FORMATTING OUTPUT WITH printf()

The method printf() was introduced in Java 5.0. It provides formatted output as is done in C. The printf() method is passed a list of arguments that can be thought of as

```
control_string and other_arguments
```

where *control\_string* is a string and may contain conversion specifications, or formats. A conversion specification begins with a % character and ends with a conversion character. For example, in the format %s the letter s is the conversion character.

```
printf("%s", "abc");
```

The format %s causes the argument "abc" to be printed in the format of a string. Yet another way to do this is with the statement

```
printf("%c%c%c", 'a', 'b', 'c');
```

Single quotes are used to designate character constants. Thus, 'a' is the character constant corresponding to the lowercase letter *a*. The format %c prints the value of an expression as a character. Notice that a constant by itself is considered an expression.

printf() conversion characters			
Conversion character	How the corresponding argument is printed		
С	as a character		
d	as a decimal integer		
е	as a floating-point number in scientific notation		
f	as a floating-point number		
g	in the e-format or f-format, whichever is shorter		
S	as a string		

When an argument is printed, the place where it is printed is called its *field* and the number of characters in its field is called its *field width*. The field width and a precision specifier can be specified in a format as two integers separated by a dot occurring between the % and the conversion character. Thus, the statement

```
printf("Airfare is $%6.2f.\nParking is $%6.2f.\n", 300.50, 16.50);
```

specifies that the two numbers should be printed in a field of 6 characters with two digits (the precision) to the right of the decimal point. It will print

```
Airfare is $300.50.
Parking is $ 16.50.
```

We will explain the various detailed conventions for using formats in Chapter 10, on page 304

.

# 2.9 NUMBER TYPES

There are two basic representations for numbers in most modern programming languages: integer representations and floating point representations. The integer types are used to represent integers or whole numbers. The floating point types are used to represent numbers that contain fractional parts or for numbers whose magnitude exceeds the capacity of the integer types.

## 2.9.1 THE INTEGER TYPES

A *bit* is the smallest unit of information that can be stored in a computer. It can represent only two values, such as 1/0 or on/off or true/false. A sequence of bits can be used to represent a larger range of values. For the integer types, the bits are interpreted with the binary number system. The binary number system has only two digits: 0 and 1. These two digits can be combined to represent any number in the same way that the 10 digits of the normal, decimal number system can be combined to form any number. (See Appendix A, *Gettina Down to the Bits*).

Some mechanism is needed to represent positive and negative numbers. One simple solution would be to set aside one bit to represent the sign of the number. However, this results in two different representations of zero, +0, and -0, which causes problems for computer arithmetic. Therefore an alternative system called two's complement is used.

An 8-bit value called a *byte* can represent 256 different values. Java supports five integral numeric types. The type char, although normally used to represent symbolic characters in a 16-bit format called Unicode, can be interpreted as an integer. As discussed shortly, the result of combining a char value with another numeric value will never be of type char. The char value is first converted to one of the other numeric types. These types are summarized in the following table.

Түре	NUMBER OF BITS	RANGE OF VALUES		
byte	8	-128 to 127		
short	16	-32768 to 32767		
char	16	0 to 65536		
int	32	-2147483648 to 2147483647		
long	64	-9223372036854775808 to 9223372036854775807		

The asymmetry in the most negative value and the most positive value of the integer types results from the two's complement system used in Java to represent negative values.

Literal integer values are represented by a sequence of digits, possibly preceded by a minus sign. An integer literal is either an int or long. An explicit conversion, called a *cast*, of an int literal must be used to assign them to the smaller integer types short and byte (see Section 2.10.2, *Type Conversion*, on page 32). Integer literals can be expressed in base 10 (decimal), base 8 (octal), and base 16 (hexadecimal) number systems. Decimal literals begin with a digit 1–9, octal literals begin with the digit 0, and hexadecimal literals begin with the two-character sequence 0x. To specify a long literal instead of an int literal, append the letter *el*, uppercase or lowercase, to the sequence of digits.

Here are some examples:

217—the decimal value two hundred seventeen

• 0217—an octal number equivalent to 143 in the decimal system

$$(2 \times 8^2 + 1 \times 8^1 + 7)$$

- 0195 would be illegal because 9 is not a valid octal digit (only 0-7 are octal digits)
- 0x217—a hexadecimal number equivalent to 535 in the decimal system

$$(2 \times 16^2 \ 1 \ 1 \times 16^1 + 7);$$

the hexadecimal digits include 0-9 plus a = 10, b = 11, c = 12, d = 13, e = 14, and f = 15.

■ 14084591234L—a long decimal literal; without the L this would be an error because 14084591234 is too large to store in the 32 bits of an int type integer.

#### 2.9.2 THE FLOATING POINT TYPES

Java supports two floating point numeric types. A floating point number consists of three parts: a sign, a magnitude, and an exponent. These two types are summarized in the following table.

Түре	NUMBER OF BITS	Approximate Range of Values	Approximate Precision
float	32	$+/-10^{-45}$ to $+/-10^{+38}$	7 decimal digits
double	64	$+/-10^{-324}$ to $+/-10^{+308}$	15 decimal digits

To represent floating point literals, you can simply insert a decimal point into a sequence of digits, as in 3.14159. If the magnitude of the number is too large or too small to represent in this fashion, then a notation analogous to scientific notation is used. The letter e from *exponent* is used to indicate the exponent of 10 as shown in the following examples.

JAVA REPRESENTATION	VALUE
2.17e-27	$2.17 \times 10^{-27}$
2.17e99	$2.17 \times 10^{99}$

Unless specified otherwise, a floating point literal is of type double. To specify a floating point literal of type float, append either f or F to the literal—for example, 2.17e-27f.

#### 2.9.3 THE char TYPE

Java provides char variables to represent and manipulate characters. This type is an integer type and can be mixed with the other integer types. Each char is stored in memory in 2 bytes. This size is large enough to store the integer values 0 through 65535 as distinct character codes or nonnegative integers, and these codes are called Unicode. Unicode uses more storage per character than previous common character encodings because it was designed to represent all the world's alphabets, not just one particular alphabet.

For English, a subset of these values represents actual printing characters. These include the lowercase and uppercase letters, digits, punctuation, and special characters such as % and +. The character set also includes the white space characters blank, tab, and newline. This important subset is represented by the first 128 codes, which are also known as the ASCII codes. Earlier languages, such as C and C++, worked only with this more limited set of codes and stored them in 1 byte.

The following table illustrates the correspondence between some character literals and integer values. Character literals are written by placing a single character between single quotes, as in 'a'.

Some Character Constants and Their Corresponding Integer Values					
Character constants	'a' 'b' 'c' 'z'				
Corresponding values	97 98 99 122				
Character constants	'A' 'B' 'C' 'Z'				
Corresponding values	65 66 67 90				
Character constants	'0' '1' '2' '9'				
Corresponding values	48 49 50 57				
Character constants	'&' '*' '+'				
Corresponding values	38 42 43				

There is no particular relationship between the value of the character constant representing a digit and the digit's intrinsic integer value. That is, the value of '2' is *not* 2. The property that the values for 'a', 'b', 'c', and so on occur in order is important. It makes the sorting of characters, words, and lines into lexicographical order convenient.

Note that character literals are different from string literals, which use double quotes, as in "Hello". String literals can be only one character long, but they are still String values, not char values. For example, "a" is a string literal.

Some nonprinting and hard-to-print characters require an escape sequence. The horizontal tab character, for example, is written as \t in character constants and in strings. Even though it is being described by the two characters \ and t, it represents a single character. The backslash character \ is called the *escape character* and is used to escape the usual meaning of the character that follows it. Another way to write a character constant is by means of a hexadecimal-digit escape sequence, as in '\u00007'. This is the alert character, or the audible bell. These 4 hexadecimal digits are prefixed by the letter u to indicate their use as a Unicode literal. The 65,536 Unicode characters can be written in hexadecimal form from '\u00000' to '\uFFFF'.

The following table contains some nonprinting and hard-to-print characters.

Name of Character	ESCAPE	INT	HEX
Backslash	\\	92	\u005C
Backspace	\b	8	\u0008
Carriage return	\r	13	\u000D
Double quote	\"	34	\u0022
Formfeed	\f	12	\u000C
Horizontal tab	\t	9	\u0009
Newline	\n	10	\u000A
Single quote	\'	39	\u0027
Null character		0	\u0000
Alert		7	\u0007

The alert character is special; it causes the bell to ring. To hear the bell, try executing a program that contains the line

#### System.out.print('\u0007');

Character values are small integers, and, conversely, small integer values can be characters. Consider the declaration

```
char c = 'a';
```

The variable c can be printed either as a character or as an integer:

```
System.out.printf("%c",c); // a is printed
System.out.printf("%d",c); // 97 is printed
```

## 2.9.4 NUMBERS VERSUS STRINGS

The sequence of 0s and 1s inside a computer used to store the String value "1234" is different from the sequence of 0s and 1s used to store the int value 1234, which is different from the sequence of 0s and 1s used to store the double value 1234.0. The string form is more convenient for certain types of manipulation, such as printing on the screen or for combining with other strings. The int form is better for some numeric calculations, and the double form is better for others. So how does the computer know how to interpret a particular sequence of 0s and 1s? The answer is: Look at the type of the variable used to store the value. This answer is precisely why you must specify a type for each variable. Without the type information the sequence of 0s and 1s could be misinterpreted.

We do something similar with words all the time. Just as computers interpret sequences of 0s and 1s, human beings interpret sequences of alphabetic symbols. How people interpret those symbols depends on the context in which the symbols appear. For example, at times the same word can be a noun, and at other times it can be a verb. Also, certain sequences of letters mean different things in different languages. Take the word *pie* for example. What does it mean? If it is English, it is something good to eat. If it is Spanish, it means foot.

From the context of the surrounding words we can usually figure out what the type of the word is: verb or noun, English or Spanish. Some programming languages do something similar and "figure out" the type of a variable. These programming languages are generally considered to be more error prone than languages such as Java, which require the programmer to specify the type of each variable. Languages such as Java are called *strongly typed* languages.

# 2.10 ARITHMETIC EXPRESSIONS

The basic arithmetic operators in Java are addition +, subtraction -, multiplication \*, division /, and modulus %. You can use all arithmetic operators with all primitive numeric types: char, byte, short, int, long, float, and double. In addition, you can combine any two numeric types by using these operators in what is known as *mixed mode arithmetic*. Although you can use the operators with any numeric type, Java actually does arithmetic only with the types int, long, float, and double. Therefore the following rules are used first to convert both operands into one of four types.

- 1. If either operand is a double, then the other is converted to double.
- 2. Otherwise, if either operand is a float, then the other is converted to float.
- 3. Otherwise, if either operand is a long, then the other is converted to a long.
- 4. Otherwise, both are converted to int.

This conversion is called *binary numeric promotion* and is also used with the binary relational operators discussed in Section 3.2.1, *Relational and Equality Operators*, on page 49.

When both operands are integer types, the operations of addition, subtraction, and multiplication are self-evident except when the result is too large to be represented by the type of the operands.

Integer values can't represent fractions. In Java, integer division truncates toward 0. For example, 6 / 4 is 1 and 6 / (-4) is -1. A common mistake is to forget that integer division of nonzero values can result in 0. To obtain fractional results you must force one of the operands to be a floating point type. In expressions involving literals you can do so by adding a decimal point, as in 6.0 / 4, which results in the floating point value 1.5. In addition, integer division by zero will result in an error called an ArithmeticException. An exception, as the name implies, is something unexpected. Java provides a way for you to tell the computer

what to do when exceptions occur. If you don't do anything and such an error occurs, the program will print an appropriate error message and terminate. We discuss exceptions in Chapter 11, *Coping with Errors*.

Unlike some programming languages, Java doesn't generate an exception when integer arithmetic results in a value that is too large. Instead, the extra bits of the true result are lost, and in some cases pollute the bit used for the sign. For example, adding two very large positive numbers could generate a negative result. Likewise, subtracting a very large positive number from a negative number could generate a positive result. If values are expected to be near the limit for a particular type, you should either use a larger type or check the result to determine whether such an overflow has occurred.

When one of the operands is a floating point type, but both operands are not of the same type, one of them is converted to the other as described earlier. Unlike some programming languages, floating point arithmetic operations in Java will never generate an exception. Instead three special values can result: positive infinity, negative infinity, and "Not a Number." See Appendix A, *Getting Down to the Bits* for more details.

The modulus operator % returns the remainder from integer division. For example, 16 % 3 is 1, because 16 divided by 3 is 5 with a remainder of 1. The modulus operator is mostly used with integer operands; however, in Java it can be used with floating point operands. For floating point values x % y is n, where n is the largest integer such that |y| \* n is less than or equal to |x|.

## 2.10.1 AN INTEGER ARITHMETIC EXAMPLE: CHANGE

The computation in Section 1.3, *Implementing Our Algorithm in Java*, on page 5, whereby we made change for a dollar, is a perfect illustration of the use of the two integer division operators, / and %.

```
// MakeChange.java - change in dimes and pennies
import java.util.*;
class MakeChange {
 public static void main (String[] args) {
    int price, change, dimes, pennies;
    Scanner scan = new Scanner(System.in);
    System.out.println("type price (0 to 100):");
    price = scan.nextInt();
    change = 100 - price;
                                 //how much change
    dimes = change / 10;
                                 //number of dimes
    pennies = change % 10;
                                 //number of pennies
    System.out.print("The change is : ");
    System.out.println(dimes + " dimes " + pennies + " pennies");
}
```

# Dissection of the MakeChange Program

```
int price, change, dimes, pennies;
```

This program declares four integer variables. These types determine the range of values that can be used with these variables. They also dictate that this program use integer arithmetic operations, not floating point operations.

```
price = scan.nextInt();
```

The scan.nextInt() is used to obtain the input from the keyboard. The nextInt() method is a member of the class Scanner. At this point we must type in an integer price. For example, we would type 77 and hit Enter.

```
change = 100 - price; //how much change
```

This line computes the amount of change. This is the integer subtraction operator.

```
dimes = change / 10;  //number of dimes
pennies = change % 10;  //number of pennies
```

To compute the number of dimes, we compute the integer result of dividing change by 10 and throw away any remainder. So if change is 23, then the integer result of 23/10 is 2. The remainder 3 is discarded. The number of pennies is the integer remainder of change divided by 10. The % operator is the integer remainder or modulo operator in Java. So if change is 23, then 23 % 10 is 3. In Exercise 17 on page 43, we ask you to use double for the variables and to report on your results.

## 2.10.2 TYPE CONVERSION

You may want or need to convert from one primitive numeric type to another. As mentioned in the preceding section, Java will sometimes automatically convert the operands of a numeric operator. These automatic conversions are also called *widening primitive conversions*. These conversions always convert to a type that requires at least as many bits as the type being converted, hence the term *widening*. In most but not all cases, a widening primitive conversion doesn't result in any loss of information. An example of a widening primitive conversion that can lose some precision is the conversion of the int value 123456789 to a float value, which results in 123456792. To understand this loss of information, see Appendix A, *Getting Down to the Bits*. The following are the possible widening primitive conversions:

FROM	То
byte	short, int, long, float, or double
short	int, long, float, or double
char	int, long, float, or double
int	long, float, or double
long	float or double
float	double

In addition to performing widening conversions automatically as part of mixed mode arithmetic, widening primitive conversions are also used to convert automatically the right-hand side of an assignment operator to the type of the variable on the left. For example, the following assignment will automatically convert the integer result to a floating point value.

```
int x = 1, y = 2;
float z;
z = x + y; // automatic widening from int to float
```

A *narrowing primitive conversion* is a conversion between primitive numeric types that may result in significant information loss. The following are narrowing primitive conversions.

FROM	То
byte	char
short	byte or char
char	byte or short
int	byte, short, or char
long	byte, short, char, or int
float	byte, short, char, int, or long
double	byte, short, char, int, long, or float

Narrowing primitive conversions generally result only from an explicit type conversion called a *cast*. A cast is written as *(type) expression*, where the expression to be converted is preceded by the new type in parentheses. A cast is an operator and, as the table in Section 2.13, *Precedence and Associativity of Operators*, on page 38, indicates, has higher precedence than the five basic arithmetic operators. For example, if you are interested only in the integer portion of the floating point variable <code>someFloat</code>, then you can store it in <code>someInteger</code>, as in

```
int someInteger;
float someFloat = 3.14159;
someInteger = (int)someFloat;
System.out.println(someInteger);
```

The output is

3

If the cast is between two integral types, the most significant bits are simply discarded in order to fit the resulting format. This discarding can cause the result to have a different sign from the original value. The following example shows how a narrowing conversion can cause a change of sign.

```
int i = 127, j = 128;
byte iAsByte = (byte)i, jAsByte = (byte)j;
System.out.println(iAsByte);
System.out.println(jAsByte);
```

The output is



The largest positive value that can be stored in a byte is 127. Attempting to force a narrowing conversion on a value greater than 127 will result in the loss of significant information. In this case the sign is reversed. To understand exactly what happens in this example, see Appendix A.

## **COMMON PROGRAMMING ERROR**

Remember that integer division truncates toward zero. For example, the value of the expression 3/4 is 0. Both the numerator and the denominator are integer literals, so this is an integer division. If what you want is the rounded result, you must first force this to be a floating point division and then use the routine Math.round() to round the floating point result to an integer. To force a floating point division you can either make one of the literals a floating point literal or use a cast. In the following example first recall that floating point literals of type float are specified by appending the letter f. Then for

```
int x = Math.round(3.0f/4);
```

the variable x will get the value 1. Forcing the division to be a floating point divide is not enough. In the following example, z will be 0.

```
int z = (int)(3.0f/4);
```

The conversion of 0.75 to an int truncates any fractional part.

# 2.11 ASSIGNMENT OPERATORS

To change the value of a variable, we have already made use of assignment statements such as

```
a = b + c;
```

Assignment is an operator, and its precedence is lower than all the operators we've discussed so far. The associativity for the assignment operator is right to left. In this section we explain in detail its significance.

To understand = as an operator, let's first consider + for the sake of comparison. The binary operator + takes two operands, as in the expression a + b. The value of the expression is the sum of the values of a and b. By comparison, a simple assignment expression is of the form

```
variable = rightHandSide
```

where *rightHandSide* is itself an expression. A semicolon placed at the end would make this an assignment statement. The assignment operator = has the two operands *variable* and *rightHandSide*. The value of *rightHandSide* is assigned to *variable*, and that value becomes the value of the assignment expression as a whole. To illustrate, let's consider the statements

```
b = 2;
c = 3;
a = b + c;
```

where the variables are all of type int. By making use of assignment expressions, we can condense these statements to

```
a = (b = 2) + (c = 3);
```

The assignment expression b=2 assigns the value 2 to the variable b, and the assignment expression itself takes on this value. Similarly, the assignment expression c=3 assigns the value 3 to the variable c, and the assignment expression itself takes on this value. Finally, the values of the two assignment expressions are added, and the resulting value is assigned to a.

Although this example is artificial, in many situations assignment occurs naturally as part of an expression. A frequently occurring situation is *multiple assignment*. Consider the statement

```
a = b = c = 0;
```

Because the operator = associates from right to left, an equivalent statement is

$$a = (b = (c = 0));$$

First, c is assigned the value 0, and the expression c = 0 has value 0. Then b is assigned the value 0, and the expression b = (c = 0) has value 0. Finally, a is assigned the value 0, and the expression a = (b = (c = 0)) has value 0.

In addition to =, there are other assignment operators, such as += and -=. An expression such as

$$k = k + 2$$

will add 2 to the old value of k and assign the result to k, and the expression as a whole will have that value. The expression

#### k += 2

accomplishes the same task. The following list contains all the assignment operators

ASSIGNMENT OPERATORS							
= =	+= %=	-= >>=	*= <<=	/ &=	^=	=	

All these operators have the same precedence, and all have right-to-left associativity. The meaning is specified by

variable op= expression

which is equivalent to

```
variable = variable op (expression)
```

with the exception that if *variable* is itself an expression, it is evaluated only once. Note carefully that an assignment expression such as

```
j *= k + 3 is equivalent to j = j * (k + 3)
```

rather than

$$j = j * k + 3$$

The following table illustrates how assignment expressions are evaluated.

DECLARATIONS AND INITIALIZATIONS					
int i = 1, j = 2, k = 3, m = 4;					
EXPRESSION EQUIVALENT EXPRESSION EQUIVALENT EXPRESSION VALU					
i += j + k	i += (j + k)	i = (i + (j + k))	6		
j *= k = m + 5	j *= (k = (m + 5))	j = (j * (k = (m + 5)))	18		

# 2.12 THE INCREMENT AND DECREMENT OPERATORS

Computers are very good at counting. As a result, many programs involve having an integer variable that takes on the values  $0, 1, 2, \ldots$  One way to add 1 to a variable is

```
i = i + 1;
```

which changes the value stored in the variable i to be 1 more than it was before this statement was executed. This procedure is called *incrementing* a variable. Because it is so common, Java, like its predecessor C, includes a shorthand notation for incrementing a variable. The following statement gives the identical result.

```
i++;
```

The operator ++ is known as the increment operator. Similarly, there is a decrement operator, --, so that the following two statements are equivalent:

```
i = i - 1;
i--;
```

Here is a simple program that demonstrates the increment operator.

```
//Increment.java - demonstrate incrementing
class Increment {
  public static void main(String[] args) {
    int i = 0;
    System.out.println("i = " + i);
    i = i + 1;
    System.out.println("i = " + i);
    i++;
    System.out.println("i = " + i);
    i++;
    System.out.println("i = " + i);
    i++;
    System.out.println("i = " + i);
}
```

The output of this program is

```
i = 0
i = 1
i = 2
i = 3
```

Note that both increment and decrement operators are placed after the variable to be incremented. When placed after its argument they are called the *postfix* increment and *postfix* decrement operators. These operators also can be used before the variable. They are then called the *prefix* increment and *prefix* decrement operators. Each of the expressions ++i (*prefix*) and i++(postfix) has a value; moreover, each causes the stored value of i in memory to be incremented by 1. The expression ++i causes the stored value of i to be incremented first, with the expression then taking as its value the new stored value of i. In contrast, the expression i++ has as its value the current value of i; then the expression causes the stored value of i to be incremented. The following code illustrates the situation.

```
int a, b, c = 0;
a = ++c;
b = c++;
System.out.println("a = " + a);  //a = 1 is printed
System.out.println("b = " + b);  //b = 1 is printed
System.out.println("c = " + ++c);  //c = 3 is printed
```

Similarly, --i causes the stored value of i in memory to be decremented by 1 first, with the expression then taking this new stored value as its value. With i-- the value of the expression is the current value of i; then the expression causes the stored value of i in memory to be decremented by 1. Note that ++ and -- cause the value of a variable in memory to be changed. Other operators do not do so. For example, an expression such as a + b leaves the values of the variables a and b unchanged. These ideas are expressed by saying that the operators ++ and -- have a *side effect*; not only do these operators yield a value, but they also change the stored value of a variable in memory. Of course this is also true of the assignment operator.

In some cases we can use ++ in either prefix or postfix position, with both uses producing equivalent results. For example, each of the two statements

```
++i; and i++; is equivalent to 
i = i + 1:
```

In simple situations you can consider ++ and -- as operators that provide concise notation for the incrementing and decrementing of a variable. In other situations, you must pay careful attention as to whether prefix or postfix position is used.

# 2.13 PRECEDENCE AND ASSOCIATIVITY OF OPERATORS

When evaluating expressions with several operators, you need to understand the order of evaluation of each operator and its arguments. *Operator precedence* gives a hierarchy that helps determine the order in which operations are evaluated. For example, precedence determines which arithmetic operations are evaluated first in

```
x = (-b + Math.sqrt(b * b - 4 * a * c))/(2 * a)
```

which you may recognize as the expression to compute one of the roots of a quadratic equation, written like this in your mathematics class:

$$x = \frac{-b + \sqrt{b^2 - 4ac}}{2a}$$

To take a simpler example, what is the value of integer variable x after the assignment x = 7 + 5 \* 3? The answer is 22,  $not(7+5) \times 3$  which is 36. The reason is that multiplication has *higher precedence* than addition; therefore 5 \* 3 is evaluated before 7 is added to the result.

In addition to some operators having higher precedence than others, Java specifies the *associativity* or the order in which operators of equal precedence are to be evaluated. For example, the value of 100 / 5 \* 2 is 40, not 10. This is because / and \* have equal precedence and arithmetic operators of equal precedence are evaluated from left to right. If you wanted to do the multiplication before the division, you would write the expression as 100 / (5 \* 2). Parentheses can be used to override the normal operator precedence rules.

This left to right ordering is important in some cases that might not appear obvious. Consider the expression x + y + z. From simple algebra, the associativity of addition tells us that (x + y) + z is the same as x + (y + z). Unfortunately, this is true only when you have numbers with infinite precision. Suppose that y is the largest positive integer that Java can represent, x is -100, and z is 50. Evaluating (y + z) first will

result in integer overflow, which in Java will be equivalent to some very large negative number, clearly not the expected result. If instead, (x + y) is evaluated first, then adding z will result in an integer that is still in range and the result will be the correct value.

The precedence and associativity of all Java operators is given in Appendix Appendix B, *Reference Tables*. The following table gives the rules of precedence and associativity for the operators of Java that we have used so far.

OPERATOR PRECEDENCE AND ASSOCIATIVITY			
Operator	ASSOCIATIVITY		
( ) ++ (postfix) (postfix)	Left to right		
+ (unary) - (unary) ++ (prefix) (prefix)	Right to left		
new (type)expr	Right to left		
* / %	Left to right		
+ -	Left to right		
= += -= *= /= etc.	Right to left		

All the operators on the same line, such as \*, /, and %, have equal precedence with respect to each other but have higher precedence than all the operators that occur on the lines below them. The associativity rule for all the operators on each line appears in the right-hand column.

In addition to the binary +, which represents addition, there is a unary +, and both operators are represented by a plus sign. The minus sign also has binary and unary meanings. The following table gives some additional examples of precedence and associativity of operators.

DECLARATIONS AND INITIALIZATIONS			
int a = 1, b = 2, c = 3, d = 4;			
Expression	EQUIVALENT EXPRESSION	VALUE	
a * b / c	(a * b) / c	0	
a * b % c + 1	((a * b) % c) + 1	3	
++a * b - c	((++a) * b) - (c)	1	
7 b * ++d	7 - ((- b) * (++d))	17	

# 2.14 PROGRAMMING STYLE

A clear, consistent style is important to writing good code. We use a style that is largely adapted from the Java professional programming community. Having a style that is readily understandable by the rest of the programming community is important.

We've already mentioned the importance of comments for documenting a program. Anything that aids in explaining what is otherwise not clear in the program should be placed in a comment. Comments help the programmer keep track of decisions made while writing the code. Without good documentation, you may return to some code you have written, only to discover that you have forgotten why you did some particular thing. The documentation should enable someone other than the original programmer to pick up, use, and modify the code. All but the most trivial methods should have comments at the beginning, clearly stating the purpose of the method. Also, complicated blocks of statements should be preceded by comments summariz-

ing the function of the block. Comments should add to the clarity of the code, not simply restate the program, statement by statement. Here is an example of a useless comment.

```
area = width * height; // compute the area
```

Good documentation includes proper choice of identifiers. Identifiers should have meaningful names. Certain simple one-character names are used to indicate auxiliary variables, such as i, j, or k, as integer variables.

The code should be easy to read. Visibility is enhanced by the use of white space. In general, we present only one statement to a line and in all expressions separate operators from arguments by a space. As we progress to more complex programs, we shall present, by example or explicit mention, accepted layout rules for program elements.

#### SOME NAMING CONVENTIONS USED BY MANY JAVA PROGRAMMERS

- Class names start with uppercase and embedded words, as in Helloworld, are capitalized.
- Methods and variables start with lowercase and embedded words, as in readInt, data, toString, and loopIndex, are capitalized.
- Although legal, the dollar sign, \$, should not be used except in machine-generated Java programs.

# **SUMMARY**

- To create a Java program first define a class. Give the class a method called main(). Put whatever instructions you want the computer to execute inside the body of the method main().
- A program stores data in variables. Each variable is given a type, such as int for storing integers or String for storing strings.
- You can use literals to embed constant values of various types in a program, such as in the constant string "Hello, world!" or the integer constant 3.
- You can combine literals and variables in expressions by using operators such as + for addition or string concatenation and \* for numeric multiplication.
- You can store the result of evaluating an expression in a variable by using the assignment operator =. The variable is always on the left, and the expression being assigned to the variable is always on the right.
- You can call a method from another class by writing the name of the class followed by a dot and the name of the method—for example, Math.sqrt().
- The lexical elements of a Java program are keywords, identifiers, literals, operator symbols, punctuation, comments, and white space.
- You can print strings and numbers to the screen by using the method System.out.print() or System.out.println(). The latter appends a newline to whatever is printed. These methods are part of the standard Java classes. Java 5.0 introduced printf() which allows formatted printing as derived from the C language.
- You can input from the keyboard by using the methods of class Scanner. These include next() for strings, nextInt() for integers and nextDouble() for doubles.
- Java supports the primitive integer types char, byte, short, int, and long. It also supports two floating point types, float and double.

■ Integer division truncates toward zero—it doesn't round to the nearest whole number. You can use Math.round() if rounding is what you want.

# **REVIEW QUESTIONS**

- 1. What line appears in every complete Java program indicating where to begin executing the program?
- 2. What one-line instruction would you use to have a Java program print Goodbye?
- 3. What affect do strings such as /\* what is this \*/ have on the execution of a Java program?
- 4. What is a variable?
- 5. What is a method?
- 6. Complete the following table.

TEXT	LEGAL ID	WHY OR WHY NOT
3xyz	No	Digit is first character.
xy3z		
a = b		
main		
Count		
class		

- 7. What does the symbol = do in Java?
- 8. Programmers say \_\_\_\_\_ a method when they mean go and execute the instructions for the method.
- 9. True or false? A multiline comment can be placed anywhere white space could be placed.
- 10. True or false? Keywords can also be used as variables, but then the special meaning of the keyword is overridden.
- 11. What convention for identifiers given in this chapter is used in whatAmI, howAboutThis, someName?
- 12. What primitive types are used to store whole numbers?
- 13. What is the difference between x = 'a' and x = a?
- 14. What is the difference between s = "hello" and s = hello?
- 15. Which version of the Java program Helloworld is the one you can view and edit with a text editor, HelloWorld.java or HelloWorld.class? What does the other one contain? What program created the one you do not edit?
- 16. What is Unicode?
- 17. List the primitive types in Java.
- 18. Before it can be used, every variable must be declared and given a \_\_\_\_\_\_.

- 19. What is the value of the Java expression "10"+"20"? Don't ignore the quotation marks; they are crucial.
- 20. Write a Java statement that could be used to read an integer value from the keyboard and store it in the variable someNumber.
- 21. What is wrong with the following Java statement?

```
System.out.println("This statement is supposed
     to print a message. What is wrong?");
```

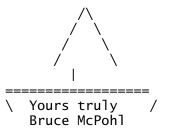
- 22. Every group of input statements should be preceded by what?
- 23. How do you write x times y in Java?
- 24. What is the difference between System.out.print("message") and System.out.println("message")?
- 25. Write a *single* Java statement that will produce the following output.



- 26. Approximately, what is the largest value that can be stored in the primitive type int? One thousand? One million? One billion? One trillion? Even larger?
- 27. What primitive Java type can store the largest numbers?
- 28. What is the value of the following Java expressions?

## **EXERCISES**

- 1. Write a Java program that prints "Hello *your name*." You can do this by a simple modification to the Helloworld program. Compile and run this program on your computer.
- 2. Write a Java program that prints a favorite poem of at least eight lines. Be sure to print it out neatly aligned. At the end of the poem, print two blank lines and then the author's name.
- 3. Design your own signature logo, such as a sailboat icon if you like sailing, and print it followed by "yours truly—your name." A sailboat signature logo might look like



- 4. Write a Java program to read in two numbers and print the sum. Be sure to include a message to prompt the user for input and a message identifying the output. See what happens if you type in something that is not a number when the program is run. See how large a number you can type in and still have the program work correctly.
- 5. The following code contains three syntax errors and produces two syntax error messages from *javac*. Fix the problems.

```
// Ch2e1.java - fixing syntax errors
Class Ch2e1 {
  public static void main(String[] args) {
    System.out.println(hello, world);
  }
```

The *javac* compiler's message reads:

6. The following code produces one syntax error message from *javac*. Fix the problem.

```
// Ch2e2.java - more syntax errors
class Ch2e2 {
  public static void main(String[] args) {
    int count = 1, i = 3,
     System.out.println("count + i = ", count + i);
  }
}
```

The *javac* compiler's message reads

Here, unlike the previous exercise, the compiler doesn't as clearly point to the errors. Frequently, errors in punctuation lead to syntax error messages that are hard to decipher. After you fix the first syntax error in the code, a second error will be identified.

- 7. Continue with the code class Ch2e2. If you fixed just the syntax errors, you may get a running program that still has a run-time bug. Namely, the output may not be the sum of count + i. Fixing run-time or semantic bugs is harder than fixing syntax bugs because something is wrong with your understanding of how to program the solution. Without introducing any other variables fix the run-time bug.
- 8. Write a program that draws a box like the one shown, using a *single* println() statement.

```
********
* *
* *
```

- 9. Use scan.nextDouble() to read in one double precision floating point number and then print the results of calling Math.sin(), Math.cos(), Math.asin(), Math.exp(), Math.log(), Math.floor(), and Math.round() with the input value as a parameter. Be sure to prompt the user for input and label the output.
- 10. Write a program to read two double precision floating point numbers, using <code>nextDouble()</code>. Print the sum, difference, product, and quotient of the two numbers. Try two very small numbers, two very large numbers, and one very small number with one very large number. You can use the same notation used for literals to enter the numbers. For example, <code>0.123e-310</code> is a very small number.
- 11. Write a program to compute the area of a circle given its radius. Let radius be a variable of type double and use nextDouble() to read in its value. Be sure that the output is understandable. The Java class Math contains definitions for the constants E and PI, so you can use Math.PI in your program.
- 12. Extend the previous program to write out the circumference of a circle and the volume of a sphere given the radius as input. Recall that the volume of a sphere is

$$V = \frac{4 \times \pi r^3}{3}$$

- 13. Write a program that asks for a double and then prints it out. Then ask for a second double, this time printing out the sum and average of the two doubles. Then ask for a third double and again print out the accumulated sum and the average of the three doubles. Use variables data1, data2, data3, and sum. Later, when we discuss loops, you will see how this is easily done for an arbitrary number of input values.
- 14. Write a program that reads in an integer and prints it as a character. Remember that character codes can be nonprinting. '
- 15. Write a program that asks for the number of quarters, dimes, nickels, and pennies you have. Then compute the total value of your change and print the number of dollars and the remaining cents.
- 16. Write a program capable of converting one currency to another. For example, given U.S. dollars it should print out the equivalent number of Euros. Look up the exchange rate and use it as input.
- 17. Change the MakeChange program to use variables that are doubles. Run the program and see what goes wrong.

```
class MakeChange {
  public static void main (String[] args) {
    double price, change, dimes, pennies;
    ...
```

18. The following is a C program for printing "Hello, world!".

```
/* Hello World In C
 * Purpose:
 * The classic "Hello, world!" program.
 * It simply prints a message to the screen.
 * Author:
 * Jane Programmer
 * as derived from Kernighan and Richie
 */
```

```
#include <stdio.h> /* needed for IO */
int main(void) {
  printf("Hello, world!\n");
  return 0; /* unneeded in Java */
}
```

Note how similar this program is to the Java version. A key difference is the lack of class encapsulation of main(). As in Java, main() starts the program's execution. In C, methods are known as functions. The printf() function is found in the standard input-output library imported by the C compiler for use in this program. The return 0 ends program execution and is not used in Java. Convert the following C program to Java.

```
#include <stdio.h>
int main(void) {
   printf("Hello, world!\n");
   printf("My name is George.\n");
   printf("Yada Yada Yada ...\n");
   return 0;
}
```

## APPLET EXERCISE

The following program is an example of a Java applet. This program uses several features of Java that we explain later. Note that there is no method main(); instead there is the method paint(). For now just concentrate on the body of the method paint(), treating the surrounding code as a template to be copied verbatim. By invoking the appropriate drawing operations on the Graphics object g, you can draw on the applet.

```
/* To place this applet in a web page, add the
   following two lines to the html document for the
  <applet code="FirstApplet.class"</pre>
 width=500 height=200></applet>
// AWT and Swing together comprise the collection of
// classes used for building graphical Java programs
import java.awt.*; //required for programs that draw
import javax.swing.*; //required for Swing applets
public class FirstApplet extends JApplet {
  public void paint(Graphics g) {
    // draw a line from the upper left corner to
    // 100 pixels below the top center of the Applet
    g.drawLine(0,0,250,100);
    // draw a line from the end of the previous line
    // up to the top center of the Applet
    g.drawLine(250,100,250,0);
    // draw an oval inscribed in an invisible
    // rectangle with its upper left corner at the
    // intersection of the two lines drawn above
    g.draw0val(250,100,200,100);
 }
}
```

The class Graphics is used for simple drawing, and many drawing operations are defined for it. In this example we use the method drawLine() to draw a line. The first two numbers in parentheses for the drawline()

operation are the xy coordinates of one end of the line, and the last two numbers are the xy coordinates of the other end. As you can see from the output of the program, the location (0, 0) is in the upper left corner, with increasing x moving to the right and increasing y moving down. To draw an oval, give the coordinates of the upper left corner and the width and height on an invisible rectangle. The oval will be inscribed inside the rectangle.

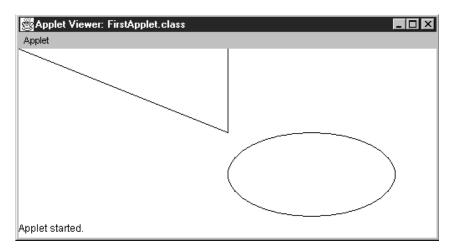
To execute an applet, first compile it like you do other Java programs. Then you can either run the program *appletviewer* or use a web browser to view the applet. To view the applet FirstApplet using the *appletviewer* on Unix and Windows machines, type the following at a command line prompt.

## appletviewer FirstApplet.java

Notice that we are passing FirstApplet.java—not FirstApplet or FirstApplet.class—to the applet-viewer. This procedure is different from running regular Java programs. In fact appletviewer just looks in the text file passed to it for an applet element. An applet element begins with <applet> and ends with </applet>. Any text file containing the applet element shown in the opening comment for FirstApplet.java would work just as well.

To view the applet in a Web browser, create a file—for example, FirstApplet.html. Put the applet tag in the html file. Put the html file in the same directory as your applet and then open the html file with a Web browser.

The applet looks like the following when run with an appletviewer.



Modify this applet to draw a simple picture. Look up the documentation for Graphics on the Web at

http://java.sun.com/j2se/1.5.0/docs/api/java/awt/Graphics.html

and use at least one method/operation of Graphics not used in FirstApplet.

# STATEMENTS AND CONTROL FLOW

The program examples presented until now have executed from top to bottom without making any decisions. In this chapter, we have programs select among two or more alternatives. We also demonstrate how to write programs that repeatedly execute the same sequence of instructions. Both instructions to computers and instructions in everyday life are filled with conditional and iterative statements. A conditional instruction for your microwave oven might say "If you wish to defrost press the defrost button; otherwise, press the full power button." An iterative instruction for baking a loaf of bread might say "Let the dough rise in a warm place *until* it has doubled in size." Conditional and iterative statements are controlled by boolean expressions. A boolean expression is either true or false. "If it is raining, wear your raincoat" is an instruction given by many parents and is followed if it is true that it is raining. In Java, expressions that evaluate as true or false are of type boolean. To direct the flow of control properly you need to learn how to write boolean expressions.

# 3.1 EXPRESSION, BLOCK, AND EMPTY STATEMENTS

Java has many kinds of *statements*. Most of the statements that we have shown have specified the evaluation of an expression. We'll soon look at statements that select between two alternatives and statements that repeat many times. Before doing that, we need to look more closely at the statements that we have been using. The normal flow of instructions in Java is to execute the statements of the program in sequential order from top to bottom.

All the statements used so far have been either *variable declaration statements* or *expression statements*. Variable declaration statements begin with a type, such as int or String, and end with a semicolon, as in

```
int width, height, area;
String hello = "Hello, world!";
double size = 1.5, x;
```

The first declares three variables of type int. The second declares one variable of type String and initializes it. The third declares and initializes the variable size, but not the variable x. Declaration statements start with a type and are followed by one or more variables, separated by commas. The variables may be initialized by using the equals sign followed typically by a literal. In Java all variables need to be declared.

Expression statements are formed by adding a semicolon to the end of an expression. Expressions are basic to performing computations. Not all expressions are valid in expression statements. The two types of expressions used so far that are valid in expression statements are assignment expressions and method call expressions.

sions. An *assignment expression* is any expression involving the assignment operator. A *method call expression* does not involve an assignment operator. The following are examples of expression statements.

```
area = width * height; //simple assignment statement
System.out.println(...); //method call expression
```

A statement used for grouping a number of statements is a block. A *block* is a sequence of one or more statements enclosed by braces. A block is itself a statement. A simple example is

```
{
    x = 1;
    y = 2 * x + 1;
    System.out.println(y);
    System.out.println(x);
}
```

Statements inside a block can also be blocks. The inside block is called an *inner block*, which is *nested* in the *outer block*. An example is

```
{ //outer block
  x = 1;
  { //inner block
    y = 2;
    System.out.println(y);
  } //end of inner block
  System.out.println(x);
}
```

This example merely demonstrates the syntax of a block; we wouldn't normally put a block inside another block for no reason. Most nested blocks involve declaration statements that create local variables. A simple example of a block with declarations is

```
{
  int i = 5 + j;
  //i is created in this block, j is from elsewhere
  ...
} //end of block i disappears
```

In this example the <code>int</code> variable <code>i</code> is created when this block is executed. When this block is started, <code>i</code> is placed in memory with its initial value calculated as 5 plus the value of <code>j</code>. When the block is exited, the variable disappears.

Blocks are not terminated by semicolons. Rather they are terminated by a closing brace, also called the right brace. Recall that the semicolon, when used, is part of the statement, not something added to the statement. For example, the semicolon turns an expression into a statement. Understanding this will make it much easier for you to create syntactically correct programs with the new statement types that we introduce in this chapter.

# 3.1.1 EMPTY STATEMENT

The simplest statement is the *empty statement*, or *null statement*. It is just a semicolon all by itself and results in no action. A semicolon placed after a block is an empty statement and is irrelevant to the program's actions. The following code fragment produces exactly the same result as the nested block example in the preceding section. The string of semicolons simply create seven empty statements following the inner block.

```
{
    x = 1;
    {
        y = 2;
        System.out.println(y);
    };;;;;;
    System.out.println(x);
}
```

# 3.2 BOOLEAN EXPRESSIONS

A *boolean expression* is any expression that evaluates to either true or false. Java includes a primitive type boolean. The two simplest boolean expressions are the boolean literals true and false. In addition to these two literals, boolean values result from expressions involving either relational operators for comparing numbers or logical operators that act on boolean values.

# 3.2.1 RELATIONAL AND EQUALITY OPERATORS

All conditional statements require some boolean expression to decide which execution path to follow. Java uses four *relational operators*: less than, <; greater than, >; less than or equal, <=; and greater than or equal, >=. Java also contains two equality operators: equal, ==; and not equal, !=. They can be used between any two numeric values. The equality operators may also be used when comparing nonnumeric types. They are listed in the following table.

<b>O</b> PERATOR	NAME	EXAMPLE	
<	Less than	10 < 20 is true.	
>	Greater than	10 > 20 is false.	
==	Equal	10 == 20 is false.	
<=	Less than or equal	10 <= 10 is true.	
>=	Greater than or equal	11 >= 10 is true.	
!=	Not equal	10 != 20 is true.	

The relational operators can be used in assignment to boolean variables, as in

```
int i = 3, j = 4;
boolean flag;
flag = 5 < 6; //flag is now true
flag = (i == j); //flag is now false
flag = (j + 2) <= 6; //flag is now true
```

## 3.2.2 LOGICAL OPERATORS

Once you have a boolean value, either stored in a variable representing a primitive boolean value (for example, boolean done = false;) or as the result of an expression involving a relational operator (for example, (x < y)), you can combine these boolean values by using the logical operators. Java provides three logical operators, "and," "or," and "not." The meaning of these operators is given in the following table.

OPERATOR	NAME	DESCRIPTION	EXAMPLE—ASSUME X IS 10 AND y IS 20
&&	and	The expression x && y is true if both x AND y are true and false otherwise.	(x < 20) & (y < 30) is true.
П	or	The expression x     y is true if either x OR y (or both) is true and false otherwise.	$(x < 20) \mid \mid (y > 30)$ is true.
!	not	The expression !x is true if x is false and false otherwise.	! (x < 20) is false.

For example, if you wanted to determine whether a person in a database was an adult but not a senior citizen, you could check if their age was greater than or equal to 18 *and* their age was less than 65. The following Java code fragment will print out "full fare adult is true" if this condition is met; otherwise, it prints "full fare adult is false".

```
boolean b = (ageOfPerson >= 18 && ageOfPerson < 65);
System.out.println("full fare adult is " + b);</pre>
```

For an example of the use of "or," consider the opposite situation as above where you wanted to find out if a reduced fair was appropriate. You might write

```
b = (ageOfPerson < 18 || ageOfPerson >= 65);
System.out.println("reduced fare is " + b);
```

The logical operators && and || use *short-circuit evaluation*. In the preceding example of a logical "and" expression, if the ageOfPerson were 10, then the test for ageOfPerson < 65 would be omitted. Used partly for efficiency reasons, this approach is helpful when the second part of such an expression could lead to an undesirable result, such as program termination.

As with other operators, the relational, equality, and logical operators have rules of precedence and associativity that determine precisely how expressions involving these operators are evaluated, as shown in the following table.

OPERATOR PRECEDENCE AND ASSOCIATIVITY			
Operators	ASSOCIATIVITY		
() ++ (postfix) (postfix)	Left to right		
+ (unary) - (unary) ++ (prefix) (prefix) !	Right to left		
* / %	Left to right		
+ -	Left to right		
< <= > >=	Left to right		
== !=	Left to right		
&&	Left to right		
H	Left to right		
= += -= *= /= etc.	Right to left		

Note that with the exception of the boolean unary operator negation, the relational, boolean, and equality operators have lower precedence than the arithmetic operators. Only the assignment operators have lower precedence.

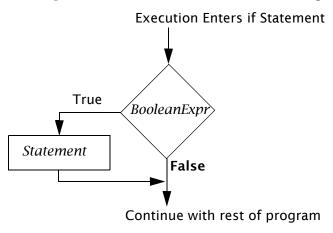
# 3.3 THE if STATEMENT

Computers make decisions by evaluating expressions and executing different statements based on the value of the expression. The simplest type of decision is one that can have only two possible outcomes, such as go left versus go right or continue versus stop. In Java, we use *boolean expressions* to control decisions that have two possible outcomes.

The if statement is a conditional statement. An if statement has the general form

```
if ( BooleanExpr )
Statement
```

If the expression *BooleanExpr* is true, then the statement, *Statement*, is executed; otherwise, *Statement* is skipped. *Statement* is called the *then statement*. In some programming languages, but not Java, then is used to signal the then statement. After the if statement has been executed, control passes to the next statement. The flow of execution can skip around *Statement* as shown in the following diagram.



Note the absence of semicolons in the general form of the if statement. Recall that the semicolon, when required, is part of the *Statement* and is not used to separate statements, as in

```
if (temperature < 32)
   System.out.println("Warning: Below freezing!");
System.out.println("It's " + temperature + " degrees");</pre>
```

The message Warning: Below freezing! is printed only when the temperature is less than 32. The second print statement is always executed. This example has a semicolon at the end of the if statement because the statement inside the if statement is an expression statement that ends with a semicolon.

When the Statement inside an if statement is a block, you get if statements that look like

```
if (temperature < 32)
{
   System.out.println("Warning Warning Warning!");
   System.out.println("Warning: Below freezing!");
   System.out.println("Warning Warning Warning!");
}</pre>
```

Here you can see the importance of the block as a means of grouping statements. In this example, what otherwise would be three separate statements are grouped, and all are executed when the boolean expression is true. The formatting shown of the <code>if</code> statement with a block as the *Statement* aligns vertically with the

braces of the block statement. An alternative formatting—and the one that we use—places the opening brace on the same line as the keyword if and then aligns the closing brace with the keyword as shown here.

```
if (temperature < 32) {
   System.out.println("Warning Warning Warning!");
   System.out.println("Warning: Below freezing!");
   System.out.println("Warning Warning Warning!");
}</pre>
```

At the end of this chapter, we discuss further which style to choose.

## 3.3.1 PROBLEM SOLVING WITH THE if STATEMENT

A different number is initially placed in each of three boxes, labeled a, b, and c, respectively. The problem is to rearrange or sort the numbers so that the final number in box a is less than that in box b and that the number in box b is less than that in box c. Initial and final states for a particular set of numbers are as follows.

Before		After		
a	17	a	6	
b	6	b	11	
c	11	c	17	

Pseudocode for performing this sorting task involves the following steps.

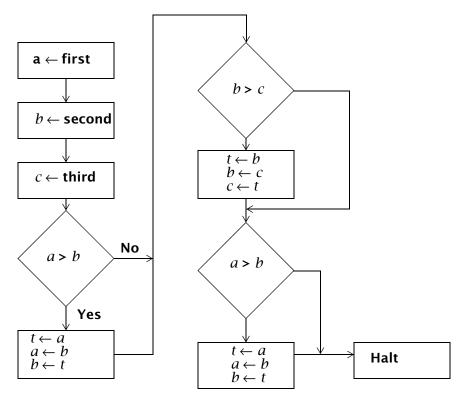
#### PSEUDOCODE FOR THREE-NUMBER SORT

- 1. Place the first number in box a.
- 2. Place the second number in box b.
- 3. Place the third number in box c.
- 4. If the number in a is not larger than the number in b, go to step 6.
- 5. Interchange the number in a with that in b.
- 6. If the number in b is larger than the number in c, then go to step 7; otherwise, halt.
- 7. Interchange the numbers in b and c.
- 8. If the number in a is larger than that in b, then go to step 9; otherwise, halt.
- 9. Interchange the numbers in a and b.
- 10. Halt.

Let's execute this pseudocode with the three specific numbers previously given: 17, 6, and 11, in that order. We always start with the first instruction. The contents of the three boxes at various stages of execution are shown in the following table.

Box	STEP 1	STEP 2	STEP 3	STEP 5	STEP 7
a	17	17	17	6	6
b		6	6	17	11
С			11	11	17

To execute step 1, we place the first number, 17, in box a; similarly, at the end of instruction 3, the 6 has been inserted into box b, and box c contains the 11. As 17 is larger than 6, the condition tested in step 4 is false, and we proceed to instruction 5; this step switches the values into boxes a and b so that box a now contains the 6 and box b has the 17. Step 6 has now been reached, and we compare the number in box b (17) to that in box b (11); 17 is greater than 11, so a transfer is made to step 7. The numbers in boxes b and b are then interchanged so that box b has the 11 and box b has the 17. The test in step 8 fails (6 is not larger than 11) and the computation then halts. The three numbers have been sorted in ascending sequence (i.e., b < 11 < 17). You should convince yourself by bench testing this algorithm with other values of a, b, and b that the computation described by the pseudocode will work correctly for any three numbers. A flowchart of the sorting algorithm is shown in the following diagram.



Note that we decomposed the operation of interchanging two numbers into three more primitive instructions. Box t is used as temporary storage to hold intermediate results. In order to interchange or switch the two numbers a and b, we first temporarily store one of the numbers, say, a, in t ( $t \leftarrow a$ ); next the other number is stored in a ( $a \leftarrow b$ ), and, last, the first number is placed in b ( $b \leftarrow t$ ). Note that the instruction sequence " $a \leftarrow b$ ;  $b \leftarrow a$ " will not interchange a and b because the first instruction effectively destroys the old value in a. In computer terms, the labeled boxes are analogous to memory or storage areas that can contain values.

Next we code in Java the pseudocode version of our sorting program.

```
// SortInput.java - sort three numbers
import java.util.*;

class SortInput {
  public static void main (String[] args) {
    int a, b, c, t;
    Scanner scan = new Scanner(System.in);
    System.out.println("type three integers:");
    a = scan.nextInt();
    b = scan.nextInt();
    c = scan.nextInt();
```

```
if (a > b) {
      t = a;
      a = b;
      b = t;
    if (b > c) {
      t = b;
      b = c;
      c = t:
    if (a > b) {
      t = a;
      a = b;
      b = t:
    System.out.print("The sorted order is : ");
    System.out.println(a + ", " + b + ", " + c);
  }
}
```

## Dissection of the SortInput Program

```
int a, b, c, t;
```

This program declares four integer variables. The variables a, b, and c are inputs to be sorted, and t is to be used for temporary purposes, as described in the pseudocode.

```
System.out.println("type three integers:");
```

This line is used to *prompt* the user to type the three numbers to be sorted. Whenever a program is expecting the user to do something, it should print out a prompt telling the user what to do.

```
a = scan.nextInt();
b = scan.nextInt();
c = scan.nextInt();
```

The method call expression scan.nextInt() is used to obtain the input from the keyboard. Three separate integers need to be typed. The values read will be stored in the three variables.

```
if (a > b) {
    t = a;
    a = b;
    b = t;
}
if (b > c) {
    t = b;
    b = c;
    c = t;
}
if (a > b) {
    t = a;
    a = b;
    b = t;
}
```

The if statements and resulting assignments are Java notation for the same actions described in the sort flow chart. To comprehend these actions you need to understand why the interchange or swapping of values between two variables, such as a and b, requires the use of the temporary t. Also note how the three assignments are grouped as a block, allowing each if expression to control a group of actions.

```
System.out.print("The sorted order is : ");
System.out.println(a + ", " + b + ", " + c);
}

If the input values were 10, 5, and 15, the output would be

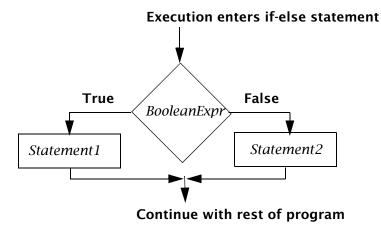
The sorted order is : 5, 10, 15
```

# 3.4 THE if-else STATEMENT

Closely related to the if statement is the if-else *statement*. An if-else statement has the following general form:

```
if ( BooleanExpr ) Statement1 else Statement2
```

If the expression *BooleanExpr* is true, then *Statement1* is executed and *Statement2* is skipped; if *BooleanExpr* is false, then *Statement1* is skipped and *Statement2* is executed. *Statement2* is called the else statement. After the if-else statement has been executed, control passes to the next statement. The flow of execution branches and then rejoins, as shown in the following diagram.



Consider the following code:

```
if (x < y)
  min = x;
else
  min = y;
System.out.println("min = " + min);</pre>
```

If x < y is true, then min will be assigned the value of x; if it is false, then min will be assigned the value of y. After the if-else statement is executed, min is printed.

As with the if statement, either branch of an if-else statement can contain a block, as shown in the following example.

```
if (temperature < 32) {
   System.out.println("Warning Warning Warning!");
   System.out.println(32 - temperature + " degrees below freezing!");
   System.out.println("Warning Warning Warning!");
}
else {
   System.out.println("It's " + temperature + "degrees fahrenheit.");
}</pre>
```

#### **COMMON PROGRAMMING ERROR**

A semicolon is used to change an expression to an expression statement. All statements do not end in semicolons, and extraneous semicolons can cause subtle errors. Look carefully at the following code fragment. What is printed when it executes if x is 3 and y is 4?

```
if (x < y);
   System.out.println("The smaller is " + x);
if (y < x);
   System.out.println("The smaller is " + y);
The answer is
   The smaller is 3
   The smaller is 4</pre>
```

Note the extra semicolon after the close parenthesis in each <code>if</code> statement. The indentation is misleading: All four lines should be indented the same to reflect what is actually going to happen. The true branch of each <code>if</code> statement is the empty statement ";". The example code is syntactically correct but semantically incorrect.

### COMON PROGRAMMING ERROR

Another common error is to be misled by indentation and to try to include two statements in one branch without using a block. Consider this example.

```
if (temperature < 32)
    System.out.print("It is now");
    System.out.print(32 - temperature);
    System.out.println(" below freezing.");
System.out.println("It's " + temperature + "degrees");</pre>
```

A user might mistakenly think that, if temperature is 32 or above, the code will execute only the last print statement displaying temperature. That is almost certainly what was intended. Unfortunately, if temperature is 32 or above, only the first print() would be skipped.

If temperature is 45 the following confusing message would be printed:

```
-13 below freezing.
It's 45 degrees
```

To get the behavior suggested by the indentation shown, a block must be used, as in

if (temperature < 32) {
 System.out.print("It is now");
 System.out.print(32 - temperature);
 System.out.println(" below freezing.");
}
System.out.println("It's " + temperature + "degrees");</pre>

## 3.4.1 NESTED if-else STATEMENTS

The if statement is a full-fledged statement and can be used anywhere a statement is expected, so you could put another if statement in either branch of an if statement. For example, you could rewrite the earlier air fare checking statement as

```
if (ageOfPerson >= 18)
  if (ageOfPerson < 65)
    System.out.println("full fare adult");

If the expression (ageOfPerson >= 18) is true, then the statement
  if (ageOfPerson < 65)
    System.out.println("full fare adult");</pre>
```

is evaluated. The true branch for the ageOfPerson >= 18 if statement is itself an if statement. In general, if the true branch of an if statement contains only another if statement, writing it as a single if statement is more efficient, combining the expressions with the operator &&, as we did in Section 3.2.2, *Logical operators*, on page 49. Using && in most cases is also clearer.

These nested if statements can't always be collapsed. Consider the following variation on our earlier example involving temperature.

```
if (temperature < 32) {
   System.out.println("Warning Warning Warning!");
   if (temperature < 0)
      System.out.println((-temperature) + " degrees below Zero!"
   else
      System.out.println(32 - temperature + "(F) below Freezing!");
   System.out.println("Warning Warning Warning!");
}
else {
   System.out.println("It is " + temperature + " degrees fahrenheit.");
}</pre>
```

The then statement for the outer if-else statement is a block containing another if-else statement.

## **COMMON PROGRAMMING ERROR**

In algebra class you came across expressions such as  $18 \le age < 65$ . This expression tempts many new programmers to write

```
if (18 <= age < 65) ...
```

In evaluating the expression, Java first evaluates (18 ,<= age)—let's call it part1—which is either true or false. It then tries to evaluate (part1 < 65), which is an error because relational operators are used to compare two numbers, not a boolean and a number. The only good thing about this type of mistake is that the compiler will catch it. Our example earlier for printing full fare adult showed the proper way to handle this situation.

# 3.4.2 if-else-if-else-if...

In the preceding example, the nesting was all done in the then statement part of the if-else statement. Now we nest in the else statement part of the if-else statement:

```
if (ageOfPerson < 18)
   System.out.println("child fare");
else {
   if (ageOfPerson < 65)
      System.out.println("adult fare");
   else
      System.out.println("senior fare");
}</pre>
```

The braces are not needed; we added them only for clarity. This form is so common that experienced programmers usually drop the braces. In addition, the else and the following if are usually placed on the same line, as in

```
if (ageOfPerson < 18)
   System.out.println("child fare");
else if (ageOfPerson < 65)
   System.out.println("adult fare");
else
   System.out.println("senior fare");</pre>
```

Note that the second <code>if</code> statement is a *single* statement that constitutes the else branch of the first statement. The two forms presented are equivalent. You should be sure that you understand why they are. If you need to, look back at the general form of the <code>if</code> statement and recall that an entire <code>if-else</code> statement is a statement itself. This fact is illustrated in the following figure, wherein each statement is surrounded by a box. As you can see, there are five different statements.

```
if (ageOfPerson < 18)

System.out.println("child fare");

else if (ageOfPerson < 65)

System.out.println("adult fare");

else

System.out.println("senior fare");</pre>
```

Sometimes this chain of if-else-if-else... can get rather long, tedious, and inefficient. For this reason, a special construct can be used to deal with this situation when the condition being tested is of the right form. If you want to do different things based on distinct values of a *single* integer expression, then you can use the switch statement, which we discuss in Section 3.9, *The switch statement*, on page 69.

## 3.4.3 THE DANGLING else PROBLEM

When you use sequences of nested if-else statements, a potential problem can arise as to what if an else goes with, as for example in

```
if (Expression1)
  if (Expression2)
    Statement1
else
    Statement2
```

The indenting suggests that *Statement2* is executed whenever *Expression1* is false; however, that isn't the case. Rather, *Statement2* is executed only when *Expression1* is true **and** *Expression2* is false. The proper indenting is

```
if (Expression1)
  if (Expression2)
    Statement1
  else
    Statement2
```

The rule used in Java is that an else is always matched with the nearest preceding if that doesn't have an else. To cause *Statement2* to be executed whenever *Expression1* is false, as suggested by the first indenting example, you can use braces to group the statements as shown.

```
if (Expression1) {
   if (Expression2)
      Statement1
}
else
   Statement2
```

The braces are like parentheses in arithmetic expressions that are used to override the normal precedence rules. The nested, or inner, if statement is now inside a block that prevents it from being matched with the else.

# 3.5 THE while STATEMENT

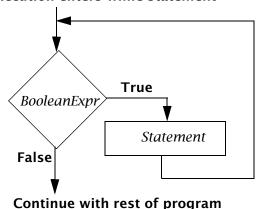
We have added the ability to choose among alternatives, but our programs still execute each instruction at most once and progress from the top to the bottom. The *while statement* allows us to write programs that run repeatedly.

The general form of a while statement in Java is

```
while ( BooleanExpr )
  Statement
```

*Statement* is executed repeatedly as long as the expression *BooleanExpr* is true, as shown in the following flowchart.

### **Execution enters while statement**



This flowchart is the same as the one for the <code>if</code> statement, with the addition of an arrow returning to the test box.

Note that *Statement* may not be executed. That will occur when *BooleanExpr* evaluates to false the first time. Note also, that like the description of the if-else statement, there are no semicolons in the general form of the while statement. The semicolon, if any, would be part of the statement that follows the parenthesized boolean expression.

A simple example for use on Valentine's Day is

```
// Valentine.java - a simple while loop
class Valentine {
  public static void main(String[] args) {
    int howMuch = 0;
    while (howMuch++ < 5)
       System.out.println("I love you.");
  }
}</pre>
```

The output is

```
I love you.
```

When the body of the loop is a block you get

```
I love you. @---->- my rose.
```

Most while statements are preceded by some initialization statements. Our example initialized the loop counting variable howMuch.

## 3.5.1 PROBLEM SOLVING WITH LOOPS

Suppose that you wanted to have a program that could read in an arbitrary number of nonzero values and compute the average. If you were to use pseudocode, the program might look like the following.

PSEUDOCODE FOR AVERAGE, USING goto

1. Get a number.

which results in the output

- 2. If the number is 0 go to step 6.
- 3. Add the number to the running total.
- 4. Increment the count of numbers read in.
- 5. Go back to step 1.
- 6. Divide the running total by the count of numbers in order to get the average.
- 7. Print the average.

In this pseudocode, step 5 goes back to the beginning of the instruction sequence, forming a loop. In the 1950s and 1960s many programming languages used a *goto statement* to implement step 5 for coding such a loop. These goto statements resulted in programs that were hard to follow because they could jump all over

the place. Their use was sometimes called *spaghetti code*. In Java there is no goto statement. Java includes goto as a keyword that has no use so that the compiler can issue an error message if it is used inadvertently by a programmer familiar with C or C++. Today *structured control constructs* can do all the good things but none of the bad things that you could do with goto statements.

A *loop* is a sequence of statements that are to be repeated, possibly many times. The preceding pseudocode has a *loop* that begins at step 1 and ends at step 5. Modern programs require that you use a construct to indicate explicitly the beginning and the end of the loop. The structured equivalent, still in pseudocode, might look like the following.

### **PSEUDOCODE WITH LOOP**

```
Pseudocode for average, without using goto
get a number
while the number is not 0 do the following:
   add the number to the running total
   increment the count of numbers read in
   get a number
(when the loop exits)
divide the running total by the count of numbers to get the average
print the average
```

The loop initialization in this case is reading in the first number. Somewhere within a loop, typically at the end, is something that prepares the next iteration of the loop—getting a new number in our example. So, although not part of the required syntax, while statements generally look like the following

```
Statement<sub>init</sub> // initialization for the loop
while ( BooleanExpr ) {
   Statement1
   Statement2
   ...
   Statement<sub>next</sub> // prepare for next iteration
}
```

The while statement is most often used when the number of iterations isn't known in advance. This situation might occur if the program was supposed to read in values, processing them in some way until a special value called a *sentinel* was read in. Such is the case for our "compute the average" program: It reads in numbers until the sentinel value 0 is read in.

We can now directly translate into Java the pseudocode for computing an average.

```
// Average.java - compute average of input values
import java.util.*;
public class Average {
 public static void main(String[] args) {
    double number;
    int count = 0;
    double runningTotal = 0;
    Scanner scan = new Scanner(System.in);
    // initialization before first loop iteration
    System.out.println("Type some numbers, the last one being 0");
    number = scan.nextDouble();
   while (number != 0) {
      runningTotal = runningTotal + number;
      count = count + 1;
      // prepare for next iteration
      number = scan.nextDouble();
    }
```

```
System.out.print("The average of the ");
System.out.print(count);
System.out.print(" numbers is ");
System.out.println(runningTotal / count);
}
}
```

### Dissection of the Average Program

```
double number;
int count = 0;
double runningTotal = 0;
```

The variable <code>number</code> is used to hold the floating point value typed in by the user. No initial value is given in the declaration because it gets its initial value from user input. The variable <code>count</code> is used to count the number of nonzero values read in. Counting is done efficiently and accurately with whole numbers, so the variable <code>count</code> is an <code>int</code> initialized to zero. The variable <code>runningTotal</code> is used to accumulate the sum of the numbers read in so far and is initialized to zero. Similar to assignment statements, these are declaration statements with initial values. Later we show when declaration statements can be used but assignment statements can't.

```
System.out.println("Type some numbers, the last one being 0");
number = scan.nextDouble();
```

A message should always be printed to prompt the user when the user is expected to enter data. We could have initialized number when it was declared, but it is central to the loop so we initialized it just before entering the loop. These two statements correspond to the first line of our pseudocode. All the preceding code is supporting syntax required by Java.

```
while (number != 0) {
```

The loop will continue *while* the value stored in the variable **number** is not 0. The value 0 is used to detect the end of the loop. It acts as a *sentinel* to keep the loop from running forever. A *sentinel* is an important technique for terminating loops correctly.

```
runningTotal = runningTotal + number;
count = count + 1;
number = scan.nextDouble();
}
```

The first statement in the loop body would look strange in a mathematics class unless number was 0. Recall that the symbol = is not an equals sign; it is the assignment operator. It means: Evaluate the expression on the right and then assign that value to the variable on the left. The result in this case is to add the value of number to the value of runningTotal and store the result in runningTotal. This type of expression is common in computer programs: It computes a new value for a variable, using an expression that includes the old value of the variable. Similarly, we increment the value of count. The last statement in the body of the loop gets ready for the next iteration by reading in a new value from the user and storing it in number. This action overwrites the old value, which is no longer needed. At this point the computer will go back and evaluate the boolean expression to determine whether the loop should execute again (i.e., number isn't 0) or continue with the rest of the program.

```
System.out.println(runningTotal / count);
```

When the user finally types a 0, the program prints the answer and exits. We have already used the print() and println() methods with a string and with a number. Here we show that the number can be an expression. Recall that the symbol / is the symbol for division. If the first number typed is 0, this program will terminate by printing

### The average of the 0 numbers is NaN

The symbol NaN stands for *not a number* and results from dividing zero by zero. A better solution might be to test, using an <code>if-else</code> statement, for this special case and print a more appropriate message. We leave that for you to do as an exercise.

### COMMON PROGRAMMING ERROR

Every programmer has probably forgotten the "prepare for next iteration" line at least once, causing a program to loop forever. When coding a while statement, be careful to verify that some variable changes each time around the loop. Also check to be sure that the loop condition will eventually be reached. How many times will the following loop execute?

```
int count = 13;
System.out.println("The multiples of 13 between 1 and 100 are:");
while (count != 100){
   System.out.println(count);
   count = count + 13;
}
```

The loop will run forever because count will never equal 100. The problem is obvious in this small example, and such problems do occur—although they're not always so apparent. A test for less than or greater than is always preferable to a test of strict equality or inequality.

# 3.6 THE DO STATEMENT

A variant of the while statement is the do statement. The general form of a do statement in Java is

```
do
Statement
while ( BooleanExpr)
```

Here the test for exiting is syntactically and semantically made at the end of executing each iteration of *Statement*. This means that *Statement* is executed at least once. Execution stops when the expression *BooleanExpr* is false,

An example using this construct is a loop for computing the greatest common divisor for two integers using Euclid's algorithm.

```
import java.util.*;
class GreatestCommonDivisor{
 public static void main(String[] args)
     Scanner scan = new Scanner(System.in);
     System.out.println("Enter 2 integers.");
     int num1 = scan.nextInt();
     int num2 = scan.nextInt();
     int m = num1, n = num2, remainder;
     do {
        remainder = m % n;
        m = n;
        n = remainder;
     } while( n != 0);
     System.out.println("GCD (" + num1 + ", " + num2 +
                        ") = " + m );
 }
}
```

You should carry out this computation by hand to make sure you understand the do-statement and its use in this oldest of algorithms.

## 3.7 THE FOR STATEMENT

You can use the while construct to create any loop you will ever need. However, Java, like most modern programming languages, provides two alternative ways to write loops that are sometimes more convenient. The do statement discussed in the previous section is one alternative. The other alternative is the for *statement*. The for *statement* is a looping statement that captures the initialization, termination test, and iteration preparation all in one place at the top of the loop. The general form of the for statement is

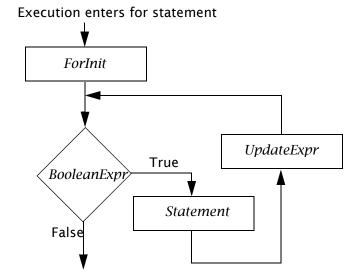
```
for ( ForInit; BooleanExpr; UpdateExpr)
Statement
```

As part of the syntax of the for statement, the semicolons are used to separate the three expressions. Note the absence of a semicolon between *UpdateExpr* and the closing parenthesis.

The following steps occur in the execution of a for statement.

- 1. *ForInit* is evaluated *once*—before the body of the loop.
- 2. BooleanExpr is tested before each iteration and, if true, the loop continues (as in the while statement).
- 3. The loop body *Statement* is executed.
- 4. *UpdateExpr* is evaluated at the *end of each iteration*.
- 5. Go to step 2.

The following diagram also shows the flow of execution.



Continue with rest of the program

Compare this general form and the flow with that of the while statement. In the for statement, the initialization expression represented by *ForInit* and the iteration expression represented by *UpdateExpr* frequently involve the use of an assignment operator, but one is not required.

The for statement applies most naturally to situations involving going around a loop a specific number of times. For example, if you wanted to print out the square roots of the numbers 1 through 10 you could do so as follows.

```
// SquareRoots.java - print square roots of 1 - 10
import static java.lang.Math.*;
public class SquareRoots {
  public static void main(String[] args) {
    int i;
    double squareRoot;
    for (i = 1; i <= 10; i++) {
        squareRoot = sqrt(i);
        System.out.println("the square root of " + i + " is " + squareRoot);
    }
    System.out.println("That's All!");
}</pre>
```

## Dissection of the SquareRoots Program

```
import static java.lang.Math.*;
```

A import static can be used to import the static fields and methods from a class for use without qualification in the local context. Here it is used to allow us to call <code>java.lang.Math.sqrt()</code> by simply writing <code>sqrt()</code>.

```
for (i = 1; i <= 10; i++) {</pre>
```

The expression i=1 is evaluated only once—before the loop body is entered. The expression i <= 10 is evaluated before *each* execution of the loop body. The expression i++ is evaluated at the *end of each* loop body evaluation. Recall that i++ is shorthand for i=i+1.

```
squareRoot = sqrt(i);
System.out.println("the square root of " + i + " is " + squareRoot);
}
```

The body of the loop is one statement—in this case a block. The braces are part of the block syntax, not part of the syntax of a for statement.

```
System.out.println("That's All!");
```

When the loop exits, program execution continues with this statement.

We can redo the square root printing loop by using a while statement as follows.

In both versions of this program, the same sequence of steps occurs.

- 1. The variable i is initialized to 1.
- 2. The boolean expression i <= 10 is tested, and if true, the loop body is executed. If the expression is false, the loop statement is completed and execution continues with the next statement in the program, the final print statement.
- 3. After executing the print statement within the loop, the statement incrementing the variable i is executed, in preparation for the next loop iteration.
- 4. Execution continues with step 2.

### 3.7.1 LOCAL VARIABLES IN THE for STATEMENT

The *ForInit* part of the **for** statement can be a local declaration. For example, let's rewrite the above for-loop.

```
for (int i = 1; i <= 10; i++) {
   squareRoot = sqrt(i);
   System.out.println("the square root of " + i + " is " + squareRoot);
}</pre>
```

In this case, the <code>int</code> variable <code>i</code> declared in the <code>for</code> statement is limited to the <code>for</code> statement, including the body of the loop. The variable's existence is tied to the <code>for</code> statement code; outside the <code>for</code> statement it disappears. If you want the variable <code>i</code> to exist independently of the <code>for</code> statement you must declare it before the <code>for</code> statement. It then is available throughout the block containing the <code>for</code> statement. The variable is said to have <code>local scope</code>. We discuss scope further in Section 4.4, <code>Scope of Variables</code>, on page 86.

# 3.8 THE break AND continue STATEMENTS

Two special statements,

```
break; and continue;
```

interrupt the normal flow of control. The break statement causes an exit from the innermost enclosing loop. The break statement also causes a switch statement to terminate. (We discuss the switch statement in Section 3.9, *The switch statement*, on page 69.) In the following example, a test for a negative argument is made. If the test is true, then a break statement is used to pass control to the statement immediately following the loop.

This use of the break statement is typical. What would otherwise be an infinite loop is made to terminate upon a given condition tested by the if expression.

The continue statement causes the current iteration of a loop to stop and causes the next iteration of the loop to begin immediately. The following code adds continue to the preceding program fragment in order to skip processing of negative values.

```
// BreakContinue.java - example of break and continue
import java.util.*;
class BreakContinue {
  public static void main(String[] args) {
    int n;
    Scanner scan = new Scanner(System.in);
    while (true) {
                        //seemingly an infinite loop
      System.out.print("Enter a positive integer ");
      System.out.print("or 0 to exit:");
      n = scan.nextInt();
      if (n == 0)
                        // exit loop if n is 0
        break;
      if (n < 0)
        continue;
                        //wrong value
      System.out.print("squareroot of " + n);
      System.out.println(" = " + Math.sqrt(n));
      //continue lands here at end of current iteration
    //break lands here
    System.out.println("a zero was entered");
}
```

The output of this program, assuming that the user enters the values 4, 21, 9, and 0, is

```
os-prompt>java BreakContinue
Enter a positive integer or 0 to exit:4
squareroot of 4 = 2.0
Enter a positive integer or 0 to exit:-1
Enter a positive integer or 0 to exit:9
squareroot of 9 = 3.0
Enter a positive integer or 0 to exit:0
a zero was entered
os-prompt>
```

The continue statement may only occur inside for, while, and do loops. As the example shows, continue transfers control to the end of the current iteration, whereas break terminates the loop.

The break and continue statements can be viewed as a restricted form of a goto statement. The break is used to go to the statement following the loop and the continue is used to go to the end of the current iteration. For this reason, many programmers think that break and continue should be avoided. In fact, many uses of break and continue can be eliminated by using the other structured control constructs. For example, we can redo the BreakContinue example, but without break and continue, as follows.

```
// NoBreakContinue.java - avoiding break and continue
import java.util.*;
class NoBreakContinue {
  public static void main(String[] args) {
    int n;
    Scanner scan = new Scanner(System.in);
    System.out.print("Enter a positive integer ");
    System.out.print("or 0 to exit:");
    n = scan.nextInt();
    while (n != 0) {
      if (n > 0) {
        System.out.print("squareroot of " + n);
        System.out.println(" = " + Math.sqrt(n));
      System.out.print("Enter a positive integer ");
      System.out.print("or 0 to exit:");
      n = scan.nextInt();
    System.out.println("a zero was entered");
 }
}
```

The loop termination condition is now explicitly stated in the while statement and not buried somewhere inside the loop. However, we did have to repeat the prompt and the input statement—once before the loop and once inside the loop. We eliminated continue by changing the if statement to test for when the square root could be computed instead of testing for when it could not be computed.

# 3.9 THE switch STATEMENT

The switch statement can be used in place of a long chain of if-else-if-else-if-else statements when the condition being tested evaluates to an integer numeric type. Suppose that we have an integer variable dayOfWeek that is supposed to have a value of 1 through 7 to represent the current day of the week. We could then print out the day as

```
if (day0fWeek == 1)
  System.out.println("Sunday");
else if (dayOfWeek == 2)
  System.out.println("Monday");
else if (dayOfWeek == 3)
  System.out.println("Tuesday");
else if (dayOfWeek == 4)
  System.out.println("Wednesday");
else if (dav0fWeek == 5)
  System.out.println("Thursday");
else if (dayOfWeek == 6)
  System.out.println("Friday");
else if (dayOfWeek == 7)
  System.out.println("Saturday");
  System.out.println("Not a day number " + dayOfWeek);
An alternative is to use a switch statement as follows.
switch (dayOfWeek) {
  case 1:
    System.out.println("Sunday");
    break;
  case 2:
    System.out.println("Monday");
    break;
    System.out.println("Tuesday");
    break;
  case 4:
    System.out.println("Wednesday");
    break:
    System.out.println("Thursday");
    break:
  case 6:
    System.out.println("Friday");
    break;
    System.out.println("Saturday");
    break:
  default:
    System.out.println("Not a day number " + dayOfWeek);
}
```

Unlike the if-else and looping statements described earlier in this chapter, the braces are part of the syntax of the switch statement. The controlling expression in parentheses following the keyword switch must be of integral type. Here it is the int variable dayOfWeek. After the expression has been evaluated, control jumps to the appropriate case label. All the constant integral expressions following the case labels must be unique. Typically, the last statement before the next case or default label is a break statement. If there is no break statement, then execution "falls through" to the next statement in the succeeding case. Missing break statements are a frequent cause of error in switch statements. For example, if the break; was left out of just case 1 and if dayOfWeek was 1, the output would be

Sunday Monday Sunday

There may be at most one default label in a switch statement. Typically, it occurs last, although it can occur anywhere. The keywords case and default can't occur outside a switch.

Taking advantage of the behavior when break; is not used, you can combine several cases as shown in the following example.

```
switch (dayOfWeek) {
   case 1:
   case 7:
     System.out.println("Stay home today!");
     break;
   case 2:
   case 3:
   case 4:
   case 5:
   case 6:
     System.out.println("Go to work.");
     break;
   default:
     println("Not a day number " + dayOfWeek);
     break;
}
```

Note that the break statement—not anything related to the case labels—causes execution to continue after the switch statement. The switch statement is a structured goto statement. It allows you to go to one of several labeled statements. It is then combined with the break statement, which does another goto, in this case "go to the statement after the switch."

### THE EFFECT OF A switch STATEMENT

- 1. Evaluate the switch expression.
- 2. Go to the case label having a constant value that matches the value of the expression found in step 1; or, if a match is not found, go to the default label; or, if there is no default label, terminate switch.
- Continue executing statements in order until the end of switch is reached or a break is encountered.
- 4. Terminate switch when a break statement is encountered, or terminate switch by "falling off the end."

# 3.10 USING THE LAWS OF BOOLEAN ALGEBRA

Boolean expressions can frequently be simplified or more efficiently evaluated by converting them to logically equivalent expressions. Several rules of Boolean algebra are useful for rewriting boolean expressions.

- 1. Commutative law: a or b == b or a and a == b and a.
- 2. Distributive and law: a and (b or c) == (a and b) or (a and c).
- 3. Distributive or law: a or (b and c) == (a or b) and (a or c).
- 4. Double negation: !!a == a.
- 5. DeMorgan's laws: !(a or b) == (!a and !b) and !(a and b) == !a or !b.

In the expression  $x \mid | y$ , y will not be evaluated if x is true. The value of y in that case doesn't matter. For this reason, it may be more efficient to have the first argument of a boolean *or* expression be the one that most often evaluates to true. This is the basis for short-circuit evaluation of the logical *or* operator.

```
while (a < b || a == 200) {
    ...
}
while (a == 200 || a < b) {
    ...
}</pre>
```

For the two controlling expressions, which order is more likely to be efficient? Note that by the commutative law both while conditions are equivalent.

## 3.11 PROGRAMMING STYLE

We follow Java professional style throughout the programming examples. Statements should readily display the flow of control of the program and be easy to read and follow. Only one statement should appear on a line. Indentation should be used consistently to set off the flow of control. After a left brace, the next line should be indented, showing that it is part of that compound statement. There are two conventional brace styles in Java. The style we follow in this book is derived from the C and C++ professional programming community. In this style, an opening or left brace stays on the same line as the beginning of a selection or iteration statement. The closing or right brace lines up under the keyword that starts the overall statement. For example,

```
if (x > y) {
   System.out.println("x is larger " + x);
   max = x;
}
while (i < 10) {
   sum = sum + i;
   i++;
}</pre>
```

An alternative acceptable style derives from the Algol60 and Pascal communities. In this style each brace is kept on a separate line. In those languages the keywords begin and end were used to set off compound statements and they were placed on their own lines. For example,

```
if ( x > y)
{
   System.out.println("x is larger " + x);
   max = x;
}
```

However, be consistent and use the same style as others in your class, group, or company. A style should be universal within a given community. A single style simplifies exchanging, maintaining, and using each others' code.

## **SUMMARY**

• An expression followed by a semicolon is an expression statement. Expression statements are the most common form of statement in a program. The simplest statement is the empty statement, which syntactically is just a semicolon.

- A group of statements enclosed by braces is a block. A block can be used anywhere a statement can be used. Blocks are important when you need to control several actions with the same condition. This is often the case for selection or iteration statements such as the if-else statement or the for statement, respectively.
- All statements don't end with a semicolon. The only statements covered in this chapter that end with a semicolon are expression statements and declaration statements.
- The general form of an if statement is

```
if ( BooleanExpr )
Statement
```

The general form of an if-else statement is

```
if ( BooleanExpr )
  Statement1
else
  Statement2
```

- When nesting an if statement inside an if-else statement or vice-versa, the else will always be matched with the closest unmatched if. This precedence can be overridden with a block statement to enclose a nested if statement or if-else statement.
- The general form of the while statement is

```
while ( BooleanExpr )
Statement
```

The general form of the for statement is

```
for ( ForInit ; BooleanExpr ; UpdateExpr )
    Statement
```

- Java includes the usual logical operators and, or, and not.
- The break statement can be used to terminate abruptly the execution of either a switch statement or a looping statement. When a break is executed inside any of those statements, the enclosing switch or loop statement is immediately terminated and execution continues with the statement following the switch or loop.
- The continue statement is used only inside a looping statement. When a continue is executed, the remaining portion of the surrounding loop body is skipped and the next iteration of the loop is begun. In the case of the while statement, the loop termination expression is tested as the next operation to be performed after the continue. In the case of the for statement, the update expression is evaluated as the next operation, followed by the loop termination test.
- The switch statement is an alternative to a sequence of if-else-if-else... statements. The switch statement can be used only when the selection is based on an integer-valued expression.

# **REVIEW QUESTIONS**

- 1. True or false? An expression is a statement.
- 2. How do you turn an expression into a statement?
- 3. True or false? All statements end with a semicolon. If false, give an example to show why.

- 4. True or false? An if statement is always terminated with a semicolon. If false, give an example to show why.
- 5. What are the values of the following Java expressions?

```
true && false true || false
```

- 6. Write a Java expression that is true whenever the variable x is evenly divisible by both 3 and 5. Recall that (x % y) is zero if y evenly divides x.
- 7. What is printed by the following program fragment?

```
x = 10;
y = 20;
if ( x < y)
  System.out.println("then statement executed");
else
  System.out.println("else statement executed");
  System.out.println("when is this executed?");
```

8. What is printed by the following program, if 3 and 12 are entered as x and y? Now if you change the order and enter 12 and 3, what is printed?

```
//PrintMin.java - print the smaller of two numbers
import java.util.*;
class PrintMin {
  public static void main(String[] args) {
    Scanner scan = new Scanner(System.in);
    System.out.println("Type two integers.");
    int x = scan.nextInt();
    int y = scan.nextInt();
    if (x < y)
        System.out.println("The smaller is " + x);
    if (y < x)
        System.out.println("The smaller is " + y);
    if (x == y)
        System.out.println("They are equal.");
    }
}</pre>
```

9. For the declarations shown, fill in the value of the expression or enter \*illegal\*.

```
int a = 2, b = 5, c = 0, d = 3:
```

Expression	VALUE	
b % a		
a < d		
(c != b) && (a > 3)		
a / b > c		
a * b > 2		

10. What is printed by the following program fragment? How should it be indented to reflect what is really going on?

```
x = 10;
y = 20;
z = 5;
if ( x < y)
if ( x < z)
System.out.println("statement1");
else
System.out.println("statement2");
System.out.println("statement3");
```

11. How many times will the following loop print testing?

```
int i = 10;
while (i > 0) {
   System.out.println("testing");
   i = i - 1;
}
```

12. How many times will the following loop print testing?

```
int i = 1;
while (i != 10) {
    System.out.println("testing");
    i = i + 2;
}
```

13. What is printed by the following loop? See Question 6, on page 74.

```
int i = 1;
while (i <= 100) {
  if (i % 13 == 0)
    System.out.println(i);
  i = i + 1;
}</pre>
```

- 14. Rewrite the loop in the previous question using a for statement.
- 15. Rewrite the following loop, using a while statement.

```
for (i = 0; i < 100; i++) {
    sum = sum + i;
}</pre>
```

- 16. True or false? Anything that you can do with a while statement can also be done with a for statement and vice-versa.
- 17. How many times will the following loop go around? This is a trick question—look at the code fragment carefully. It is an example of a common programming error.

```
int i = 0;
while (i < 100) {
    System.out.println(i*i);
}</pre>
```

18. What is printed by the following program?

```
class Problem18 {
  public static void main(String[] args) {
    int i, j = 0;
    for (i = 1; i < 6; i++)
        if (i > 4)
            break;
    else {
        j = j + i;
        System.out.println("j= " + j + " i= " + i);
    }
  System.out.println("Final j= " + j + "i= " + i);
}
```

## **EXERCISES**

- 1. Write a program that asks for the number of quarters, dimes, nickels, and pennies you have. Then compute the total value of your change and print the amount in the form \$X.YY. See Exercise 15 on page 43 in Chapter 2.
- 2. Write a program that reads in two integers and then prints out the larger one. Use Review Question 8, on page 40, as a starting point and make the necessary changes to class PrintMin to produce class PrintMax.
- 3. Modify the class Average from this chapter to print a special message if the first number entered is 0.
- 4. Write a program that reads in four integers and then prints out yes if the numbers were entered in increasing order and prints out no otherwise.
- 5. Write a program that prompts for the length of three line segments as integers. If the three lines could form a triangle, the program prints "Is a triangle." Otherwise, it prints "Is not a triangle." Recall that the sum of the lengths of *any* two sides of a triangle must be greater than the length of the third side. For example, 20, 5, and 10 can't be the lengths of the sides of a triangle because 5 + 10 is not greater than 20.
- 6. Write a program that tests whether the formula  $a^2 + b^2 = c^2$  is true for three integers entered as input. Such a triple is a *Pythagorean triple* and forms a right-angle triangle with these numbers as the lengths of its sides.
- 7. An operator that is mildly exotic is the conditional operator ?:. This operator takes three arguments and has precedence just above the assignment operators, as for example in

```
s = (a < b)? a : b;
// (a < b) true then s assigned a else s assigned b
```

Rewrite the code for class PrintMin, in Review Question 8, on page 74, using this operator to eliminate the first two if statements. We chose not to use this operator because it is confusing to beginning programmers. It is unnecessary and usually can readily and transparently be replaced by an if statement.

- 8. Write a program that reads in integers entered at the terminal until a value of 0 is entered. A *sentinel* value is used in programming to detect a special condition. In this case the sentinel value is used to detect that no more data values are to be entered. After the sentinel is entered, the program should print out the number of numbers that were greater than 0 and the number of numbers that were less than 0.
- 9. Write a program that reads in integers entered at the terminal until a sentinel value of 0 is entered. After the sentinel is entered, the program should print out the smallest number, other than 0, that was entered.

- 10. Rewrite Exercise 8 on page 76 to print the largest number entered before the sentinel value 0 is entered. If you already did that exercise, only a few changes are needed to complete this program. Now code a further program that ends up printing both the smallest or minimum value found and the largest or maximum value found.
- 11. Rewrite Exercise 5 on page 76 to continue to test triples until the sentinel value 0 is entered.
- 12. Write a program to input values as in Excercises 8, 9, or 10 above. This time run it with the input taken as a file through *redirection*. If the compiled Java program is *NumberTester.class*, then the command

```
java NumberTester < myFile</pre>
```

will use myFile for input. Redirection is possible with Unix or the Windows console window. This can save a lot of typing of input values for testing.

- 13. Write a program to print every even number between 0 and 100. Modify the program to allow the user to enter a number *n* from 1 through 10 and have the program print every *n*th number from 0 through 100. For example, if the user enters 5, then 0 5 10 15 20...95 100 are printed.
- 14. Write a program that will print out a box drawn with asterisks, as shown.



Use a loop so that you can easily draw a larger box. Modify the program to read in a number from the user specifying how many asterisks high and wide the box should be.

- 15. Write a program that reads in numbers until the same number is typed twice in a row. Modify it to go until three in a row are typed. Modify it so that it first asks for "how many in a row should I wait for?" and then it goes until some number is typed that many times. For example, for two in a row, if the user typed "1 2 5 3 4 5 7" the program would still be looking for two in a row. The number 5 had been typed twice, but not in a row. If the user then typed 7, that would terminate the program because two 7s were typed, one directly after the other.
- 16. Write a program that prints all the prime numbers in 2 through 100. A prime number is an integer that is greater than 1 and is divisible only by 1 and itself. For example, 2 is the only even prime. Why?

### PSEUDOCODE FOR FINDING PRIMES

```
for n = 2 until 100
  for i = 2 until the square root of n
   if n % i == 0 the number is divisible by i
      otherwise n is prime
```

Can you explain or prove why the inner-loop test only needs to go up to the square root of *n*?

- 17. Write a program that prints the first 100 prime numbers. Use the same algorithm as in Exercise 16 on page 77, but terminate the program upon finding the 100th prime. Assume that the search needs to go no farther than n = 10,000.
- 18. Write a program that generates all Pythagorean triples (see Exercise 6 on page 76) whose small sides are no larger than n. Try it with n < 200. (*Hint*: Use two for loops to enumerate possible values for the small sides and then test to determine whether the result is an integral square.

- 19. Write a program that gives you a different message each day of the week. Use a switch statement. Take as input an integer in the range 1 through 7. For example, if 6 means Friday, the message might say, Today is Friday, tGif. If the user inputs a number other than 1 through 7, have the default issue an appropriate message.
- 20. Write a program that gives you a fortune based on an astrological sign. Use a switch statement to structure the code.
- 21. Write a program that generates an approximation of the real number e. Use the formula

$$e\approx 1+\frac{1}{1!}+\frac{1}{2!}+\frac{1}{3!}+\ldots+\frac{1}{k!}+\ldots$$

where k! means k factorial = 1 \* 2 \* . . . \*k. Keep track of term 1/k! by using a double. Each iteration should use the previous value of this term to compute the next term, as in

$$T_{k+1} = T_k \times \frac{1}{k+1}$$

Run the computation for 20 terms, printing the answer after each new term is computed.

22. Write your own pseudorandom number generator. A pseudorandom sequence of numbers appears to be chosen at random. Say that all the numbers you are interested in are placed in a large fishbowl and you reach in and pick out one at a time without looking where you are picking. After reading the number, you replace it and pick another. Now you want to simulate this behavior in a computation. You can do so by using the formula  $X_{n+1} = (aX_n + c) \mod m$ . Let a be 3,141,592,621, c be 1, and m be 10,000,000,000 (see Knuth, *Seminumerical Algorithms*, Addison-Wesley 1969, p. 86). Generate and print the first 100 such numbers as long integers. Let  $X_1 = 1$ .

## APPLET EXERCISE

Redo any one of the first ten exercises in this chapter but use an applet for input and output. You are to do so by modifying the following applet. Recall that the Applet exercise in Chapter 2, on page 44 introduced a special kind of Java program called an *applet*. Among other things, an applet may be used to create graphical output such as plots or diagrams. In order to be useful, most programs, including applets, need some way to receive input. For the regular applications that we've created so far, we've used <code>scan.nextInt()</code>, etc., to read values from the console. This exercise introduces you to the use of a <code>JTextField</code> object to get input from an applet. For this exercise we need to introduce two more methods: <code>init()</code> and <code>actionPerformed()</code>. As with the earlier applet exercise, for now you need only to concentrate on the body of the methods <code>init()</code> and <code>actionPerformed()</code>, treating the surrounding code as a template to be copied verbatim. The following applet reads two numbers and then displays their sum.

```
/* <applet code="AppletSum.class"
    width=420 height=100></applet> */
//AppletSum.java - Text input with an applet
import java.awt.*;
import java.awt.event.*;
import javax.swing.*;
public class AppletSum extends JApplet implements ActionListener
{
    JTextField inputOne = new JTextField(20);
    JTextField output = new JTextField(20);
```

```
public void init() {
    Container pane = getContentPane();
    pane.setLayout(new FlowLayout());
    pane.add(new JLabel("Enter one number."));
    pane.add(inputOne);
    pane.add(new JLabel("Enter a number and hit return."));
    pane.add(inputTwo);
    pane.add(new JLabel("Their sum is:"));
    pane.add(output);
    inputTwo.addActionListener(this);
 public void actionPerformed(ActionEvent e) {
    double first, second, sum;
    first = Double.parseDouble(inputOne.getText());
    second = Double.parseDouble(inputTwo.getText());
    sum = first + second:
    output.setText(String.valueOf(sum));
 }
}
```

## Dissection of the AppletSum Program

```
import java.awt.*;
import java.awt.event.*;
import javax.swing.*;
public class AppletSum extends JApplet implements ActionListener
```

For now this code is all part of the template for an applet. The only part that you should change is to replace AppletSum with the name of your applet. The import statements tell the Java compiler where to find the various classes used in this program, such as JTextField and JApplet. The extends JApplet phrase is how we indicate that this class is defining an applet instead of a regular application. The implements ActionListener phrase is needed if we want to have our program do something when the user has finished entering data.

```
JTextField inputOne = new JTextField(20);
JTextField inputTwo = new JTextField(20);
JTextField output = new JTextField(20);
```

JTextField is a standard Java class. A JTextField is a graphical user interface component in which the user can enter text and the program can then read the text entered by the user. This program will create three text fields—two for the input values and one to display the sum of the first two. Note that these variables aren't declared inside any method. These variables need to be referenced by both the methods in this applet. You should think of them as part of the applet class AppletSum and not part of any one method. (We discuss such declarations in Section 6.9, Static Fields and Methods, on page 169.)

```
public void init() {
   Container pane = getContentPane();
   pane.setLayout(new FlowLayout());
```

The method <code>init()</code> is rather like <code>main()</code> for an applet. This method is called to initialize the applet. The first thing we do in the method is get the content pane. The <code>content pane</code> is the part of the applet used to display the various graphical components that we add to the applet. The <code>setLayout()</code> method is used to adjust the content pane so that when components are added, they are arranged in lines, like words of text in a word processor. The components are allowed to "flow" from one line to the next. For now, just always include the two statements in your <code>init()</code> method.

```
pane.add(new JLabel("Enter one number."));
pane.add(inputOne);
pane.add(new JLabel("Enter a number and hit return."));
pane.add(inputTwo);
pane.add(new JLabel("Their sum is:"));
pane.add(output);
```

The method add() is used to add some labels and text fields to the content pane of the applet. JLabel is a standard Java class that is used to place labels in graphical user interfaces. Unlike with a JTextField, the user can't enter text in a JLabel when the applet is running. The order in which we add all the components to the applet is important. The components are placed in the applet, like words in a word processor. That is, the components will be displayed from left to right across the applet until the next component won't fit, in which case a new line of components is started. Later, you'll learn more about controlling the placement of components, but for now just add them in the desired order and then adjust the width of the applet to get the desired appearance. Here is what the applet will look like.

Applet Viewer: AppletSum.class	_ 🗆 ×	
Applet		
Enter one number.		
Enter a number and hit return.		
Their sum is:		
Applet started.		

inputTwo.addActionListener(this);

The last statement in the <code>init()</code> method says that the <code>actionPerformed()</code> method in the applet referred to by this should be called when Return is hit in the text field, <code>inputTwo</code>.

```
public void actionPerformed(ActionEvent e) {
   double first, second, sum;
   first = Double.parseDouble(inputOne.getText());
   second = Double.parseDouble(inputTwo.getText());
   sum = first + second;
   output.setText(String.valueOf(sum));
}
```

This method is called each time the user hits Return with the cursor placed in the text field <code>inputTwo</code>. The method gets the text that was entered in the first two text fields by invoking their <code>getText()</code> methods. The resulting strings are then converted to floating point numbers, using the standard Java method <code>Double.parseDouble()</code>. Next the two numbers are added and stored in the variable <code>sum</code>. The floating point value stored in <code>sum</code> is converted to a <code>String</code>, using <code>String.valueOf()</code>, which can be used to convert any primitive value to a <code>String</code>. Finally the method <code>setText()</code> from the class <code>JTextField</code> is used to set the value of the text displayed in the <code>JTextField</code> object <code>output</code>.

# METHODS: FUNCTIONAL ABSTRACTION

*Structured programming* is a problem-solving strategy and a programming methodology that includes two guidelines.

## STRUCTURED PROGRAMMING GUIDELINES

- The flow of control in a program should be as simple as possible.
- The construction of a program should embody top-down design.

*Top-down design*, also referred to as *stepwise refinement*, consists of repeatedly decomposing a problem into smaller problems. Eventually, you have a collection of small problems or tasks, each of which can be easily coded. Object-oriented programming strategy encompasses structured programming but has additional elements, which we explain in Chapter 6, *Objects: Data Abstraction*.

The *method* construct in Java is used to write code that solves the small problems that result from this decomposition. *Methods* are, in classical programming terms, *functions* or *procedures*. Methods are combined into further methods and ultimately used to solve the original problem. Some methods, such as System.out.println(), are provided by the system; others are written by the programmer.

We illustrate structured programming and top-down design in this chapter, but first we describe the method mechanism in more detail.

## 4.1 METHOD INVOCATION

A simple program is made up of one or more methods contained in a class, one of them being main(). In these simple cases, program execution begins with main(). When program control encounters a method name followed by parentheses, the method is *called*, or *invoked*. That is, program control passes to the method. After the method does its work, program control is passed back to the calling environment, where program execution continues. As a simple example, consider the following program, which prints a message.

The method definition includes the name of the method and a method body. The *method body* is a block that is executed when the method is invoked. Program execution begins in main(). When program control encounters printMessage(), the method is called, or invoked, and program control is passed to it. After the two println() statements in printMessage() have been executed, program control passes back to the calling environment, which in this example is in main(). In main(), the program finishes by printing "Goodbye."

System.out.println("HELLO DEBRA!") is also a method call, as are the two statements that constitute the body of the method printMessage(). Because println() isn't defined in the class Message, we need to tell the computer where to find println(); hence the System.out preceding the method name. We present the details of how the method names are used in Section 6.10, *Calling Methods—A Recap*, on page 171. The complete sequence of calls for this program is as follows.

```
The system calls main() to start the program.
    main() calls System.out.println().
        "HELLO DEBRA!" is printed.
        System.out.println() returns.
   main() calls printMessage().
        printMessage() calls System.out.println().
            "A message for you: " is printed.
            System.out.println()returns.
        printMessage() calls System.out.println()
            "Have a nice day!\n" is printed
            System.out.println() returns.
        printMessage() returns.
   main() calls System.out.println().
        "Goodbye." is printed.
        System.out.println() returns.
    main() returns to the system.
The program ends.
```

## 4.2 STATIC METHOD DEFINITIONS

The simplest methods are called *class methods* or *static methods*. (You will learn about a different type of method in Chapter 6, *Objects: Data Abstraction*.) The general form for static methods is

```
static ReturnType Identifier ( ParameterList ) block
```

The *block*—also known as the *method body* of the method definition—contains variable declarations and statements that are executed when the method is called. The variables declared in the method's block are said to be within the scope of the method (see Section 4.4, *Scope of Variables*, on page 86). The *ReturnType* of the method is the type of the value returned by the method. For example, Math.sqrt() returns a double that can be used in an expression such as Math.sqrt(x) + y. If nothing is returned, as in our example printMessage(), the keyword void is used. The optional *ParameterList* describes the number and types of the arguments that are passed to the method when it is invoked. If no arguments are passed, the list is empty.

The parameters in the parameter list are variables and can be used within the body of the method. Sometimes the parameters in a method definition are called *formal parameters* to emphasize their role as placeholders for actual values that are passed to the method when it is called. Upon method invocation, the value of the actual argument corresponding to a formal parameter is used to initialize the formal parameter, as shown in the next example.

The keyword public is optional, except with the method main(). This requirement for public with main() isn't part of the Java syntax; rather, it is part of the specification of how to run a Java program. We discuss public and other method access modifiers in Section 6.7, Access public and private: Data Hiding, on page 167.

To illustrate these ideas, we can rewrite the preceding program so that the method printMessage() has a formal parameter. The parameter is used to specify how many times the message is to be printed.

```
// Message2.java: method parameter use
class Message2 {
  public static void main(String[] args) {
    System.out.println("HELLO DEBRA!");
    printMessage(5);    //actual argument is 5
    System.out.println("Goodbye.");
}

static void printMessage(int howManyTimes) {
    //formal parameter is howManyTimes
    System.out.println("A message for you: ");
    for (int i = 0; i < howManyTimes; i++)
        System.out.println("Have a nice day!\n");
}</pre>
```

## Dissection of the Message2 Program

```
class Message2 {
```

A simple program is a collection of methods within a class. The class Message2 encapsulates the various method definitions. The method main() begins executing first.

```
printMessage(5); //actual argument is 5
```

This line is the method call for printMessage(). The actual argument is the constant 5, which is passed to the method and used to initialize the formal parameter howManyTimes.

```
static void printMessage(int howManyTimes) {
```

The return type is <code>void</code>, which tells the compiler that the method doesn't return a value. In programming language terminology such a method is called a *pure procedure* as opposed to a function, which returns a value. The parameter list is <code>int howManyTimes</code>, which tells the compiler that the method takes a single argument of type <code>int</code>. The parameters specified in the definition of a method are called formal parameters. For now, methods must be declared as <code>static</code>. We discuss nonstatic methods when we introduce object-oriented programming in Chapter 6, <code>Objects: Data Abstraction</code>.

```
System.out.println("A message for you: ");
for (int i = 0; i < howManyTimes; i++)
    System.out.println("Have a nice day!\n");
}</pre>
```

The code between the braces constitutes the body of the method definition for printMessage(). If how—ManyTimes has the value 5, the message is printed five times. When program control reaches the end of the method, control is passed back to main()

## **COMMON PROGRAMMING ERROR**

A common mistake is to forget to include static in the definition of a method. In Section 6.3, *Instance methods*, on page 161, we discuss methods that don't include static and how they are called. If you leave static out of the method definition but then try to call a method following the example in this section, then you will get an error message similar to

```
Can't make static reference to method returnType methodName(parameterTypes) in class YourClass.
```

This message may also include some indication of where the invalid method invocation is located. This message can be confusing at first because it isn't the invocation that's at fault. The error is in the declaration of the method being invoked.

# 4.3 THE return STATEMENT

When a return statement is executed within a method, program control is immediately returned to the point right after the call to the method; if an expression follows the keyword return, the value of the expression is also returned to the calling environment. Some examples are

```
return;
return a;
return (a * b + c);
```

You can enclose the expression being returned in parentheses. If the expression is complicated, it is considered good programming practice to do so.

There can be zero or more return statements in a method. If there is no return statement, control is passed back to the calling environment when the closing brace of the body is encountered. This action is called *falling off the end* and is permitted only when the return type is void. To illustrate the use of return statements, we can write a program that computes the minimum of two integers.

```
// Min2.java: return expression in a method
class Min2 {
  public static void main(String[] args) {
    int    j = 78,    k = 3 * 30,    m;
       System.out.println("Minimum of two integers Test:");
    m = min(j, k);
    System.out.println("The minimum of : " + j + " , " + k + " is " + m);
}

static int min(int a, int b) {
    if (a < b)
       return a;
    else
       return b;
}</pre>
```

## Dissection of the Min2 Program

```
public static void main(String[] args) {
   int j = 78, k = 3 * 30, m;
```

The variables j, k, and m are declared to be of type int. The variables j and k are assigned constant expressions for test purposes. We could modify the program to request values as input using scan.nextInt().

```
  m = min(j, k);
```

The values of j and k are passed as actual arguments to min(). The method min() returns a value, which is assigned to m.

```
static int min(int a, int b)
```

This line is the *header* of the method definition for min(). The return type of the method is int. The value returned from within the method will be converted, if necessary, to an int before it is returned to the calling environment. The parameter list

```
int a, int b
```

declares a and b to be of type int. The formal parameters are used in the body of the method definition.

```
if (a < b)
    return a;
else
    return b;
}</pre>
```

The code between the braces constitutes the body of the method definition for min(). If the value of a is less than the value of b, the value of a is returned to the calling environment; otherwise, the value of b is returned.

Even small methods such as min() provide useful structuring to the code. In a real program, there might be several places in the code where the minimum of two numbers is needed. Without the method min(), we'd end up with something like

```
if (a < b)
    x = a;
else
    x = b;
...
if (c < d)
    y = c;
else
    y = d;</pre>
```

Using our method min() changes this code to

```
x = min(a, b);
...
y = min(c, d);
...
```

The latter code is both shorter and easier to read, making the overall structure of the program clearer. Another important benefit is that we need to debug only the code that finds the minimum once. In the version without the method min(), because we have to type the conditional statement each time we want the minimum, we are much more likely to make an error.

If we want to modify our program so that the maximum value is also computed, we can use a method max() to do so. We have to add its method definition to the class Min2. Here is the method definition.

```
static int max(int a, int b) {
  if (a > b)
    return a;
  else
    return b;
}
```

# 4.4 SCOPE OF VARIABLES

Variables such as j and k in main() for the class Min2 are called *local variables*—they can be accessed only "locally" or "nearby." For example, you can't refer to the variable j declared in main() of Min2, from within min().

The *scope* of a variable is the range of statements that can access the variable. The scope of a local variable extends from the point where the variable was declared (where it was first mentioned and given a type, as in int j;) to the end of the block containing the declaration. Recall from Section 3.1, *Expression, block, and empty Statements*, on page 47 that a block is a group of statements delimited by braces. A formal parameter is essentially a special kind of local variable. Hence the scope of a formal parameter is the entire definition of the method.

If it weren't for scope's ability to limit the accessibility of local variables, we might have been tempted to write class Min2 as follows.

```
// Min2Bad.java - doesn't work because of scope
class Min2Bad {
  public static void main(String[] args) {
    int    j = 78,    k = 3 * 30,    m;
       System.out.println("Minimum of two integers Test:");
       m = min();
       System.out.println("The minimum of : " + j + " , " + k + " is " + m);
    }
    static int min() {
       if (j < k)
            return j;
       else
            return k;
    }
}</pre>
```

This code won't compile because the variables j and k can't be referenced outside method main(), as we attempted to do in method min(). In order for min() to compare the values for two local variables in main(), those values must be passed as parameters to min(), as we did in the correct version earlier.

The scope of a variable declaration in the initialization portion of a for loop is the loop body plus the update and loop termination expressions. Declaring the index variable for a for loop in the for loop is quite common. In that case the loop index variable won't be accessible after the loop, as shown in this example.

```
double squareRoot;
for (int x = 1; x <= 10; x++) {
    squareRoot = Math.sqrt(x);
    System.out.println("the square root of " + x + " is " + squareRoot);
}
System.out.println("x = " + x);  //Syntax error
```

Note that the use of declarations inside blocks could result in two variables with the same name having overlapping scopes. Java, however, disallows a local declaration that conflicts with another local declaration. In the following example, the second declaration of squareRoot is illegal because there is already a local declaration for squareRoot that extends into the block that is the body of the for statement. Likewise, the definition of i in the for statement is illegal. Also, the final print of square is illegal because the scope of square ends at the brace that ends the for statement.

```
// SquareRoots2.java - contains scope errors
public class SquareRoots2 {
  public static void main(String[] args) {
    int i = 99;
    double squareRoot = Math.sqrt(i);

    System.out.println("the square root of " + i + " is " + squareRoot);
    for (int i = 1; i <= 10; i++) {
        double squareRoot = Math.sqrt(i);
        double square = squareRoot * squareRoot;
        System.out.println("the square root of " + i + " is " + squareRoot);
        System.out.println("squaring that yields " + square);
    }
    System.out.println("The final value of square" + " is " + square);
}</pre>
```

## Dissection of the SquareRoots2 Program

```
public class SquareRoots2 {
   public static void main(String[] args) {
    int i = 99;
    double squareRoot = Math.sqrt(i);
```

The scope of these declarations extends to the end of the method main().

```
for (int i = 1; i <= 10; i++) {
  double squareRoot = Math.sqrt(i);</pre>
```

The declaration of i in the for statement initialization part is illegal because i was already declared in this method. Likewise the declaration of squareRoot is illegal. These declarations are illegal because we can't have two local variables with overlapping scopes that have the same name.

Some programming languages allow overlapping, giving precedence to the innermost definition, but Java doesn't. This restriction simplifies the language and adds to program clarity. In this program, the error can easily be eliminated by removing the type declarations so that the two lines become

```
for (i = 1; i <= 10; i++) {
   squareRoot = Math.sqrt(i);
   double square = squareRoot * squareRoot;</pre>
```

The scope of this definition of square extends only to the end of the for statement.

```
System.out.println("the square root of " + i + " is " + squareRoot);
System.out.println("squaring that yields " + square);
}
System.out.println("The final value of square" + " is " + square);
```

The first println() involving square is within the scope of square so it is fine. The second is beyond the scope of square and will result in a syntax error at compile time. A solution would be to declare square before the for statement.

# 4.5 TOP-DOWN DESIGN

Imagine that you have to analyze some company data represented by a series of integers. As you read each integer, you want to print out the count of integers read, the integer, the sum of all the integers read up to this point, the minimum integer read up to this point, and the maximum integer read up to this point. In addition, suppose that a banner must be printed at the top of the page and that all the information must be neatly printed in columns under appropriate headings. To help you construct this program, we decompose the problem into the following subproblems.

## **DECOMPOSING THE RUNNING SUM PROGRAM**

- 1. Print a banner.
- 2. Print the headings over the columns.
- 3. Read the data and print them neatly in columns.

You can code each subproblem directly as a method. Then you can use these methods in main() to solve the overall problem. Note that by designing the code this way, you can add further methods to analyze the data without affecting the program structure.

```
//RunSums.java: top level, main(), calls methods to
// handle subproblems
import java.util.*;
import static java.lang.Math.*;

class RunSums {
   public static void main(String[] args) {
      printBanner();
      printHeadings();
      readAndPrintData();
   }

   // printBanner, printHeadings and readAndPrintData
   // definitions will go here
...
}
```

This program fragment illustrates in a very simple way the idea of top-down design. Think of the tasks to be performed and code each task as a method. If a particular task is complicated, you can subdivide it into smaller tasks, each coded as a method. A further benefit is that the program as a whole is more readable and self-documenting. There may be many ways to decompose a problem into methods. Much of the art of program design lies in finding a good decomposition.

Coding the individual methods is straightforward. The first method contains a single println() statement.

The next method writes headings over columns. The printf() format "%8s" will print each heading, right justified in a field 8 characters wide plus one additional space to guarantee separation.

```
static void printHeadings() {
 }
Most of the work is done in readAndPrintData().
static void readAndPrintData() {
 int cnt = 0, sum = 0, item, smallest, biggest;
 Scanner scan = new Scanner(System.in);
 item = scan.nextInt();
 smallest = biggest = item;
 while (item != -99999) {
   cnt++;
   sum = sum + item;
   smallest = min(item, smallest);
   biggest = max(item, biggest);
   System.out.printf("%8d %8d %8d %8d %8d\n", cnt, item, sum, smallest, biggest);
   item = scan.nextInt();
 }
}
```

If we execute the program and enter data directly from the keyboard, we get the echoing of input characters and the output of the program intermixed on the screen. To prevent this problem, on systems with redirection such as Unix, we create a file called *data* containing the integers

```
19 23 -7 29 -11 17 -99999
```

Most Java IDEs allow you to specify a file to be used for input in place of the keyboard. If you're running Java from a Unix or Windows command line you can use the command

```
java RunSums < data
```

This procedure is called file redirection because you're redirecting the program to read from a file instead of from the keyboard. In Chapter 10, *Reading and Writing Files* we discuss how to do file I/O more generally. Here's what is printed on the screen.

*	MAXIMA	4, AN	SUMS, MIN	RUNNING
*****	*****	****	*****	*****
		_	_	
Maximum	inimum	Sum	Item	Count
19	19	19	19	1
23	19	42	23	2
23	-7	35	-7	3
29	-7	64	29	4
29	-11	53	-11	5
29	-11	70	17	6

## Dissection of the readAndPrintData() Method

```
static void readAndPrintData() {
  int cnt = 0, sum = 0, item, smallest, biggest;
```

The header of the method definition is the single line before the brace. The method doesn't return a value, so its return type is void. The method takes no arguments. In the method definition, the variables cnt, sum, item, smallest, and biggest are declared to be of type int. The variables cnt and sum are initialized to zero. The initial value of the variable item is taken from the console. The initial values of the variables smallest and biggest are computed.

```
item = scan.nextInt();
smallest = biggest = item;
```

The first item is read in. The variables smallest, and biggest are assigned the value of item. Recall that biggest = item is an expression using the assignment operator. The value of such an expression is the same as the expression on the right-hand side of the assignment operator. Thus we can use the expression biggest = item as the right-hand side expression assigned to smallest.

```
while (item != -99999) {
    cnt++;
    sum = sum + item;
    smallest = min(item, smallest);
    biggest = max(item, biggest);
    System.out.printf("%8d %8d %8d %8d \n", cnt, item, sum, smallest, biggest);
    item = scan.nextInt();
}
```

The integer value -99999 is a *sentinel* value intended to terminate the loop. After the first integer has been obtained from the console, we use this while loop to find others. Each time the body of this while loop is executed, the variable cnt is incremented by 1, sum is incremented by the current value of item, smallest is assigned the minimum of the current values of item and smallest, biggest is assigned the maximum of the current values of item and biggest, and all these values are printed in the appropriate columns. The printf() format "%8d" will print each integer right justified in a field 8 characters wide. Eventually, nextInt() is supposed to read -99999 from the console, causing the while loop to end. We investigate in the exercises other ways to end this loop.

# 4.6 PROBLEM SOLVING: RANDOM NUMBERS

Random numbers have many uses in computers. One use is to serve as data to test code; another use is to simulate a real-world event that involves a probability. The method of simulation is an important problem-solving technique. Programs that use random number methods to generate probabilities are called *Monte Carlo* simulations. The Monte Carlo technique can be applied to many problems that otherwise would have no possibility of solution.

A random number generator is a method that returns numbers that appear to be randomly distributed within some interval. The method Math.random() in the standard package java.lang is provided to do this for numbers between 0 and 1, including 0 but not 1, that is [0, 1). The following program fragment displays some random numbers generated by Math.random().

```
//RandomPrint.java: Print Random numbers in the range [0.0 - 1.0).
class RandomPrint {
  public static void main(String[] args) {
    int n = 10;
    System.out.println("We will print " + n + " random numbers");
    printRandomNumbers(n);
}

static void printRandomNumbers(int k) {
  for (int i = 0; i < k; i++)
    System.out.println(Math.random());
}</pre>
```

We can add to this class methods for max() and min(), which we've already discussed. We can modify printRandomNumbers() to print out the largest and smallest random number found.

Before we dissect this method definition, let's see what the output of the program looks like. If we run this program, the following appears on the screen.

```
We will print 10 random numbers
0.016657093696542113 0.9872859969278116
0.03331371015770079 0.5954234589013508
0.667160647102587 0.6878910499886401
0.2268455586583925 0.022606516838095003
0.4565489420647485 0.49292751841170546
Count: 10
Maximum: 0.9872859969278116
Minimum: 0.016657093696542113
```

### Dissection of the printRandomNumbers() Method

```
static void printRandomNumbers(int k) {
  double r, biggest, smallest;
```

The variable k is a parameter declared to be an int. The variables r, biggest, and smallest are all declared to be of type double.

```
n = biggest = smallest = Math.random();
```

The method Math.random() from the class <code>java.lang.Math</code> is used to generate a random number. That number is assigned to the variables <code>r</code>, <code>biggest</code>, and <code>smallest</code>. The random number is between 0.0 and 1.0 with 0.0 included but 1.0 excluded.

```
for (int i = 1; i < k; i++) {
  if (i % 2 == 0)
    System.out.println();
  r = Math.random();
  biggest = max(r, biggest);
  smallest = min(r, smallest);
  System.out.print(" " + r);
}</pre>
```

This for loop is used to print the remaining k-1 random numbers. Because one random number has already been printed, the variable i at the top of the loop is initialized to 1 rather than 0. Whenever i is divisible by 2, the expression

```
i % 2 == 0
```

controlling the **if** statement is true, causing a newline character to be printed. The effect of this is to print two random numbers on each line, except possibly the last which will have only one if an odd number of random numbers are printed. This idiom is commonly used for printing several values on one line.

# 4.7 A SIMULATION: PROBABILITY CALCULATIONS

A common use of computers is to simulate some activity that goes on in the real world. Computer simulations can often be used in place of a dangerous or costly experiment. Another advantage of computer simulations is that they can compress time. Instead of taking years to watch some natural process, a simulation of that process can be done in minutes or hours. Of course, the simulation generally has to be based on some simplifying assumptions, but the results still can be useful.

Let's use a computer to simulate the repeated tossing of a coin. We want to find the probability that we can toss some number, n, heads in a row. This experiment is neither expensive nor dangerous, but we can compress time with it. In less than a second (not counting the time to write the program) we can simulate tossing a coin millions of times.

This program uses a sequence of random numbers between 0 and 1 to simulate the coin tosses. If the next random number in the sequence is less than 0.5, it will be considered a head; if the number is greater than or equal to 0.5, it will be considered a tail. We will conduct many trials, each trial attempting to "toss" n heads in a row. If the trial succeeds by tossing n heads in a row, we will count the trial as a success. If the trial fails, by tossing a tail before n heads have been tossed, we will count the trial as a failure. The probability that we can toss n heads in a row is approximately the ratio of successful trials to total trials. By increasing the number of trials, we can increase the accuracy of our result.

The following is a pseudocode solution to the problem.

### PSEUDOCODE FOR COIN TOSS SIMULATION

Input the number of heads in a row for a trial.

Input the number of trials to run.

Perform the specified number of trials.

Print the result.

We can refine how to perform the specified number of trials as follows.

### PSEUDOCODE FOR PERFORMING THE SPECIFIED NUMBER OF TRIALS

```
initialize the number of successes to 0
while there are more trials to run
  run one trial
  if the trial was a success
    increment the number of successes
end while loop
return the number of successful trials
```

Remember: Pseudocode is an informal notation intended for human beings, not computers. We use indentation to indicate nested statements. We can refine this pseudocode further by detailing how to perform one trial.

### PSEUDOCODE FOR PERFORMING ONE TRIAL

```
let numTosses be the number of tosses for a successful trial initialize the number of heads tossed to zero while number of heads tossed is less than numTosses toss the coin if the coin comes up tails return failure increment the number of heads tossed end while loop return success
```

At this point we are almost ready to begin writing the code. As discussed in the preceding section, we can use the method Math.random() in the standard package java.lang to generate random numbers for the interval [0, 1). This method will return a value of type double that is greater than or equal to 0 and less than 1. If the double returned by Math.random() is less than 0.5, it will be considered heads; if it is greater than or equal to 0.5, it will be considered tails. The following is the complete program. We coded each section of the pseudocode as a separate method.

```
//CoinToss.java - Compute the approximate probability
     of n heads in a row by simulating coin tosses.
class CoinToss {
  public static void main(String[] args) {
    //Input the number of tosses in a row to try for.
    int numTosses = 4;
                            //Just use 4 for testing
    //Input the number of trials to run.
    int numTrials = 10000; //Use 10000 for testing
    //Perform the specified number of trials
    int numSuccesses = performTrials(numTosses,numTrials);
    //Print the results
    double probability = numSuccesses / (double)numTrials;
    System.out.println("Probability found in " + numTrials + " is " +
                       probability);
  }
```

```
// return true if numTosses heads are tossed
  // before a tail
  static boolean isAllHeads(int numTosses) {
    double outcome;
    for (int numHeads = 0; numHeads < numTosses; numHeads++) {</pre>
      outcome = Math.random();
                                // toss the coin
      if (outcome < 0.5)
        return false;
                                 // tossed a tail
    return true;
                                  // tossed all heads
  // perform numTrials simulated coin tosses
  // and return the number of successes
  static int performTrials(int numTosses, int numTrials) {
    System.out.println("Monte Carlo " + numTosses + " in a row heads");
    int numSuccesses = 0;
    for (int trials= 0 ; trials < numTrials; trials++)</pre>
      // perform one trial
      if ( isAllHeads(numTosses))
        numSuccesses++;
                                // trial was a success
    return numSuccesses;
  }
}
```

#### Dissection of the CoinToss Program

```
class CoinToss {
  public static void main(String[] args) {
    //Input the number of tosses in a row to try for.
  int numTosses = 4;   //Just use 4 for testing
    //Input the number of trials to run.
  int numTrials = 10000;  //Use 1000 for testing
```

The code for main() follows directly from the pseudocode presented earlier. The comments correspond directly to the pseudocode. When you're actually writing the code, a good first step is to put in the pseudocode as comments. Then either replace the comment/pseudocode with real code, or when appropriate, leave the pseudocode as a comment and add the real code below the comment. In this example we decided to *hardcode* some input, that is, to insert some test values directly into the program. The comments indicate that we should be reading in the parameters for the program, but instead we simply assign some fixed value. We can easily replace these hardcoded assignments with calls to <code>nextInt()</code> or some other input method.

```
//Perform the specified number of trials
int numSuccesses = performTrials(numTosses,numTrials);
```

Here we decided to create a separate method to perform the series of trials. That method will need the number of trials to perform and the number of tosses used to determine whether a trial is a success or a failure. The comment from the pseudocode is almost superfluous because the name of the method and variable names should make clear what is happening. We decided to leave the comment because it emphasizes how we derived this code from the pseudocode.

We can now compute the estimated probability of tossing numTosses heads in a row by dividing the number of successful trials by the total number of trials. Because numSuccesses and numTrials are both integers, we must first cast one of them into a double. Otherwise, the result of the integer division would always be zero in this example.

This method is the key to simulating tossing a coin. If the next numTosses are all heads, then the method returns true. If a tail is tossed before numTosses heads are tossed, then the method immediately returns false. In the exercises, we modify this routine to make other calculations, such as the probability of tossing k-1 heads in k tosses.

This method directly follows the pseudocode presented for Performing the Specified Number of Trials. Each iteration of the loop performs one trial by calling <code>isAllHeads()</code>, testing the result, and if it was a success, incrementing the count of successful trials stored in <code>numSuccesses</code>.

# 4.8 INVOCATION AND CALL-BY-VALUE

To call one method from another method in the same class, we write the name of the called method and an appropriate list of arguments within parentheses. We have done so many times, as for example in isAll-Heads(n) or min(x,y). These arguments must *match in number and type* the parameters in the parameter list in the method definition. The arguments are passed *call-by-value*. That is, each argument is evaluated, and its *value* is used within the called method to initialize the corresponding formal parameter. Thus if a variable is passed to a method, the stored value of that variable in the calling environment won't be changed.

In the following example we attempt to swap the values of two local variables using a method.

```
//FailedSwap.java - Call-By-Value test
class FailedSwap {
  public static void main(String[] args) {
    int numOne = 1, numTwo = 2;
    swap(numOne, numTwo);
    System.out.println("numOne = " + numOne);
    System.out.println("numTwo = " + numTwo);
  static void swap(int x, int y) {
    int temp;
    System.out.println("x = " + x);
    System.out.println("y = " + y);
    temp = x;
    x = y;
    y = temp;
    System.out.println("x = " + x);
    System.out.println("y = " + y);
  }
}
```

The output of this program is

```
x = 1
y = 2
x = 2
y = 1
numOne = 1
numTwo = 2
```

Note that, although we successfully swapped the values of the formal parameters, x and y, doing so had no effect on the actual arguments, numOne and numTwo. The formal parameters are effectively local variables in the method swap() that have their values initialized with the values of the corresponding actual arguments. Five memory locations are identified in this program for storing integers: numOne, numTwo, x, y, and temp.

In Java we can't write a method <code>swap()</code> similar to the one presented that actually swaps the values of two primitive type variables passed as parameters. If <code>swap()</code> had actually caused the values in <code>main()</code> to change, then we would have said that it achieved its result by causing a <code>side effect</code>, instead of returning a value. The side effect would have been the changes in the two actual arguments. Any method with a return type of <code>void</code>, must cause some side effect or else be a completely useless method. The method <code>println()</code> is an example of a method that does its job via a side effect. In the case of <code>println()</code> the side effect is the production of output on the console. In Section 5.3, <code>Passing Arrays to Methods</code>, on page 125, we will explain how we can cause side effects to data when passing nonprimitive types, such as class types or array types, as parameters.

# 4.9 PROBLEM SOLVING: A COMPUTER GAME

Most programs begin as imprecise ideas in someone's mind. For very small programs, the person who thinks up the idea may be able just to sit down and enter the code for the program. This approach is not good for anything but the smallest programs. Instead, a program usually goes through a development process. Of the many different software development processes, most roughly follow the software life cycle described shortly. However, the steps don't always follow one after the other. For example, the implementation and testing steps are often performed iteratively, with some testing being performed as implementation proceeds.

#### THE SOFTWARE LIFE CYCLE

- 1. Requirements analysis and definition: The requirements for the program are written in a form understandable by those who want the software created. They typically aren't programmers.
- 2. Design: A detailed design of the software is created. For large programs that involves breaking the program into smaller pieces with precise specification of how the various pieces will interact.
- 3. Implementation: The code is actually written at this point.
- 4. Testing: The software must be thoroughly tested. For commercial software, fixing an error before the software has been released for use by the eventual customers is much cheaper than fixing it later.
- 5. Maintenance: This phase is often the longest and most costly part of the program's life cycle. Rarely is a large program never changed after it is put into use. The changes that occur during the maintenance phase are to fix errors discovered after a program has gone into use and, because program requirements evolve over time, modify existing features or add new features.

A popular use of computers is for game playing. In this section we demonstrate the first four steps in the software life cycle of a small computer game. The game is called Twenty-One Pickup.

#### 4.9.1 TWENTY-ONE PICKUP: REQUIREMENTS ANALYSIS AND DEFINITION

We begin our requirements analysis with a simple statement of the rules of the game.

Twenty-One Pickup is a two-player game that starts with a pile of 21 stones. Each player takes turns removing 1, 2, or 3 stones from the pile. The player that removes the last stone wins.

Several requirements need to be specified for this program. To do so, we need to answer the following questions.

- 1. What is the role of the computer? Will it be one of the players or will it simply enforce the rules and display the progress of a game between two human players?
- 2. What will be the interface between the human being and the computer? For example, will the program have a graphical user interface or will the interface be a simple text display?
- 3. Does the program play a sequence of games, keeping track of the number of games won by the various players, or does the program play one game and then exit?

We answer these questions in the following way.

- 1. The computer will be one of the players with a human being as the other player. The human player will always be allowed to go first.
- 2. For this version of the program a simple textual interface will be used. The computer will print instructions, prompts, and results at the console and will read user input from the console.
- 3. The program will play only a single game. There will be no tabulation of winners from one game to the next.

## 4.9.2 TWENTY-ONE PICKUP: DESIGN

We are now ready to begin designing the actual program. We specify precisely how the user and the computer will interact, and we use top-down design to develop the program structure. We begin the design with pseudocode for the top level of the program.

TOP-LEVEL PSEUDOCODE FOR TWENTY-ONE PICKUP print instructions

```
create the initial pile with 21 stones
while the game is not over
have the user move
if the game is not over
have the computer move
end while loop
print the outcome
```

This pseudocode reflects the design decision that the user will always get to move first. It also reflects the decision that the program will play only a single game. We continue our design by expanding the "have the user move" and "have the computer move" subproblems.

```
PSEUDOCODE FOR "HAVE THE USER MOVE"

get the user move from the console

remove the stones from the pile

print the user's move on the console
```

This pseudocode reflects the design decision that we will be prompting the user to input his or her move at the console. The pseudocode for the "have the computer move" step is similar.

```
PSEUDOCODE FOR "HAVE THE COMPUTER MOVE"

compute number of stones for the computer to remove remove the stones from the pile print the computer's move on the
```

To make our program robust, we designed a user input routine that checks to make sure the user has entered a valid move. If not, we prompt the user again until a valid move is entered.

```
PSEUDOCODE FOR "GET THE USER MOVE FROM THE CONSOLE"

prompt the user for the user's next move
from the console, read the number of stones to remove
while the number read is not a legal move
prompt the user again
read the number of stones to remove
end while loop
return the number of stones to remove
```

We complete the design phase of program development by identifying the inputs and outputs for each of the major methods. In doing so we create a method for each piece of pseudocode presented. The following is the opening comment and method header for each of the major methods except main(). These comments are formatted as *javadoc* comments. We briefly discuss *javadoc* in Section 4.13, *Programming Style*, on page 111.

```
/**
 * playerMove completes one move by the player.
 * @param numberOfStones - The number of stones reamining in the pile.
 * @return The number of stones remaining after the user's move.
 */
static int playerMove(int numberOfStones)

/**
 * computerMove completes one move by the computer.
 * @param numberOfStones - The number of stones reamining in the pile.
 * @return The number of stones remaining after the computer's move.
 */
static int computerMove(int numberOfStones)

/**
 * getUserMove reads in the user's move, only accepting legal inputs.
 * @param numberOfStones - The number of stones reamining in the pile.
 * @return The number of stones selected for removal by the user.
 */
static int getUserMove(int numberOfStones)
```

In this design we decided that the userMove() and computerMove() methods will take the current number of stones as input and return, as a result, the number of stones remaining after the move. We also pass the number of stones remaining to the method getUserMove(). That allows getUserMove() to make sure that the user doesn't attempt to remove more than the remaining number of stones in the pile.

We are now ready to move to the implementation phase wherein we complete the bodies of the methods.

#### 4.9.3 TWENTY-ONE PICKUP: IMPLEMENTATION

Once we've completed the design we can begin writing the actual code. If we've done the design well, the coding phase will be relatively easy because each of the methods identified in the design will be small. The following is the entire program.

```
// TwentyOnePickup.java
import java.util.*;
public class TwentyOnePickup {
  /**
  * Play the game of Twenty-One Pickup.
 * The user and the computer take turns removing from 1 to 3 stones from
  * There are 21 stones in the pile to start with.
  * The last one to remove a stone wins.
 public static void main(String[] args) {
    printInstructions();
    // create the initial pile with 21 stones
    int numberOfStones = 21;
    // keep track of who moved last
    boolean playerMovedLast = false;
    while (numberOfStones > 0) {
      numberOfStones = playerMove(numberOfStones);
      playerMovedLast = true;
      if (numberOfStones > 0){
        numberOfStones = computerMove(numberOfStones);
        playerMovedLast = false;
    }
```

```
// print the outcome
  if (playerMovedLast)
    System.out.println("Congratulations, you won.");
    System.out.println("Better luck next time.");
}
/**
* printInstructions prints the initial instructions
static void printInstructions() {
  System.out.println(
    "The object of this game is to remove the last"
    + " stone.\n"
    + "There are 21 stones in the pile to start with.\n"
    + "You may remove from 1 to 3 stones on each move.\n"
    + "Good Luck!");
}
/**
* playerMove completes one move by the player.
* @param numberOfStones - The number of stones reamining in the pile.
* @return The number of stones remaining after the user's move.
static int playerMove(int numberOfStones) {
  int move = getUserMove(numberOfStones);
  numberOfStones = numberOfStones - move;
  System.out.println("There are " + numberOfStones + " stones remaining.");
  return numberOfStones:
}
/**
* computerMove completes one move by the computer.
* @param numberOfStones - The number of stones reamining in the pile.
* @return The numberOfStones remaining after the computer's move.
static int computerMove(int numberOfStones) {
  int move;
  if (numberOfStones <= 3) {</pre>
    move = numberOfStones; /* remove the rest */
  }
  else {
    move = numberOfStones % 4;
    if (move == 0) move = 1;
  numberOfStones = numberOfStones - move;
  System.out.println("The computer removes " + move
      + " stones leaving " + numberOfStones + ".");
  return numberOfStones;
}
```

```
* getUserMove reads in the user's move, only accepting legal inputs.
 * @param numberOfStones - The number of stones reamining in the pile.
 * @return The number of stones selected for removal by the user.
  static int getUserMove(int numberOfStones) {
   System.out.println("Your move - how many stones" +
                      " do you wish to remove?");
   int move = scan.nextInt():
   while (move > numberOfStones || move < 1 || move > 3) {
     if (numberOfStones >= 3)
       System.out.println("Sorry," +
           " you can only remove 1 to 3 stones.");
     else
       System.out.println("How many stones"
                       + " do you wish to remove?");
     move = scan.nextInt();
   }
   return move:
 static final Scanner scan = new Scanner(System.in);
}
```

### Dissection of the TwentyOnePickup Program

```
public static void main(String[] args) {
  printInstructions();
  // create the initial pile with 21 stones
  int numberOfStones = 21;
  // keep track of who moved last
  boolean playerMovedLast = false;
```

The method main() follows the top-level pseudocode presented in the design section. To keep main() short, we placed the statements to print the instructions in a separate method. The pile of stones is represented by the integer numberOfStones, initially 21. During the implementation phase we discovered that we needed an additional variable, playerMovedLast, to keep track of who moved last—the user or the computer. Without this variable, we didn't know what message to print at the end of the program.

```
while (numberOfStones > 0) {
   numberOfStones = playerMove(numberOfStones);
   playerMovedLast = true;
   if (numberOfStones > 0) {
      numberOfStones = computerMove(numberOfStones);
      playerMovedLast = false;
   }
}
```

This loop comes directly from the loop in the top-level pseudocode "while the game is not over." The game is over when no more stones remain in the pile. Each time around the loop corresponds to one move by the user and one move by the computer, except possibly the last iteration when the computer doesn't move. When the loop exits, we want to know whether the user or the computer moved last, hence the variable, playerMovedLast.

```
// print the outcome
if (playerMovedLast)
  System.out.println("Congratulations, you won.");
  System.out.println("Better luck next time.");
```

We could have created another method, printOutcome(), that contained these statements. Doing so would have made main() slightly shorter. We decided that this code was simple enough to leave it directly in main().

```
static void printInstructions() {
    System.out.println(
      "The object of this game is to remove the last"
      + " stone.\n"
      + "There are 21 stones in the pile to start with.\n"
      + "You may remove from 1 to 3 stones on each move.\n"
      + "Good Luck!"):
  }
```

We could have placed the call to System.out.println() that comprises the entire body of this method directly in main(). To keep main() short and free from unnecessary details, we decided to create this separate method.

```
static int playerMove(int numberOfStones) {
    int move = getUserMove(numberOfStones);
    numberOfStones = numberOfStones - move;
    System.out.println("There are " + numberOfStones +
                       " stones remaining.");
    return numberOfStones;
  }
```

The primary objective of the playerMove() method is to remove some number of stones from the pile. Because Java uses call-by-value parameter passing, we can't directly modify the variable number OfStones created in the method main(). Instead we return the new value for numberOfStones and leave it to main() to store the returned value in the variable numberOfStones in main(). Note that the assignment to numberOfStones in the method playerMove() doesn't change the value of numberOfStones in main(), which is a separate variable with the same name. We could replace every occurrence of the identifier numberOfStones in playerMove() with some other identifier, such as numStones, and the program would work exactly the same. We used the same identifier in both main() and playerMove() because to us it seemed like the most descriptive name. Also, it provided a perfect example of how scope allows the freedom to choose local variables without concern for name conflicts with local variables in other methods.

This method exposes the winning logic for the game. If three or fewer stones remain, of course the computer picks them all up, making it the winner. If more than three stones remain, the optimal move is to try to leave the pile with a number of stones that is a multiple of four. If that isn't possible, the computer removes just one stone. As with userMove(), computerMove() returns the new number of stones in the pile as the result.

```
static int getUserMove(int numberOfStones) {
    System.out.println("Your move - how many stones" +
                        " do you wish to remove?");
    int move = scan.nextInt();
    while (move > numberOfStones || move < 1 || move > 3){
      if (numberOfStones >= 3)
        System.out.println("Sorry," +
            " you can only remove 1 to 3 stones.");
      else
        System.out.println("Sorry, you can only "
              + "remove 1 to " + numberOfStones + " stones.");
      System.out.println("How many stones"
                         + " do you wish to remove?");
      move = scan.nextInt();
    return move;
  }
```

A simpler version of this method could just call <code>scan.nextInt()</code> and return whatever value it gets. This would work as long as the user always followed instructions and entered legal moves. As indicated during the design phase, we decided to try to make the program work even when the user makes a typing error. A good user interface allows the user to correct errors easily. The loop in this program will continue to loop until the user enters a move that is less than or equal to the number of stones remaining in the pile and that also is between 1 and 3. We need two messages because if less than 3 stones remain, 2 or 3 may not be a legal move, so we can't always say "you can only remove 1 to 3 stones." Even the version presented here is a bit clumsy if only one stone remains. In that case it will print the message, <code>Sorry</code>, <code>you can only remove 1 to 1 stones</code>. This problem can easily be remedied with a little more code.

```
static final Scanner scan = new Scanner(System.in);
```

The scanner must persist throughout the entier program. We declare it here as a global that cannot be reassigned as indicated by the keyword final. Although they are best avoided, sometimes it makes sense to create a variable that can be used anywhere in the program. The scope of this declaration of scan is the entire class. For more about such variables, properly called class variables, see Section 6.9, *Static Fields and Methods*, on page 169.

Here is the last part of the program output for one game. The values typed by the user are shown in boldface.

```
There are 11 stones remaining.
The computer removes 3 stones leaving 8.
Your move - how many stones do you wish to remove?
There are 6 stones remaining.
The computer removes 2 stones leaving 4.
Your move - how many stones do you wish to remove?
There are 1 stones remaining.
The computer removes 1 stones leaving 0.
Better luck next time.
```

Note that, once we let the computer get the edge, it will always adjust the pile to have a multiple of four stones, making winning hopeless for the user.

#### TWENTY-ONE PICKUP: TESTING 4.9.4

An in-depth discussion of testing is well beyond the scope of this book. Thorough testing is both difficult and important. In general, you can't possibly test every conceivable input. Even if you restricted your testing to consider that, for all but the last move, the user could enter a value that is too big, a value that is too small, or one of three legal values, you would have approximately 3000 test sequences to verify. Obviously, a more systematic approach to testing is necessary.

One approach is called statement coverage. In this approach, you attempt to build a set of test cases such that when all test cases have been executed, each statement in the program has been executed at least once. If the set of tests causes every statement to be executed, the tests verify complete statement coverage. Unfortunately, complete statement coverage doesn't guarantee that the program is correct. For example, if we made the following minor typing error in the while condition in method getUserMove(),

```
while (move > numberOfStones || move < 0 || move > 3)
```

verification of complete statement coverage might not uncover the error. To detect this error we would need to enter a move of 0 and then observe that this erroneous move was allowed.

Another approach is called *branch coverage*. In this approach, you attempt to build a set of test cases such that, when all test cases have been executed, each possible branch has been taken at least once. Branch coverage isn't quite the same as statement coverage. For example, statement coverage doesn't require that a loop be forced to execute zero times, but branch coverage requires that the initial loop test be executed so that it branches both into the loop and around the loop.

For the program TwentyOnePickup, we can begin by building a test set that provides complete statement coverage. We then need to add additional tests to verify that each of the relational expressions is correct. Here is an outline of our test strategy.

#### **TEST STRATEGY**

- All statements in main() will be executed if we include at least one case wherein the user wins (moves last) and one wherein the computer wins.
- For computerMove(), all statements will be executed if we have a test case wherein the computer wins (the true branch of the outer if-else statement) and a test case wherein the computer removes one stone because numberOfStones % 4 is 0. In addition, we need a test case where numberOfStones % 4 isn't 0.
- The method playerMove() contains no branching statements, so any execution will cover all statements in the method.
- For getUserMove(), all statements will be executed if we provide at least one erroneous input value when more than three stones remain and one erroneous value when less than three stones remain. In addition, we need test cases that include a value that is too big and a value that is too small. We also need to test the end condition when less than three stones remain but the user enters 3.

Thus thorough testing of even this simple program requires a significant number of test cases.

## 4.10 RECURSION

A method is said to be recursive if it calls itself, either directly or indirectly. In its simplest form the idea of recursion is straightforward. Try the following program.

```
// Recur.java - recursive goodbye
public class Recur {
  public static void main(String[] args) {
    sayGoodBye(5);
  }

  static void sayGoodBye(int n) {
    if (n < 1) //base case
      System.out.println("######");
    else {
      System.out.println("Say goodbye Gracie.");
      sayGoodBye(n - 1); //recursion
    }
  }
}</pre>
```

This program prints

The general form of a recursive method body is

```
if (stopping condition)
                               //base case
  //do whatever at the end;
else {
                               //recursive case
  //execute recursive step
  RecursiveMethod(arguments);
}
A simple standard recursive method is factorial.
static long factorial(int n) {
  if (n \ll 1)
    return 1;
  else
    return (n * factorial(n - 1));
Suppose that we executed x = factorial(4); inside method main(). The sequence of method calls would
main() calls factorial(4).
  factorial(4) calls factorial(3).
    factorial(3) calls factorial(2).
      factorial(2) calls factorial(1).
        factorial(1) returns 1.
      factorial(2) returns 2.
    factorial(3) returns 6.
  factorial(4) returns 24.
  main() continues assigning 24 to x.
```

When the innermost call, factorial (1), is executing, it is like having four different copies of the factorial method that have each been started but none have yet returned. Each has its own copy of the formal parameter n, and each value of n is different. Recall that a formal parameter is like a local variable in that the scope is the body of the method. For this reason, the different versions of n don't interfere with each other.

The following table shows another view of factorial (4). First the base case is considered. Then working out from the base case, the other cases are considered.

METHOD CALL	VALUE RETURNED		
factorial(1)	1		= 1
factorial(2)	2 * factorial(1)	or	2*1 = 2
factorial(3)	3 * factorial(2)	or	3*2*1 = 6
factorial(4)	4 * factorial(3)	or	4*3*2*1 = 24

Simple recursive methods follow a standard pattern. Typically, a base case is tested for upon entry to the method. Then there is a general recursive case in which one of the variables, often an integer, is passed as an argument in such a way as to ultimately lead to the base case. In factorial(), the variable n was reduced by 1 each time until the base case with n equals 1 was reached.

Most simple recursive methods can be easily rewritten as iterative methods, as in

```
static long factorial(int n) { // iteratively
  int product = 1;
  for (; n > 1; --n)
    product = product * n;
  return product;
}
```

For a given input value, both factorial methods return the same value, but the iterative version requires only one method call regardless of the value passed in. Method call is an expensive operation, so, in general, iterative versions of methods are more efficient than their recursive versions.

## 4.11 PROBLEM SOLVING: MATHEMATICAL FUNCTIONS

In science, we frequently have an equation that describes some behavior, such as Newton's law; F = MA, for force equals mass times acceleration. For complicated equations, we need to use computation to study their behavior. For example, we might want to visualize an equation. To do so we might graphically plot it or print a table of values for it (see Exercise 15 on page 117).

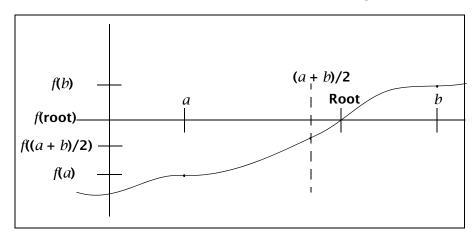
One interesting property of a function is to find a zero of a function in a given region. We might try to find it by computing a function at many points in the interval and find the point  $f(x_{root}) = 0$ . Consider finding a root for the quadratic equation  $f(x) = x^2 - 2$ . This computation might be partly described as follows:

```
// SimpleFindRoot.java - find the root of x*x - 2
//Version 1.0 Won't work- Why?
class SimpleFindRoot {
  public static void main(String[] args) {
    double a = 0.0, b = 10.0, x, step = 0.001;
    x = a; /* start at one end */
    while ( f(x) != 0.0 && x < b) {
        x = x + step;
    }
    if (x < b)
        System.out.println(" root is " + x);
    else
        System.out.println(" root not found");
}
static double f(double x) { return (x * x - 2.0);}
}</pre>
```

What's wrong with this approach? Although we are examining the interval in fairly small steps, we might not hit a zero exactly. (Try the preceding code.) We might be more likely to identify a zero if we make locating a zero less precise. We leave modifying this code to choose the x so that f(x) is nearest to zero for you to do in Exercise 13 on page 117. This new scheme is guaranteed to find a solution, but it has two weaknesses. One, it is a *brute-force* approach and is computationally expensive. Second, it can fail because a smallest f(x) might not be near a true zero.

A better method searches for a smallest interval that contains a zero, which is much safer numerically. This idea is based on the *mean value theorem* of calculus. Informally, when we plot a function on the x and y axes, keeping our pen on the paper, and on one side of the interval the function is above the x axis and on the other side of the interval the function is below the x axis, the pen must cross the x axis in that interval. In other words, for a continuous function, a zero exists in an interval (x, y) where f(x) f(y) < 0.

In our next method we make use of this observation. Further, we use the method of bisection to search the interval efficiently for a zero. This bisection problem-solving technique recurs in many areas of computer science. The idea is to check on the sign of the function evaluated in the center of the current interval and then to replace the existing search interval by one-half the size. We do so by replacing the relevant endpoint of the interval with the point just evaluated. For the code in this example, we assume that f(a) is negative and f(b) is positive for the initial interval endpoints, a and b. As shown in the figure, if the value of the function at the midpoint, f((a + b)/2), is negative, then the left endpoint, denoted by a, will be replaced with (a + b)/2.



```
//FindRoot.java - use bisection to find a zero
class FindRoot {
 public static void main(String[] args) {
    double a = 0.0, b = 10.0, eps = 0.00001;
    double root = 0.0 , residual;
    while (b - a > eps) {
      root = (a + b) / 2.0;
      residual = f(root);
      if (residual > 0)
                       // replace right endpoint
        b = root;
      else
                       // replace left endpoint
        a = root;
    System.out.println(" root is " + root);
 static double f(double x){ return (x * x - 2.0); }
```

Note that improvement in the accuracy of the interval is guaranteed for each evaluation. This improvement works out to a binary digit of accuracy.

# 4.12 METHOD OVERLOADING

In Section 4.3, *The return statement*, on page 84 we presented min() and max() methods that work with integer values. If we want these methods to work with values of type double, we must rewrite the methods. Here we rewrite min() and leave the rewriting of max() as an exercise. Instead of a and b, we use the parameters x and y, which is common practice when dealing with floats and doubles:

```
static double min(double x, double y) {
  if (x < y)
    return x;
  else
    return y;
}</pre>
```

Because Java supports method overloading, this definition of min() and the one from Section 4.3, *The return statement*, on page 85, that includes integer parameters can exist in the same class or program. These two definitions are distinguished by their signatures. A *method signature* is the name of the method along with the number and types of the parameters. Although not true for Java, some programming langagues also include the return type in the signature. For example, the two min() methods have differing signatures,

```
min(int, int) and min(double, double)
```

When the Java compiler encounters a call to min(), such as min(expr1, expr2), the compiler can determine which of the two min() methods to call, based on the types of the expressions, expr1 and expr2. For example, if both expressions result in values of type int, then int min(int,int) would be called; if both are type double, then double min(double,double) would be called. This procedure, known as *signature matching*, is based on the types of the parameters for the method but not on the return type. This ability to define multiple methods with the same name is called *method overloading*.

Overloading is an extremely useful feature. In languages without method overloading, programmers are frequently forced to choose contrived names for methods because another method with the preferred name already exists. Overloading is what makes it possible to call System.out.println() with a String or any of the primitive types. Actually, different println() methods exist for each of the different types. Without overloading, printString(), printInt(), printDouble(), and so on, would be needed.

Returning to our example min(), what would happen if there was a call to min() with one int value and one double value? The Java compiler would convert the int to a double and call the version of min() that takes double parameters. In general, the compiler first tries to find an exact match. If if can't find an exact match, then it performs widening primitive conversions to try to find a match. A widening primitive conversion is a conversion from one numeric type to another that is guaranteed to maintain the sign and most significant digits of the value being converted. For example, conversions from int to long or from int to float are widening primitive conversions. The former loses no information, and the latter may lose some significant digits; however, the most significant digits and the sign are preserved. For a detailed description of widening primitive conversion, see Section 2.10.2, Type Conversion, on page 32.

Sometimes the conversions can result in more than one possible match. Multiple matches usually result in a compile-time error, and the call is said to be *ambiguous*, as in

```
//AmbiguousOverload.java: won't compile
class AmbiguousOverload {
  public static void main(String[] args) {
    int i = 1, j = 2;
    System.out.println(ambig(i,j));
  }
  static boolean ambig(float x, int y){
    return x < y;
  }
  static boolean ambig(int x, float y){
    return x < y;
  }
}</pre>
```

An int can be converted to a float by a widening primitive conversion. The ambiguity arises because we can either convert i and match the first definition of ambig() or convert j and match the second definition. The definitions aren't inherently ambiguous; it is the call that is ambiguous. If j was instead declared to be a float, then the ambiguity would be eliminated and only the second definition would match the call. Explicit conversions, discussed in Section 2.10.2, *Type Conversion*, on page 32, can be used to resolve ambiguities such as this one. For example, changing the call to

```
System.out.println(ambig((float)i, j));
```

would result in a call to the first version of ambig().

Sometimes, widening conversions can lead to two matches but not be considered ambiguous. For example, suppose that signature Scall is the signature of the call and there are methods with signatures S1 and S2. If Scall can be converted to S1 using widening conversions and S1 can also be converted to S2 using widening conversions, then S1 is said to be more specific than S2. Visually the conversions looks like this.

Widening conversion Widening conversion Scall **►** S1 **S**2

In this case the method with signature S1, the more specific signature, will be called. Note that in the preceding example, AmbiquousOverload, neither of the two method signatures could be converted to the other by using widening conversions. In the following example, two matches can be found, but one is more specific than the other.

```
//UnambiguousOverload.java: no ambiguity here
class UnambiguousOverload {
 public static void main(String[] args) {
    int i = 1, j = 2;
    System.out.println(unAmbig(i,j));
  static boolean unAmbig(float x, float y){
    return x < y;
  static boolean unAmbig(double x, double y){
    return x < y;
}
```

Although the call could be converted, using widening primitive conversions to match either of the methods, the method that takes two float values is more specific and hence is the one called.

## 4.13 PROGRAMMING STYLE

Breaking a problem into small subproblems that are then coded as methods is crucial to good programming style. To be easily readable, a method should be at most a page of code. Except for a few cases where the purpose of a method is trivially obvious from the choice of identifier names, methods should begin with an opening comment. In this book, however, we generally omit such comments because they would be redundant with the surrounding expository text. The opening comment should include a description of the input parameters and the return value. The parameter names should be chosen to identify clearly the purpose of the parameter. In some cases, well-chosen parameter names can eliminate the need for any further explanation.

To encourage well-documented code, the standard Java tool set includes a program, javadoc, that can be used to create automatically HTML formatted documentation from stylized comments. Recall that HTML is the text formatting language for the World Wide Web. Here are sample *javadoc* comments for two of the methods for CoinToss presented earlier in this chapter. Note the comments begin with /\*\*. The strings beginning with @ are keywords in *javadoc*. There are more keywords for *javadoc* than the two used in this example. Consult the documentation with your system for additional details.

```
public class CoinToss {
   * Calculate the probability of throwing 4 heads in a row.
   * 10000 trials are run and the probability is estimated as the fraction
   * of trials that successfully tossed 4 heads in a row.
  public static void main(String[] args) {
```

```
/**

* Simulate an attempt to roll n heads in a row.

* @param numTosses The number of times to toss the coin.

* @return true if the next numTosses are all heads

* false otherwise

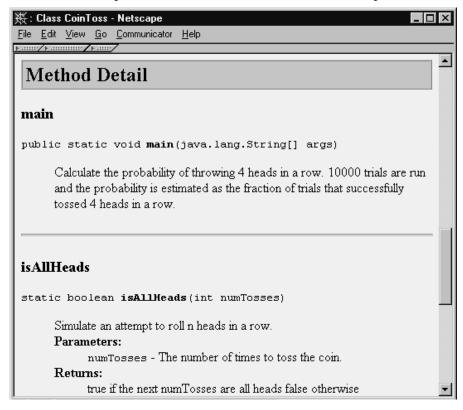
*/

static boolean isAllHeads(int numTosses)
```

Running *javadoc* on the source file *CoinToss.java* will generate a file *CoinToss.html*. This file can be viewed with any browser. By default, *javadoc* generates documentation only for public classes and public methods. We discuss access modifiers and the keyword public in Section 6.7, *Access public and private: Data Hiding*, on page 167. To get *javadoc* to generate documentation for classes and methods that don't have an access modifier such as public, use the command

javadoc -package CoinToss.java

The flag **-package** is used because a method or class with no access modifier is said to have package access. The following screen shot shows a portion of *CoinToss.html* viewed with Netscape.



Another stylistic choice is the order in which method definitions occur in a class. It is usually a matter of taste whether a programmer writes main() followed by the other method definitions, or vice versa. If a programmer is doing top-down development, however, it is natural to start with main().

It is considered good programming style to have only a few return statements in a given method. In fact, the best choice is usually a single return as the last statement in the method. If there are many return statements, the logic of the code may be difficult to follow.

#### **SUMMARY**

- A simple program is made up of one or more static methods contained in a class, one of them being main(). In these simple cases, program execution begins with main(). When program control encounters a method name followed by parentheses, the method is called, or invoked. This means that program control passes to the method.
- Structured programming is a problem-solving strategy and a programming methodology that strives for simple flow of control and involves the use of top-down design. Also referred to as stepwise refinement, top-down design consists of repeatedly decomposing a problem into smaller problems.
- The software life cycle generally includes requirements analysis and definition, design, implementation, testing, and maintenance.
- A long program should be written as a collection of methods, each one being, in general, no longer than a page. Each method should cover some small task as part of the overall problem.
- A variable declared in the body of a method is called a local variable. The scope of a variable begins at the point it is declared and continues to the end of the block containing the declaration. The scope of formal parameters is the entire method body. The scope of a variable declared in the initialization expression of a for statement is the entire for statement.
- A programmer creates a method by writing a method definition, which consists of a header and a block, also known as the method body. The header consists of the type returned by the method, the method name, and a comma-separated list of declarations of parameters enclosed by parentheses. The body consists of a block of statements.
- When a method is called, program control is passed to the method. When a return statement is executed or the end of the method is reached, control is passed back to the point of the call. If a return statement contains an expression, the value of the expression is also passed back to the point of the call.
- In Java, all arguments of primitive types are passed call-by-value. That is, when a variable of primitive type is passed as an argument to a method, although the formal argument in the method can be changed, the value of the actual argument remains unchanged in the calling environment.
- Java provides the method Math.random(), for random doubles in the interval [0.0, 1.0).
- A method that calls itself directly or indirectly is said to be recursive. Many programming problems can be solved with recursion, although an iterative solution is often more efficient.
- Java methods can be overloaded. In other words, several methods can have the same name but with different signatures. The signature includes the name of the method and the parameter types.

## **REVIEW QUESTIONS**

- 1. Within a class, is the order of method definitions important? Why or why not?
- 2. True or false? When passing parameters, the name of the actual parameter must match the name of the formal parameter.
- 3. What is the significance of void in the following statement?

#### public static void printMessage()

- 4. Give an example of method overloading.
- 5. What is the output of the following program?

```
class PracticeProblem {
  public static void main (String[] args) {
    int x = 10, y = 5;
    printProduct(x, y);
    x = 2;
    printProduct(x + y, x);
}

static void printProduct(int x,int y) {
    System.out.print("The Product of " + x);
    System.out.print(" and " + y + " is ");
    System.out.println(x * y);
}
```

- 6. A method definition must always specify what important aspect of each formal parameter?
- 7. Where do you specify the type of expression that must follow the keyword return?
- 8. Does writing a method that has several hundred lines of code in its definition violate top-down programming? Explain.
- 9. The expression (int) (n \* Math.random()) % 10 generates integers in what range?
- 10. What is printed by the following program?

```
class Fcn {
  static int foo(int a, int b) { return (a + b); }
  static int goo(int x) { return (x * x); }
  public static void main(String[] args) {
    int i = 2;
    System.out.println("foo = " + foo(i, 3));
    System.out.println("foo = " + foo(i, 4));
    System.out.println("goo = " + goo(i));
    System.out.println("goo = " + foo(goo(i), i++));
  }
}
```

- 11. What are two static methods you have used from the Java libraries?
- 12. The *javadoc* program is used for what? When using it, why should you use the comment style /\*\* Remember Me? \*/?
- 13. What syntax errors are in the following program?

```
class Scope {
  public static void main(String[] args) {
    int x = 1;
    {
      int x = 2;
      int y = 3;
    }
}
```

```
System.out.println("x = " + x);
      System.out.println("y = " + y);
    }
    System.out.println("x = " + x);
    System.out.println("y = " + y);
 }
}
```

- 14. Which step of the software life cycle is often the longest and most costly?
- 15. Name two different approaches to software testing.
- 16. What is printed by the following program?

```
class RecursionExercise {
 public static void main(String[] args) {
    for (int i = 0; i < 10; i++)
      System.out.println(recurse(i));
  static long recurse(long n) {
    if (n \ll 0)
      return 1;
    else
      return 2 * recurse(n - 1);
}
```

#### EXERCISES

1. Rewrite the class Message in Section 4.1, Method Invocation, on page 82 so that it prints out a personalized happy birthday greeting.

```
Message for FILLIN: Happy Birthday to you!
                    You are XX years old!
                    Have a nice year!
```

Be sure that it handles the special case of a one-year-old, printing You are 1 year old!

- 2. Rewrite the class Message2 in Section 4.2, Static Method Definitions, on page 83 to read from the keyboard the value n that will be passed to the method printMessage().
- 3. Write a static method square() that will take an integer and return its square and a static method cube() that will take an integer and return its cube. Use your square() and cube() methods to write the methods quartic() and quintic() that return the fourth and fifth power of an integer, respectively. Use your methods to write a program that prints a table of powers of integers from 1 to 25. The output of your program should look like

```
A TABLE OF POWERS
------

Integer Square Cube Quartic Quintic
------

1 1 1 1 1 1
2 4 8 16 32
....
```

- 4. Write the method static double max(double x, double y). This method can be defined in the same class as static int max(int x, int y) because the argument types of the two methods are different.
- 5. Modify isAllHeads() to compute the probability using an unfair coin that comes up heads with probability 0.8. How do fair and unfair coins compare for producing five heads in a row? If you know the probability theory for this case, work it out theoretically. Does the theoretical result agree with your computational experiments?
- 6. Modify isAllHeads() to compute almostAllHeads(int n, int k), which is true if at least k out of n heads are tossed. Test it by computing the probability that you would toss at least 6 of 10 heads.
- 7. Modify the coin toss simulation to compute the probability that you get 5 of 10 heads exactly.
- 8. Modify Exercise 14 on page 77 in Chapter 3, to have a method drawBox() that takes the width and height of the box in asterisks as formal parameters. Modify the program further to include three methods: drawLine(), drawSides(), and drawBox(). The method drawLine() will take two parameters: the length of the line and a String that will the method will print length times to draw the line. The method drawSides() will take three parameters: the height of the sides, the width of the box that will be formed (both lines must be drawn at the same time), and a String used to represent a side. The method drawBox() will take three parameters: the width and height of the box and a string used to represent the sides. For example, if called as drawBox(5,4,"Java"), the output would be

```
JavaJavaJavaJava
Java Java
Java Java
JavaJavaJavaJava
```

- 9. Write a weather prediction program using the random number generator. Three outcomes should be possible: rain, sun, or cloudy. Assume that each outcome occurs one-third of the time.
- 10. Write a fortune-telling program, using the random number generator to pick 1 of at least 10 fortunes. Use the switch statement in the following way.

```
switch((int)(10 * Math.random()) {
  case 0: ... break;
  case 1: ... break;
  //more cases with fortunes
  case 9: ... break;
}
```

- 11. Write a program to print the values of  $F(x) = x^2 2$  for the range 0 < x < 10. Print the values in this range at a step size of 0.01. Also find the largest and smallest value of F(x) in this interval.
- 12. Modify SimpleFindRoot to find x, such that F(x) is nearest to zero. You can use the static method Math.abs() to solve this problem.

- 13. Modify FindRoot to solve for a root of  $F(x) = e^{x^2} 10$ . Use as your starting interval (0, 5). How do we know this interval will work? (The answer can be found in Section 4.11, *Problem Solving: Mathematical Functions*, on page 108.) The class java.lang.Math has a method Math.pow(double n1, double n2) that computes n1 raised to the n2 power. The class also defines the constant Math.E.
- 14. A further method for finding roots employs a Monte Carlo search. A random point in the search interval is chosen. The function is evaluated at this point. If the result is closer to zero than the value of the function for any previous point, then this point is kept as the current candidate solution. The more points evaluated, the greater becomes the confidence in the final solution. This approach is similar to Simple-FindRoot and is a brute force, computationally expensive method. It avoids some subtle problems because it chooses its candidate points at random. Write such a method and use 100 points in the interval 0 through 5 to solve for a root of  $F(x) = e^{x^2} 10$ . Now try the method with successively 100 and 10,000 points. Observe how slowly the accuracy of the root improves compared to that of the bisection method.
- 15. Write a program that allows the user to play the game of Craps, which is played with two dice. A simple version of the game between one player and "the house" can be described as follows.

#### PSEUDOCODE FOR DICE

- 1. The player bets some amount of money.
- 2. The player throws the dice.
- 3. If the dice total 2 or 12 the player loses the bet and play starts again at step 1.
- 4. If the dice total 7 or 11 the player wins the amount of the bet from the house and play starts again at step 1.
- 5. If the dice total any other value, this value is called the point.
- 6. The player continues to roll the dice until they total either 7 or the point.
- 7. If the dice total is 7 the player loses the bet; otherwise, the player has made the point and wins the amount of the bet from the house. In either case, play starts again at step 1.

Play continues until the player indicates that he or she wants to quit or until the player runs out of money. Before you begin to write the code for this program, you should develop a design. Convert the description of play to pseudocode and identify the primary methods that you'll need. You may even need to refine the specification some more first. For example, how much money does the player start with? To simulate the roll of the dice, the expression

```
(int)(Math.random() * 6) + 1
```

will evaluate to a random integer of 1 through 6. You must simulate rolling each die separately. Generating a random number in the range 2 through 12 isn't sufficient.

- 16. Write and test a method that will recursively print all the characters from 'a' through 'z'. Remember that each character is one more than the previous.
- 17. Write and test a method that recursively prints the characters from first through last:

```
static void printRange(char first, char last)
//example if first = 'c' and last = 'g' then
//prints c d e f g
```

(*Hint:* recur on the variable first.)

18. *Fibonacci Recursion*: Many algorithms have both iterative and recursive formulations. Typically, recursion is more elegant and requires fewer variables then does iteration to make the same calculation. Recursion takes care of its bookkeeping by stacking arguments and variables for each invocation. This stacking of arguments, although invisible to the user, is still costly in time and space. Let's investigate efficiency with respect to the calculation of the Fibonacci sequence, which is defined recursively by

```
f_0 = 0, f_1 = 1,

f_{i+1} = f_i + f_{i-1} for i = 1, 2, ...
```

Except for  $f_0$  and  $f_1$ , every element in the sequence is the sum of the previous two elements. The sequence begins 0, 1, 1, 2, 3, 5, ... Here is a function that computes Fibonacci numbers recursively:

```
static long fibonacci(int n) {
  if (n <= 1)
    return n;
  else
    return (fibonacci(n - 1) + fibonacci(n - 2));
}</pre>
```

Write a class that tests this recursive calculation. Choose a large enough n, say, n = 40, to see the results of the computation on the screen where the calculation visibly slows. It begins to slow in the range n > 35 on a 400 megahertz Pentium.

Now write an iterative version of this same algorithm and run it up to the same n or larger. It should run instantaneously. Explain why.

#### **APPLET EXERCISE**

In the Applet Exercise at the end of Chapter 2, *Program Fundamentals*, you explored how to do simple drawing using an applet. Methods let you easily create more complicated drawings. For example, the standard java method drawOval() from the class Graphics can draw an oval of any size, placed anywhere on the visible area of an applet. By creating methods to draw a shape with its size and position controlled by parameters, you can make complicated drawings much more simply. The following applet uses the standard Java method fillRect() from the class Graphics to draw a simple chair.

```
/* <applet code="DrawChairs.class" width=150 height=120></applet> */
import java.awt.*;
import javax.swing.*;
public class DrawChairs extends JApplet {
 public void paint(Graphics g) {
    drawChair(10, 10, 50, 100, g);
    drawChair(70, 10, 25, 50, g);
    drawChair(105, 10, 10, 20, g);
  }
  /**
   * drawChair draws a chair at the specified position,
       scaled to the specified size
   * @param left The distance in from the left edge.
   * @param top The distance down from the top.
   * @param width The width of the chair seat.
   * @param height The height of the chair back.
   * @param Graphics The object to draw on.
  void drawChair(int left, int top, int width, int height, Graphics q) {
    // thickness of the legs, back and seat
    int thickness = width / 10 + 1;
    // draw the seat
    g.fillRect(left, top + height / 2, width, thickness);
```

```
// draw the back and back leg
    g.fillRect(left + width - thickness, top, thickness, height);
    // draw the front leg
    g.fillRect(left, top + height /2 , thickness, height / 2);
 }
}
```

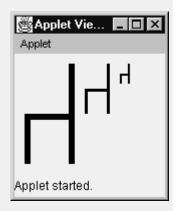
#### Dissection of the *DrawChairs* Applet

```
/* <applet code="DrawChairs.class" width=150 height=120></applet> */
import java.awt.*;
import java.applet.*;
public class DrawChairs extends JApplet {
```

As with the applet exercises in previous chapters, this code is just part of the template. We explain the details later in Chapter 8, and Chapter 9, Graphical User Interfaces: Part II. For your own applets, you need only change the name of the applet DrawChairs to something appropriate. Be sure and change both occurrences of DrawChairs in the template. Also, you may decide to change the width and height of your applet. Unlike the applet in Chapter 3, on page 78, this applet doesn't respond to user input, so you don't need import java.event.\* and implements ActionListener as you did in that earlier exer-

```
public void paint(Graphics g) {
    drawChair(10, 10, 50, 100, g);
    drawChair(70, 10, 25, 50, g);
    drawChair(105, 10, 10, 20, g);
  }
```

This method is called when it is time for the applet to be displayed. For this particular applet, this is the top-level method, which is somewhat like main() in other programs. Here we call our new method drawChair() three times, drawing three different-sized chairs in three different locations. Here is what it looks like when executed.



void drawChair(int left, int top, int width, int height, Graphics g)

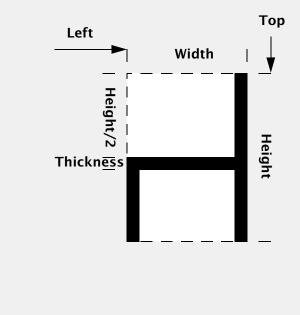
Our method drawChair() takes the same four parameters as drawOval(): the coordinates of the upper left corner and the width and the height of an imaginary box drawn around the chair. In addition, our drawChair() method must be passed the Graphics object upon which the drawing is to be made.

```
int thickness = width / 10 + 1;
```

To make our chair scale properly, we set the thickness of the chair seat, legs, and back to be about 10 percent of the overall width of the chair. The +1 ensures that the thickness is always at least 1. Recall that, if width is less than 10, then the expression width / 10 will be 0.

```
// draw the seat
g.fillRect(left, top + height/2, width, thickness);
// draw the back and back leg
g.fillRect(left + width - thickness, top, thickness, height);
// draw the front leg
g.fillRect(left, top + height / 2, thickness, height / 2);
```

The method fillRect() draws a filled-in rectangle. The parameters for fillRect() are the same as the parameters for drawOval(), namely, the coordinates of the upper left corner of the rectangle, the width, and the height. You can also draw an outline of a rectangle with the method drawRect() and a filled-in oval with fillOval(). The following drawing shows the relationships of the various values used to draw the chair



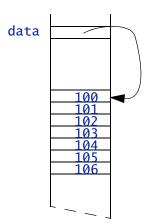
Modify the applet DrawChairs to include methods similar to drawChair() that draw a table and a vase. Your methods have to allow the shapes to be drawn anywhere and at any size. Each shape should be placed inside an imaginary box. Then use your methods to draw a picture that includes at least two tables, two chairs, and two vases, each a different size. You should first work out your drawing on paper with a figure like the one above for the chair.

# **ARRAYS AND CONTAINERS**

So far we've been dealing with distinct variables for referring to any particular stored value. However, there are many collections of similar values in everyday situations—for example, students' test scores or the social security numbers of employees. An array in Java is a data structure that stores and processes such related values. An array is a *container* that holds a related group of values of the same type. For example, you can use an array of integers to represent student test scores. In addition to a built in array type, Java's library also has a large number of standard containers, such as *ArrayList* and *Vector*.

# 5.1 ONE-DIMENSIONAL ARRAYS

In Java, the elements in an array are always numbered 0 through n - 1 where n is the number of individual items or elements in the array. The elements in an array are ordered, and the position of an element in the array is called the element's index. The main reason for starting at 0 is to make Java arrays follow the same convention as that of C and C++. Arrays of values are arranged in contiguous memory locations. The name of the array is viewed as referring to the first element in the array. To find the address in memory of a specific element, the computer can add the element's index to the address of the location of the first element. The following figure shows an array of seven integers having the values 100 through 106. The array is being referred to by the variable data.



The variable data tells the computer where the first element in the array is located (indicated by the arrow). To find the value at index 3 in the array the computer locates the first element and then moves down three locations. In this case the element at index 3 is 103.

You declare array variables by specifying a type followed by the name of the variable. For any type T in Java, T[] is the notation for the type: array of Ts. Some examples of array variable declarations are

```
int[] data; //reference to array of ints
String[] sentence; //reference to array of Strings
```

These are reference declarations. As the preceding figure suggests, it contains a reference or pointer to the actual array data. All variables in Java are either primitive type variables or reference variables. *Reference variables* are declared with a class type or are declared with an array type. No actual arrays are created by the declarations shown. Arrays are created by using the operator new. The general syntax for creating an actual array and assigning an array variable to refer to the newly created array is

```
someArrayVariable = new type [ length ] ;
```

Execution of this statement will evaluate *length*, an integer expression, and then create an array with *length* elements of type *type*. The array variable *someArrayVariable* is assigned to reference this newly created array object. For example, let's assume that we use v = new int[n] to allocate an array v. After this statement has been executed, the int variables v[0], v[1], v[2],..., v[n - 1] come into existence and can be used by the program. Once an array has been created, its size may not be changed. However, an array variable may be changed to refer to a *different* array that is a different size (see Section 5.4, *Array Assignment*, on page 127).

Here are some more examples.

In the same way that other variables can be declared and assigned initial values in one statement, array variables can be declared and assigned initial values in one statement, as in

```
int[] data = new int[100];
String[] names = new String[1000];
```

#### 5.1.1 INDEXING AN ARRAY ELEMENT

To manipulate each individual element we use *subscripting* or *indexing*. Let's assume that declarations of the form

```
int[] someArray = new int [length];
int i;    //used to index or subscript array
```

have been made. Then we can write <code>someArray[i]</code> to access an element of the array. More generally, to access an element of the array we may write <code>someArray[expr]</code>, where <code>expr</code> is an integral expression. We call <code>expr</code> a <code>subscript</code>, or <code>index</code>, of <code>someArray</code>. The value of a Java subscript should lie in the range 0 through <code>length - 1</code>, where <code>length</code> is the number of elements in the array.

#### 5.1.2 ARRAY INITIALIZATION

Arrays have their individual elements automatically initialized when allocated. Primitive numeric types are initialized to 0, and the type boolean is initialized to false. All other types are reference types and are initialized to the special value null, which signifies that the element doesn't currently refer to anything. Once an array has been created, we can assign specific element values using assignment, as in

```
int[] a = new int[2]; //create a[0] = a[1] = 0
                      //a[0] now has integer value 10
a[0] = 10;
a[1] = 20;
                      //a[1] now has integer value 20
```

For convenience, Iava provides a way to declare, create, and initialize an array in one statement, Arrays can be initialized by a comma-separated list of expressions enclosed in braces. The compiler uses the number of expressions as the size for the array. Hence we can more succinctly declare and initialize the preceding assignments as

```
int[] a = {10, 20}; //allocates and initializes
```

The following simple program initializes an array, prints its values, and computes its sum and average value.

```
// ArraySum.java - sum the elements in an array and
      compute their average
class ArraySum {
 public static void main(String[] args) {
    int[] data = {11, 12, 13, 14, 15, 16, 17};
    int sum = 0;
    double average;
    for (int i = 0; i < 7; i++) {
      sum = sum + data[i];
      System.out.print(data[i] + ", ");
    average = sum / 7.0;
    System.out.printf("\n sum = %d average = %f\n", sum, average);
}
```

#### Dissection of the ArraySum Program

```
int[] data = {11, 12, 13, 14, 15, 16, 17};
```

The variable data is declared to refer to an array of integers. It is allocated seven integer elements, which are initialized to the values 11 through 17.

```
• for (int i = 0; i < 7; i++) {
```

The for statement declares the local variable i to be used as an index or subscript variable. This for statement is the most common array code idiom. The initial subscript for array objects in Java is 0, so the subscript variable is usually initialized to 0. The array length is 7, so the terminating condition is usually i < 7 so that the loop will stop after executing the loop body with the array index set to 7 - 1. The last part of the for statement header is the increment of the index variable, ensuring that each array element gets processed in turn.

```
sum = sum + data[i];
  System.out.print(data[i] + ", ");
```

The element data[i] is selected by computation of the index value. A common error that results is for the index value to be out of range. These subscripted or indexed elements can be used as simple variables of type int. In this code, each element's integer value is added to the variable sum. Then, in turn, each element's value is printed.

```
11, 12, 13, 14, 15, 16, 17,
sum = 98 average = 14.0
```

#### 5.1.3 ARRAY MEMBER LENGTH

Once created, an array stores more than just the elements of the array. The most important extra information now is the length of the array. The expression <code>arrayVariable.length</code> can be used to determine the number of elements allocated to an array. It will be an integer value greater than or equal to 0. A common use of the length member for an array is in a <code>for</code> statement header. Using <code>arrayVariable.length</code> is preferable to coding a particular integer constant or other expression. In the class <code>ArraySum</code>, the <code>for</code> statement should be rewritten as

```
for (int i = 0; i < data.length; i++) {
  sum = sum + data[i];
  System.out.print(data[i] + ", ");
}</pre>
```

which is our preferred style. It is less error prone than writing an explicit integer or specific integer expression. If we insert additional elements in the array initialization, no other changes are needed.

#### **COMMON PROGRAMMING ERROR**

An array subscript value outside the range 0 through <code>length - 1</code> will cause the program to abort with an error message about an <code>IndexOutOfBoundsException</code>. When that happens, the condition is called "overrunning the bounds of the array" or "subscript out of bounds." In Chapter 11, <code>Coping with Errors</code> we discuss how programs can catch these errors at run time and attempt to recover. Java, unlike some other popular programming languages, is much easier to debug because this common error is caught by the system, which displays an informative message.

A common error that is more difficult to detect is to begin or end the array computation with the wrong element. It is sometimes called the "off-by-one error." The following code shows this error.

```
for (int i = 1; i < data.length; i++)
sum = sum + data[i]; //forgot to start with i=0</pre>
```

# 5.2 THE FOR ITERATOR STATEMENT

Java 1.5 comes with an *iterator* statement. An iterator is a device that automatically traverses and retrieves each element value of a container, such as an array. This is a very important methodological improvement to the language, because it avoids many common program errors when processing arrays and other containers.

The syntax of the iterator statement is:

```
for ( Type Identifier : Iterable Expression)
    statement
```

An iterable-expression can be an array name, or as we shall see, other container names that conform to the interface <code>java.lang.Iterable</code>.

Here is a simple use replacing the for loop in the previous code example.

```
for (int d : data) {
 sum = sum + d; //replaces data[i] with d
 System.out.print(d + ", ");
}
```

Note, that without indexing it is not possible to make an indexing error. However this construct is not as flexible as the usual for loop with indexing. First, we cannot readily or efficiently do a subset of indexing, such as looking at the even indexed elements. Second, we cannot mutate the elements of the container. These restrictions still leave this a very powerful construct.

#### 5.3 PASSING ARRAYS TO METHODS

In the previous section, we had code that summed the integer array data in main(). This standard action is better packaged as a method so that it can be generally reused. The following program contains a method sum() to compute the sum of the elements in an array of integers.

```
// ArraySum2.java - sum the elements in an array
   using a method
class ArraySum2 {
 public static void main(String[] args) {
    int[] data1 = \{1, 2, 3, 4, 5, 6, 7\};
    int[] data2 = \{16, 18, 77\};
    System.out.println("data1:" + sum(data1));
    System.out.println("data2:" + sum(data2));
  }
 // sum the elements in an array
 static int sum (int[] a) {
    int sum = 0;
    for (int d: a)
      sum = sum + d;
    return sum;
```

The output of this program is

```
data: 28
data2:111
```

The length field of each array allows the sum() code to index properly each array. In the first invocation, sum(data1), the seven array elements of data1 are summed. In the second invocation, sum(data2), the method sums the three elements of data2.

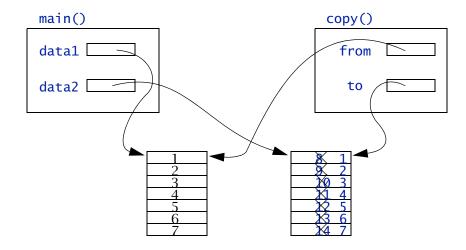
As discussed in Section 4.8, Invocation and Call-By-Value, on page 96, Java passes parameters by value. Recall that arrays are reference types. Thus, although you may casually think of the value of an array such as data1 in the preceding example, as the entire array of values, in fact the "value" of the variable data1 is simply a reference to the array of values. So think of the array variable as storing the address of the actual array data. This address, not the array data, is passed by value when an array is passed to a method.

One of the reasons for this style of parameter passing with arrays is that arrays are often very large. Passing references to the array instead of the entire array of values enables programs to execute much faster. Each time an array is passed as a parameter, all the data values in the array need not be copied into the method—only the address of the array is copied.

An important consequence of the fact that array references, not the entire array of values, are passed is that we can pass an array variable to a method and have the method modify the contents of the array. This result is called a *side effect* because a side effect of calling the method is that the array's contents are changed. The following program contains a method for copying the values of one array into another array.

```
// TestCopy.java - demonstrate that array
      parameters can be modified
class TestCopy {
  public static void main(String[] args) {
    int[] data1 = \{1, 2, 3, 4, 5, 6, 7\};
    int[] data2 = \{8, 9, 10, 11, 12, 13, 14\};
    copy(data1, data2);
    System.out.println("data1:");
    for (int d: data1)
      System.out.println(d);
    System.out.println("data2:");
    for (int d: data2)
      System.out.println(d):
  static void copy(int[] from, int[] to) {
    for (int i = 0; i < from.length; i++)</pre>
      to[i] = from[i]; //mutates so we use indexing
}
```

The call to <code>copy()</code> changes the integer values stored in the elements of the array <code>data2</code>. The elements of the array <code>to</code> inside <code>copy()</code> are <code>mutable</code> or changeable. Recall that a primitive type variable, when passed as a parameter, can't be changed by the called method, although the copy in the called method can be changed. In contrast, use of an array reference parameter can lead to changes in array element values in the calling environment. When a parameter, such as the second parameter to <code>copy()</code>, is used to pass information back to the calling environment, the parameter is called an <code>output parameter</code>. It is so called because the parameter is used to send information from the method. This action is shown graphically in the following illustration. The arrows indicate that the array variables are referring to array objects. Although not shown, each array object contains the length of the array, followed by the elements of the array. When the method <code>copy()</code> is called, the <code>values</code> in the boxes labeled <code>data1</code> and <code>data2</code> are copied into the boxes labeled <code>from</code> and <code>to</code>. Recall also that the value is the reference to the array, not the array of values. The crossed out values show how the old element values in <code>data2</code> or <code>to</code> (both refer to the same array of values) are replaced during the execution of the body of method <code>copy()</code>.

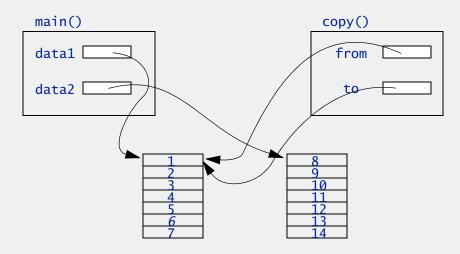


#### COMMON PROGRAMMING ERROR

As with other variables, direct modification of an array parameter has no effect at the point of the call. To demonstrate this lack of effect, let's suppose that the following method was substituted for the copy() in the class TestCopy.

```
static void copy(int[] from, int[] to) {
 to = from:
               // failed attempt to copy an array
```

Using this method, as the comment suggests, wouldn't perform the desired copy operation. In fact, calling the latter copy() method would have no effect outside the method. Nor would the arrays data1 and data2 in main() be affected. The result of calling the defective copy() method is shown in the following diagram. It represents the state of the computer's memory after the assignment statement to = from in copy().



As shown, the variable to is made to refer to the array referred to by the variable from and data1, but no change is made to the contents of either array or to data2.

#### 5.4 ARRAY ASSIGNMENT

Although we can't change the size of an array once it has been allocated, we can assign one array to another. The failed copy() method in the previous section assigned one array variable to another in to = from. If the array referred to by from wasn't the same size as the one originally referred to by to, then we could say the size of to had been changed. Consider the following code excerpt.

```
int[] a1 = \{10, 20, 30\};
                              //3 element array
int[] a2 = \{1, 2, 3, 4, 5\}; //5 element array
            //now both refer to the same 5 elements
a1 = a2:
```

Note that this code fragment makes the arrays a1 and a2 physically the same array; a1[0] is in the same location in the computer's memory as a 2 [0], and changing one will change the other. If instead you want to create a new array from an old array, you need to allocate a new array and assign each element from one array to the other. The following method could be used to duplicate a one-dimensional array of integers.

```
static int[] duplicate(int[] a) {
  int[] theCopy = new int[a.length];
  for (int i = 0; i < a.length; i++)
    theCopy[i] = a[i];
  return theCopy;
}</pre>
```

The following statement could be used to make a duplicate of the array a2. Let's assume that a1 and a2 were declared as previously shown.

```
a1 = duplicate(a2); //now two arrays containing 1 to 5
```

For one-dimensional arrays, a built-in mechanism produces an identical copy of an array. A method clone() is defined for all arrays so that

```
a1 = (int[])a2.clone(); // built in array "copy"
```

has the same effect as the use of duplicate().

## 5.5 FINDING THE MINIMUM AND MAXIMUM OF AN ARRAY

We can use the following program to illustrate some of these ideas. The program reads in an array of values, prints out the values, and finds the minimum and maximum elements.

```
// ArrayTest.java - minimum and maximum of an array
import java.util.*;
class ArrayTest {
  public static void main(String[] args) {
    int[] data;
    int n;
    Scanner scan = new Scanner(System.in);
    System.out.println("Enter size of data[]:");
    n = scan.nextInt();
    data = new int[n];
    System.out.println("Enter " + n + " integers:");
    readArray(data, scan);
    printArray(data, "My Data");
    System.out.println("minimum is " + minimum(data) +
                          maximum is " + maximum(data));
  }
  // fill an array by reading values from the console
  static void readArray(int[] a, Scanner scan) {
    for ( int i = 0; i < a.length; i++) {
      a[i] = scan.nextInt();
    }
 }
 // find the maximum value in an array
  static int maximum(int[] a) {
    int max = a[0]; //initial max value
    for (int d : a)
      if (d > max)
        max = d;
    return max;
```

```
// find the minimum value in an array
  static int minimum(int[] a) {
    int min = a[0]; //initial min value
    for (int d: a)
      if (d < min)
        min = d;
    return min;
 // print the elements of an array to the console
  static void printArray(int[] a, String arrayName) {
    System.out.println(arrayName );
    for (int d : a)
      System.out.print(d + " ");
    System.out.println();
 }
}
```

Note that this program contains a series of useful methods, all of which are applicable to the basic container class, integer array. The code also shows the power of the various for statements as an iterator mechanism for traversing the array container, processing each element in turn.

## Dissection of the ArrayTest Program

```
int[] data;
  int n;
  Scanner scan = new Scanner(System.in);
  System.out.println("Enter size of data[]:");
  n = scan.nextInt();
  data = new int[n];
```

The array reference variable data is initially null. We dynamically create the array based on user input, namely, the input assigned to n.

```
static int maximum(int[] a) {
   int max = a[0]; //initial max value
   for (int d : a)
     if (d > max)
       max = d;
   return max;
 }
```

The maximum value is found by a linear search of the array. One element at a time is tested to determine whether it improves on the maximum value. A single for iterator statement processes the entire array.

## 5.6 A SIMPLE SORTING METHOD

Sorting is a very useful problem-solving technique. The question of how to sort information efficiently is an important area of computer science theory and practice. Efficient sorting algorithms typically require approximately  $n \log(n)$  comparisons to sort an array with n elements. The selection sort, presented below, is inefficient because it requires  $n^2$  comparisons; nonetheless, for small arrays its performance is usually okay.

```
// SelectionSort.java - sort an array of integers
class SelectionSort {
  public static void main(String[] args) {
    int[] a = \{7, 3, 66, 3, -5, 22, -77, 2\};
    sort(a);
    for (int d : a){
      System.out.println(d);
    }
  }
  // sort using the selection sort algorithm
  static void sort(int[] data) {
          next, indexOfNext;
    for (next = 0; next < data.length - 1; next++) {</pre>
      indexOfNext = min(data,next,data.length - 1);
      swap(data, indexOfNext, next);
    }
  }
  // find the index of the smallest element in
  // a specified range of indices in an array
  static int min(int[] data, int start, int end) {
    int indexOfMin = start; // initial guess
    for (int i = start+1; i \le end; i++)
      if (data[i] < data[index0fMin])</pre>
        indexOfMin = i; // found a smaller value
    return indexOfMin;
  // swap two entries in an array
  static void swap(int[] data, int first, int second){
    int temp;
    temp = data[first];
    data[first] = data[second];
    data[second] = temp;
  }
}
```

## Dissection of the SelectionSort Program

```
public static void main(String[] args) {
    int[] a = \{7, 3, 66, 3, -5, 22, -77, 2\};
    sort(a):
    for (int d : a){
      System.out.println(d);
```

This program creates a sample array, passes the array to the method sort(), and then prints the array. Before the call to sort(), the values in the array are clearly not in increasing numerical order. After the call to sort() the values in the array will be in increasing numerical order.

```
static void sort(int[] data) {
    int next, indexOfNext;
    for (next = 0; next < data.length - 1; next++) {</pre>
      indexOfNext = min(data,next,data.length - 1);
      swap(data, indexOfNext, next);
    }
```

This method does the actual sort. It is called a *selection sort* because the algorithm first finds the smallest value in the array, selects it, and puts it in its proper position. The algorithm then selects the smallest value of those remaining and so on until all values have been selected. Each iteration of the for loop selects the smallest value of the remaining values—those with indices between next and data.length - 1. The first iteration selects the smallest value from the entire array because next will be 0. We have written a method, min(), to do the work of finding the index of the smallest element over a range of indices. The method swap() moves the selected element, the one with index indexOfNext, into its proper position, next, by swapping it with whichever element is currently next. The operation of sort() is illustrated for the data in this program, following this dissection of SelectionSort.

```
static int min(int[] data, int start, int end) {
  int indexOfMin = start; // initial guess
  for (int i = start + 1; i <= end; i++)
    if (data[i] < data[indexOfMin])</pre>
      indexOfMin = i; // found a smaller value
  return indexOfMin;
}
```

This method finds the index of the smallest value in array data, considering only the values with indices between start and end, inclusive. This method is similar to the min() method discussed earlier; however, the one here doesn't look at the entire array. For the program SelectionSort, we didn't need to be able to specify the upper limit for the indices because the method sort() always uses the index of the last element as the upper limit. Writing the method as shown here makes reusing the method easier in other programs where a varying upper limit on the index might be needed.

```
static void swap(int[] data, int first, int second){
  int temp;
  temp = data[first];
  data[first] = data[second];
  data[second] = temp;
}
```

To keep the code for sort() as short and clear as possible, we provide this method to swap the values stored at two different locations in an array.

		Eler	nents c	f Array	a[] Af	ter Eac	h Pass	
Pass	a[0]	a[1]	a[2]	a[3]	a[4]	a[5]	a[6]	a[7]
Unordered data	7	3	66	3	-5	22	-77	2
First	-77	3	66	3	3	22	7	2
Second	-77	-5	66	3	3	22	7	2
Third	-77	-5	2	3	3	22	7	66
Fourth	-77	-5	2	3	3	22	7	66
Fifth	-77	-5	2	3	3	22	7	66
Sixth	-77	-5	2	3	3	7	22	66
Seventh	-77	-5	2	3	3	7	22	66

The first pass finds that the index of the smallest element is 6. The value at index 6 is swapped with the value at index 0 as shown in the row labeled First. In the second pass, only values with indices 1 through 7 are examined. The next smallest element is at index 4. The value at index 4 is then swapped with the value at index 1. The variable next in method swap() keeps track of where the newly selected element is supposed to be placed. This process continues until the entire array has been sorted. Note that, although the elements are actually in order at the end of the sixth pass, the program continues. In fact, during the last pass the element at index 6 is selected as the smallest and swapped with the element at 6, which of course doesn't actually do anything.

# 5.7 SEARCHING AN ORDERED ARRAY

Let's assume that an array has been ordered with element  $a[0] \le a[1] \le ... \le a[length-1]$ . Now finding the minimum or maximum element can be done in a fixed number of operations, regardless of the size of the array. The minimum is the value a[0], and the maximum is the value a[length-1]. Finding the minimum and the maximum require linear time for an unordered array. That is, the number of operations is directly proportional to the length of the array.

What if we want to find the position of a given value in a sorted array? This problem is common in searching a large database. For example, most people have an identification code, usually their social security number. Their records, such as a credit or employment history, can be searched by using this identification code. In general, such an identification code is called a *key* when it is used for searching in a database.

Let's write a routine to look for a particular value in an ordered array and, if the value is found, return its position in the array. If the value isn't found, the routine will return -1.

```
static int linearSearch(int[] keys, int v) {
  for (int i = 0; i < keys.length; i++)</pre>
    if (keys[i] == v)
      return i;
  return -1;
```

Note how the loop terminates if the element's position is found. If the element isn't found, the entire array will be searched. We call this procedure linearSearch() because the time required to find the desired element is proportional to the length of the array. We haven't really taken advantage of the fact that the array is ordered. For an ordered array, we can improve on this performance by recognizing that, once we have a value larger than v, we can stop looking.

```
static int betterLinearSearch(int[] keys, int v) {
  for (int i = 0; i < keys.length; i++)</pre>
    if (keys[i] == v)
      return i;
                             //just right
    else if (keys[i] > v)
      return -1;
                             //too large
  return -1;
                             // not found
}
```

For failed searches, this version should terminate on average in roughly half the time of the method linearSearch(). However, we still haven't taken full advantage of the ordering information.

Consider a number guessing game whereby you try to guess a number within a certain interval, using as few guesses as possible. If asked to guess a number between 1 and 100, inclusive, your optimal strategy is to guess 50. If the other person says that your guess is too big, you should next guess 25; if your guess was too small, your next guess should be 75. After each wrong guess reduce the range of possible guesses by half. Using this strategy, you will get the answer in at most seven guesses. The following program uses such an algorithm in the method binarySearch().

```
// BinarySearch.java - use bisection search to find
    a selected value in an ordered array
class BinarySearch {
 public static void main(String[] args) {
    int[] data = {100, 110, 120, 130, 140, 150};
    int index = binarySearch(data, 120);
    System.out.println(index);
 }
 // find the index of element v in array keys
  // return -1 if it is not found
  static int binarySearch(int[] keys, int v) {
    int position;
    int begin = 0, end = keys.length - 1;
    while (begin <= end) {</pre>
      position = (begin + end) / 2;
      if (keys[position] == v)
        return position;
                                    // just right
      else if (keys[position] < v)</pre>
        begin = position + 1;
                                    // too small
      else
                                   // too big
        end = position - 1;
    return -1;
 }
}
```

Each time through the while loop, the search interval is halved—hence the name binarySearch(). Thus the search of an ordered array of keys will make at most  $2\log_2(n)$  comparisons for an array with n elements. A search of roughly one thousand elements is accomplished in no more than 10 iterations (recall that 1024 is  $2^{10}$ ), and a search of a million elements takes no more than 20 iterations (1,000,000 is approximately  $2^{20}$ ).

## 5.8 BIG-OH: CHOOSING THE BEST ALGORITHM

Our initial method, find(), required as many as n-1 comparisons, and our final version, binarySearch(), required at most  $2\log_2(n)$  comparisons. We say that there are *on the order of n* comparisons in find() and *on the order of log(n)* comparisons in binarySearch(). Because this concept is so important in computer science, there is a special notation for it. We say that using find() to find an element requires O(n) time. Read this expression as "on the order of n" or "order n" or "big-oh of n" time. The notation is called *big-oh notation* 

More precisely, O(n) means that as n increases the quantity being measured is at most  $c \times n$  for some constant c. In general, O(f(n)) means that the quantity being measured is at most  $c \times f(n)$  for some constant c. In our example, the quantity is the number of comparisons.

When we convert an expression that involves several terms into big-oh notation, only the fastest growing term remains. For example,  $3n^2 + 20n + 15$  is  $O(n^2)$  because  $c \times n^2$  is larger than  $3n^2 + 20n + 15$  for all values of n greater than 3, if c = 10. Similarly,  $3n + 20 \log(n)$  is O(n) because n grows faster than  $\log(n)$ . If an algorithm requires a fixed number of operations, regardless of the size of the problem, it is O(1); it is also known as requiring constant time.

In most cases, an algorithm that requires  $O(\log(n))$  time is better than an algorithm that requires O(n) time. However, there may be some small values of n for which the O(n) algorithm is better. Suppose that the actual number of operations for the  $O(\log(n))$  algorithm was 512  $\log(n)$  and the actual number of operations for the O(n) algorithm was 5n. Then the O(n) algorithm will be faster for all values of n less than 1024.

An algorithm that completes in O(n) time is said to be a linear time algorithm because the running time is bounded by a linear function. Similarly, an O(log(n)) algorithm is said to be logarithmic and an  $O(2^n)$  algorithm is exponential.

Sometimes, big-oh notation isn't enough to help you choose the best algorithm. It can be proved that n-1 comparisons are needed to find either the minimum or the maximum element in an array. Does that mean that ArrayTest finds the minimum and the maximum using the fewest number of comparisons? The answer is no. The following method, minMaxArray(), shows how to determine the maximum and the minimum of an array, using approximately 25 percent fewer comparisons involving array elements.

```
// loop finds the max which must be in second half
  minMax[1] = data[midPoint];
  for (int i = midPoint + 1; i < data.length; i++)</pre>
    if (data[i] > minMax[1])
      minMax[1] = data[i];
  return minMax;
}
static void swap(int i, int j, int[] data) {
  int temp = data[i];
  data[i] = data[j];
  data[j] = temp;
}
```

With minMaxArray(), we can find the minimum and the maximum value in an array by using 3(n/2-1) comparisons involving array elements. Each loop executes n/2-1 comparisons. In the program ArrayTest, the two methods used to find the minimum and the maximum use 2(n-1) comparisons involving array elements.

Although the minMaxArray() solution requires fewer comparisons involving array operations, it is clearly a more complex solution, and for small values of *n* it will even take longer to execute. When you use big-oh notation, the algorithms are considered to have the same complexity: Both are O(n).

#### 5.9 TYPE AND ARRAY

So far, most of our examples have involved the int type. In this section we use one-dimensional arrays of different data types. We also demonstrate techniques and idioms that are common to these different data types.

#### 5.9.1 **BOOLEANS: THE SIEVE OF ERATOSTHENES**

Let's say that we want to find the prime numbers between 2 and 100. To do so, we write code based on the sieve of Eratosthenes. We allocate a boolean array isPrime of 100 elements. We set each element to true. Starting with element isPrime[2] we use its index value 2 and proceed through the remaining array elements isPrime[4], isPrime[6],..., isPrime[98], setting each value to false. Then we go to isPrime[3] and set each element at a spacing of 3 to false. We do this until we reach 10, because 10 is the square root of 100 and is sufficient for checking primality in the range 2 through 100. When we have finished, only those entries that remain true are primes.

```
//Primes.java-Sieve of Eratosthenes for Primes up to 100.
class Primes {
  public static void main(String[] args) {
    boolean[] isPrime = new boolean[100];
    System.out.println(" Table of primes to 100.");
    for (int i = 0; i < isPrime.length(); i++)</pre>
      isPrime[i] = true;
    for (int j = 2; j < Math.sqrt(100); j++)
      if (isPrime[j])
         crossOut(isPrime, j, j + j);
    for (i = 2; i < 100; i++) //print primes
      if (isPrime[i])
         System.out.print(" " + i);
  }
```

```
public static void crossOut(boolean[] s, int interval, int start)
{
  for (int i = start; i < s.length; i += interval)
     s[i] = false;
}
</pre>
```

We can readily generalize this program to an arbitrarily large n. The key would be to replace the hardcoded value 100 with a variable n. We leave this for you to do as Exercise 2 on page 156.

## 5.9.2 char: USING A LINE BUFFER

Much of character processing is done by sequentially examining a sequence of characters stored in an array called a *buffer*. For example, in a word processing program we might want the capability to count words. To do so we would store a line of text in a buffer and examine the characters in the buffer. A word might be defined as a sequence of alphabetic characters that are adjacent. We write just this program and dissect the parts of it that are idiomatic of character processing.

```
//CountWord.java
import java.lang.*;
import java.util.*;
public class CountWord {
  public static void main(String[] args) {
    String input;
    Scanner scan = new Scanner(System.in);
    char[] buffer;
    System.out.println("type in line");
    input = scan.nextLine();
    System.out.println(input);
    buffer = input.toCharArray();
    System.out.println("word count is " + wordCount(buffer));
  //words are separated by nonalphabetic characters
  public static int wordCount(char[] buf) {
    int position = 0, wc = 0;
    while (position < buf.length) {</pre>
      while (position < buf.length && !isAlpha(buf[position]))</pre>
        position++;
      if (position < buf.length)</pre>
        WC++;
      while (position < buf.length && isAlpha(buf[position]))</pre>
        position++;
    }
    return wc;
   public static boolean isAlpha(char c) {
     return (c >= 'a' && c <= 'z') || (c >= 'A' && c <= 'Z') ;
  }
}
```

## Dissection of the CountWord Program

```
String input:
  Scanner scan = new Scanner(System.in);
  char[] buffer;
  System.out.println("type in line" );
  input = scan.nextLine();
```

The String variable input is used to capture an input line typed at the keyboard. We use the method nextLine(), which captures a line after Enter is hit.

```
buffer = input.toCharArray();
  System.out.println("word count is " + wordCount(buffer));
```

The String method toCharArray() converts the String to an array of characters. String, String-Buffer, and char[] are all important types that can be used to manipulate sequences of characters. Using a char[] is the most fundamental of these schemes and is often the most efficient. We call the method wordCount() to count the words in the buffer.

```
public static int wordCount(char[] buf) {
     int position = 0, wc = 0;
    while (position < buf.length) {</pre>
       while (position < buf.length && !isAlpha(buf[position]))</pre>
          position++:
```

We process this character array buf[] from the zeroth character to the buf.length - 1 character. Our definition of a word is a sequence of alphabetic characters. We advance through the buffer until we find a first alphabetic character. Note that the boolean method isAlpha() returns true when the character value passed in as its actual parameter is an English letter. All the characters in the buffer could be nonalphabetic. In that case we need to verify that the word count is zero.

```
  if (position < buf.length)</pre>
     WC++;
  while (position < buf.length && isAlpha(buf[position]))</pre>
      position++;
```

If we have reached an alphabetic character before we reach the last position in the buffer, we have a new word and can increment wc. We then sequence through adjacent alphabetic characters. We return to the outer loop and check to be sure that we haven't reached the end of the buffer.

```
public static boolean isAlpha(char c) {
  return (c >= 'a' && c <= 'z') || (c >= 'A' && c <= 'Z') ;
```

The boolean method isAlpha() is by convention named isSomeProperty. It relies on the property of the Unicode table wherein the alphabetic characters are in the right order sequentially.

This example should help you understand the String and StringBuffer classes provided in the Java libraries.

#### 5.9.3 double: ACCUMULATE, A GENERALIZATION OF sum

One of our first array examples was sum(), which worked on an int type. This code is also useful for other types. It can be overloaded for the type double, as follows.

```
//Sum the double elements in an array.
static double sum(double[] a) {
  double sum = 0.0;
  for (int d: a)
     sum = sum + d;
  return sum;
}
```

We can further generalize this code and make it useful for a wider range of computations. We can sum an array over a range from the first element up to, but not including, the last element. We can also accumulate from a starting value that may be different from the value 0. Such a general algorithm is as follows.

This code shows many features of generalizing a basic method by extending its abilities with additional variables.

## 5.10 TWO-DIMENSIONAL ARRAYS

The arrays in the preceding sections are all one-dimensional arrays; that is, the elements are conceptually in a single row or column. Java allows arrays of any type, including arrays of arrays. Recall that, for any type T, T[] is the notation for the type array of Ts. The type T can itself be an array. With two bracket pairs, we obtain a two-dimensional array consisting of rows and columns. This idea can be iterated to obtain arrays of higher dimension. With each bracket pair, we add another array dimension, as for example in

```
String[] up;  //one dimension
double[][] upUp;  //two dimensions
char[][][] andAway;  //three dimensions
```

We focus on two dimensions because these arrays constitute the most useful and used of the multidimensional array types. We can conveniently think of a two-dimensional array as a rectangular collection of elements with rows and columns. For example, if we declare

```
int[][] data = new int[3][5];
```

then we can think of the array elements being arranged as follows.

	Col 1	Col 2	Col 3	Col 4	Col 5
Row 1	a[0][0]	a[0][1]	a[0][2]	a[0][3]	a[0][4]
Row 2	a[1][0]	a[1][1]	a[1][2]	a[1][3]	a[1][4]
Row 3	a[2][0]	a[2][1]	a[2][2]	a[2][3]	a[2][4]

To illustrate these ideas, let's write a program that fills a two-dimensional array with some internally generated values.

```
// TwoD.java - simple example of two-dimensional array
class TwoD {
  public static void main(String[] args) {
    int[][] data = new int[3][5];
    for (int i = 0; i < data.length; i++) {
      System.out.print("Row " + i + ": ");
      for (int j = 0; j < data[i].length; <math>j++) {
        data[i][j] = i * j;
        System.out.print(data[i][j] + ", ");
      System.out.println();
  }
}
```

## Dissection of the TwoD Program

```
int[][] data = new int[3][5];
```

This statement does three things. It declares the variable data to be a reference to a two-dimensional array of integers. It creates an array with three rows and five columns. Finally, it assigns data to refer to the newly created array. We don't need to create the array in the same statement that declares the variable. However, we must create an array and assign data to refer to that array before we can use data. A common mistake is to forget to create the array.

```
for (int i = 0; i < data.length; i++) {
  System.out.print("Row " + i + ": ");
  System.out.println();
}
```

This is the outer loop. For multidimensional arrays, we can write an expression to find the size of each dimension. The expression data.length evaluates to the length of the first dimension. Each iteration of this loop will print one row of the array.

```
for (int j = 0; j < data[i].length; j++) {</pre>
    data[i][j] = i * j;
    System.out.print(data[i][j] + ", ");
```

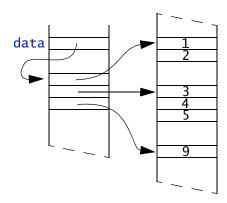
This loop is the inner loop. A pair of nested loops for accessing a two-dimensional array is an important idiom. The expression data[i][i] is of type int. one of the elements of the array. The expression data[i] is of type int[], one of the rows of the array. From it we get the expression data[i].length for the row size used inside the inner loop to process each ith-row element.

## 5.10.1 TWO-DIMENSIONAL INITIALIZER LISTS

A two-dimensional array can be explicitly initialized with a set of values. The rows are also contained in a set of braces. Each of the following initializations creates a two-dimensional array with a total of six elements. But their layout—in other words—their row organization—is completely different. Array a has three rows of two elements each. Array b has two rows of three elements each. Array c has one row of six elements and is a degenerate two-dimensional array. Array ragged has three rows, each with a different number of elements.

```
int[][] a = {{1, 2}, {3, 4}, {5, 6}};
int[][] b = {{1, 2, 3}, {4, 5, 6}};
int[][] c = {{1, 2, 3, 4, 5, 6}};
int[][] ragged = {{1,2}, {3, 4, 5}, {6}};
```

As this example shows, in Java we can create a two-dimensional array that isn't rectangular. That is, the number of elements in each row can be different. This approach works because a two-dimensional array of integers is really a one-dimensional array of references to one-dimensional arrays of integers. The following diagram shows the layout of array ragged.



# 5.11 AN ELEMENTARY SIMULATION: THE "GAME OF LIFE"

The Game of Life is an archetypal checkerboard simulation. It was invented by the English mathematician John H. Conway for exploring certain formal situations involving reproduction and growth (see *Scientific American*, October 1970, p. 120). The game is a successor to the work of John von Neumann on cellular automata.

A checkerboard simulation is a model wherein the world is broken into a rectangular array of cells. Each cell may interact only with its neighboring cells, the interactions occurring once per simulated clock pulse. The rules for a local change are well defined and simple, but predicting global change is impractical without computer simulation. The Game of Life has the following rules.

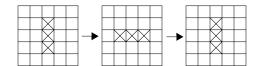
#### **GAME OF LIFE RULES**

- 1. A cell is either empty, indicated by a blank, or alive, indicated by an X.
- 2. Each cell is the center of a  $3 \times 3$  square grid of cells, which contains its eight neighbors.



- 3. A cell that is empty at time t becomes alive at time t+1 if and only if exactly three neighboring cells were alive at time t.
- 4. A cell that is alive at time t remains alive at time t+1 if and only if either two or three neighboring cells were alive at time t. Otherwise, it dies for lack of company ( < 2 ) or overcrowding ( > 3 ).
- 5. The simulation is conducted, in principle, on an infinite two-dimensional grid.

A simulation starts with an initial configuration of Xs on the grid. The following example of a simple repeating life form is called a *blinker*, as it repeats every two generations, or time steps.



## 5.11.1 THE GAME OF LIFE: REOUIREMENTS ANALYSIS AND DEFINITION

In software development, we must first add a few refinements to the specification of the program just described.

- Instead of trying to simulate an infinite two-dimensional grid, simulating a relatively small grid will suf-
- Because the grid isn't infinite, we must decide how to deal with the borders. To keep the program simple, we will treat the borders as lifeless zones.
- The initial placement of life forms in the grid will be read from the console as a sequence of Xs and dots. The Xs will stand for life forms and the dots will represent empty cells. If the grid was only  $5 \times 5$  as shown in the preceding figure, the initial configuration would be specified at the console by typing

..X.. . .X. . ..X..

- The user will specify the size of the grid, which will always be a square grid, and the number of generations to simulate.
- The program should echo the initial generation and then print each new generation simulated.

## 5.11.2 THE GAME OF LIFE: DESIGN

We are now ready to begin designing the program. The first—and obvious—decision is that we will use a twodimensional array to represent the grid. We start with pseudocode for the top level.

## TOP-LEVEL PSEUDOCODE FOR THE GAME OF LIFE

```
read in the size of the grid
read in the initial generation
read in the number of generations to simulate
print the current generation
for the specified number of generations
 advance one generation
 print the generation
end for loop
```

The most difficult step is "advance one generation" so we need to write some pseudocode for advancing one generation.

#### PSEUDOCODE FOR ADVANCING ONE GENERATION

for each cell

```
compute the number of neighbors

apply the game rules to decide if the cell

should be alive or dead in the next generation
end for loop
```

Applying the game rules to one cell isn't too hard once we know the number of neighbors. The code will be some if-else statement. Either the new cell will be dead or it will be alive. Computing the number of neighbors still looks difficult. However, there are several approaches that we can use to solve this problem. A cell and its neighbors can be viewed as a  $3 \times 3$  subarray. With this in mind, we write the following pseudocode for counting the neighbors.

#### PSEUDOCODE FOR COMPUTING THE NUMBER OF NEIGHBORS

```
visit each cell in the 3x3 subarray around the cell
keep a count of how many of those are alive
if the center cell is alive
then return one less than the count found
else return the count found
```

At this point in the design we need to recognize that we can't simply update the cells in the array as we visit each of them while advancing one generation. A generation is a snapshot of the grid at an instant in time. Changing some of the cells will affect the number of neighbors that another cell observes. This type of unsynchronized updating of the cells might be interesting, but it isn't what Conway intended in his Game of Life. We need to not disturb the current generation while creating the next generation. As is often the case, there are several solutions. The one that we have chosen is to use two arrays: one for the current generation and another for the next generation.

Before moving to the implementation stage, we have to refine two of the sections of our pseudocode.

#### REFINED TOP-LEVEL PSEUDOCODE FOR THE GAME OF LIFE

```
read in the size of the grid
create two arrays, currentGen and nextGen
read the initial generation into currentGen
read in the number of generations to simulate
print currentGen
for number of generations
  update nextGen using currentGen
  swap currentGen and nextGen
  print currentGen
end for loop
```

Each iteration of the loop fills the cells of nextGen from the values found in currentGen. Then the arrays referred to by nextGen and currentGen are swapped. Note that after the last iteration currentGen will have the data of the most recently computed generation.

## REFINED PSEUDOCODE FOR ADVANCING ONE GENERATION

```
input: currentGen and nextGen
output: updated nextGen
for each cell in currentGen
  compute the number of neighbors
  apply the game rules to decide if the cell
```

should be alive or dead in the next generation store the result, alive or dead, in the corresponding cell in nextGen end for loop

## 5.11.3 THE GAME OF LIFE: IMPLEMENTATION

The parts of the program for which we haven't presented pseudocode are sufficiently simple that we can proceed directly to the complete program at this point. Although some of those parts are nontrivial, the pseudocode for them is almost identical to the final code.

```
//GameOfLife.java - Conway's Game of Life
class GameOfLife {
  public static void main(String[] args) throws java.io.IOException
    // read in the size of the grid and create arrays
    int size = 10; // fix at 10 for testing
    boolean[][] currentGeneration, nextGeneration;
    currentGeneration = new boolean[size][size];
    nextGeneration = new boolean[size][size];
    readInitialGeneration(currentGeneration);
    // read in the number of generations to simulate
    int cycles = 4; // fix at 4 for testing
    printState(currentGeneration);
    for (int i = 0; i < cycles; i++) {
      System.out.println("Cycle = " + i + "\n\n");
      advanceOneGen(currentGeneration, nextGeneration);
      printState(nextGeneration);
      // swap current and next generations
      boolean[][] temp = nextGeneration;
      nextGeneration = currentGeneration;
      currentGeneration = temp;
    }
  }
 // read the initial generation from the input
 // a dot means empty and an X means alive
 // any other characters are ignored
 // the border cells are all set to empty
  // the method assumes the array is square
 static void readInitialGeneration(boolean[][] w) throws java.io.IOException
    for (int i = 0; i < w.length; i++) {
      for (int j = 0; j < w[i].length; j++) {
        char c = (char)System.in.read();
        //skip illegal characters
        while (c != '.' && c != 'X')
          c = (char)System.in.read();
        if (c == '.')
          w[i][j] = EMPTY;
        else
          w[i][j] = ALIVE;
    }
```

```
//set border cells to be empty
  int border = w.length - 1;
  for (int i = 0; i < w.length; i++){
    w[i][0] = w[0][i] = EMPTY;
   w[i][border] = w[border][i] = EMPTY;
}
// print a generation to the console
static void printState(boolean[][] w) {
  for (int i = 0; i < w.length; i++) {
    System.out.println();
    for (int j = 0; j < w[i].length; j++)
      if (w[i][j] == ALIVE)
        System.out.print('X');
      else
        System.out.print('.');
  System.out.println();
// compute the number of alive neighbors of a cell
static int neighbors(int row, int column, boolean[][] w)
  int neighborCount = 0;
  for (int i = -1; i \le 1; i++)
    for (int j = -1; j \le 1; j++)
      if (w[row + i][column + j] == ALIVE)
        neighborCount = neighborCount + 1;
  if (w[row][column] == ALIVE)
    neighborCount--;
  return neighborCount;
static void advanceOneGen(boolean[][] wOld, boolean[][] wNew)
  int neighborCount;
  for (int i = 1; i < wOld.length - 1; i++) {
    for (int j = 1; j < w0ld[i].length - 1; j++) {
      neighborCount = neighbors(i, j, w0ld);
      if (neighborCount == 3)
        wNew[i][j] = ALIVE;
      else if (wOld[i][j] == ALIVE && neighborCount == 2)
        wNew[i][j] = ALIVE;
        wNew[i][j] = EMPTY;
    }
 }
}
static final boolean ALIVE = true:
static final boolean EMPTY = false;
```

## Dissection of the GameOfLife Program

```
public static void main(String[] args) throws java.io.IOExceptoin
    // read in the size of the grid and create arrays
    int size = 10; // fix at 10 for testing
    boolean[][] currentGeneration, nextGeneration;
    currentGeneration = new boolean[size][size];
    nextGeneration = new boolean[size][size];
```

Following the pseudocode developed earlier, we need to read in the size of the grid and create the arrays. For testing purposes we have fixed the size at 10. In the final version of the program we would replace the assignment of 10 to size with a prompt to the user for the desired size and an input statement to read the size from the console. We create two square arrays to represent the cells in the world. Each generation, the cells in currentGeneration are used to update the cells in nextGeneration. Because a cell can only be alive or empty, we use the primitive type boolean for the cells. The value true means that the cell is alive. Java will initialize all array elements to false, which means *empty* in our simulation. The throws clause here is required because of the method used for reading input in readInitialGeneration(). See Chapter 11, Coping with Errors for more information on exceptions.

readInitialGeneration(currentGeneration);

The method readInitialGeneration() is used to read an initial world from the console. When the method returns, the cells in currentGeneration will have been modified to correspond to user input.

```
// read in the number of generations to simulate
int cycles = 4;
                     // fix at 4 for testing
printState(currentGeneration);
```

This comment from the pseudocode reminds us that we haven't finished the implementation. As with the size of the grid, we've fixed the number of generations to simulate. The method printState() will print to the console the initial generation, which is stored in currentGeneration.

```
for (int i = 0; i < cycles; i++) {</pre>
    System.out.println("Cycle = " + i + "\n\n");
    advanceOneGen(currentGeneration, nextGeneration);
    printState(nextGeneration);
    // swap current and next generations
    boolean[][] temp = nextGeneration;
    nextGeneration = currentGeneration;
    currentGeneration = temp;
```

This loop is the main simulation loop. Each iteration, the next generation is computed and the state is printed. At the end of each iteration, the array variables referring to the two arrays are reversed so that, at the start of the next iteration, currentGeneration points to the most recently updated array and nextGeneration refers to the array with the older generation, which subsequently is modified to contain the newest generation. Recall that this swapping of the array variables isn't copying the entire array; it is simply changing the variables to refer to different arrays.

Input in this method is done using <code>System.in.read()</code> which reads one character at a time. We do not need the parsing power of <code>Scanner</code>. In fact <code>Scanner</code> does not have a method for reading one character at a time. The more basic <code>System.in.read()</code> may throw an exception which must be declared. See Chapter 11, <code>Coping with Errors</code> for more information on exceptions. The <code>while</code> loop is used to skip over any white space or other unexpected characters. To make the code more self-documenting, we have defined constants to encode the fact that true will be treated as <code>ALIVE</code> and that false will be treated as <code>EMPTY</code>. The constants are defined at the end.

```
int border = w.length - 1;
for (int i = 0; i < w.length; i++){
    w[i][0] = w[0][i] = EMPTY;
    w[i][border] = w[border][i] = EMPTY;
}</pre>
```

As discussed in the design section, the border cells require some form of special treatment because they have fewer than eight neighbors. Recall that we decided to treat the border cells as a lifeless zone. To enforce that we set all border cells to EMPTY, ignoring border values that were supplied by the user. This code makes the unchecked assumption that the array is actually square.

```
static void printState(boolean[][] w) {
  for (int i = 0; i < w.length; i++) {
    System.out.println();
    for (int j = 0; j < w[i].length; j++)
        if (w[i][j] == ALIVE)
            System.out.print('X');
        else
            System.out.print('.');
    }
    System.out.println();
}</pre>
```

This routine prints the state to the console, with the output format the same as that used for the input. The code doesn't take advantage of the fact that the elements in the array are actually of type boolean. At some later time the decision to use a boolean array might be changed. In that case, only the type of the parameter in the method header would need to be changed. In addition, by using the constant ALIVE, the code is self-documenting. It should be clear that X is printed if the cell is ALIVE and that a dot is printed otherwise.

```
static int neighbors(int row, int column, boolean[][] w) {
  int neighborCount = 0;
  for (int i = -1; i <= 1; i++)
    for (int j = -1; j <= 1; j++)
      if (w[row + i][column +j] == ALIVE)
        neighborCount = neighborCount + 1;
  if (w[row][column] == ALIVE)
    neighborCount--:
  return neighborCount;
}
```

This routine counts the number of neighboring cells that are alive. It does so by counting the number of alive cells in the  $3 \times 3$  subarray that has the specified cell at its center. Then the center cell is removed from the count if it is alive because a cell isn't a neighbor to itself. If this routine is called with a cell on the border of the array, an IndexOutOfBoundsException error message is printed. In our program, that never happens because neighbors() is never called with the coordinates of a border cell.

```
static void advanceOneGen(boolean[][] wOld, boolean[][] wNew)
    int neighborCount:
    for (int i = 1; i < w0ld.length - 1; i++) {
      for (int j = 1; j < w0ld[i].length - 1; <math>j++) {
        neighborCount = neighbors(i, j, w0ld);
        if (neighborCount == 3)
          wNew[i][j] = ALIVE;
        else if (w0ld[i][j] == ALIVE && neighborCount == 2)
          wNew[i][j] = ALIVE;
        else
          wNew[i][j] = EMPTY;
      }
    }
  }
```

This method implements the rules of the simulation. The array wold is examined to determine the current number of neighbors for each cell, and then the array wNew is set according to the rules. These loops avoid the borders of the array, implementing our design decision that the borders will be lifeless zones.

```
static final boolean ALIVE = true;
static final boolean EMPTY = false;
```

To make the code more self-documenting, the program uses these two constants. Without the constants, we would need to add some comment. For example, without a comment in

```
wNew[i][j] = true;
```

the user must remember that true is used to mark alive cells. A comment would help, but self-documenting code is always preferable. Comments can become out of date when a programmer modifies the code but doesn't update the comments accordingly.

The GameOfLife program requires enough input that typing the input in each time would be rather tedious. Instead, you can prepare an input file and then use file redirection to cause the program to read from the file instead of from the keyboard. Suppose that the file *glider* contains



Then we can run GameOfLife by having it read characters from glider instead of the keyboard. To have it do so we type the following command at either a Unix or DOS command prompt.

```
java GameOfLife < glider
```

Execution of this command would be followed immediately by the program output. A portion of the output would be

```
.X..X....
. . . . . X . . . .
.X...X....
..XXXX....
. . . . . . . . . .
. . . . . . . . . .
. . . . . . . . . .
. . . . . . . . . .
. . . . . . . . . .
Cycle = 0
(intermediate cycles deleted to save space)
Cycle = 3
...X..X...
. . . . . . . X . .
...X...X..
....XXXX..
```

Note that, after four cycles, the initial configuration has reappeared but has moved to the right by two positions. This interesting "life form" is one of many that have been discovered. This one is called a *glider* because it sort of glides across the simulated world.

## 5.12 ARRAYS OF NONPRIMITIVE TYPES

At the beginning of this chapter we said that an array can be created from any type T by using the notation T[]. The elements in the arrays presented so far have all been primitive values. As discussed earlier, two-dimensional arrays were actually arrays of arrays, but ultimately the elements of the arrays were always primitive values. In this section we take a brief look at some arrays of nonprimitive elements.

## 5.12.1 ARRAYS OF STRINGS

The nonprimitive type that we have used the most so far is the type String. We can create a variable of type "array of strings" with

```
String[] myStringArray;
```

Recall that, as with other arrays, this statement doesn't create an array but a reference to an array. To create an array of 10 string variables we can use

```
myStringArray = new String[10];
```

Initially each of the String variables, myStringArray[i], has the value null. To get them to refer to some actual strings, we must assign them values, as for example,

```
myStringArray[0] = "zero";
myStringArray[1] = "one";
```

A common mistake is to create an array of references to **String** or some other nonprimitive type, and then forget to create the actual object values and assign them to the elements in the array.

Because Java provides special syntax for creating string literals, the same array initializer notation used earlier can be used with strings. The same effect of creating an array of 10 strings containing the strings for 0 through 9 can be achieved with an array initializer. The following example demonstrates this approach.

The output of the program is the words zero, one, two, ..., nine—one per line.

We now see that the familiar

```
public static void main(String[] args)
```

is actually declaring that the method main() is expecting an array of strings as a parameter. The only question that remains is. "where does the array come from?"

The answer is that this array will be filled with any strings included after the name of the class used to start a Java program. These are called the *command line arguments* used to run the program. Each element in the

array contains one, white space delimited, string from the command line. The following program prints out any command line arguments.

```
// CommandLine.java - print command line arguments
class CommandLine {
  public static void main(String[] args) {
    for (int i = 0; i < args.length; i++)
        System.out.println(args[i]);
  }
}</pre>
```

The following line shows the program being started from a Unix or Windows command line prompt, followed by the output.

```
os-prommpt>java CommandLine this is a test
this
is
a
test
```

You can insert spaces in a single command line argument by putting the argument in quotes. This approach is shown in the following execution of the same program

```
os-prompt>java CommandLine this "is another" test
this
is another
test
```

Note that the quotation marks aren't part of the argument and that the second argument includes a space.

# 5.13 THE CONTAINER ArrayList<>

The ArrayList class is one of a number of container classes in the java.util package. It implements a growable array. Like an array, it contains indexed elements. Unlike an array, the size of a ArrayList can grow or shrink dynamically, as needed. This added feature comes at a small cost, so most programs use arrays when they are adequate.

We will write an example showing how the ArrayList container grows. In this example we take strings stored in an array and repeatedly store them in an ArrayList. The ArrayList grows dynamically to accommodate this. We will use the method add() that places a value at the end of the specified ArrayList. Here is a short description in table form of ArrayList operations.

ARRAYLIST METHOD	Purpose		
ArrayList()	Constructor of an ArrayList		
ArrayList(int capacity)	Construct this capacity		
add( <i>Type</i> e)	insert e at back		
add(int i, <i>Type</i> e)	insert e at position i		
contains( <i>Type</i> e)	Is e in ArrayList?		
<pre>get(int i)</pre>	return e at position i		
indexOf( <i>Object</i> e)	return index for e		
remove( <i>Object</i> e)	removes first e		
removeAll( <i>Object</i> e)	removes all e		
size()	return size of the ArrayList		

```
// StringArrayList.java - uses an ArrayList Container
import java.util.*;
class StringArrayList {
   public static void main(String[] args)
      ArrayList<String> myStringArray= new ArrayList<String>();
      String[] data = {"one", "two", "three"};
      for(int i = 0; i < 5; i++)
         for (String s : data )
            myStringArray.add(s);
      System.out.println("\n ArrayList of Strings\n");
      for (String s : myStringArray )
         System.out.println(s);
  }
}
```

The array data has exactly three elements. The ArrayList myStringArray grows to accommodate 15 elements. The type ArrayList<String> declares an ArrayList container that is only allowed to store String objects. We can make ArrayLists that store any non-primitive type T using ArrayList<T>. It is also possible to put primitive types such as int into an ArrayList but the ArrayList must be declared using the corresponding wrapper type from java.util. For example, to store integers we would use ArrayList<Integer>.

Let us use this container to read in a file of text using redirection. We will then count the words that match a particular string, such as the string "for".

```
// FindWord.java - uses a ArrayList Container
import java.util.*;
class FindWord
 public static void main(String[] args)
    ArrayList<String> words = new ArrayList<String>();
    Scanner scan = new Scanner(System.in);
    while(scan.hasNext())
       words.add(scan.next()):
    System.out.println("String occurred " +
                      HowMany(words, "String") + " times.");
 }
```

```
static int HowMany(ArrayList<String> w, String lookfor)
{
  int count = 0;
  for ( String s:w )
    if (s.equals(lookfor))
        ++count;
  return count;
}
```

In this example, we see how several powerful classes work to make this text matching program easy to write. The ArrayList<String> words provides an expandable container for the input strings. The construct s.equals(lookfor) is used to see if String variables s and lookfor are equivalent strings. Running this program on the file *FindWord.java* results in counting 6 "String"'s.

# 5.14 PROBLEM SOLVING: PALINDROMES

Let us use an ArrayList to read in and decide whether a string of words is a palindrome. A palindrome is a sequence that is the same read forward or backward, as in "my oh my".

```
// Palindrome.java - uses an ArrayList container
import java.util.*;
class Palindrome
  public static void main(String[] args)
    ArrayList<String> words = new ArrayList<String>();
    Scanner scan = new Scanner(System.in);
    while(scan.hasNext())
       words.add(scan.next());
    System.out.println("Is it a palindrome? " + isPalindrome(words));
  static boolean isPalindrome(ArrayList<String> w)
    int front = 0, back = w.size() - 1;
    while( front < back)</pre>
      if (!w.get(front++).equals(w.get(back--)))
        return false;
    return true;
  }
}
```

In order to use a standard array for this problem we would have to either know in advance how many words were going to be read in, or at least have an upper bound on the number of words. In that case we could create an array large enough to hold the maximum number of words we expected, keeping a count of the actual number of words read.

# 5.15 PROGRAMMING STYLE

Stylistically the most common array idiom in Java is

```
for (int i = 0; i < d.length; i++)
  computation on d[i]</pre>
```

This idiom is preferred to an explicit use of an array upper bound, as in

```
for (int i = 0; i < N; i++)
 computation on d[i]
```

The use of such an explicit bound, N, is more error prone and requires more code maintenance than does the preferred idiom. It is a carryover from C programming style, where arrays don't have a length member.

Even better, when applicable is the for-iterator statement, as in

```
for (int element : d)
 computation on element //iterating over d[]
```

This idiom is also applicable to containers, such as ArrayList.

When computing over an array, you should package the computation as a method, as in

```
static T computeArray(T[] a) {
   for (int element : a)
     compute on element;
}
```

## 5.15.1 COUNTING FROM ZERO

Much of the syntax for Java is derived from the programming language C. For a number of reasons, C programmers tend to write loops that begin with the loop index at 0. One reason is that in C, arrays are represented in such a way that they are always indexed from 0. This C idiom has survived into Java so that even loops that are not indexing an array often start from 0.

```
static void printRandomNumbers(int k) {
 for (int i = 0; i < k; i++)
    System.out.println(Math.random());
}
```

However, people more naturally count from 1. Rewriting this code to start from 1 gives

```
static void printRandomNumbers(int k) {
 for (int i = 1; i \le k; i++)
    System.out.println(Math.random());
```

Either style is reasonable. You need to be able to write and understand code with both counting styles. Many tricky bugs enter code when the end values of loops are off by one.

## SUMMARY

- An array is a data type used to represent a large number of homogeneous values. It is an elementary form of container.
- Array allocation starts with element 0. The elements of an array are accessed by the use of index expressions. Therefore an array of size number of elements is indexed or subscripted from 0 to size - 1, inclusive. The array size can be accessed by using the notation arrayVariable.length.

Allocation is often combined with declaration, as in

```
int[] data = new int[100];
```

- The expression a[expr], where expr is an integral expression, accesses an element of the array. We call expr a subscript, or index, of a. The value of a subscript should lie in the range 0 to length 1, inclusive. An array subscript value outside this range will cause an IndexOutOfBoundsException error message to be printed.
- Only a reference to an array is passed to a method. That is, the array elements are not copied and passed to the method. Hence the individual elements of an array can be modified by a method passed as an array.
- Java allows arrays of any type, including arrays of arrays. With two bracket pairs, we obtain a two-dimensional array. This idea can be iterated to obtain arrays of higher dimension. With each bracket pair, we add another array dimension, as for example in

Java has numerous container classes in the package java.util. One such container, ArrayList, acts as an arrray that can be grown dynamically.

## **REVIEW QUESTIONS**

- 1. Does the statement int[] x; create an array of integers? If you answer *no*, how do you create an array of integers and associate it with the variable x? If you answer *yes*, how many elements are in the array?
- 2. Can you change the size of an array? Explain.
- 3. Can you change the size of an ArrayList? Explain.
- 4. Can an array variable be changed to refer to arrays of different sizes? What about arrays that contain different types of primitive elements?
- 5. Write a single statement that declares x to be an array of int values, creates an array of 10 integers, and assigns x to refer to the newly created array.
- 6. What is the value of x[0] after executing the statement that answers the previous question?
- 7. What is stored in s[0] after executing String[] s = new String[2];?
- 8. In a single statement, create an array of three String objects that contains the strings "one", "two", and "three".
- 9. Assume that x refers to an array of float values. Write a loop to print the values in x, one per line. Don't make any assumptions about the length of the array.
- 10. Java uses pass-by-value for all parameter passing. That is, a copy of the value of the variable or expression is passed to the method. When the actual parameter is a primitive variable, the variable in the calling statement can't be changed by the method. However, when passing an array variable, the contents of the array can be changed. What makes this change possible?
- 11. What does the following code fragment print?

```
int[] y = { 2 }; // create an array of length one
mystery(y);
```

```
System.out.println(y[0]);
Assume mystery() is defined to be
void mystery(int[] x) {
 x[0] = 1;
```

12. What does the following program print?

```
class Review11 {
  public static void main(String[] args) {
    int[] data = \{1, 3, 5, 7, 9, 11\};
    int sum = 0;
      for (int i = 1; i < data.length; i++) {
        sum = sum + (data[i] + data[i - 1]);
        System.out.println("sum = " + sum);
      }
 }
}
```

13. Convert each of the following expressions for running times of an algorithm to big-oh notation.

```
3n + 2
3n + 2\log n + 15
256n^2 + 19n + 1024
```

- 14. How many int values can be stored in the array created with new int[6][5]? How many in new int[6][5][3]?
- 15. What does the following code fragment print?

```
int[] a1 = { 1 , 2 };
int[] a2;
a2 = a1;
a2[0] = 3;
System.out.println(a1[0]);
```

16. Is the following code fragment legal? If so, what does it print?

```
int[] a1 = new int[3];
int[] a2 = new int[10];
a1 = a2;
System.out.println(a1.length);
```

17. What does the following program print?

```
class Review16 {
 static int goo(int i){
    return i + 2;
 static void hoo(int[] d, int n) {
   for (int i = 0; i < d.length; i++)
     d[i] = goo(n);
 }
```

```
public static void main(String[] args) {
    int i = 2;
    int[] a = {1, 2, 3};
    System.out.println("goo =" + goo(i));
    hoo(a, 1);
    hoo(a, i);
    for (i = 0; i < a.length; i++)
        System.out.println(i + " : " + a[i]);
    }
}</pre>
18. Why did we not use a for-iterator in writing hoo() in question 17?
    static void hoo(int[] d, int n) {
```

for (int element:d)
 element = goo(n):

## **EXERCISES**

}

- 1. Modify the program CommandLine in Section 5.12.1, *Arrays of Strings*, on page 150, to print the command line arguments from right to left and to number each of them.
- 2. Generalize the sieve algorithm in Section 5.9.1, *Booleans: The Sieve of Eratosthenes*, on page 135, to go from 2 through *n*. In the general case, you need only strike out multiples that are less than or equal to the square root of *n*. You also need only strike out factors for values that have not been set to false. For example, striking out multiples of 2 strikes out isPrime[4]. Striking out multiples of 4 won't strike out any elements not already out. Try to improve this program with these ideas.
- 3. Allocate an array of integers of length 100. Initialize the array to a series of random numbers. Print the contents of the array.
- 4. Repeat the preceding, but also find the minimum, maximum, and average value in the array.
- 5. Repeat the previous exercise, but do not print the contents of the array. Instead use an array of length 10,000 and write out how long each action on the complete array takes if you use the method System.currentTimeMillis(). This method returns a long integer that is the current time measured in milliseconds since midnight, January 1, 1970. By computing the difference between the time before and after an action you can determine how long the action took. Try this method for increasingly larger arrays by factors of 10 until your program no longer runs. That will happen when you request too large an integer array size from the heap. The *heap* is a place in the computer's memory where all arrays are stored.
- 6. A palindrome is a sequence of words or characters that reads the same both forward and backward. Some examples are

```
"otto" "121" "i may yam i"
```

Write a method that takes an array of char and returns the boolean value true if the string is a palindrome.

7. Modify your palindrome function from the previous exercise so that blanks and capitals are ignored in the matching process. Under these rules, the following are examples of palindromes.

```
"Huh" "A man a plan a canal Panama" "at a"
```

8. Write a different version of wordCount() that treats a word as a sequence of characters separated by the white-space characters: '\t', '\n', and '\b'.

10. Write a lexicographic comparison of two character arrays.

```
static boolean isLess(char[] word1, char[] word2) {. . .}
//true if word1 < word2</pre>
```

Words should be compared as in the dictionary, so *be*, *bet*, *between*, *bird*, . . . , are in correct order. You first must compare the character in the zeroth position. If two words are of different length and the shorter word is the same as the initial sequence of the longer word, then the longer word is *greater*.

- 11. Write a routine that sorts character arrays lexicographically. Then modify Exercise 9 not only to capture the words, but also to print a sorted list of all the words found.
- 12. Modify the previous exercise to produce a list of unique words independent of case. Turn all the words into lowercase before sorting them. After sorting them print only a single instance of any word. You need a test isEqual() to readily accomplish this task.
- 13. Write a program to produce the inner product of two arrays of double. Each array should be the same length. Write an overloaded form of this method that generalized it as follows.

```
static double innerProduct(double[] v1, double[] v2,
    int first, int last, double init);
//v1 and v2 must both have positions first and last
//but can otherwise be of different lengths
//init will normally be zero.
```

14. A real polynomial p(x) of degree n or less is given by

$$p(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n$$

with the coefficients  $a_0, a_1, \ldots, a_n$  representing real numbers. If  $a_n! = 0$ , the degree of p(x) is n. Polynomials can be represented in code by an array such as

```
public final int N = 5; /* N is the max degree */
double[] p = new double[N + 1];
Write a method
static double evalPoly(double[] p, double x) {
```

that returns the value of the polynomial p evaluated at x. Write two versions of the function. The first version should be a straightforward, naive approach. The second version should incorporate Horner's Rule. For fifth-degree polynomials, Horner's Rule is expressed as

```
p(x) = a_0 + x(a_1 + x(a_2 + x(a_3 + x(a_4 + x(a_5)))))
```

How many additions and multiplications are used in each of your two versions of the evalPoly() function?

15. Write a method that adds two polynomials.

```
// f = g + h;
static double[] addPoly(double[] f, double[] g ) {
```

16. Write an algorithm to multiply two polynomials. Use your function addPoly() to sum intermediate results.

- 17. This approach isn't very efficient, so write a better routine, if you can, to do the same thing. Make use of the ArrayList container for this problem.
- 18. Write a program that reads 10 characters into an array. Then have it print out the letters of the array sorted in alphabetic order.
- 19. Write a program using an ArrayList to read a list of words and then sort the resulting words in alphabetic order and print them out.
- 20. Write a program that reads n letters into an array where n is read in. Then have the program remove adjacent letters that are duplicates.
- 21. Write a program that reads *n* strings into an array where *n* is read in. Then have it print out the strings of the array sorted in alphabetic order. Perform the sort with a method

```
static void sort(String[] names){...}
```

- 22. A simple encryption scheme is to interchange letters of the alphabet on a one-to-one basis. This scheme can be accomplished with a translation table for the 52 lowercase and uppercase letters. Write a program that uses such a scheme to encode text. Write another program to decode text that has been encoded. This isn't a serious encryption scheme. Do you know why? If you're interested, learn about a more secure encryption system and then program it.
- 23. Recall that simulations involving the repeated use of a random number generator to reproduce a probabilistic event are called *Monte Carlo simulations* (after one of the world's most famous gaming casinos). In this exercise, you are to find the probability that at least two people in a room with n people have birthdays that fall on the same day of the year. Assume that there are 365 days in a year and assume further that the chance of a person being born on each day of the year is the same. A single trial experiment consists of filling an array of size n with integers that are randomly distributed from 1 through 365. If any two elements in the array have the same value, then we say that the trial is true. Thus a true trial corresponds to the case when at least two people in the room were born on the same day of the year. Simulate the probability by running, say, 10,000 trials with n people in the room. Do this for  $n = 2, 3, \ldots, 100$ . You can use the expression

```
(int)(Math.random() * 365 + 1)
```

to compute the day of birth for each person. The number of true trials divided by 10,000 is the computed simulated probability. What value of n yields the probability of at least 0.5 that there are shared birth-days in the room?

- 24. Consider again the probability question in the previous exercise, but look for three people in a room of n people sharing the same birthday. By the way, if there are 2\*365+1 people in the room, you are guaranteed (forgetting leap day) to have a probability of 1 for this question.
- 25. Two matrices can be multiplied, provided that the number of columns of the matrix on the left of the multiplication sign equals the number of rows in the matrix on the right of the multiplication sign. If the left matrix, call it *L*, has *m* rows and *n* columns and the right matrix, call it *R*, has *n* rows and *p* columns, then the result, call it *X*, will be a matrix that has *m* rows and *p* columns, with each element defined by

$$X_{i, j} = \sum_{k=1}^{m} (L_{i, m} \cdot R_{m, j})$$

Write a method mult() that takes two two-dimensional arrays of double values representing two matrices and returns the two-dimensional array that results from multiplying the two matrices. The method should return null if the matrices can't be multiplied because the number of columns of the first doesn't equal the number of rows in the second.

# OBJECTS: DATA ABSTRACTION

A key aspect of most current programming languages, and object oriented languages in particular, is the ability of the programmer to create new types. In Java there are three kinds of types, primitive types like int and float, arrays, and classes. The String type we have been using is one example of a pre-defined class type. Arrays and classes are both examples of reference types. They are called reference types because variables of these types store a reference or pointer to the actual data value rather than storing the data value directly in the variable as is done with primitive types.

For class types, the actual data values are referred to as *objects* or *instances* of the class. They are called objects because they are often used to model objects in the real world. For example, a person might be represented in a Java program as an instance of the class Person. Each instance of the Person class might store data that described a particular person storing their name, date of birth, and social security number. The class Person would define what data was needed to represent each person.

A class not only describes the particular data values that make up objects from the class, but they can also define how those objects can be manipulated. Unlike the primitive types which have special syntax for different operators such as + for addition and \* for multiplication of numeric primitive types, operations on class types are defined as methods. In object-oriented terms, objects are defined to accept and respond to certain messages. For example, an instance of the class Person might respond to the message, *getName* by providing the person's name as a String value. In Java this "sending of a message to an object" is implmented as a method invocation.

In Java, a programmer can create new types by creating new classes. We will begin our exploration of creating new types by examining a specialized form of the class declaration called an enumeration. An enumeration is usually a small set of constant literals that have a strong relationship, such as the days of the week, a small set of colors, the suits from a deck of cards, or types of music.

# **6.1 THE ENUMERATION TYPES:**

An enumeration type is typically a small set of named values called enumerators. An example of such a type is the days of the week declared using the following declaration.

```
public enum Days {
   sunday, monday, tuesday, wednesday, thursday, friday, saturday
}
```

This declaration creates a simple type with seven values. We will place this in the file *Days.java*, compile it, and then use Days in a separate program called *TestDays.java*. In that file, we have:

```
//TestDays.java - Test enum Days of the week
public class TestDays
{
    public static void main(String[] args)
    {
        Days today = Days.monday;
        System.out.println("Today is : " + today);
    }
}
```

This simple program prints "Today is: monday". Why not just use integers or stings to represent the days? Two reasons are first, by creating a type, we improve documentation. It is clear what using the type Days means. Second, their is type safety that the compiler checks for us. We cannot inadvertently use some arbitrary integer or string where we mean a day.

## 6.2 ENUM METHODS

Enumerated types have some predefined methods or operations that can be performed on them. For example, one such method is ordinal() that tells you the ordinal position of the enumerator. By default, the ordinal value of the first enumerator is 0. If in the previous code, we added the output statement

```
System.out.println("enumerator position is: " + today.ordinal());
```

the program would print enumerator position is: 1. Why? Because monday is after sunday and sunday is position 0, so monday is position 1.

We can add our own methods that perform computations with enumeration types. For example, here we have added a static method for computing the next day to our program TestDays. It is often convenient idiomatically to compute enumerated values using a switch-statement where each case is one of the enumerators.

```
//TestDays.java - Test enum Days of the week
public class TestDays {
   public static void main(String[] args)
   {
    Days today = Days.monday;
    System.out.println("Today is : " + today);
     System.out.println("Tomorrow is : " + nextDay(today));
   }
  static Days nextDay(Days day) {
    switch(day)
    {
      case sunday:
                     return(Days.monday);
                     return(Days.tuesday);
      case monday:
      case tuesday: return(Days.wednesday);
      case wednesday:return(Days.thursday);
      case thursday: return(Days.friday);
      case friday:
                     return(Days.saturday);
      default:
                     return(Days.sunday);
 }
}
```

The output of our program is now:

```
Today is monday
Tomorrow is tuesday
```

Notice that enumeration values can be used in switch statements. Previously we indicated that only integral values could be used in switch statements. The Java compiler uses the ordinal() instance method, which exists for all enum types, to convert enum values into integers.

#### 6.3 INSTANCE METHODS

The method ordinal() is an *instance method* that works on any variable declared as an enum. It is called in the form *variable*.ordinal(). The result is that the ordinal position of the enumerator value stored in the variable is returned as the expression's value. In effect the instance variable is an implicit argument to the method ordinal(). Let us see how to code nextDay() as an instance method. It must appear inside the definition of the enum Days.

```
//Days.java - Days of the week
public enum Days{
  sunday, monday, tuesday, wednesday, thursday, friday, saturday;
  public Days nextDay()
    switch( this )
                      return(Davs.mondav):
      case sunday:
      case monday:
                      return(Days.tuesday);
      case tuesday:
                      return(Days.wednesday);
      case wednesday: return(Days.thursday);
      case thursday: return(Days.friday);
      case friday:
                      return(Days.saturday);
      default:
                      return(Days.sunday);
    }
  }
}
```

#### Dissection of the class: Days

```
public enum Days{
    sunday, monday, tuesday, wednesday, thursday, friday, saturday;
```

The values for the enum are listed in a comma separated list. In the earlier simple Days with no additional methods, that was the entire enum definition. Note that here the list is terminated with semicolon. The semicolon is required if methods are also defined for this enum. The indentifiers defining the enum values (e.g. sunday, monday, etc.) must immediately follow the open brace in the definition.

```
public Days nextDay()
```

The code and logic is much the same as in our previous version. Notice that this method does not use the keyword static. This is an *instance method*. It can only be called with an implicit parameter that is an instance of the class Days. The method is invoked on the variable that it is dotted with, as in d.next-Day(), where d was declared to be typd Days.

```
switch( this )
{
   case sunday: return(Days.monday);
```

The switch statement is switching on the implicit Day value that was used to invoke the method nextDay(). That implicit parameter is referred to using the keyword this, as shown here. Compare the switch statement defined here with the one in the earlier example of a static method nextDay(). As mentioned earlier, the compiler automatically converts the value of type Day (or any enum) when used in a switch into an integer by calling ordinal(). Somewhat more verbously, we could have written the switch like this:

```
switch( this.ordinal() )
```

# 6.4 ENUMERATION OPERATIONS

We have already used the enumeration method ordinal(). Here is a short description in table form of some other enumeration operations.

ENUM METHOD	Purpose		
int compareTo(E o)	compares o to enumerator		
boolean equals(Object e)	true: e equal to enumerator		
int hashCode()	hash code for enumerator		
String name()	name of enumerator		
int ordinal()	position of enumerator		
static Iterator values()	use to iterate over enumerators		

We can use one of these methods to extend our enumerator type Days to tell us if a given day is on the weekend.

First we write the isWeekend() instance method inside Days.

```
//Days.java - Days of the week
public enum Days{
   // omitted code should be the same as above
   ...
   public boolean isWeekend()
   {
     return (this.equals(sunday) || this.equals(saturday));
   }
}
```

The code to test this method is *TestWeekend.java*.

```
//TestWeekend.java - Test enum Days of the week
public class TestWeekend
 public static void main(String[] args)
    for(Days d: Days.values())
      System.out.println(d.name() + " is on the weekend is " + d.isWeekend());
}
```

The for iterator statement uses the enumerators in order of their position. Each print statement prints a sentence telling if that day falls on the weekend.

So far we have shown how to create a basic enumerated type.

#### A SIMPLEST CLASS 6.5

A class much like an enum can be used to produce a very simple data type that bundles one or more data values. As suggested earlier, suppose we want to have values in a program that represent different people. For each person we need to keep track of their name and their phone number. We can write such a data type as a class Person.

```
class Person {
  String name:
                      //data member or field
  String phoneNumber: //data member or field
}
```

The class declaration for Person can be thought of as a blueprint for creating instances of the program's notion of a person. To actually create instances, we need to use the new operation

```
Person p: //declare that p will refer to a Person object
p = new Person(); // create a Person object
Person p = new Person(); //declare and construct
```

The variable p is a reference variable and has as its value a memory address. The operator new gets from the system memory, called a heap, the number of bytes needed to store everything we know about a Person object. The resulting value of p is the memory address of where the data fields are stored. This is very analagous to declaring arrays. Recall an array name is a reference variable.

#### 6.5.1 MEMBER ACCESS OPERATOR

We have already used the dot or member access operator in expressions such as word1.concat(word2). d.nextDay(), and d.ordinal(). It is a construct of the form

classVariable.memberName

When the member is a data member rather than a method member, the construct is used as a variable in the same way that a simple variable or an array element is used. Suppose we want to assign some values to the Person variable p is referring to. We can write

```
p.name = "Jane Programmer";
p.phoneNumber = "(831) 459-1234";
System.out.println(p.name + "'s phone number is " + p.phoneNumber);
```

The member names must be unique within the class. However, each instance of a class will have its own copy of each data member.

```
//same memberName different instances
Person jane = new Person();
Person john = new Person();
jane.name = "Jane Programmer";
john.name = "John Programmer";
```

We could also have a class Student declared as follows:

```
class Student {
  String name;
  float gpa;
}
```

Here again the use of the identifier name in class Student does not conflict the the identifier name in class Person.

These simple classes are analogous to structures in the programming language C created using the struct keyword. Simple classes such as these allow a programmer to aggregate data fields into a single object.

We could readily write static methods to manipulate these types. For example here is a program that contains a method for printing Person objects and a method that checks to see if two objects of the class Person refer to a person with the same name but possibly contain different phone numbers.

```
// SameName.java - demonstration of class Pair
public class SameName {
 public static void main(String[] args)
    Person personOne = new Person();
    Person personTwo = new Person();
    personOne.name = "Jane Programmer":
    personOne.phoneNumber = "(831) 459-1234";
    personTwo.name = "Jane Programmer";
    personTwo.phoneNumber = "(651) 999-1234";
    print(personOne);
    print(personTwo);
    if (sameName(personOne, personTwo))
      System.out.println("They are the same person.");
      System.out.println("They are different people.");
  }
 static void print(Person p)
    System.out.println(p.name + "'s phone number is " + p.phoneNumber);
  static boolean sameName(Person p, Person q) {
    return p.name.equals(q.name):
}
```

#### ADDING INSTANCE METHODS: CLASS Counter 6.6

As discussed at the beginning of this chapter, a class describes the data values that make up an object from the described class and any operations that can be applied to that object. The data values are stored in instance variables, which are also called data members or fields. The operations are described by instance methods, which are also called procedure members. The examples Person and Student from the previous section had only data members.

Typically, a class implements a user-defined data type needed to solve a programming problem. For example, suppose that we needed a class Counter that would count sequentially from 0 through 99. Each time the counter is "clicked" it should increase by 1. When the counter reaches 99 and is clicked it returns to 0. Such counters are common in everyday life: The sweep second hand on a watch is a counter that counts from 0 through 59, and the trip odometer on a car is a counter. Our counter has values 0 through 99 and needs an operation *click*. We might also need to be able to *reset* the counter to 0 and to have a *get* operation that gets the current value of the counter. The following example uses our proposed Counter object. We then present the actual definition of the Counter class.

```
// CounterTest.java - demonstration of class Counter
class CounterTest {
  public static void main(String[] args) {
    Counter c1 = new Counter(); //create a Counter
    Counter c2 = new Counter(); //create another
    c1.click(); // increment Counter c1
    c2.click(); // increment Counter c2
    c2.click(); // increment Counter c2 again
    System.out.println("Counter1 value is " + c1.get());
    System.out.println("Counter2 value is " + c2.get());
    System.out.println("Counter1 value is " + c1.get());
}
```

The output of this program is

```
Counter1 value is 1
Counter2 value is 2
Counter1 value is 0
```

The methods click() and reset() are mutator methods: They modify the values of the Counter objects upon which they operate. The definition of the class Counter is as follows.

```
//Counter.java - a wrap around counter
class Counter {
 int value:
                                 //0 to 99
 void reset() { value = 0; }
            { return value; } //current value
 int get()
 void click() { value = (value + 1) % 100; }
}
```

## Dissection of the class Counter

class Counter {

The first thing to note about the class Counter is that there is no method main(). Counter by itself isn't a program. It is instead a class or new type that can be used in other Java programs. As we showed in CounterTest, we can declare variables of type Counter.

int value; //0 to 99

Here we are declaring an *instance variable*. Each object or instance of the class Counter contains an integer used to represent the value of the Counter. Note that this variable declaration isn't in the body of any method. This variable is visible to all the instance methods of the class.

void reset() { value = 0; }

This method is an instance method. Note that the keyword static is absent from this method definition. With the exception of nextDay() earlier in this chapter, the methods that we've been writing until now have been static or class methods. Class methods don't implement operations on objects. This method appears to have no parameters and no return value. In fact it has one implicit parameter: the object upon which this operation is being performed. That implicit parameter is the object named in the method invocation—for example, c1 in c1.reset(). This method demonstrates that the instance variable value can be accessed from inside an instance method.

int get() { return value; } //current value

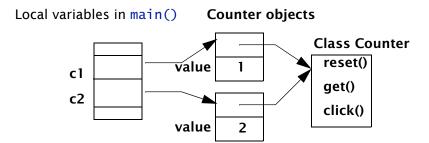
This method is an accessor method. It simply returns the integer value that represents the value of the Counter object. Again, the instance variable is referenced from inside an instance method.

void click() { value = (value + 1) % 100; }

This method is another mutator method. It uses the modulus operator, %, to keep the value of the Counter within the range 0 through 99. In general, including numbers such as the 100 in the statement isn't a good idea. The use of symbolic constants is preferable. We discuss symbolic constants in Section 6.16, *Keyword final and Class Constants*, on page 180.

Note that there is one instance of the integer variable value for each instance of the class Counter. In the program CounterTest, there are two copies of value: one for the Counter object referred to by c1 and one for the Counter object referred to by c2. As discussed in the preceding dissection, in the method call c1.click(), the object referred to by c1 is passed as an *implicit first parameter* to the method. In this way, the method knows which value to increment.

The following diagram shows a portion of the computer's memory just before the first two calls to println(). By following the arrows, you can get from a variable such as c1 to a particular object. From there you can find the methods for the class.



An *abstract data type* (ADT) is a user-defined type with a set of methods to manipulate objects of that type. In the case of Counter, we've methods such as get() and click(). There is also an implementation of the values needed to describe the object's state—in this case, the instance variable value. Decoupling implementation from manipulation via accessor and mutator methods has important advantages. For example, we can have a program use a Counter without knowing whether the internal value is represented as an int, long, byte, or possibly some other class. This capability allows the implementer to develop an optimal implementation. At the same time it allows a client, or user of the implementation, the opportunity to develop an application using Counter without being concerned about the internal details of Counter.

Note that in the preceding discussion we said the "object referred to by c1," not "the object c1." Recall that variables declared to be a nonprimitive type are referred to as reference variables. They don't directly store the object but in fact store a *pointer* or *reference* to the object, as shown in the preceding diagram. However, when the fact that the variable is actually a reference is unimportant to the discussion, we say, "the object c1." In Section 6.16, Keyword final and Class Constants, on page 180, we explain the circumstances under which it is important that the variable is actually a reference.

#### ACCESS public AND private: DATA HIDING 6.7

As a general rule, each class should be defined in a separate file. The name of the file should be the same as the name of the class with the suffix .java—for example, Counter.java. Many files with the .java suffix can reside in a common directory. By default, all classes in the same directory are part of the same unnamed package. (We describe packages in Section 12.11, *Packages*, on page 380.) With appropriate syntax, methods for one class can refer to class variables, instance variables, class methods, and instance methods for any other class in the same package, provided that the variable or method declaration being referenced isn't preceded by the keyword private. For the example to work correctly, the files Counter.java and CounterTest.java would need to be in the same directory and hence in the same unnamed package.

Because the declaration of the instance variable value in class Counter isn't preceded by the keyword private, any method in another class in the same directory can perform a manipulation, such as

```
Counter c = new Counter();
c.value = 100;
```

Such a manipulation isn't consistent with our original description of Counter. In this case, the value isn't between 0 and 99, inclusive. To prevent this from happening, we can declare value as private. The private access modifier restricts the use of the variable to methods that are defined in the same class. Methods in other classes can't use a privately declared variable. In data hiding terms, instance variables should be private to prevent misuse. Methods can be written to give access to the object's values in a controlled and safe manner.

Although we want to protect or hide the internal state of the Counter class, we want to be able to reuse the Counter class as widely as possible. That is, we want classes outside the current directory or package to be able to create Counter objects and manipulate them using the methods reset(), click(), and get(). We can use the access keyword public to do so. This approach becomes more important as you begin to build your own packages. Until then, you won't be able to observe any difference in behavior between public access and the default access when no access keyword appears. This is called *package access*. The class Counter is modified to include the preferred access modifiers as follows.

```
// Counter.java - a simple wrap around counter
public class Counter {
    //instance variables -fields -- hidden
    private int value;
    //methods -- exposed
    public void reset() { value = 0; }
    public int get() { return value;}
    public void click() {value = (value + 1) % 100;}
}
```

This implementation makes certain access to the field value, such as the assignment c.value = 100, a compiler error if attempted from outside the methods in class Counter. The use of private fields for implementation is a common technique in building ADTs and is referred to as the *principle of data hiding*. It enforces on the client or user of the ADT the discipline of using only the public fields and methods, also called the *interface* of the ADT.

# 6.8 CONSTRUCTOR METHODS AND OBJECT CREATION

When we want a Counter object we must allocate it with new, as in

```
Counter c1 = new Counter();
```

This allocation creates a <code>Counter</code> object and calls a constructor, which initializes <code>c1.value</code> to 0 implicitly. The constructor in this example has an empty argument list; that is, there is nothing between the parentheses in <code>new Counter()</code>. This constructor is called the default, no-arg constructor. It is provided automatically by the compiler when it isn't explicitly written by the programmer and no other constructors are defined. In the following program fragment, we provide <code>Counter</code> with two explicit constructors: one that initializes the counter with an integer value, and the second which is the no-arg constructor.

```
public class Counter {
   //constructors
  public Counter() { }
  public Counter(int v) { value = v % 100; }
.....
}
```

A *constructor* is like a method with two special characteristics: It has no return type, and in place of an arbitrary method name, has the class name. We don't need to do anything in the no-arg constructor because the field value is automatically initialized to 0. All numeric fields are automatically initialized to 0, which is different from local variables that are not automatically initialized.

The constructor with the integer argument uses the argument to initialize the counter value. To ensure that the counter value is valid, based on our definition of a counter, we use the modulus operator. Its use guarantees that the value will be between 0 and 99, inclusive.

The code in a constructor should stick to the purpose of initialization. In general, it should use its arguments as the means for initializing the instance variables of the object being created. Constructors are frequently overloaded because there are many useful ways to initialize objects. Overloading for constructors is the same as for methods. Recall that overloading allows us to write several methods with the same name, distinguished by the number and types of their parameters. Our style is to group constructors at the head of the class definition. For initialization to work correctly, acceptable values must be used in the fields of the object. Good practice involves testing whether a value in the correct range is being used to initialize an object's fields. For example, if our counter can only hold nonnegative values, we should verify that they are

initialized with values greater than or equal to 0. When we discuss exceptions in Section 11.1.6, Program Correctness: Throwing an Exception, on page 335, we also use the constructor to check that the initial state is valid.

#### COMMON PROGRAMMING ERROR

Keep in mind that the default no-arg constructor is provided by the compiler *only if* no constructor is explicitly coded in the class. If we had added the constructor public Counter(int v), but not the noarg constructor, to our class Counter, then the declaration

```
Counter c1 = new Counter();
```

would be a syntax error, generating a message similar to

No constructor matching Counter() found in class Counter.

#### 6.9 STATIC FIELDS AND METHODS

The keyword static, as part of a method header, means that the method doesn't have an implicit first parameter as we had when calling the methods of class Counter. We've made extensive use of static methods as a way to organize simple programs. We've also used various static methods from other classes, such as Math.random() and String.valueOf(). Note that, from outside the class in which it is defined, you call a static method by preceding the method name with the name of the class separated by a dot.

Java also has static fields called class variables. A *class variable* is independent of the objects for the class. There is only one instance of a class variable no matter how many objects from the class you have created. The value Math. PI is a static or class variable in the class Math. Class variables are referenced just like class methods, by preceding the variable name with the class name. Some static fields are defined so that they can't be changed, thereby turning them into constants. This is the case for Math.PI.

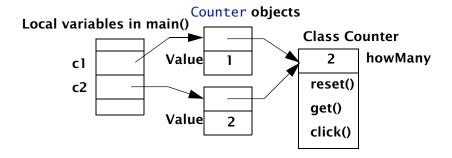
For example, we can add a static variable to our Counter class to track how many counter instances have been created.

```
public class Counter {
  //instance variables -fields -- hidden
 private int value;
 private static int howMany = 0;
  //methods -- exposed
 public Counter(){ howMany++; }
 public void reset() { value = 0; }
 public int get()
                     { return value; }
 public void click() { value = (value + 1) % 100; }
 public static int howMany() { return howMany; }
```

Each time a Counter object is created, the static variable howMany is incremented. Unlike the field value, which can have a different value for each instance of Counter, the static field howMany is universal to the class. Here you can see some justification for the choice of the word static. A static field is created once, or statically, when the Java virtual machine first encounters the class. A new instance variable is created dynamically each time a new instance of the class is created with the operator new. The following test program demonstrates the difference between class variables and instance variables.

```
// CounterTest2.java - demonstration of static field
class CounterTest2 {
  public static void main(String[] args) {
    System.out.println(Counter.howMany());
    Counter c1 = new Counter();
    Counter c2 = new Counter();
    c1.click();
    c2.click();
    c2.click();
    System.out.println("Counter1 value is " + c1.get()); // value is 1
    System.out.println("Counter2 value is " + c2.get()); // value is 2
    System.out.println(Counter.howMany());// prints 2
  }
}
```

We modified CounterTest to print Counter.howMany() at the beginning and end of main(). The first statement prints out 0 because no Counter objects have been created and therefore the Counter constructor hasn't been called to increment the variable howMany. The last statement prints 2 because the constructor for Counter was called twice, each time incrementing the static variable howMany in the class Counter. The following diagram is based on the one in Section 6.6, Adding Instance Methods: Class Counter, on page 166, which illustrates this action. Here it has been modified to reflect the presence of the class variable howMany when execution has reached the end of main() in CounterTest2.

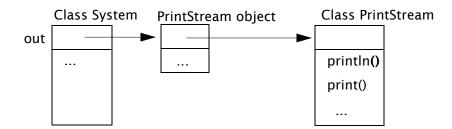


You can't access instance variables directly or call instance methods from static methods. You can't access instance variables from a static method because there is no object from which to get the variable. You can't call instance methods using the *methodName(argument-list)* syntax because there is no object to pass as the implicit first parameter required by instance methods. But you can, of course, call instance methods by using variables that reference objects, as we did in CounterTest when calling c1.click(). The object referenced by c1 will be the implicit first parameter to click().

For example, you would create a syntax error if you tried to reference the instance variable value from within the static method howMany(). The method howMany() when called with Counter.howMany() wouldn't know which instance of value to use. The reason is that the method isn't operating on any object.

From within instance methods you can reference both static and nonstatic members (fields or methods) within the same class as the method. From within the class in which a static member is defined, you normally access the member by specifying only its name, as for instance variables.

We've now discussed all the concepts needed to explain fully the expression System.out.println(). System is a standard Java class from the package java.lang. The class System contains a static field named out, so System.out refers to the value stored in that static field. The value System.out is an object, or an instance, of the class PrintStream from the standard Java package java.io. All you need to know for the current discussion is that the class PrintStream has an instance method println(). So System.out.println() calls the println() method associated with the PrintStream object referred to by the static field out in the class System, as illustrated in the following diagram.



#### COMMON PROGRAMMING ERROR

A common mistake is to forget to use static for a variable that is referenced from within a method declared to be static. This error generates a message from the compiler similar to

Attempt to reference a nonstatic variable from a static method.

You'll get a similar message if you attempt to call an instance method from a class method within the same class if you use only the name of the method.

## 6.10 CALLING METHODS—A RECAP

There are three ways to call methods. How you call a method depends on two things: whether the method is an instance method or a class method, and whether the method is in the same class as the method containing the call.

## 6.10.1 CALLING A METHOD IN THE SAME CLASS

The simplest form of method call has the form we used primarily in earlier chapters: methodName(argument-list). You simply give the name of the method followed by the actual argument list, as we did for all the methods we wrote in previous chapters. You can use this form to call one class method from another, to call one instance method from another, or to call a class method from an instance method. You can't call an instance method from a class method in this way, even if the methods are in the same class.

Recall that instance methods have an implicit first parameter that specifies the object to which the operation applies. For example, the method click() in class Counter needs to know "click what?" When calling one instance method from another, the same implicit first parameter is used. For example, we could have implemented the click() method in Counter by calling get(), as in

```
void click() { value = (get() + 1) % 100; }
```

The call to get() will have the same implicit first parameter as the method click(). In this case both operate on the same copy of the instance variable value.

There is no implicit first parameter for class methods. Therefore any attempt to call an instance method from a class method, even in the same class, must provide the object that will be the implicit first parameter. The notation for calling an instance method from a class method is the same as calling an instance method of a different class.

#### 6.10.2 CALLING INSTANCE METHODS

To call a nonstatic or instance method in a different class we used, for example, word1.concat(word2) to call the instance method concat(). The method concat() is defined, without the keyword static, in the class String, a different class from HelloWorld2 where the call is located. The method name in this case is preceded by an expression that evaluates to a value from the class that contains the method definition. In the example given, word1 must evaluate to a value from the class String. A simple variable name is the most common expression used in this form, but any expression that evaluates to an appropriate value can be used. For example, we could write word1.toUpperCase().concat(word2). In this case, the expression word1.toUpperCase() evaluates to a value of type String. The method concat() will be implicitly passed the String that appears before the name of the method in the call and explicitly passed word2.

#### 6.10.3 CALLING CLASS METHODS

In Chapter 5, *Arrays And Containers*, all the methods we wrote were static or class methods. As explained in Section 6.10.1, *Calling a Method in the Same Class*, on page 171, when the call is from one method to another in the same class, we can simply use the name of the method. If the method is in a different class, we precede the name of the method with the name of the class. Superficially, doing so appears similar to calling an instance method. However, instead of preceding the name of the method with an expression that evaluates to a value from the class, we precede it with the name of the class. For example, we used Math.sqrt(x) to call the class method sqrt() from class Math.

## 6.11 PROBLEM SOLVING: MAKING CHANGE

Earlier we developed a simple program for making change. We can encapsulate the logic of that program in a class. The new class can then be used in a larger program for making change.

We can look at change as an object returned when we have a purchase. We have to decide which data members are needed for making change. Generally, objects mimic the real world. In this case we need members that track the number of coins of each denomination. We also need actions that are useful with these types. For example, what would be the value of a set of coins containing three quarters and two dimes?

```
//Change.java
import java.util.Formatter;
class Change {
  private int dollars, quarters, dimes, pennies;
  private double total;
  Change(int dlrs, int gtr, int dm, int pen) {
    dollars = dlrs;
    quarters = qtr;
    dimes = dm;
    pennies = pen;
    total = dlrs + 0.25 * qtr + 0.1 * dm + 0.01 * pen;
  static Change makeChange(double paid, double owed) {
    double diff = paid - owed;
    int dollars, quarters, dimes, pennies;
    dollars = (int)diff;
    pennies = (int)Math.round((diff - dollars) * 100);
    quarters = pennies / 25;
    pennies -= 25 * quarters;
    dimes = pennies / 10;
    pennies -= 10 * dimes;
    return new Change(dollars, quarters, dimes, pennies);
```

```
public String toString() {
    StringBuffer result = new StringBuffer();
    Formatter out = new Formatter(result);
    out.format("$%.2f%n%d dollars%n%d quarters%n" + "%d dimes%n%d pennies%n",
               total, dollars, quarters, dimes, pennies);
    return result.toString();
}
```

### Dissection of the Change Program

```
private int dollars, quarters, dimes, pennies;
  private double total;
```

Here we declare the various data members bundled inside a Change object. We gave them private access to protect them from arbitrary manipulations by nonmember methods.

```
Change(int dlrs, int qtr, int dm, int pen) {
    dollars = dlrs;
    quarters = qtr;
    dimes = dm;
    pennies = pen;
    total = dlrs + 0.25 * qtr + 0.1 * dm + 0.01 * pen;
```

As currently defined, the only way to construct a Change object is by specifying the number of each type of coin. We chose not to use nickels or half-dollars, just to keep the code shorter. Because there's no noarg constructor in this class, we can't create a Change object by using the expression new Change(). As discussed earlier, doing so would result in a syntax error. We intentionally left the no-arg constructor out because, as currently implemented, there is no use for it.

```
static Change makeChange(double paid, double owed) {
  double diff = paid - owed;
  int dollars, quarters, dimes, pennies;
  dollars = (int)diff;
  pennies = (int)Math.round((diff - dollars) * 100);
  quarters = pennies / 25;
  pennies -= 25 * quarters;
  dimes = pennies / 10;
  pennies -= 10 * dimes;
  return new Change(dollars, quarters, dimes, pennies);
}
```

This method is a static method because it isn't operating on a Change object. Instead, it is a helper function, used to compute change for an amount paid and an amount owed. Once we've computed the numbers of each of the coin types, we then create a new Change object, using the values. This new Change object is then returned as the result of the method. Note that we are using an object to encapsulate many related values—the amounts of the various coins. The return type, rather than being a single primitive value, is an object. This type allows a complex calculation to bundle and return many related values as an object.

As we demonstrate later, all classes include a method <code>toString()</code>, which returns a string representation of the class. If we don't provide the method, the Java system provides one by default—but it isn't useful for most purposes. It simply returns the name of the class of which the object is an instance and the address of the object in the computer's memory. One version of the method <code>println()</code> takes as an argument any reference. The method then prints whatever the <code>toString()</code> method for the referenced object returns. Here we use a <code>StringBuffer</code> and a <code>java.util.Formatter</code> object to build the result string. The <code>format()</code> method for <code>Formatter</code> works just like <code>printf()</code> but can be used to format a string into a <code>StringBuffer</code> as shown here. The format string %.2f says format the corresponding parameter as a floating point value with 2 digits to the right of the decimal point. The format string %n inserts a platform dependent newline into the result string. For more information about formatting with <code>printf()</code> see Section 2.8.1, <code>Formatting output with printf()</code>, on page 26.

The following simple program uses the class Change.

```
public class ChangeTest {
  public static void main(String[] args) {
    double owed = 12.37;
    double paid = 15.0;
    System.out.printf("You owe $%.2f%n", owed);
    System.out.printf("You gave me $%.2f%n", paid);
    System.out.println("Your change is " + Change.makeChange(15.0, 12.37));
  }
}
```

The output of this program is

```
You owe $12.37
You gave me $15.00
Your change is $2.63
2 dollars
2 quarters
1 dimes
3 pennies
```

# 6.12 ACCESSING ANOTHER OBJECT'S PRIVATE FIELDS

Using the abstraction capability provided by classes, you can easily make manipulating complex objects as easy as manipulating simple values. For example, you can easily modify the Change class from Section 6.11, *Problem Solving: Making Change*, on page 172, to support addition and subtraction of two Change objects. You do so by adding methods to support each of the operations. The following example shows how this new capability is used.

```
public class ChangeTest2 {
 public static void main(String[] args) {
    Change c1 = new Change(10, 3, 4, 3);
    Change c2 = new Change(7, 2, 2, 1);
    Change sum = c1.add(c2);
    System.out.println(sum);
}
```

After creating two sets of change, c1 and c2, we add them, using c1.add(c2). The following method must be added to the class Change to implement this new operation.

```
public Change add(Change addend) {
 Change result = new Change(dollars + addend.dollars,
                             quarters + addend.quarters,
                             dimes + addend.dimes,
                             pennies + addend.pennies);
 return result;
}
```

The method simply creates a new object, initializing its data members to the sum of the corresponding members from the object upon which the method was invoked, c1 in this example, and the parameter, c2 in this example. This new Change object is then returned as the result of the method. Note that this method, when invoked on one object, can access the private fields of another object from the same class. The implementation details are hidden from other classes, but not from other objects in the same class. We leave adding a method for subtraction of Change values as Exercise 10 on page 189, for you to do.

We could have created a static method with two explicit parameters, as in

```
public static Change add(Change augend, Change addend) {
  Change result =
    new Change(augend.dollars + addend.dollars,
               augend.quarters + addend.quarters,
               augend.dimes + addend.dimes,
               augend.pennies + addend.pennies);
  return result:
}
```

Such a method, when called from another class, would have required including the name of the class in the call, as in

```
Change sum = Change.add(c1,c2);
```

Static methods don't support the object-oriented model of "sending messages to objects." Specifically, static methods don't allow for dynamic method dispatch, which we discuss in Section 7.2, Overriding Instance Methods, on page 197. As we show later, we can "send a message" to an object—that is, invoke a method—when we don't know its exact type or class. The appropriate method is determined dynamically, which can be a powerful technique.

# 6.13 PASSING OBJECTS: REFERENCE TYPES

In Section 6.12, Accessing Another Object's Private Fields, on page 175, the method add() in class Change had a parameter that was type Change and also returned a value that was type Change. The syntax was identical to the syntax used for passing and returning primitive type values. Despite the apparent similarity, there is one important difference.

As discussed in Section 4.8, Invocation and Call-By-Value, on page 96, primitive values are passed using callby-value. In other words, the *value* of the actual parameter (the expression at the point of the method call) is passed. In particular, we showed that, when the actual parameter is simply a variable, the value stored in the variable can't be changed by the called method.

Recall that variables declared with a class type are referred to as references. A reference variable doesn't store an object directly but instead stores a reference to an object. This technique was shown graphically in the diagram in Section 6.6, *Adding Instance Methods: Class Counter*, on page 166. Consequently, when a reference type variable is passed using call-by-value, the "value" passed is the reference, not the actual object.

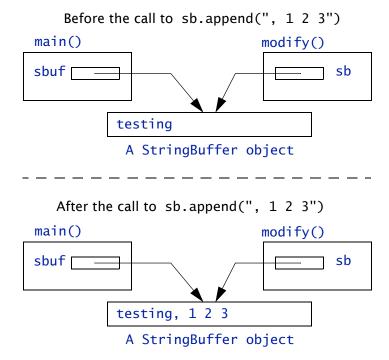
The result is that, when a reference type variable is passed to a method, the method receives a reference to the *same* object. This result in turn means that, if the referenced object is modified, the change will be observed back at the point of the call. The following program uses the class <code>StringBuffer</code> to demonstrate this behavior.

```
// PassingReferences.java
// object parameters can be modified
class PassingReferences {
  public static void main(String[] args) {
    StringBuffer sbuf = new StringBuffer("testing");
    System.out.println("sbuf is now " + sbuf);
    modify(sbuf);
    System.out.println("sbuf is now " + sbuf);
}
static void modify(StringBuffer sb)
{
    sb.append(", 1 2 3");
}
```

The output of this program is

```
sbuf is now testing sbuf is now testing, 1 2 3
```

This behavior is illustrated in the following diagram. The arrows indicate that the variables are referring to a StringBuffer object. When modify() is called, the value in the box labeled sbuf is copied to the box labeled sb. The values in the labeled boxes are the references to the actual object. When the append() method for the StringBuffer is called the StringBuffer object is changed.



If you think of the object as the parameter, this way of passing a parameter can be called *call-by-reference*. The reason is that a reference to the object is passed, not a copy of the object itself, as in call-by-value. However, if you think of the variable as the parameter, this way of passing a parameter is properly referred to as call-by-value. The important thing to remember is that you can't change the value stored in a variable for a primitive type as a result of being passed as a parameter to a method. However, you can change the object referred to by a variable for a reference type by passing that variable to a method. Also, you can't modify the actual reference variable by passing it as a parameter. The following program emphasizes this distinction.

```
// ModifyParameters.java - you can't modify the actual
       arg even when it is a reference
class ModifyParameters {
 public static void main(String[] args) {
    StringBuffer sbuf = new StringBuffer("testing");
    System.out.println("sbuf is now " + sbuf);
    modify(sbuf);
    System.out.println("sbuf is now " + sbuf);
 static void modify(StringBuffer sb) {
    sb = new StringBuffer("doesn't work");
```

The output of this program is

```
sbuf is now testing
sbuf is now testing
```

The attempt to modify sbuf by making an assignment to sb in method modify() has no effect outside the method. To understand why, refer to the figure in Section 6.13, Passing Objects: Reference Types, on page 176. Can you redraw the figure to show what it would look like immediately after the assignment of the new StringBuffer to sb? Try it.

## **6.14 SCOPE**

In Section 4.4, *Scope of Variables*, on page 86 we discussed the scope of local variables. Recall that the scope of a local variable starts at the point of declaration and continues to the end of the block containing the declaration. Class variables and instance variables also have a defined scope—that is, the range of statements over which the declaration is visible.

The scope of both class variables and instance variables is the entire class, regardless of where the declaration appears. A local variable must be declared before its first use. In contrast, instance variables and class variables can be declared at the end of a class and still be referenced by methods defined earlier. For example, in the class Counter, we could place the declaration of the instance variable value at the end, as in

```
class Counter {
  void reset() { value = 0; }
  int get() { return value; } //current value
  void click() { value = (value + 1) % 100; }
  int value; //0 to 99
}
```

Note that we referenced value before defining it. We can do so because, as just stated, the scope of an instance variable is the *entire* class.

When a local variable and a class variable or an instance variable have the same name, the local variable takes precedence and the class variable is hidden. A hidden class variable can still be accessed by using the qualified name of the class variable, which is the name of the class followed by a dot followed by the class variable name. A hidden instance variable can be accessed by using the keyword this. There is no way to refer to local variables from outside the method in which the local variable was declared. Consider the following program.

```
//Scope2.java: class versus local scope
class Scope2 {
  public static void main(String[] args) {
    int x = 2;
    System.out.println("local x = " + x);
    System.out.println("class variable x = " + Scope2.x);
  }
  static int x = 1;
}
```

In this program the declaration where x = 1, declares a class variable, x. The scope of this variable is the entire class, even though it appears at the end of the class definition. This class variable could be referenced from any method defined in this class, not just main(). The declaration of x inside main() is a local variable. This declaration of x hides the class variable so that the first println() prints local x = 2. The second println() shows how the class name can be used to access the class variable when there is a local variable with the same name. If the local declaration of x was eliminated, the program would still be legal and both println() statements would print the value of x as 1.

As discussed briefly earlier with regard to enum declarations, the keyword this provides a reference to the implicit first parameter for instance methods—that is, the object upon which the instance method is operating. For example, in a call such as c1.add(c2), the implicit first parameter to add() is c1, which can be referenced with the keyword this inside the method. Let's return to Change and recode some methods using the this reference.

```
Change(int dollars, int quarters, int dimes, int pennies) {
  this.dollars = dollars;
 this.quarters = quarters;
 this.dimes = dimes;
 this.pennies = pennies;
 total = dollars + 0.25 * quarters + 0.1 * dimes + pennies;
}
```

In our earlier definition of the constructor for Change, we had to make up alternative names for the formal parameters to avoid having them conflict with the names of instance variables. The result of this approach is often that either the instance variable or the formal parameter have an unnatural choice of identifiers for a name. However, by using the this reference, we can use the most descriptive name for both.

We can always add the this reference to an instance variable reference from within an instance method, but we normally do so only when necessary to resolve an ambiguity. Without the this reference, we would have to find alternative names or be unable to access the instance variables.

Later we show that there are other situations in which we need the this reference. In those situations, there is no alternative, such as renaming local or instance variables. That occurs when, from within an instance method, we need to pass a reference to this object to some other method. Without the this reference we couldn't pass the current object to another method.

## 6.15 NESTED CLASSES

Java allows for the definition of one class to be nested inside of another class. These are also called *inner* classes. In its simplest form, a nested class is simply a convienient way of grouping two or more classes together into a single unit or module. This makes sense when one of the classes is clearly subordinate to the other and the subordinate class is of little or no use without one or more instances of the primary class.

Consider the following simple linked list implementation. In this example, the ListElement class is nested inside of the MyList class. Furthermore the nested class is private because the users of the MyList class have no need to know about or access the ListElement objects directly.

```
class MyList {
  private ListElement head, tail; //Forward declaration
  void add(Object value) {
    if (tail != null) {
      tail.next = new ListElement(value);
      tail = tail.next;
    }
    else {
      head = tail = new ListElement(value);
  }
  Object remove()
    assert head != null; // don't remove on empty list
    Object result = head.value;
    head = head.next;
    if (head == null) { //was that the last?
      tail = null;
    }
    return result;
```

```
//Nested class needed only in the implementation of MyList
private class ListElement {
   ListElement(Object value) {this.value = value;}
   Object value;
   ListElement next; //defaults to null as desired
  }
}
```

With this definition of MyList, we can change the implementation entirely without affecting clients of the MyList class.

## 6.16 KEYWORD final AND CLASS CONSTANTS

As suggested earlier, making the instance variables in a class public is generally not a good idea. A better approach is to provide accessor methods and keep the actual instance variables hidden. Doing so allows us to be sure that the instance variables aren't set in such a way as to compromise the correctness of the class. For example, we made value private in our Counter class so that we could be sure it was always between 0 and 99, inclusive. There is one situation when instance variables are commonly made public: when the instance variable represents some constant value for the class.

An example from a standard Java class is the value Math.PI, which is a class constant. In the class Math, this constant is declared, as in

```
public static final double PI = 3.14159265358979323846;
```

The keyword final indicates that this variable can't be changed once it has been initialized, effectively making it a constant. Any further attempt to make an assignment to Math.PI will result in an error message from the compiler.

As a matter of style, such final values, or constants, are generally given identifiers that are all uppercase letters. Embedded words are separated with the underscore. For example, <a href="Integer.MAX\_INT">Integer.MAX\_INT</a> is a constant in the class <a href="Integer">Integer</a>, which defines the largest possible integer value.

In addition to providing helpful values such as Math.PI and Integer.MAX\_INT, constants can also be used to make a program easier to modify and understand. In general, numeric literals shouldn't be scattered throughout a program. Such numeric literals are often referred to derogatorily as "magic numbers." Someone reading the code might see such a number and wonder, Where did that number come from? By turning the numbers into named constants, the program becomes self-documenting and all uses of the particular value can be changed by just changing the definition of the constant. To illustrate, we replace the magic number 100 in our earlier example of the Counter class.

In this very short example, using 100 directly might seem fine. However, when the class becomes more complicated, we may find other methods using the modulus value of 100. For example, we might add a method to set the value or a constructor to give it an initial value. In either of those situations, we would still want to be sure that the value was properly in range. Using a constant makes the code easier to read and lets us easily change the modulus to something else.

## 6.17 ARRAYS OF OBJECTS

Let's assume that we want to write a program to play poker. One object we would want is a playing card. Once we had a playing card we could create a deck by having an array of 52 cards properly initialized. In this chapter we've avoided using arrays because we wanted to make the study of arrays and classes independent. If you haven't yet read Chapter 5, Arrays And Containers on arrays you may want to skip this section until vou do.

Each card has a rank from 1 through 13, where 1 stands for ace, 11 stands for jack, 12 stands for queen, and 13 stands for king. A card also has a suit value of clubs, diamonds, hearts, or spades. We use a number of classes to develop this application. First we present a class encapsulating suit information.

```
//Suit.java - a playing card attribute
enum Suit {
  clubs, diamonds, hearts, spades;
  Suit next() {
    switch(this) {
    case clubs: return diamonds:
    case diamonds: return hearts;
    case hearts: return spades;
    default: return clubs;
  }
}
```

Note how for this type we use an enum to represent the four card suits. We have included a next() method to allow for cycling through the possible suit values.

We use the next class to represent card values. These are the card values 2 through 10 and the special cards. jack, queen, king, and ace. The card values are often referred to as the rank so we name the class Rank.

```
//Rank.java - a playing card attribute
enum Rank {
  joker, ace, two, three, four, five, six, seven,
  eight, nine, ten, jack, queen, king;
  Rank next() {
    switch(ordinal()) {
      case 0: return ace;
      case 1: return two;
      case 2: return three:
      case 3: return four;
      case 4: return five;
      case 5: return six;
      case 6: return seven;
      case 7: return eight;
      case 8: return nine:
      case 9: return ten;
      case 10: return jack;
      case 11: return queen;
      case 12: return king;
      default: return joker;
    }
 }
}
```

Many decks of playing cards also include two special cards called jokers. We include jokers at ordinal position 0 which has the nice effect of making the result of ordinal() for our Suit type be the same as the normal card value - e.g. 1 for ace and 13 for king. Notice that in the Rank class we have chosen to use ordinal() and integer switch values rather than this and the symbolic enum values we used in Suit. We did this because of the natural relationship between the ordinal value and the symbolic enum value. A playing card combines a suit value with a rank or card value. Note how, by properly mimicking the problem domain, we quickly develop a set of objects that allows us to represent card play easily.

```
//Card.java - a playing card
class Card {
   Suit suit;
   Rank rank;
   Card(Suit s, Rank r) { suit = s; rank = r; }
   Card(Card c) { suit = c.suit; rank = c.rank; }
   public String toString() {
     return rank + ":" + suit;
   }
}
```

A deck of cards, without jokers, is 52 individual cards of the right suits and ranks or card values. Here's where arrays of objects prove useful.

```
//Deck.java - a deck of playing cards
class Deck {
  Card[] deck;
  Deck() {
    deck = new Card[52]:
    Suit suit = Suit.spades;
    Rank rank = Rank.ace;
    for (int i = 0; i < deck.length; i++) {
      if (i % 13 == 0) {
        suit = suit.next();
        rank = Rank.ace:
      deck[i] = new Card(suit, rank);
      rank = rank.next();
    }
  }
  void shuffle() {
    for (int i = 0; i < deck.length; i++){
      int k = (int)(Math.random() * 52);
      Card t = deck[i];
      deck[i] = deck[k];
      deck[k] = t:
    }
  }
  public String toString() {
    String t = "";
    for (int i = 0; i < 52; i++)
      if ((i + 1) \% 4 == 0)
        t = t + deck[i] + "\n";
      else
        t = t + deck[i] + " ";
      return t + "\n";
  }
}
```

Two important methods in this class are the constructor, which must correctly initialize all the playing cards, and the shuffling method, which must reorder the cards in the deck. We focus on these routines in the dissection of the class Deck.

## Dissection of the Class Deck

#### Card[] deck;

The internal representation of a deck is an array of cards, which is a natural representation. An understanding of how the various classes build on each other is important. We use Suit and Rank to define Card.

```
Deck() {
  deck = new Card[52];
 Suit suit = Suit.spades;
  Rank rank = Rank.ace;
  for (int i = 0; i < deck.length; i++) {
    if (i % 13 == 0) {
      suit = suit.next();
      rank = Rank.ace;
    deck[i] = new Card(suit, rank);
    rank = rank.next();
}
```

The deck, without jokers, is constructed of 4 suits of 13 cards each. We use the integer arithmetic operators to ensure that all the distinct 52 cards are created. After a call to this constructor, the first card in the deck is an ace of clubs. The clubs are created in sequence, then diamonds, hearts, and finally spades with the card deck[51] being the king of spades.

```
void shuffle() {
    for (int i = 0; i < deck.length; i++) {
      int k = (int)(Math.random() * 52);
      Card t = deck[i];
      deck[i] = deck[k];
      deck[k] = t;
```

We used the random number generator to rearrange a card deck. The following pseudocode describes this shuffle.

### PSEUDOCODE FOR shuffle()

```
randomly select one card from the deck
    and swap it with the first card
randomly select another card from the deck
    and swap it with the second card
continue until this has been done 52 times
```

```
public String toString() {
   String t = "";
   for (int i = 0; i < 52; i++)
   if ( (i + 1) % 4 == 0)
        t = t + deck[i] + "\n";
   else
        t = t + deck[i] + " ";
   return t + "\n";
}</pre>
```

Recall that every class has a toString() method. If one isn't defined explicitly, a simple toString() method is provided automatically via inheritance. (We describe inheritance in Chapter 7, Inheritance.) It converts the user-provided class type to a string. Among other uses, toString() is used by println() to generate an output representation. The if statement is used to generate a newline for each four card values. In the expression t + deck[i], the string representation of the card deck[i] is implicitly generated, using toString() from class Card, before concatenation to t happens.

We test these classes as follows.

```
//CardTest.java testing the shuffling method.
public class CardTest {
  public static void main(String args[]) {
    Deck deck = new Deck();
    System.out.println("\nNew Deck\n" + deck);
    deck.shuffle();
    System.out.println("\nNew Shuffle\n" + deck);
    deck.shuffle();
    System.out.println("\nNew Shuffle\n" + deck);
    }
}
```

Observing how well the shuffling method works by checking the results of successively shuffling the deck is important. This method should approximate the common real-world experience of shuffling and dealing a deck of cards.

# 6.18 OBJECT-ORIENTED DESIGN

Object-oriented programming (OOP) is a balanced approach to writing software, with data and behavior packaged together. This encapsulation creates user-defined types, which extend and interact with the native types of the language. *Type-extensibility* is the ability to add user-defined types to the language so that they are as easy to use as native types.

An abstract data type such as a string is a description of the ideal public behavior of the type. From the documentation of the class <code>String</code>, the user knows that operations, such as determining whether two strings are equal or converting a string to uppercase, are public behaviors supported by the class. These operations are implemented by the methods <code>equals()</code> and <code>toUpperCase()</code> from class <code>String</code>. Encapsulation is the ability to hide internal detail while providing a public interface to a user-defined type. Java uses <code>class</code> declarations in conjunction with the access keywords <code>private</code> and <code>public</code> to provide encapsulation.

Object-oriented programing terminology is strongly influenced by the Smalltalk programming language. The Smalltalk designers wanted programmers to break with their past habits and embrace a new programming methodology. They invented terms such as *message* and *method* to replace the traditional terms *function invocation* and *member function*.

Public members are available to any function within the scope of the class declaration and provide the type's interface. Private members are available for use only by other member functions of the class. Privacy allows the implementation of a class type to be hidden, which prevents unanticipated modifications to the data. Restricted access, or *data hiding*, is a feature of OOP.

The design of object oriented-programs can be aided by a diagramming process. Several object-oriented design (OOD) notations exist, and a number have been incorporated in computer-aided software engineering tools (CASE). The most comprehensive diagramming process is based on the Universal Modeling Language (UML) pioneered by Rational Software. We will show a few examples of UML diagrams, with brief explanations, in subsequent chapters. Below we describe a related low-tech scheme that we've found useful. It is based on class-responsibility-collaborator (CRC) cards.

A *responsibility* is an obligation that the class must keep. For example, the designer of the class Rectangle, from the standard package java.awt, decided that this class had the responsibility to provide a method that could be used to determine whether a rectangle "contains point p." Likewise, our class Counter has the responsibility to provide a method to "click the counter."

A collaborator is another class that cooperates with the class represented by the CRC card. For example, the class Rectangle must collaborate with the class Point to provide the "contains point p" method. However, the collaborator relationship isn't necessarily symmetric. For example, the CRC card for Point might not list Rectangle as a collaborator. The collaboration between Point and Rectangle is important only to the Rectangle class.

An index card or piece of paper is used to represent each class being developed. If the information can't be made to fit easily on a single card or page, the class is too complicated and the design needs to be modified. The name of the class, the responsibilities of the class, and the collaborators for that class are arranged on the front of the card. The back of the card can be used to describe implementation details. The front of the card corresponds to public behavior.

As the design process proceeds, the cards are rewritten and refined. They gradually become more detailed and closer to a set of methods. The attractiveness of this scheme is its flexibility and simplicity.

The design process begins by identifying the most obvious classes needed for the program and creating a CRC card for each. Next, various scenarios are proposed. Each scenario is designed to answer one or more "what-if" questions. As the scenarios are followed, existing CRC cards are modified and new cards are created.

As an example, let's consider of the design of a simple e-mail program. We begin by displaying a menu of options. The options include reading an existing e-mail message or sending a new message, as shown in the MainWindow CRC card.

MainWindow	Collaborators
Display main menu	EmailSender
Read mail	EmailReader
Send new message	

The Email Reader class is responsible for retrieving e-mail messages from the e-mail server and then displaying either the headers of all messages or the text of a single message. Each message is represented by an instance of the class Message. The class EmailServer handles actual communication with the e-mail server.

EmailReader

Display headers

Display a selected message

Get e-mail messages from server

Collaborators

Message

EmailServer

The EmailSender class is responsible for creating and sending a new message. It must allow for the editing of all the fields of a message. The actual transmission of the message to the e-mail server is handled by the EmailServer class.

EmailSender	Collaborators
Send the completed message	Message
Edit message text	EmailServer
Edit message subject	
Edit message "to" field	
Edit message "cc" list	

Each e-mail message is represented by an instance of class Message.

Message	Collaborators
Get/set subject	None
gEt/set to	
Get/set cc	
Get/set text	

At this point we examine usage scenarios to determine whether new classes are needed or whether existing classes need modification. For example, what if the user is reading a message and wants to send a response? The subject and to fields should be filled in automatically. In addition, quite often the user will want to include part of the original message in the response. One solution would be to add the responsibility of "create an initial response message" to the EmailSender class and add the responsibility of "respond to selected message" to the EmailReader class.

## 6.19 PROGRAMMING STYLE

We prefer to write our Java classes with public members first and private members last. Applying this socalled need to know rule inserts first what everyone uses and needs to know and inserts last what only the implementers need.

```
public class Counter {
 //public members - the interface to the class first
  //constants first then constructors then methods
  //overloaded methods are together
 public static final int MODULUS = 100;
 public Counter() { howMany++; }
 public Counter(int v) {
    value = v % MODULUS;
    howManv++:
  }
//methods are alphabetical
  public void click() {value = (value + 1) % MODULUS;}
 public int get()
                      { return value;}
 public static int howMany() { return howMany; }
 public void reset() { value = 0; }
//private members - implementation
 private int value;
 private static int howMany = 0:
}
```

#### SUMMARY

- An object is an instance of a class. In Java, objects are normally created by the use of new. A class can have both instance variables, called fields, and methods. Instance variables are used to describe the value or state of an object, and the method members are the operations that can be applied to the object.
- Methods that access only the state of an object are called accessor methods. Methods that modify the state of an object are called mutator methods.
- A class can have both static and nonstatic methods. Nonstatic methods are invoked as

reference.method(parameters)

except when calling the method from another nonstatic method in the same class. In that case the object reference value reference can be dropped. In this latter situation, the this reference for the called method is the same as the this reference for the method making the call.

An abstract data type (ADT) is a user-defined type with a set of methods to manipulate objects of that type. In the case of Counter, these methods include get() and click(). Public behavior is the interface of the ADT. There is also an implementation, usually private, of the values needed to describe the object's state. In the Counter example, we made the field value private. In ADTs, we decouple implementation from manipulation.

- A constructor is used to create a class instance. Constructors have two special characteristics: They have no return type, and they have, in place of a method name, the class name. One further rule to keep in mind is that the default no-arg constructor is provided by the compiler only if no constructor is explicitly coded in the class.
- The keyword this provides a self-reference within a method to the object that the method acts on. Unless needed in referring to members to avoid confusion with other names or to pass this object as a parameter to some other method, the keyword this is usually omitted.
- The keyword static as part of a method header means that the method doesn't act on an implicit object. In other words, the method can't use the this reference. In previous chapters, we made extensive use of static methods in organizing simple programs.
- Java also has static fields or class variables. A static field isn't duplicated in each instance of a class. There is just one instance of a static field, which is shared by all parts of the program that can access it.

## **REVIEW QUESTIONS**

- 1. Does the statement String s; create a String object?
- 2. If you answered *no* to Question 1, how can an object be associated with the variable s?
- 3. What is meant by the default constructor?
- 4. Write a default constructor for class Counter that sets value to the largest possible int that is consistent with the specification of Counter. Why is the text's default constructor preferable?
- 5. What is meant by an accessor method? What is meant by a mutator method?
- 6. Give an example from this chapter of data hiding.
- 7. What is meant by the interface to a class?
- 8. Another term for a static field is a(n) \_\_\_\_\_ variable. Another term for a nonstatic field is a(n) \_\_\_\_\_ variable.
- 9. How is a static field different from a nonstatic field? Can a nonstatic field be referenced without direct or indirect reference to an object?
- 10. How can the this reference be used? Give an example where, in a class member, it is necessary to use the this reference. Briefly explain the conditions that require the use of this.

#### EXERCISES

- 1. Change and test isPalindrome() in Section 5.14, *Problem Solving: Palindromes*, on page 152, to return true even when letters are of different case. Therefore "eyE" would still be a palindrome.
- 2. Change the isPalindrome program in Section 5.14, *Problem Solving: Palindromes*, on page 152, to ignore space characters. Therefore "e Ye" would still be a palindrome, or "A man a plan a canal Panama" would also be a palindrome.

- 3. Write a recursive form of the isPalindrome() method in Section 5.14, *Problem Solving: Palindromes*, on page 152.
- 4. Add the method increment(int i) to the class Counter in Section 6.19, *Programming Style*, on page 187. The method adds i to the internal counter value. It must use the % modulus operation to guarantee a legal value in the field value. Write a program to test your modified Counter class.
- 5. Add a method toString() to the class Counter in Section 6.19, *Programming Style*, on page 187. The method should convert a counter value to a string for output. You will need to declare the method public. Write a program to test your modified Counter class.
- 6. Add a constructor to the class Counter in Section 6.19, *Programming Style*, on page 187, that takes two parameters. The first parameter is an int that specifies the intial value for the counter. The second parameter is an int that specifies the modulus for the counter.
- 7. Create a class to represent a pair of integer values. The no-arg constructor should leave both integers at the default value of 0. Include a constructor that can take one value n, setting one of the values of the pair to n and the other to 0. Include a constructor taking two values, setting both values of the pair. Note how useful it is to design a set of overloaded constructors that conveniently initialize the object pair. Have accessor methods that return first and second, the two values of the pair. Also have mutator methods that allow you to change the two values of a pair separately. Write a program to test your new class.
- 8. Write a method minMax() that takes as input an array of integers and returns the minimum and the maximum value in the array. The method should return the two integers by using the integer pair class from the previous exercise. Write a program to test your method.
- 9. Create a class Rectangle that represents a rectangular region of the plane. A rectangle should be described using four integers; two to represent the coordinates of the lower left corner of the rectangle. giving its location; one for the width; and one for the height. Your rectangle class should include
  - appropriate constructors,
  - a toString() method,
  - a method translate() that takes two integer parameters, deltaX and deltaY, used to translate the location of the rectangle,
  - a method contains() that takes two integer parameters, xCoord and yCoord, and returns true if the point (xCoord, yCoord) lies within the rectangle, and
  - a method intersection() that takes a Rectangle as a parameter and returns a new Rectanale that forms the intersection of the Rectangle upon which the method is operating, and the Rectangle parameter.

Write a program to test the class Rectangle.

- 10. Add a method sub() to the Change class in Section 6.11, Problem Solving: Making Change, on page 172. The method should be an instance method with one Change object as a parameter, returning a new Change object as the result. This method is similar to the add() method discussed in Section 6.12. Accessing Another Object's Private Fields, on page 175. Write a program to test the new method.
- 11. Rewrite the class Change in Section 6.11, *Problem Solving: Making Change*, on page 172, to include halfdollars and nickels. Include a toString() method appropriate to this class.
- 12. Write a MyString class that mimics the behavior of the standard class String. To do so you should have already studied arrays. Use a private array of characters to implement this class.
- 13. Code the class Clock. It is to have a set of methods that let you keep time. You want to be able to initialize a Clock to a given time in hours, minutes, and seconds. Include a method tick() that advances the Clock one second. Also include the ability to add two Clock values. When you add two Clock values. the first value will represent a time of day and the second will represent a time interval. Thus 2:15 plus 1:30 is 3:45 or one hour thirty minutes later than 2:15. Use 24-hour time so that midnight is hour 0 and noon is hour 12. Include a method toString() for output.

- 14. Recode Clock from the previous exercise to have a clock display time in terms of am/pm. How much of the previous implementation did you retain? Keep all these examples in mind when you read about inheritance (Chapter 7, *Inheritance*) as a way to reuse and factor code.
- 15. Create a class to represent complex numbers. Complex numbers have two parts: a real part and an imaginary part. So a complex number value could be (1, 1.5*i*), where 1 is the real part and 1.5*i* is the imaginary part. You should be able to add, subtract, and multiply two complex numbers. The rules for these operations are

```
(a, bi) + (c, di) = (a + c, (b + d)i);

(a, bi) - (c, di) = (a - c, (b - d)i);

(a, bi) \times (c, di) = (ac - bd, (ad + bc)i)
```

*Hint:* The add() method in your class Complex should be like the one with one explicit parameter not like the static method with two explicit parameters in Section 6.12, *Accessing Another Object's Private Fields*, on page 175...

- 16. Develop a class Person. It should have fields for first and last name, address, social security number, and telephone number. Be careful in your choice of types for each field. The address field should itself be an ADT defined as class Address.
- 17. Create a class Hand that represents a hand of cards. Add a method dealHand() to the Deck class inSection 6.17, *Arrays of Objects*, on page 182, that deals a hand of 7 cards from a card deck. If you've read Chapter 5, *Arrays And Containers*, use an array of Card objects to implement the class Hand. Otherwise, you can include seven instance variables, one for each of the seven cards.
- 18. Add a method isflush() to the class Hand from the previous exercise. The method should return a boolean value. The result should be true if the hand has five cards of the same suit. Use this method to compute the probability of getting a flush by dealing out 1000 hands and computing how often a hand is a flush.
- 19. Use the ideas from the previous problem to produce the probability of getting a straight. A straight is a sequence of five cards with successive rank values. Note that an ace can be either before a 2 or after a king.
- 20. Write a program to play the number guessing game described in Section 5.7, *Searching an Ordered Array*, on page 133. Instead of using an array of length 2 to represent the range, use the class that you created in Exercise 7 on page 189, and call the class Range. In this way you can have methods such as adjustRange() that return a new Range object.
- 21. Create a class Polynomial. A polynomial has the form

$$a_i \cdot x^i + a_{i-1} \cdot x^{i-1} + \dots + a_1 \cdot x + a_0$$

You should represent a polynomial as an array of coefficients. Your class should include a constructor that takes an array of coefficients as a parameter, a method to evaluate the polynomial at a particular point, and a toString() method.

- 22. Add an add() method to the Polynomial class that you created in the previous exercise. This method will take one Polynomial as a parameter and return a new Polynomial that is the result of adding the Polynomial upon which the add() method was invoked and the parameter.
- 23. Add a method findRoot() to the Polynomial class that you created in the previous exercise. The findRoot() method should be passed two parameters that correspond to the left and right ends of the interval in which to search for a root. The method can assume that the values of the polynomial at the two endpoints differ in sign. That is, if the value of the polynomial at one end is positive, the value of the polynomial at the other end must be negative. From the Mean Value Theorem we know that the polynomial must cross the x axis somewhere in the interval. That point is a root of the polynomial. The following is a simple root-finding algorithm.

#### PSEUDOCODE FOR FINDING THE ROOT OF A POLYNOMIAL

```
assume a and b are the endpoints of the interval
assume p is the polynomial to be evaluted
while the absolute value of (a - b) > epsilon
                       // guess the midpoint
  root = (a + b) / 2
  error = p(root)
                        // how close to 0 are we
  if (error > 0)
    set the positive endpoint (a or b) to be root
    set the negative endpoint (a or b) to be root
end while
return root
```

The root found by this algorithm may not be exact, but it will be within epsilon of the root. By making epsilon arbitrarily small, you can get arbitrarily close to the exact root. Note that each iteration of the loop will cut the size of the interval containing the root in half, maintaining the requirement that the polynomial at the endpoints results in values having different signs.

## **APPLET EXERCISE**

Write an applet version of the number guessing game described in Section 5.7, Searching an Ordered Array, on page 133. The applet should contain at least three buttons and a text field. The text field should be used to display the computer's current guess. The buttons should be labeled, "too small," "too big," and "correct." Each time the user clicks one of the buttons, the text field should be updated to display either the new guess or a message when the correct answer is guessed.

A button is implemented with the class JButton. A JButton is like a JLabel, except that you can respond to button clicks using an actionPerformed() method. The following applet creates two buttons and a text field. When you click one of the buttons, the label on the button is displayed in the text field.

```
/* <applet code="ButtonApplet.class" width=420 height=100></applet> */
//ButtonApplet.java - using buttons
import java.awt.*;
import java.awt.event.*;
import javax.swing.*;
public class ButtonApplet extends JApplet implements ActionListener
{
  JButton buttonOne = new JButton("Button One");
  JButton buttonTwo = new JButton("Button Two");
  JTextField output = new JTextField(20);
  public void init() {
    Container pane = getContentPane();
    pane.setLayout(new FlowLayout());
    pane.add(buttonOne);
    pane.add(buttonTwo);
    pane.add(output);
    buttonOne.addActionListener(this);
    buttonTwo.addActionListener(this);
  }
```

```
public void actionPerformed(ActionEvent e) {
   String cmd = e.getActionCommand();
   output.setText(cmd);
  }
}
```

## Dissection of the Class ButtonApplet

```
/* <applet code="ButtonApplet.class" width=420 height=100></applet> */
//ButtonApplet.java - using buttons
import java.awt.*;
import java.awt.event.*;
import javax.swing.*;
public class ButtonApplet extends JApplet implements ActionListener
{
    JButton buttonOne = new JButton("Button One");
    JButton buttonTwo = new JButton("Button Two");
    JTextField output = new JTextField(20);
```

This example follows the same template used in earlier applet excercises. This time we create two buttons and a text field. We give the buttons labels when we create them.

```
public void init() {
   Container pane = getContentPane();

pane.setLayout(new FlowLayout());
pane.add(buttonOne);
pane.add(buttonTwo);
pane.add(output);
buttonOne.addActionListener(this);
buttonTwo.addActionListener(this);
}
```

Here we add the two buttons and the text field to the applet. The last two statements state that the actionPerformed() method in this applet should be called whenever either of the buttons is clicked.

```
public void actionPerformed(ActionEvent e) {
   String cmd = e.getActionCommand();
   output.setText(cmd);
}
```

This method will be called when either button is clicked. To determine which button was clicked, we call the method <code>getActionCommand()</code> for the <code>ActionEvent</code> parameter. This call will return the string used to label the button. We then display this string in the text field.

See Exercise 20 on page 190, for an additional suggestion about implementing this game.

# **INHERITANCE**

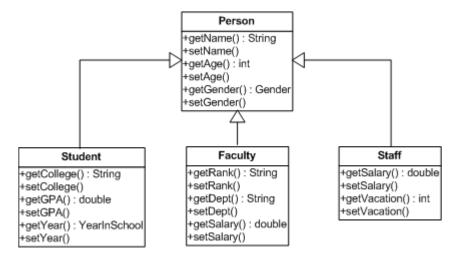
A key element in object-oriented programming (OOP) is the ability to derive new classes from existing classes by adding new methods or redefining existing methods. The new class can inherit much of its implementation from the existing class. This process of deriving new classes from existing classes is called *inheritance*. This mechanism of one class inheriting some functionality from another class results in an *inheritance hierarchy*, just like the hierarchy that results if you look at the offspring of a person or other organism. The following diagram shows a hierarchy for two generations from a parent.

The usefulness of inheritance is apparent when we examine how taxonomic classification compactly summarizes large bodies of knowledge. For example, knowing the concept "mammal" and knowing that an elephant and mouse are both mammals allows our descriptions of them to be considerably more succinct than they would be otherwise. The root concept contains the information that mammals are warm-blooded, higher vertebrates and that they nourish their young using mammary glands. This information is inherited by the concept of both "mouse" and "elephant," but it is expressed only once: in the root concept. In Java terms, both elephant and mouse are derived from the superclass mammal.

Many useful types are variants of one another, and producing the same code for each is frequently tedious and error prone. A derived class, called a *subclass*, inherits all methods and fields from the parent, or *superclass*. The subclass can then be used to add fields or methods. Existing methods can also be redefined, which is called *overriding*.

# 7.1 A STUDENT "IS A" PERSON

Suppose that you needed to develop a system for tracking people at a university or college. You might want to maintain information about students, faculty, and staff. The records for these subgroups would have many things in common, but they would also each have specialized fields that apply only to each subgroup. We can represent this with the following diagram. This diagram uses UML notation. UML stands for Unified Modeling Language. It is the most widely adopted notation for representing object-oriented program designs. The name of the class is at the top of the box. Below that are the names of methods with the "+" indicating a public method and the return type of the method shown after the colon. The open unfilled in arrowhead indicates an inheritance relationship between the two classes (e.g. Student extends Person).



Let's begin with a base class that contains the common information.

```
//Person.java - characteristics common to all people
class Person {
  Person(String name)
                                { this.name = name; }
 void setAge(int age)
                                { this.age = age; }
 void setGender(Gender gender) { this.gender = gender; }
 void setName(String name)
                                { this.name = name; }
  int getAge()
                                { return age; }
 Gender getGender()
                                { return gender; }
 String getName()
                                { return name; }
 public String toString() {
    return ("Name: " + name + ", Age: " + age + ", Gender: " + gender);
  static enum Gender {M, F}
 private String name;
 private int age;
 private Gender gender;
}
```

The class Person has constructors, methods and data members. The implementation details are hidden by declaring the fields to be private. All access is done through methods such as setAge(). This approach is in accordance with OOP methodology, which stresses the separation of implementation from abstraction or concept. We can now create new classes for students, faculty, and staff by extending Person. Here we work with only the derived class Student. We choose not to include a default constructor, thus forcing a name field to be specified for all Person objects. Including a constructor that allows all fields to be initialized is desirable; we leave that for you to do in Exercise 1 on page 225.

A class can be derived from an existing class by using the form

```
class class-name extends superclass-name {
    ...
}
```

The new class is a subclass of the class from which it is derived, its superclass. The keyword extends defines this relationship. The subclass being defined automatically inherits all the data members and methods of its superclass. The keyword super is used to refer to the superclass object that is implicitly part of the subclass object.

```
//Student.java - an example subclass
class Student extends Person {
  Student(String name) { super(name); }
 void setCollege(String college) { this.college = college; }
 void setGpa(double gpa)
                                  { this.gpa = gpa; }
 void setYear(YearInSchool year) { this.year = year; }
 String getCollege()
                                  { return college; }
  double getGpa()
                                  { return gpa; }
 YearInSchool getYear()
                                  { return year; }
 public String toString() {
    return(super.toString() + "\n
      "College: " + college +
      ", GPA: " + gpa +
      ", Year: " + year);
  }
 static enum YearInSchool { frosh, sophmore, junior, senior}
 private String college = "Unknown";
 private YearInSchool year; // frosh, sophomore, ...
 private double gpa; //0.0 to 4.0
}
```

The subclass Student has three new fields: college, year, and gpa. New methods are used to set and access those fields, for example getYear() and setYear(). The subclass Student also overrides the definition of the method toString().

#### Dissection of the Class Student

class Student extends Person

We use the keyword extends to declare that a new class will extend an existing class.

Student(String name) { super(name); }

All normal methods are inherited, but constructors are never inherited. A constructor can invoke the constructor of the superclass, by making what appears to be a method call but with the keyword super as the name of the method. The call to super() must be the first statement in the constructor. If there is no call to super(), then the default constructor for the superclass is called automatically before executing the body of the constructor of the derived class. Although not shown in this example, the call to **super()** can be followed by any other legal Java statements, as with any other constructor.

```
void setCollege(String college){ this.college = college; }
  void setGpa(double gpa)
                                 { this.gpa = gpa; }
  void setYear(YearInSchool year){this.year = year;}
  String getCollege()
                                 { return college; }
  double getGpa()
                                 { return qpa; }
  YearInSchool getYear()
                                 { return year; }
```

We provide accessor and mutator methods for each of the fields added by class Student. In general, providing methods for accessing fields is preferable to exposing the fields for direct manipulation. Although we haven't done so here, by using methods for all access we can later easily add code to verify that only legal values are used for setting the fields. If an illegal value is passed to one of the mutator methods, we could generate an exception, as described in Chapter 11, Coping with Errors.

```
public String toString() {
   return(super.toString() + "\n " +
      "College: " + college +
      ", GPA: " + gpa +
      ", Year: " + year);
}
```

When a subclass such as Student redefines a method found in the superclass, it is called *overriding*. That is what we do with the method toString(). We can still access the overridden version in the superclass by using the keyword super, as shown in this example. Here we use the superclass version of toString() to get the string representation of the fields inherited from the class Person, and then we append the string representation of the new fields.

```
static enum YearInSchool { frosh, sophmore, junior, senior}
```

We provide constants so that code that sets the field Year will be self-documenting, as shown in the next example. This is an example of a nested or inner class ( see Section 6.15, *Nested Classes*, on page 179). Because of the nested definition, these enum contants will be referred to as Student.YearIn-School.frosh, etc.

Keep in mind that every Student object is also a Person object. This "is a" relation will always hold between a derived class and its superclass. All method calls that could be made for a Person object can be made with a Student object, as shown in the following program.

```
//StudentTest.java
class StudentTest {
  public static void main(String[] args) {
    Student student = new Student("Jane Programmer");
    student.setAge(21);
    student.setGender(Person.Gender.F);
    student.setCollege("UCSC");
    student.setYear(Student.YearInSchool.frosh);
    student.setGpa(3.75f);
    System.out.println(student.toString());
  }
}
```

The output of this program is

```
Name: Jane Programmer, Age: 21, Gender: F
College: UCSC, GPA: 3.75, Year: frosh
```

Note that, although the class Student doesn't explicitly declare the methods setAge() or setGender(), those methods can be called. They are automatically inherited from the class Person.

#### **BENEFITS OF USING A SUBCLASS**

- Code is reused. The Student type uses existing, tested code from Person.
- The hierarchy reflects a relationship found in the problem domain. When we speak of a "person," the special grouping "student" reflects the real world and its treatment of this group.
- Various mechanisms will allow other code to treat Student as a subtype of Person, simplifying the code while granting it the benefits of maintaining these distinctions among subtypes.

#### COMMON PROGRAMMING ERROR

Forgetting to use super when overriding methods can lead to incorrect code. For example, if we mistakenly code the Student.toString() method as

```
public String toString() {
  return(toString() + "\n " +
    "College: " + college + ", GPA: " + gpa);
```

the internal call of toString() would be a recursive call, leading to a nonterminating method execution. Why wouldn't the compiler know that we want to reference the super method Person.toString()? The reason is that, when a name isn't qualified by an object reference, a class name, super, or this, the compiler always looks for a definition in the current class before looking for an inherited method. When using inheritance, you have to be careful to select the right name if you've defined an identifier in both the superclass and subclass.

#### **OVERRIDING INSTANCE METHODS** 7.2

As shown in the preceding example with the method toString(), Java supports overriding methods, which are declared in one class and redefined in a subclass. The class hierarchy that results from the use of inheritance creates a related set of user types. Instances of any subclass of a given superclass may be referenced by a superclass variable. For example, an object of type Student may be referenced by a variable of type Person. The reverse isn't true. Because such referencing is valid in Java, the following *subtype principle* holds for all subclasses.

A subclass object can always be used where an object of its superclass is expected.

This principle holds because all the methods needed for the superclass are available for the subclass object. Look at the example of Person and Student. The methods you might call if you thought you had a Person object include setName(), setAge(), setGender(), and toString(). These methods are all defined by virtue of inheritance for any Student object, in addition to those added to Student.

When calling a method that has been overridden, the Java run-time system selects the method definition that corresponds to the actual type of an object, regardless of the type of variable used to reference the object. The object being referred to carries around type information so that this distinction can be made dynamically, a feature typical of OOP languages. Each object "knows" how it is to be acted on. This capability is variously called dynamic typina, dynamic method dispatch, or pure polymorphism. Both the noun polymorphism and the adjective polymorphic come from poly-, meaning "many," and -morphic, meaning "having such a form"; in this case a polymorphic variable or object can take many forms.

Suppose that we added the following two lines to the end of the example declaring a Student object for "Jane Programmer" at the end of Section 7.1, A Student "Is a" Person, on page 194.

```
Person anyPerson = student;
System.out.println(anyPerson.toString());
```

Here we've assigned the variable any Person, which is declared to be a reference to a Person object, to point to the same object referred to by the variable student. The object is in fact a Student object. But as just described, this duplication is fine. Any student is also a person, both in real life and as modeled by Java in this example. We can't call the methods in class Student by using the reference any Person in the following manner.

```
anyPerson.setYear(Student.YearInSchool.frosh); // illegal
```

A compiler can't, in general, figure out that this particular Person object is in fact also a Student. However, at run time the Java virtual machine can figure it out, so when you make a call to an overridden method such as toString() that is defined in both classes, the one for the actual type of the object is called. Therefore the call to anyPerson.toString() above will return the result of calling toString() as defined in class Student, not the one in class Person. The output of the statement will be the same as for the earlier print.

```
Name: Jane Programmer, Age: 21, Gender: F
College: UCSC, GPA: 3.75, Year: 1
```

Distinguishing overriding from overloading is important. Recall our discussion of overloading in Section 4.12, *Method Overloading*, on page 109. We showed that in overloading several methods can differ only in their signatures, that is, in the number and type of formal parameters. We also demonstrated that the compiler can resolve overloading and select the appropriate method at compile time.

In contrast, overriding involves two methods with the same signatures except for differences in the *implicit* first parameter, that is, the one before the dot in expressions such as x.methodName(...). In overriding, or pure polymorphism, determination of the actual method to call must be postponed until execution time. Then the actual type of the implicit parameter can be determined from the type information associated with all objects.

## 7.2.1 CALLING print() AND println() WITH ANY OBJECT

The dynamic determination of methods resulting from overriding is what makes possible the calling of methods print() and println() with any legal Java value. These methods are overloaded to accept one parameter that matches any of the primitive types or a parameter of type <code>Object</code>. All Java classes are implicitly derived from the class <code>Object</code>, except <code>Object</code> itself which has no superclass. The class <code>Object</code> includes a method <code>toString()</code>. When <code>print()</code> is called with a primitive type, overloading allows the compiler to insert machine code to call the proper method. When <code>print()</code> is called with <code>any</code> reference type, the implementation of <code>print()</code> first calls the <code>toString()</code> method for the object. Just as our call to <code>toString()</code> called the method in <code>Student</code> instead of the method in <code>Person</code>, the call inside <code>print()</code> calls the <code>toString()</code> method for the actual class of which the object is an instance. Thus our statements involving <code>println()</code> for <code>anyPerson</code> could just as well—and in fact more properly—be written like

```
System.out.println(anyPerson);
```

There is nothing special about toString(), other than the fact that it is defined in the class Object. This dynamic type resolution works for any method that is overridden in a subclass. The following contrived example shows this same dynamic selection of methods.

```
//SuperClass.java - a sample super class
class SuperClass {
  public void print() {
    System.out.println(" inside SuperClass");
  }
}

//SubClass.java - a subclass of SuperClass
class SubClass extends SuperClass {
  public void print() {
    System.out.println(" inside SubClass");
  }
}
```

```
//TestInherit.java - overridden method selection.
class TestInherit {
 public static void main(String[] args) {
    SuperClass someSuper = new SuperClass();
    SubClass someSub = new SubClass();
    printAny(someSuper);
    printAny(someSub);
 void printAny(SuperClass s) {
    s.print();
}
```

The output of this program is

```
inside SuperClass
inside SubClass
```

The first time printAny() is called, s is referring to a SuperClass object; therefore s.print() calls the print() in SuperClass. The second time printAny() is called, s is referring to a SubClass object; therefore the print() in SubClass is called.

#### THE ACCESS MODIFIERS private AND public REVISITED 7.3

Java has four different protection modes for fields and methods. The one that we have used the most is the default protection mode, called *package access*, that is in effect when no protection modifier is specified. Package access allows a field or method to be accessed by code in any method in any class within the same package. We discuss packages in Section 12.11, *Packages*, on page 380.

The access mode private, signified by the keyword private, means that the method or the field can be referenced only from methods in the same class as the definition of the private field or method. This protection mode is preferred for most fields. When necessary, access should be provided to private fields through methods. In this way the implementation details of the class can be modified without affecting code that uses the class. Recall that this approach is known as data hiding.

The designation public is used to export fields and methods so that they can be accessed outside the package in which they are defined. As we haven't been building packages, we've generally not used the keyword public. In two specific cases we were forced to use the keyword public. In the first case, we had to make the method main() public so that the part of the Java virtual machine that starts a program could access the method. That is, we needed to export the method main() outside the default unnamed package in which it is defined.

In the second case, we needed to use public for the method toString(). As previously discussed, the method toString() is defined for the class Object, which is a superclass of all classes. In Java, we aren't allowed to use overriding to decrease the accessibility of an inherited method. Because to String() is inherited by every class and has the most accessible designation—namely, public—no overriding definition can specify anything other than public access. Without this restriction, the important principle that a subclass object can always be used where a superclass object is expected would be violated. For example, if our class Student overrode the method toString() to be private, then the statement

```
System.out.println(anyPerson.toString());
```

with any Person pointing at the Student object for Jane Programmer, would compile but fail at run time. The dynamic typing system would determine that any Person was referring to an object of type Student and attempt to call toString(), but toString() is private, making access illegal.

Classes can have only two designations: public or package access, which is signaled by no modifier.

#### **COMMON PROGRAMMING ERROR**

Narrowing access isn't legal when you're declaring an overridden method. An example is as follows.

```
class Point {
  int x, y;

public String toString() {
     return String.valueOf(x) + " , " + String.valueOf(y);
  }
}

class ColorPoint extends Point {
  int color; // 0 = white, 1 = red, 2 = blue
  String toString() {
    return super.toString() + " color is " + color;
  }
}
```

The compiler reports that you attempted to narrow the access of the overridden Color-Point.toString(). This method needs to be labeled public, as it was in the class Point.

## 7.4 PACKAGES

Even the simplest Java program uses classes that were written by others. This ability to reuse parts of programs is essential for building complex software systems. Collections of functions, procedures, or classes are often called *libraries*. Essentially all programming languages or their development systems provide some mechanism for a program to use these routines or classes.

In Java, groups of related classes can be placed in a *package*. There are two primary reasons for organizing classes into packages. First, a field or method that is defined without any of the access modifiers—public, protected, or private—can be accessed only from a method in a class from the same package. This is called *package access*. Thus classes can expose some implementation details to other classes in the same package but not to classes outside the package.

The second reason for using packages is to provide separate name spaces. This provision allows two different programmers or organizations to have classes with the same name and still use these classes in one program. For example, a software company that would like to define some of the standard mathematics functions slightly differently could create its own class Math. It should be placed in a package with a name derived from the company's name because part of the Java specification is a naming scheme for packages. This scheme is somewhat like Internet addresses in reverse, with the most general part of the name first. So a company with the Internet address <code>www.coolsoft.com</code> might name its package containing the class Math <code>com.coolsoft.general</code>. If the CoolSoft Corporation had a collection of classes that it used for handling standard container data structures, it might put them in a different package called <code>com.coolsoft.containers</code>. This package might have classes with some of the same names as those in the standard Java package <code>java.util</code>. For example, finding a better name than <code>Stack</code> for a class that implements a stack is difficult. There is already a class named <code>Stack</code> in <code>java.util</code>. Without packages to provide different name spaces, you couldn't write a program using <code>com.coolsoft.containers.Stack</code> in one place and <code>java.util.Stack</code> somewhere else.

#### 7.4.1 USING PACKAGES

Let's review how to use packages. Whenever you want to use a class from an existing package, you need to use an import statement to import the class. For simplicity, you can import all the classes from a package with import statements such as

```
import java.util.*;
```

This statement imports all the classes in the package java.util. The "\*" is called a wildcard, meaning "give me all the classes." You can also import specific classes. For example, we can use

```
import java.util.Scanner;
```

Another alternative is not to use an import statement but instead always spell out the full name of the class. It is called the *qualified name* and includes the package name. This option can be rather awkward and isn't recommended if you intend to use the name of the package more than once. For example, you could use the following to create a Scanner for reading from the console.

```
java.util.Scanner in = new java.util.Scanner(System.in);
```

The import statement told the compiler that if it encountered a class name it didn't recognize, it should try looking for it in the imported package. Import statements can save a lot of typing.

This explicit naming mechanism makes the use of two different Math classes possible. Here is how you could use the class Math from CoolSoft.

```
double x = Math.sqrt(y); // from java.lang
double y = com.coolsoft.Math.sqrt(y);
```

You don't have to import the package java.lang. It is imported by default into every Java class. That's why we could write Math.sqrt(), which uses the class Math from package java.lang, without having to use an import statement. The package java. lang is the only package that is automatically imported.

#### 7.4.2 **CREATING PACKAGES**

Every Java program can use classes from one unnamed package. Any classes in a single directory on your computer's disk that don't specify a package name are part of the same unnamed package. To place the classes in a named package, you need to do two things: add a package statement to the top of each class in the package, and place the classes in an appropriately named location on your computer's disk.

As a simple example we will put our Person and Student classes into a package called jbd.database. The first few lines of those two classes now become:

```
//Person.java - characteristics common to all people
package jbd.database;
class Person {
 Person(String name)
                                { this.name = name; }
//Student.java - an example subclass
package jbd.database;
class Student extends Person {
 Student(String name) { super(name); }
```

We need to place the source files in a subdirectory where the path to the subdirectory from the current directory is the same as the package name replacing any dots in the package name with the pathname separator for the local file system. For example, on Unix our package jbd.database would be in the subdirectory jbd/ database and on a Windows system it would be in jbd\database. If you're using a Unix or Windows command line to compile your Java programs, then with your current directory set to the one containing the subdirectory jbd, execute the command

```
javac jbd/database/*.java
```

Note that the current directory is *not jbd* but the one *containing* the subdirectory *jbd*. This command compiles all the Java source files in the subdirectory. The command shown is for Unix. On Windows you would use a "\" instead of a "/" to separate the elements in the pathname. If you're using an integrated development environment, you'll probably have to create a project that includes the files from the package and then compile them. A *project* is the term used by most integrated development environments for a group of related files.

By default, Java looks for packages in the directory where Java was installed and then looks for packages in the current working directory. You can change this procedure by changing the CLASSPATH environment variable. Check with your instructor or read the documentation for your system to determine the appropriate way to make this change. For small projects this change usually isn't necessary.

## 7.4.3 THE ACCESS MODIFIER PROTECTED

When you're not extending existing classes from other packages, the three access levels, package, private, and public, are all that apply. You can use a fourth access level when designing a class for export from a package. All classes with no package declaration are part of a single, unnamed package. If you expect classes in other packages to extend a class that is part of a named package, the use of the access modifier protected may be helpful; it can be applied to both methods and fields.

Access to a protected member from within the same package is the same as package access. That is, adding protected to a field or method has no affect on the accessibility of the field or method from classes in the same package.

Access to a protected member from classes defined outside the package containing the class with the protected member is permitted only if the accessing class is a subclass of the class with the protected member. That is, from outside the package, a protected member is like a private member unless the method doing the accessing is part of a class derived from the class with the protected member. This description is a slight simplification; for elaboration see the Common Programming Error at the end of this section on page 244.

Let's consider our example class Person further. Suppose that we were designing a package that would include Person and possibly some classes derived from Person, such as Student. If we believed that others might want to derive their own subclasses of Person, we might decide to give protected access to the fields, declaring them as

```
package jbd.database;
public class Person {
    ...
    protected String name;
    protected int age;
    protected Gender gender;
}
```

Note that we also added the keyword public to the class definition. This addition is necessary if we want to export the class from the package containing it. We also add public to the method definitions. Changing the fields from private to protected has two effects. First, it makes them accessible anywhere in the package, which weakens data hiding. We can no longer freely change the names of these fields or their implementations. We must now consider the impact of such a change on the rest of the package. Second, the switch to protected access makes these fields accessible outside the package, but only in classes that extend Person. For example, we could have

```
import jbd.database.*;
class Driver extends Person {
  public boolean needsDriverEd() {
    if (age < 25)
      return true;
    else
      return false;
  }
}
```

The class Driver is assumed to be defined outside the package jbd.database. Because the field age has protected access, it can be directly accessed in the subclass Driver. As a general rule, you should avoid protected access because it seriously erodes data hiding. Once you have exported a protected field, you can't change the field without considering any class that imports the package containing the class with the protected member.

Let's review the access levels.

#### Access Levels

- 1. Private—Use this level whenever possible. You can make changes to private members by examining only the class containing the member. This restriction provides maximum information hiding.
- 2. Package—Use this level when one class needs to be aware of some implementation details of another class in the same package. You may use package access for fields that are used heavily by other classes in the same package. You may use this option instead of access methods such as set X() and get X(), which should almost always be used to provide access to fields from outside a package.
- 3. Protected—Use this level when designing a class to be reused via inheritance from outside the package in which it is defined. You should carefully consider any decision to export a protected member. Such exporting seriously weakens information hiding and makes program maintenance significantly more difficult and error prone.
- 4. Public—Reserve use of this level for the required interface elements to a class or package. You should never expose unnecessary implementation details with public access. Exporting a field with public access is very unusual, unless the field is also declared to be final.

## **COMMON PROGRAMMING ERROR**

Our description of protected access was slightly oversimplified. Let's consider the following program fragment.

```
//SomeSuper.java
// assume this is part of the package PKG1
public class SomeSuper {
  protected int prot;
  ...
}

//SomeSub.java - not in package PKG1
import PKG1.*;
class SomeSub extends SomeSuper{
  void ok(...) {
    prot = 0; // this would be ok
  }

  void alsoOk(SomeSub subReference) {
    subReference.prot = 0; // this is also ok
  }
  void notOk(SomeSuper superReference) {
    superReference.prot = 0;
  }
}
```

The assignment to prot in method ok() is fine. This assignment is to the prot field inherited by the object on which the method ok() is operating. The assignment to prot in method also0k() also is okay. In this case the assignment is made to a protected field by a reference of type SomeSub. The assignment to prot in method not0k() shows how our earlier description of protected was oversimplified. In this last case we are attempting to make an assignment to a protected field by using a reference of type SomeSuper. In fact, a subclass may access a protected member of a superclass only when that access is made with a reference to a subclass object. In method ok() we are implicitly using the SomeSub reference this. In method also0k() we are explicitly using the SomeSub reference. In method not0k() we are trying to reference the protected field by using a SomeSuper reference, not a SomeSub reference.

# 7.5 TYPE Object AND INHERITANCE

As we discussed earlier, in Java everything inherits from class <code>Object</code>. Thus <code>Object</code> is generic, in that any operation applicable to an instance of the class <code>Object</code> is applicable to an instance of any class. This characteristic enables us—through inheritance—to write generic methods. A <code>generic method</code> is a method that has at least one parameter that can be an instance of several different types. We presented an example of this capability in how the <code>println()</code> method uses <code>toString()</code> to attempt to print any object's string representation. Java style is to have a <code>toString()</code> method as an overridden method in a class for output and debugging purposes.

Another Object method is equals(). This method is supposed to return true if elements have the same value. The default method defined in class Object takes the strictest interpretation of equals. It returns true if and only if the two references refer to the same object. This definition of *equals* is also the only one that could be implemented in the class Object. Other classes that are designed to be used in comparisons using equals can override the method equals() to be less strict. For example, two Counter objects could be con-

sidered equal if they contained the same value field, which isn't the same as having two references to the same Counter object.

We can use the method equals () to create a method to count the number of items in an array that have a particular value. The following method is called a generic method because it works for any array of nonprimitive values.

```
//Count the number of times obj is found in array.
static int howManyCopiesOf(Object obj, Object[] array) {
  int count = 0;
 for (int i = 0; i < array.length; i++)
    if (obj.equals(array[i]))
        count++;
  return count;
}
```

Because of the subtype principle, this method can be passed an array of any type of nonprimitive objects. There will always be some method equals(), but, as previously discussed, the one defined in class Object may not always give the desired result. The following contrived program shows howManyCopiesOf() being called with two different types of arrays.

```
//EqualsTest.java -
class EqualsTest {
  public static void main(String[] args) {
    // Create and fill an array of Strings
    String[] stringArray = new String[10];
    for (int i = 0; i < stringArray.length; i++)</pre>
      stringArray[i] = "String " + i;
    // Create and fill an array of Counters
    Counter[] counterArray = new Counter[5];
    for (int i = 0; i < counterArray.length; i++)</pre>
      counterArray[i] = new Counter();
    // Make two entries refer to the same Counter
    counterArray[2] = counterArray[0];
    System.out.println(howManyCopiesOf(counterArray[0], counterArray));
    System.out.println(howManyCopiesOf("String 1", stringArray));
  //Count the number of times obj is found in array.
  static int howManyCopiesOf(Object obj, Object[] array) {
    int count = 0;
    for (int i = 0; i < array.length; i++)</pre>
      if (obj.equals(array[i]))
         count++;
    return count;
  }
}
```

When we use the class Counter from Section 6.9, Static Fields and Methods, on page 169, the preceding code will print 2 and then 1. Although the Counter objects are all set to 0, we haven't defined an equals() method for the class, so the default equals() method in Object is used. That method simply checks to see whether the references are to the exact same physical object. For the class String there is an equals() method; therefore one entry in the stringArray array contains a String equal to "String 1" as determined by the method equals(). How will the output change if we add an equals() method to the class Counter? See Exercise 16 on page 226.

## 7.6 ABSTRACT CLASSES

A root of a class hierarchy often contains methods that all subclasses must override. There may be no meaningful way to implement such a method in the root class. In that case the root class is declared to be abstract, and any methods that must be overridden in the subclasses are also declared to be abstract.

An *abstract method* is used to defer the implementation decision of the computation to a subclass. In OOP terminology it is called a *deferred method*. A class that contains abstract methods is an abstract class, which has the form

```
abstract class class-name {
  abstract type method-id(argument-list);
  ...//other concrete and abstract methods
}
```

It is often useful for the root class in a type hierarchy to be an abstract class. By *root* here we mean a local root because in Java there is only one type hierarchy and it has <code>Object</code> as the root. When implemented as an abstract class, a local root defines the basic common properties of its derived classes but can't itself be used to create objects. Reference variables can be declared with an abstract type. Such a reference will always point to an actual object that is a subtype of the abstract type.

For example, we could create a collection of different types of counter classes, all with some common features. Here is an abstract counter class.

```
abstract public class AbstractCounter {
  abstract public void click();
  public int get() { return value; }
  public void set(int x) { value = x; }
  public String toString() { return String.valueOf(value); }
  protected int value;
}
```

Using this abstract class as a superclass, we can derive our earlier Counter class as follows.

```
public class Counter extends AbstractCounter {
  public void click() { value = (value + 1) % 100; }
}
```

We could also create a counter that counts by 2, using

```
public class CountByTwo extends AbstractCounter {
  public void click() { value = (value + 2) % 100; }
}
```

We might then have a program that needed a counter. Under user control, the program might sometimes operate with a count-by-2 counter and sometimes with a count-by-1 counter. In that case we could write the program to just assume some type of counter. The following code fragment shows a method that expects a parameter that is any subclass of AbstractCounter.

```
void sampleMethod(AbstractCounter counter) {
    ...
    counter.click();
    ...
}
```

Although we can't create an AbstractCounter object by using new, we can declare parameters and variables of type AbstractCounter. Recall that the subtype principle says that a subtype can be used anywhere the

supertype is expected. Here we expect an AbstractCounter, so any subtype of AbstractCounter is allowed to be used. Thus, we can call sampleMethod(), passing it a reference to either a Counter object or a Count-ByTwo object.

These two subclassses of AbstractCounter added the minimum amount of implementation possible. We can also override the methods provided by the abstract base class. The following class extends Abstract-Counter, overriding the method set(), in addition to providing an implementation for click().

```
class Timer extends AbstractCounter {
  public Timer(int v) { set(v); }
  public void click() {
    value++;
    seconds = value % 60;
    minutes = value / 60;
}

public void set(int v) {
    value = v;
    seconds = v % 60;
    minutes = v / 60;
}

public String toString() {
    return minutes + " minutes, " + seconds + " seconds";
}

private int seconds, minutes;
}
```

This class treats each click as a second of time. It maintains the total number of seconds in value, as well as the amount in the usual format of minutes and seconds. The class overrides set() and toString() and defines the required method click().

Note that the preceding implementation of the class Timer may not be the best if Timer is clicked much more frequently than it is displayed. Each click requires a division and a modulus operation. The following is an alternative implementation that has the same visible behavior, although the internal implementation details are different.

```
class Timer extends AbstractCounter {
  public Timer(int v) { set(v); }
  public void click() { value++; }
  public String toString() {
    return (value / 60) + " minutes, " + (value % 60) + " seconds";
  }
}
```

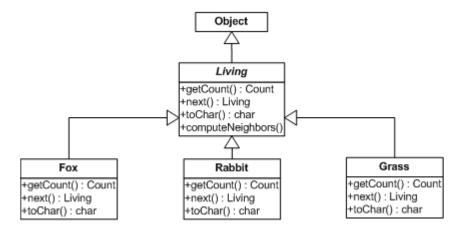
The choice of which is the proper implementation depends on how you're going to use the class. The nice thing about the data abstraction provided by Java and other OOP languages is that at any time you can substitute an alternative implementation without making any changes to the rest of the program.

## 7.7 AN EXAMPLE: PREDATOR-PREY SIMULATION

Object-oriented programming was originally developed as a simulation methodology, using the programming language Simula 67. Hence many of the ideas underlying OOP are best understood in terms of modeling a particular reality. In this section we use a set of classes and inheritance to simulate an artificial ecology.

The world in our example has different forms of life that interact. We derive classes for modeling the life forms from a single abstract class, Living. Our simulation includes foxes as an archetypal predator, with rabbits as prey; the rabbits eat grass. The resulting class hierarchy is shown in the following UML diagram. In

addition to showing the inheritance relationships, this diagram shows some of the key methods supported by each of the classes.



Our simulated world consists of a two-dimensional array of life forms. In this simple version, we use a single character to display each life form: 'F' for fox, 'R' for rabbit, 'G' for grass, and '.' for an empty cell in the two-dimensional world.

During each cycle of the simulation, certain rules are applied to determine which life form will occupy a cell in the next cycle. The rules are based on the populations in the neighborhood of a given cell. This approach is similar to Conway's Game of Life simulation.

In addition to the classes representing the life forms, we use the class <code>World</code> to represent the world in general. As already indicated, the world consists primarily of a two-dimensional array of life forms. In addition, the <code>World</code> class provides operations for initially filling a world with life forms and for advancing from one step to the next in the simulation. The simulation actually consists of two <code>World</code> objects: One represents the current state of the world, and the other is used to store the state of the world in the next cycle. The following pseudocode is for the top level of the simulation.

## PSEUDOCODE FOR PREDATOR-PREY SIMULATION

create two worlds, current and next initialize one with some life forms print the initial world for each step of the simulation update next based on current print the next world switch the roles of current and next end of for loop

A key element of the rules used to compute which life form will occupy a cell in the next cycle is the number of various life forms in adjacent or neighbor cells. To handle this, each class that implements a life form must contain a static count to store the count of that particular life form. Using these counts in the various life form classes, we can use the following pseudocode to describe how we compute the count of neighbors for a single cell.

## PSEUDOCODE FOR COUNTING NEIGHBORS

set the count for all life form types to 0 for each of the current cells 8 immediate neighbors if the neighbor is type LifeType then increment the count for LifeType

Because the counting neighbors code is the same for all life forms, we implement this operation as compute-Neighbors() in the abstract class Living. In this way, all life form classes will inherit this operation.

We are now ready to look at the actual implementation of the classes. We begin at the top, with the class PredatorPrey, which is simply a place to put the method main() for the program.

```
//PredatorPrey.java - top level class
class PredatorPrey {
  public static void main(String[] args) {
    World odd = new World(10), even = new World(10);
    int i, cycles = 10;
    even.eden();
                               //generate initial World
    System.out.println(even); //print initial state
    for (i = 0; i < cycles; i++) {
      System.out.println("Cycle = " + i + "\n\n");
      if (i % 2 == 1) {
        even.update(odd);
        System.out.println(even);
      }
      else {
        odd.update(even);
        System.out.println(odd);
      }
    }
 }
}
```

Any of several approaches may be used to cycle between the two World objects. In the preceding implementation we elected to name one of the worlds even and the other odd. Thus when the cycle number is even, even is the current world and odd is the next world. In the next cycle—when the cycle number is odd—the roles are reversed. The code indicates that the class World must contain the methods eden(), update(), and toString(). We also decided to have the world always be a square array. Therefore the constructor for World accepts only a single parameter, which is the number of cells in a row or column.

```
//World.java - square grid of life form cells
class World {
 World(int n) {
    size = n; cells = new Living[n][n];
    for (int i = 0; i < size; i++)
      for (int j = 0; j < size; j++)
        cells[i][j] = new Empty(i,j);
 }
 public void clearNeighborCounts() {
    Fox.neighborCount.set(0);
    Rabbit.neighborCount.set(0);
    Grass.neighborCount.set(0);
    Empty.neighborCount.set(0);
  }
 void eden() { // left as an excercise }
 public String toString() { // left as an excercise }
 public void update(World oldWorld) {
    //borders are taboo
    for (int i = 1; i < size - 1; i++)
      for (int j = 1; j < size - 1; j++)
        cells[i][j] = oldWorld.cells[i][j].next(oldWorld);
 Living[][] cells;
 private int size; //set in constructor
```

The pseudocode for "counting neighbors" includes the line "set the count for all life form types to 0." Only the class World knows about all the possible life forms in a particular simulation. Therefore the class World provides the operation clearNeighborCounts(). This method sets the static field, neighborCount, found in each life form class, to 0.

The method update() visits each cell in the previous world passed as a parameter to update(), invoking the next() operation on the cell. The result of next() is stored as the state of the cell in the new world, which is the one upon which update() was invoked. Note that update() doesn't visit any of the cells on the outer border. This omission avoids an index out of bounds error when the neighbors are counted. Note that border cells are missing some neighbors. As a result, the state of a border cell never changes. This oversight can be corrected with a bit more logic in the computeNeighbors() method of Living. We leave that for you to do as Exercise 14 on page 226.

As discussed earlier, all life form classes are derived from an abstract class Living.

```
//Living.java - the superclass for all life forms
abstract class Living {
  abstract Count getCount();
  abstract Living next(World world);
  abstract char toChar(); // character for this form

void computeNeighbors(World world) {
  world.clearNeighborCounts();
  world.cells[row][column].getCount().set(-1);
  for (int i = -1; i <= 1; i++)
     for (int j = -1; j <= 1; j++)
     world.cells[row+i][column+j].getCount().inc();
  }
  int row, column; //location
}</pre>
```

In this simulation, a life form "knows" its location, as represented by the two integer instance variables row and column. For each new life form, implementations for getCount(), next(), and toChar()must be provided. We discuss these methods in the dissection of the class Fox.

The method computeNeighbors() is used by each of the classes derived from Living. The code for computeNeighbors() follows directly from the pseudocode presented earlier. This code uses the method get—Count() to get a reference to the Count object used in counting neighbors. The class Count is a simple wrapper for an integer, with methods to get, set, and increment the count. There is one Count object in each class derived from Living. The double for loop increments the count for the current cell, as well as the counts for each of the neighbors. We don't want to count the current cell as a neighbor, so we set the count for the current cells type to -1 initially. We leave implementation of the class Count for you to do in Exercise 11 on page 225.

We are now ready to present the implementation of the actual life form classes. In addition to the three life forms fox, rabbit, and grass, we need to provide a class that extends Living to represent an empty cell, which becomes the class Empty. The rules of the simulation are contained in the next() methods of these classes. Each implementation of next() begins by computing the counts of neighbors, using the method computeNeighbors() inherited from Living. Then each life form class can query the various other life form classes to determine how many neighbors of a particular type exist. Most life forms care only about some of the other life forms. For example, in our rules, the Fox class only cares about how many foxes and how many rabbits are nearby. The Fox class doesn't care about how much grass is nearby.

```
//Fox.java - prey class
class Fox extends Living {
   Fox(int r, int c, int a) {
     row = r;
     column = c;
     age = a;
}
```

```
Living next(World world) {
    computeNeighbors(world);
    if (Fox.neighborCount.get() > 5 ) //too many Foxes
      return new Empty(row, column);
    else if (age > LIFE_EXPECTANCY)
                                      //Fox is too old
      return new Empty(row, column);
    else if (Rabbit.neighborCount.get() == 0)
      return new Empty(row, column); // starved
    else
      return new Fox(row, column, age + 1);
  }
 public String toString(){ return "Fox age " + age; }
 char toChar() { return 'F'; }
 Count getCount() { return neighborCount; }
 static Count neighborCount = new Count();
 private int age;
 private final int LIFE_EXPECTANCY = 5;
}
```

## Dissection of the Class Fox

```
class Fox extends Living {
    Fox(int r, int c, int a ) {
      row = r;
      column = c;
      age = a;
```

Each life form "knows" where it is located. We create a Fox by specifying the coordinates of the cell in which it will be placed and giving it an initial age.

```
Living next(World world) {
    computeNeighbors(world);
    if (Fox.neighborCount.get() > 5 ) //too many Foxes
      return new Empty(row, column);
    else if (age > LIFE_EXPECTANCY)
                                      //Fox is too old
      return new Empty(row, column);
    else if (Rabbit.neighborCount.get() == 0)
      return new Empty(row, column);
      return new Fox(row, column, age + 1);
  }
```

The method next() contains the rules for what happens in the next cycle to a cell that currently contains a fox. In our simple rules the fox will die if there are too many foxes nearby, if the fox is too old, or if there are no rabbits for the fox to eat.

```
public String toString(){return "Fox age " +age;}
```

Although not used in our simulation, we include the standard toString() method, which might be used in debugging. Unlike the simple one-character representation used in our display, the String representation includes the age of the fox.

```
char toChar() { return 'F';}
```

We use the method toChar() in the method toString() of World to create a simple representation of the world.

```
Count getCount() { return neighborCount;}
static Count neighborCount = new Count();
```

For each class that extends Living, a method getCount() must be implemented. Although the count is stored in a static variable, we use an instance method to access the count. This approach allows us to take advantage of dynamic method dispatch so that the code in the method computeNeighbors() in Living can get the counter for a particular class, using an instance of the class.

```
private int age;
private final int LIFE_EXPECTANCY = 5;
```

These two fields, along with the row and column fields inherited from Living, constitute the state of a particular Fox object. In our simulation all foxes die after five cycles, if not sooner. We could easily change this parameter to some random value over a range of values.

The classes Rabbit, Grass, and Empty are essentially the same as Fox, except that the rules in next() are different.

```
//Rabbit.java - prey class
class Rabbit extends Living {
 Rabbit(int r, int c, int a ) { row = r; column = c; age = a;}
 Living next(World world) {
    computeNeighbors(world);
    if (Fox.neighborCount.get() >=
       Rabbit.neighborCount.get() )
      return (new Empty(row, column)); // eat Rabbits
    else if (age > LIFE_EXPECTANCY)
      return (new Empty(row, column)); // too old
    else if (Grass.neighborCount.get() == 0)
      return (new Empty(row, column)); // starved
      return (new Rabbit(row, column, age + 1));
 }
 public String toString() {return "Rabbit age " + age;}
 char toChar() { return 'R'; }
 Count getCount() { return neighborCount; }
  static Count neighborCount = new Count();
 private int age;
 private final int LIFE_EXPECTANCY = 3;
}
//Grass.java - something for the rabbits to eat
class Grass extends Living {
 public Grass(int r, int c) { row = r; column = c; }
```

```
public Living next(World world) {
    computeNeighbors(world);
    if (Grass.neighborCount.get() > 2 * Rabbit.neighborCount.get())
      // rabbits move in to eat the grass
      return (new Rabbit(row, column, 0));
    else if (Grass.neighborCount.get() > Rabbit.neighborCount.get())
      // grass remains
      return (new Grass(row, column));
    else
      // rabbits eat all the grass
      return (new Empty(row, column));
  }
 public String toString() { return "Grass"; }
 char toChar() { return 'G'; }
 Count getCount() { return neighborCount; }
 static Count neighborCount = new Count();
}
//Empty.java - representation of an empty cell
class Empty extends Living {
 Empty(int r, int c) { row = r; column = c; }
 Living next(World world) {
    computeNeighbors(world);
    if (Rabbit.neighborCount.get() > 2)
      return (new Fox(row, column, 0));
    else if (Grass.neighborCount.get() > 4)
      return (new Rabbit(row, column, 0));
    else if (Grass.neighborCount.get() > 0)
      return (new Grass(row, column));
      return (new Empty(row, column));
 public String toString() { return "."; }
 char toChar() { return '.'; }
 Count getCount() { return neighborCount; }
 static Count neighborCount = new Count();
}
```

Note that, except for methods eden() and clearNeighborCounts() in World, the classes PredatorPrey, World, and Living are completely independent of the specific life-form classes. Hence we can easily add new life forms without changing the existing life-form classes. Here the only change required is to add a line for each new life form to the method clearNeighborCounts(). We also need to provide some way to get the new life forms into the world. We could do so either via eden() or via the rules in one or more of the existing life-form classes. For example, we might add a new class Clover and modify the rules in class Empty to create Clover if there is nothing nearby.

You may wonder why we didn't place the code

```
Count getCount() { return neighborCount; }
static Count neighborCount = new Count();
```

in class Living. These two lines appear, without change, in each of the classes derived from Living, so why not just put them in the superclass and let them be inherited? The problem is that we want one Count object for each class derived from Living. If we place the two lines of code in Living, there will be only one Count, not one for each derived class. Although we can't create instances of an abstract class such as Living, the behavior of a static field in an abstract class is the same as a static field in other classes. Specifically, there will be only one instance of a static field, no matter how many instances of the class we create. The same restriction applies to both abstract and nonabstract classes. We leave the writing of toString() and eden() in the class World for you to do as Exercise 12, on page 267.

## 7.8 INTERFACES

Java has a classlike form called an *interface* that can be used to encapsulate only abstract methods and constants. Think of an interface as a blueprint or a design specification. A class that uses this blueprint is a class that *implements* the interface. Another way of thinking of an interface is as a pure abstract class, wherein all implementation details are deferred. An interface is allowed to have only abstract methods and constants. Interface methods can't be static.

Let's consider a simulation like the one in the previous section. Suppose that not all life forms have the same notion of what it means to be a neighbor. For example, the neighborhood for a fox is probably different than that for a rabbit. If that's the case, our superclass Living wouldn't be able to implement computeNeighbors() for all derived classes. In this case we could use an interface instead of an abstract class. The interface for Living might be

```
interface Living {
  Living next(World world);
  char toChar();
  void computeNeighbors(World world);
  Count getCount();
}
```

An interface is allowed to have only public methods, and by convention the keyword public is omitted. Because all methods in an interface are abstract, the keyword abstract is also omitted. Implementing an interface is like extending an abstract class. A new class implementing the interface uses the keyword implements. The class that implements the interface must provide an implementation for all methods in the interface. When we use this interface instead of the earlier abstract class, our class Fox might become

```
//Fox.java - prey class
class Fox implements Living {
  // everything will be the same as before with the
  // addition of the following and changing
 // all methods from Living to be public
 // now each life form must provide this method
  // each can do it differently
 public void computeNeighbors(World world) {
    world.clearNeighborCounts();
   world.cells[row][column].getCount().set(-1);
    for (int i = -2; i \le 2; i++)
      for (int j = -2; j \le 2; j++)
        if (row + i > 0 \&\& row + i < world.cells.length \&\&
           column + j > 0 \&\& column + j < world.cells[0].length)
          world.cells[row + i][column + j].getCount().inc();
        }
  }
 // an interface doesn't contain data fields
  // so row and column must be declared here
 // we can now make these members private
 private int row, column;
```

Note that we changed the neighborhood of a fox to be a  $5 \times 5$  neighborhood from the  $3 \times 3$  neighborhood used earlier. Other life forms might have the earlier  $3 \times 3$  neighborhood or something different. Because we're using a larger neighborhood, we also added code to be sure that we don't go beyond the bounds of the array.

Of course, we could have overridden the definition of computeNeighbors() in the class Fox, even when it was derived from the earlier abstract class Living. In this example, there is no particular advantage to using an interface rather than an abstract class. However, the difference between an interface and an abstract class becomes very important when you want to create a class that implements more than one interface. You can't extend more than one class, but you can use a class to implement many interfaces, in addition to extending one class.

We use interfaces extensively when we discuss graphical user interfaces in Chapter 8, Graphical User Interfaces: Part I. In the next section we present a brief example in which abstract classes can't be used but interfaces can.

#### 7.9 MULTIPLE INHERITANCE

Let's revisit the tracking system for a university presented earlier in this chapter. The system contains records for students, faculty, and staff. Some parts of the system operate only on student records, others only on faculty records, and so on; some parts operate on the records for any type of person. As described so far, the system might be modeled with a type hierarchy like the one shown in the following diagram.



What happens when a student is also a staff member? We could create two records for the person—a student record and a staff record—but that could cause problems. Parts of the system dealing with person records might treat the staff record and the student record as two different people.

We need the ability to create a single record that sometimes can be treated as a student, sometimes as a person, and sometimes as a staff member. We can use interfaces to create such a record. Our university record system then might include the following interfaces.

```
//Person.java
interface Person {
  void setAge(int age);
  void setGender(char gender);
  void setName(String name);
  int getAge();
  char getGender();
  String getName();
}
//Student.java
interface Student extends Person {
  void setCollege(String college);
  void setGpa(double gpa);
  void setYear(byte year);
  String getCollege();
  double getGpa();
  byte getYear();
  static enum YearInSchool { frosh, sophmore, junior, senior}
}
```

```
//Staff.java
import java.util.Date;
interface Staff extends Person {
  void setSalary(double salary);
  void setStartDate(Date start);
  void setEndDate(Date end);
  void setSSN(String ssn);
  double getSalary();
  Date getStartDate();
  Date getEndDate();
  String getSSN();
}
```

An interface can extend another interface, and an interface can include static final data members. However, an interface can't include other types of data members. For this reason, the keywords static and final on the data member declarations in interface Student could be omitted, just as we omitted public and abstract from the methods in an interface. When we use interfaces, we don't inherit method implementations, only their specification. We can now create classes that implement these various interfaces. The following class can be used to store student employee records, something we couldn't do before.

```
import iava.util.Date:
class StudentEmployee implements Student, Staff {
  // methods required by Person
 public void setAge(int age){...}
 public void setGender(char gender){...}
 public void setName(String name){...}
  public int getAge(){...}
  public char getGender(){...}
  public String getName(){...}
 // methods required by Student
  public void setCollege(String college){...}
  public void setGpa(double gpa){...}
  public void setYear(byte year){...}
 public String getCollege(){...}
 public double getGpa(){...}
 public byte getYear(){...}
  // methods required by Staff
  public void setSalary(double salary){...}
 public void setStartDate(Date start){...}
 public void setEndDate(Date end){...}
 public void setSSN(String ssn){...}
 public double getSalary(){...}
 public Date getStartDate(){...}
 public Date getEndDate(){...}
 public String getSSN(){...}
```

Of course, the method bodies must be filled in, but the preceding example clearly shows that multiple interfaces can be implemented. This approach is different from extending a class because only a single class can be extended. Some OOP languages permit multiple inheritance of classes, but various subtle complexities arise when code is inherited directly from several different classes. The developers of Java decided to avoid those complexities by not permitting multiple inheritance of classes. However, many of the programming problems that might otherwise be solved with multiple inheritance of classes can be solved by using Java's multiple inheritance of interfaces. Note that we are talking about multiple inheritance from more than one immediate superclass. Multiple inheritance means more than simply having multiple superclasses. For example, if Student extends Person and Person extends Object, that isn't multiple inheritance. Only when a class *directly* extends two or more classes is it called multiple inheritance, and only then do the problems that we've alluded to arise.

Instances of the class StudentEmployee can be passed to methods that expect as parameters any of StudentEmployee, Student, Staff, or Person. Although we've gained the ability to create classes that implement many different interfaces, we've given up some degree of code reuse. Hence, we need to provide implementations of methods such as setAge() in every class that implements the interface Person, directly or indirectly. The class StudentEmployee implements the Person interface indirectly because StudentEmployee implements Student and Student extends Person.

#### COMMON PROGRAMMING ERROR

You can use interfaces just like classes when declaring variables or formal parameters. The key difference is that you can't actually create an instance of an interface. For example, for the interface declarations in the preceding code, the following statement would generate a syntax error.

```
Staff staff = new Staff(); // illegal
But this statement is legal:
Staff staff = new StudentEmployee();
```

Of course, you should make such an assignment only if you expect later to have staff refer to some object that isn't a StudentEmployee. Otherwise, you should declare staff to be type StudentEmployee.

## 7.10 INHERITANCE AND DESIGN

At one level, inheritance is a code-sharing technique. At another level it reflects an understanding of the problem; that is, it reflects relationships between parts of the problem space. Much of inheritance is the expression of an "is a" relationship between the superclass and derived classes. A student *is a* person. This relationship is the conceptual underpinning for making Person a superclass and allowing the behavior described by its public member functions to be interpretable on objects within its type hierarchy; in other words, subclasses derived from it share its interface.

There is no way to specify a completely optimal design. Design involves trade-offs between the various objectives that a programmer wants to achieve. For example, generality is frequently at odds with efficiency. Using a class hierarchy that expresses "is a" relationships increases our understanding of how to compartmentalize coding relationships and potentially introduces coding inefficiencies by having various layers of access to the (hidden) state description of an object. However, a reasonable "is a" decomposition can simplify the overall coding process. For example, a shape-drawing package need not anticipate the need for future additional shapes. Through inheritance, the class developer implements the superclass "shape" interface and provides code that implements operations such as "draw." What is primitive or held in common remains unchanged. Also unchanged is the client's use of the package.

An undue amount of decomposition imposes its own complexity and ends up being self-defeating. There is a granularity decision, where highly specialized classes don't provide enough benefit and are better folded into a larger concept.

Single inheritance (SI) conforms to a hierarchical decomposition of the key objects in the domain of discourse. Multiple inheritance (MI) is more troubling as a modeling or problem-solving concept. In MI we are saying that the new object is composed of several preexisting objects and is usefully thought of as a form of each. The term *mixin* is used to mean a class composed via MI, whereby each inherited class is orthogonal. Much of the time there is an alternate "has a" formulation. For example, is a vampire bat a mammal that happens to fly, a flying animal that happens to be a mammal, or both a flying animal and a mammal? Depending on the code available, developing a proper class for vampire bat might involve an MI derivation or an SI implementation with appropriate "has a" members. Interfaces in Java generally take the form of mixins providing "has a" relationships. In our example of the student employee, the interface Staff is essentially stat-

ing that a **StudentEmployee** object *has a* social security number, salary, and start-end dates. **Staff** doesn't actually implement any of these fields. Nor are we inheriting any code from **Staff**. Instead, we are stating that some methods exist in any class that implements the interface.

## 7.11 TYPE CONVERSIONS AND POLYMORPHISM

Java provides several forms of polymorphism. In Section 5.13, *The container ArrayList*<>, on page 150 we discussed a standard Java container class which utilizes parametric polymorphism. That is, the container can hold different types of objects and the type that they can contain is determined by a type parameter in the declaration of the container.

Earlier in this chapter we saw another form of polymorphism using method overriding. Given a reference to a superclass object we can write a method that works for *any* subclass of that superclass. The behavior can actually vary from subclass to subclass by redefining (overriding) method definitions from the superclass.

There are cases when neither of the earlier techniques provides the desired functionality. In this section we describe how the <code>instanceof</code> operator can be combined with explicit casts of nonprimitive types to achieve more control over polymorphic behavior. In addition we describe how Java can sometimes automatically convert between a primitive type and a reference type, allowing primitive values to be used where a reference type is expected.

## 7.11.1 THE OPERATOR instance of AND CASTING NONPRIMITIVE TYPES

The operator instanceof can be applied to an object to determine its type. The operator returns a boolean value. An example is

```
if (x instanceof Shape)
  // x is a Shape, act accordingly
else
  // x is not a Shape, act accordingly
```

The <code>instanceof</code> operator is used when ordinary polymorphism with overridden methods is inappropriate. An example is

```
if (x instanceof Point)
   System.out.println("Point has no area" + x);
else
   System.out.println("Area is " + x.area());
```

Here we've two behaviors: a polymorphic behavior for shapes, which involves computing their area, and the special case of point not having an area calculation.

In Section 2.10.2, *Type Conversion*, on page 32, we showed how to use casting to convert one primitive type to another. We can use the same syntax for casting one reference type to another; the type can be any Java type. Recall that a cast is a type enclosed by parentheses. The following example shows how to cast a Person object to a Student object, provided that the object is in fact a Student. In this example Student is our original class Student, not the interface Student.

```
static void someMethod(Person person) {
...
  if (person instanceof Student) {
    Student student = (Student)person;
    // code to operate on Student object here
  }
}
```

Not all casts are legal, and some illegal casts can be detected by the Java compiler. Other illegal casts are detected at run time, in which case a ClassCastException error will be reported. Consider the following method which is expected to at least sometimes, but not always, be called with a reference to a Student. In it we use the classes Student and Person from Section 7.1, *A Student "Is a" Person*, on page 195, not the interfaces Student and Person.

```
static void someMethod(Person person) {
  // some code here that deals with person as a Person
  Student student = (Student)person;
  // some code here that deals with person as a Student
}
```

The above method will compile and run fine if called with:

```
Student student = new Student();
someMethod(student);
```

However, if called with the following an illegal cast will be detected at run time resulting in an exception being thrown (see Section 11.1, *Exceptions*, on page 324).

```
Person person = new Person();
someMethod(person);
```

Because of this dynamic type checking, you can safely cast from a superclass to a subclass without violating the type safety of Java. That is, you can never treat an object as something it really isn't. Recall that an object of TypeA is also an object of TypeB when TypeB is any superclass of TypeA. In the preceding example, a Student object is also a Person object and an Object object.

By combining the operator <code>instanceof</code> and casting, we can operate on <code>generic</code> containers in a completely safe way. A generic container is a container, such as an array, that may contain different types of objects. You can use the operator <code>instanceof</code> to determine the type of the object stored in the container and then cast the object into the appropriate type. This choice is the proper one if you expect the object to be one of several different types and you can't use ordinary polymorphism with overridden methods. Alternatively, you can try to cast the object and then catch the exception, if there is one. (We discuss catching exceptions in Section 11.1.1, <code>Exception Handling with try and catch</code>, on page 324.) This choice would be the appropriate one if most of the time you expected the object to be of one type, but on rare occasions other types are expected. We use the exception handling mechanism of Java to handle the exceptional case. As shown in the following example, you can create an array that contains both strings and numbers by using the classes <code>String</code> and <code>Integer</code>.

```
// GenericArray.java - demonstrate generic array container
class GenericArray {
  public static void main(String[] args) {
    Object[] array = new Object[4];
    array[0] = "String 1";
    array[1] = new Integer(1);
    array[2] = "String 2";
    array[3] = new Integer(2);
```

```
for (int i = 0; i < array.length; i++) {
      if (array[i] instanceof String) {
        String temp = (String)array[i];
        System.out.println("Processing string " + temp);
        // do something appropriate for strings
      else if (array[i] instanceof Integer) {
        Integer temp = (Integer)array[i];
        System.out.println("Processing Integer " + temp);
        // do something appropriate for an Integer
      }
      else {
        System.out.println("Unexpected type " + array[i]);
        // do something to handle unexpected cases
    } // end of for loop
 }
}
```

The above example works because String and Integer are both subclasses of the primoridal Java class Object. This works with any hiearchy of types. Consider the standard Java classes, Integer and Float, which are both subclasses of the standard Java class Number. We can make an "array of Number" that will contain both Integer and Float objects.

```
class MixedNumberArray {
  public static void main(String[] args) {
    Number[] mixedNumberArray = new Number[4];
    mixedNumberArray[0] = new Integer(100);
    mixedNumberArray[1] = new Integer(200);
    mixedNumberArray[2] = new Float(3.3);
    mixedNumberArray[3] = new Float(4.4);
    for (Number n : mixedNumberArray) {
        System.out.print(n + ", ");
     }
     System.out.println();
  }
}
```

## 7.11.2 AUTOMATIC BOXING OF PRIMITIVES

Because there are many situations in which programmers need to store both numeric and non-numeric data in the same array, or different types of numeric data (e.g. int and float), starting with Java 5.0, the Java compiler will automatically convert primitive types to their standard wrapper classes. This automatic conversion to a reference type is sometimes called "automatic boxing." Automatic boxing allows primitive values to be used where a reference type is expected. There is a standard wrapper class for each of the primitive types. The standard wrapper classes, found in java.lang, are: Byte, Short, Integer, Long, Float, Double, Boolean, and Character. Automatic boxing is shown in the following example, which is a modification of the previous example.

```
class MixedNumberArrayAutoBox {
  public static void main(String[] args) {
    Number[] mixedNumberArray = new Number[4];
    mixedNumberArray[0] = 100;
    mixedNumberArray[1] = 200;
    mixedNumberArray[2] = 3.3;
    mixedNumberArray[3] = 4.4;
```

```
for (Number n : mixedNumberArray) {
      System.out.print(n + ", ");
    System.out.println();
 }
}
The output of both examples is:
100, 200, 3.3, 4.4,
```

## 7.11.3 AUTOMATIC UNBOXING OF PRIMITIVES

Not only will the Java compiler automatically box a primitive value in a wrapper class when needed, it will also automatically unbox a wrapper when a primitive value is needed. Consider the standard Java class java.util.ArrayList<T>. You can use this generic class to create an array like container that automatically expands as necessary. It is tempting to try and write:

ArrayList<int> intArray = new ArrayList<int>(); // illegal

However, the type parameter in the ArrayList declaration must be a reference type, not a primitive type, thus the above example will not compile.

You cannot use the ArrayList class to store primitive types. Prior to Java 5.0, to use an ArrayList with a primtive type such as int, you would have to explicitly wrap each primitive value in a wrapper class for storage in the ArrayLIst and explicitly extract the primitive value to get it back out. This looks like:

```
import java.util.*;
class ManualBoxing {
 public static void main(String[] args) {
    ArrayList intArray = new ArrayList();
    intArray.add(new Integer(10));
    intArray.add(new Integer(20));
    int first = ((Integer)intArray.get(0)).intValue();
    int second = ((Integer)intVec.get(1)).intValue();
    System.out.println(first + ", " + second);
 }
}
```

However, with automatic boxing and unboxing, and the new parameterized types, we get the programming ease and clarity as if we were actually storing primitive int values in the ArrayList. Starting with Java 5.0, the above example can be abbreviated to:

```
import java.util.*;
class ArrayListOfInt {
  public static void main(String[] args) {
   ArrayList<Integer> intVec = new ArrayList<Integer>();
    intArray.add(10);
    intArray.add(20);
    int first = intArray.get(0);
    int second = intArray.get(1);
    System.out.println(first + ", " + second);
 }
}
```

As the ArrayList declaration shows, the intArray is actually storing Integer objects, but this is hidden except in the declaration.

## 7.12 PROGRAMMING STYLE

Inheritance should be designed into software to maximize reuse and allow a natural modeling of a problem. With inheritance, the key elements of the OOP design methodology are as follows.

## **OOP** DESIGN METHODOLOGY

- 1. Decide on an appropriate set of types.
- 2. Design in their relatedness and use inheritance to share code.
- 3. Use overridden methods to process related objects polymorphically.

When overriding methods in a subclass, you should have the subclass method call the associated superclass method, if appropriate. The same is true for subclass constructors. The rationale is that this procedure maintains a conceptual link between related pieces of code. Then, if the superclass method or constructor is changed, the subclass constructor or method is similarly updated.

Now, if a change is made to how Person is converted to a String, that change is propagated automatically to Student.

## **SUMMARY**

- Inheritance is the mechanism used to derive a new class from existing classes. That is, an existing class can be added to or altered to create a subclass. Through inheritance, a hierarchy of related, code-sharing abstract data types (ADTs) can be created.
- A class can be derived from an existing class by using the keyword extends. The derived class is called a subclass. The class that it is derived from is called its superclass.
- Java classes are organized into packages. By default, classes in the same directory are in the same, "unnamed" package. Classes can also be placed into a named package using the keyword package.
- The keywords public, private, and protected are available as accessibility modifiers for class members. A public member is accessible anywhere. A private member is accessible from other methods within its own class. A protected member is accessible from methods within its package and within any class immediately derived from it. If no access keyword is used this is called package access. A member with package access is accessible from any class in the same package.

- Constructors are never inherited. The default superclass constructor is called implicitly as the first statement in a constructor, unless an explicit superclass constructor is called. To call a superclass constructor other than the default, no-args constructor, use the keyword super. A subclass is a subtype of its superclass. An object of the subclass can in many ways be treated as if it were the superclass type. A variable of the superclass type can reference objects of the subclass type.
- Overriding is a mechanism to dynamically select at run time the appropriate method from among superclass and subclass methods. This ability to dynamically select a method appropriate to an object's type is a form of polymorphism.
- Inheritance provides for code reuse. A subclass inherits superclass code, and the subclass typically modifies and extends the superclass. Inheritance also creates a type hierarchy. It allows for run-time selection of overridden methods. Facilities that allow the implementation of ADTs, inheritance, and the ability to process objects dynamically are the essentials of object-oriented programming (OOP).
- An abstract method is one whose body is undefined. Such a method must be overridden in a subclass and given a concrete definition. In OOP terminology it is called a deferred method. A class that has abstract methods is an abstract class and must be declared as such. It is useful for the root class in a type hierarchy to be an abstract class. It defines the interface for its derived classes, but can't itself be used to create objects.
- Java provides the interface construct, which is similar to abstract classes. An interface can contain only abstract methods and static final fields. A class may implement multiple interfaces, in addition to extending one class.
- Java provides wrapper classes for each of the primitive types. In addition, Java will automatically convert from a primitive type to the corresponding wrapper when necessary. This is called boxing. Java will also do the reverse and convert a wrapper type to its corresponding primitive type. This is called unboxing.

## **REVIEW QUESTIONS**

1.	Inheritance	allows t	the	programmer	to	code.
----	-------------	----------	-----	------------	----	-------

- 2. A reason to have private fields is \_\_\_\_\_.
- 3. An abstract method has a declaration but doesn't have \_\_\_\_\_.
- 4. Redefining the same method name in a given class is called over\_\_\_\_. Redefining the same method name with the same signature in a subclass is called over\_\_\_\_.
- 5. An interface can have abstract methods but can't have \_\_\_\_.
- 6. A class can extend \_\_\_\_ class(es) and implement \_\_\_\_ interface(s).
- 7. The keyword super can be used in two different ways. Give an example of each. Why is it needed?
- 8. What is the principle of data hiding?
- 9. Discuss public, package, protected, and private access. Write a simple example that shows their differences.
- 10. Object provides an equals() method. In the following code what gets printed?

```
public class Review10 {
   public static void main(String[] args) {
      Integer m = new Integer(3), n = new Integer(3);
      System.out.println(m);
      System.out.println(m.equals(n)+ " : " + (m == n));
   }
}
```

11. For the following class definitions, what is printed when the method main() in class Review11 is executed?

```
class ClassOne {
  public void print() {
    System.out.println( "ClassOne");
}
class ClassTwo extends ClassOne {
  public void print() {
    System.out.println( "ClassTwo");
 }
}
class Review11 {
  public static void main(String[] args) {
    ClassOne one = new ClassOne();
    ClassTwo two;
    one.print();
    one = new ClassTwo();
    one.print();
    two = (ClassTwo)one;
    two.print();
 }
}
```

12. For the class definitions in the previous question, will the following code compile? If not, what error message results? If so, what does it print when executed?

```
class Review12 {
  public static void main(String[] args) {
    ClassOne one = new ClassOne();
    one.print();
    ClassTwo two = (ClassTwo)one;
    two.print();
  }
}
```

13. For the class definitions in Review Question 11, will the following two classes compile? If not, what error message results? If so, what does it print when executed?

```
class ClassThree extends ClassOne {
 public void print() {
    System.out.println( "ClassThree");
  }
class Review13 {
 public static void main(String[] args) {
    ClassThree three = new ClassThree();
    three.print();
    ClassTwo two = (ClassTwo)three;
    two.print();
 }
```

## **EXERCISES**

- 1. Add a constructor to class Person in Section 7.1, A Student "Is a" Person, on page 194, that allows all fields to be given initial values. Do the same for Student.
- 2. Derive a class from Person in Section 7.1, A Student "Is a" Person, on page 194, that has fields appropriate to a staff member in a company. Call the class Employee.
- 3. Derive a class from Student in Section 7.1, A Student "Is a" Person, on page 194, called GradStudent. Add members for the graduate student's department and thesis topic. Then write appropriate constructors. Include a toString() method.
- 4. Write a program with a method reducedFare() that takes one parameter of type Person. The method should return true if the age of the person is less than 12 or greater than 64. Test the method by calling it first with a Person object and then with a Student object, using the classes Student and Person in Section 7.1, A Student "Is a" Person, on page 194. This exercise shows you the importance of inheritance and polymorphism in using related types.
- 5. If you've already studied arrays write a program that lexicographically sorts an array of students by their last name. You can recode Student to have a first name member and a last name member.
- 6. Modify the program in the previous exercise to break ties by using the first name when there is more than one person with the same last name.
- 7. Add a method hours () to class Timer in Section 7.6, Abstract Classes, on page 207, that returns the number of hours for a given value of a Timer object.
- 8. Create a class Clock that is derived from Timer and has a member hours. Override the methods of Timer to manipulate, access, and print out hours, minutes, and seconds.
- 9. Create a class CountBy3 that extends AbstractCounter and counts by 3s.
- 10. Create a class CountByN that extends AbstractCounter to count by a designated value n. Let the user specify this value as part of the constructor.
- 11. Implement the class Count needed by the Predator-Prey simulation in this chapter.
- 12. Complete the definition of the class World in Section 7.7, An Example: Predator-Prey Simulation, on page 209, by writing the methods toString() and eden(). One way to compute the garden-of-eden position is to use a random number to pick the type of life form that goes in each cell.
- 13. Add a new life form to the Predator-Prey simulation.

- 14. Modify the method computeNeighbors () of the class Living to allow for up-dates of the border cells.
- 15. Complete the implementation of PredatorPrey by using the interface for Living, as described in Section 7.8, *Interfaces*, on page 214, instead of the abstract class Living in Section 7.7, *An Example: Predator-Prey Simulation*, on page 210. Use at least two different notions of neighborhood when implementing countNeighbors() in the various classes that implement Living.
- 16. Add a method equals() to the class Counter in Section 6.6, *Adding Instance Methods: Class Counter*, on page 165. This method should return true if the counters are set to the same value. Test your method and dynamic typing by using your new Counter class in the program EqualsTest in Section 7.5, *Type Object and Inheritance*, on page 205.
- 17. Create a class Point with two coordinates. Include a method lineLength() that takes another Point as a parameter and returns the length of the line segment connecting the two points (the parameter and the implicit point upon which the method was called). Extend Point to create a class ThreeDPoint that also has a z coordinate. Overload the lineLength() method in ThreeDPoint to work with a ThreeDPoint parameter. Discuss the advantages and disadvantages of having ThreeDPoint extend Point versus having two independent classes.

# GRAPHICAL USER INTERFACES: PART I

The main program examples presented so far have obtained their input by reading keystrokes typed at the keyboard, and their output has been in the form of text, printed to the computer console. Most computer programs today, however, have a *graphical user interface* (GUI), which includes both a graphical output capability and a pointing device to allow the user to select items on the computer screen. Java contains a completely portable and reasonably easy to use graphical library. In early versions of Java, the collection of classes used for building graphical user interfaces was called the *abstract window toolkit* (AWT). It is still part of standard Java, but it has been expanded with a new collection of classes called *Swing*. This addition makes inclusion of a GUI in any Java program relatively easy.

The standard Java packages, <code>java.awt</code> and <code>javax.swing</code>, together contain a collection of classes that implement a wide assortment of GUI elements. Although we can build GUIs by using only components from <code>java.awt</code>, because of the added power and flexibility that comes with the components in <code>javax.swing</code>, we describe building GUIs with both AWT and Swing. Some examples of simple GUI elements are buttons, menus, and scrolling text windows. For some GUI elements, we can obtain the appearance and behavior we want by creating instances of standard Java classes. In other cases we need to create new components. Using inheritance (see Chapter 7, <code>Inheritance</code>) allows us to add our desired behavior to existing components.

The objects that make up a GUI built with Swing are called *components*. Some components are containers that can be used to group and arrange several components. Some examples of predefined components are JButton, JLabel, and JCheckbox. The following screen shot shows the GUI for a sample Java program that uses two JLabel components, three JCheckBox components, and a JButton. All six of the components in this GUI are contained in a single JFrame, which forms the outline of the GUI.



Recall that one of the attractions of Java is that special Java programs called applets can be distributed across the Internet and run inside an Internet browser such as Netscape or Internet Explorer. Applets essentially always have a graphical user interface.

In this chapter we present the fundamental concepts used in building Java programs that include a GUI. At the end of the chapter we introduce Java applets. You may have already worked some with applets and Swing components in the optional exercises in earlier chapters. If you did any of those exercises, some of the material presented in this chapter will be a review for you.

# 8.1 "HELLO, WORLD!" BUTTON

Our first GUI example is the graphical equivalent of the traditional "Hello, world!" program.

```
//HelloButton.java
import java.awt.Container;
import javax.swing.*;

class HelloButton {
  public static void main(String[] args) {
    JFrame frame = new JFrame("HelloButton");
    Container pane = frame.getContentPane();
    JButton hello = new JButton("Hello, world!");
    pane.add(hello);
    frame.pack();
    frame.setVisible(true);
  }
}
```

When run, this program creates a new window on your computer desktop titled HelloButton. Inside the window will be a button labeled "Hello, world!" If you click the button, its appearance changes to show the button being pushed in and then released. Nothing else will happen. It looks like this.



The window created for the GUI is too small to show the full title, HelloButton, which appears just as He.... We didn't tell the program to do anything when you push the button. The only result that you see at the moment is the default behavior of an object of type JButton. Shortly, we show you how to get the button actually to do something. If you enlarge the window, you can see the full text of the title.

#### Dissection of the Class HelloButton

```
import java.awt.Container;
import javax.swing.*;
```

The standard Java classes used so far have been from the package <code>java.lang</code>. This package is automatically imported into every Java program. Thus you can refer to the classes in the package without having to tell the Java compiler that you're doing so. For all other packages, whether standard Java packages or those you write yourself, you must use an import statement like the ones shown here to tell the Java compiler to look in the specified package for classes. You can either list the specific classes that you're using from the package as we did for <code>Container</code>, or you can use an asterisk to indicate to the compiler that it can use any of the classes from the specified packages as we did for the package <code>javax.swing</code>.

```
JFrame frame = new JFrame("HelloButton");
```

Here we create a JFrame that corresponds to the window on the computer's desktop. The string "HelloButton" is used to title the window. The class JFrame is from the package <code>javax.swing</code>. The fully qualified name of the class is <code>javax.swing.JFrame</code>. Because we import <code>javax.swing.\*</code>, we can just use the name <code>JFrame</code>. In future discussions, we will use the fully qualified name of a class when we first introduce it, so you will know which package it is from. In subsequent usage of the class, we will use only the simple class name.

```
Container pane = frame.getContentPane();
```

A container is a component to which we can add other components. A JFrame is actually a container, but you shouldn't attempt to add components directly to a JFrame. Instead, you should add all components to a special container in the JFrame. Use the method getContentPane() to get a reference to the JFrame's special container.

JButton hello = new JButton("Hello, world!");

Here we create a javax, swing, JButton object and give it a string that will be used as a label. The constructor for JButton takes a single parameter, which specifies the label for the JButton, Because of the import statement at the beginning of the program, we can just use the simple name JButton.

```
pane.add(hello);
```

The method add() in the class Container is used to add a component to the JFrame's content pane. This method is part of every container component. In this example we don't tell the container how to arrange the components in the container or where in the container this particular component should be displayed. We show how to do so later.

```
frame.pack();
frame.setVisible(true);
```

These two calls tell the JFrame to pack all the objects in the JFrame appropriately and then cause the window to show up on the screen. In this example there is only one visible component, the JButton, so the JFrame will adjust itself to be just large enough to hold the JButton.

The initially displayed window was too small. However, we can change its size by applying the method set-Size() to the JFrame as follows.

```
//remove frame.pack() -- use
frame.setSize(300, 200);
```

This methods sets the horizontal length of the window to 300 pixels and the vertical length of the window to 200 pixels. Pixels are a standard unit for windowing and drawing components (see Section 8.5, *Drawing with* Swing, on page 237). The method pack() needs to be removed; otherwise, it will compress the window to the smallest size necessary to display the frame contents.

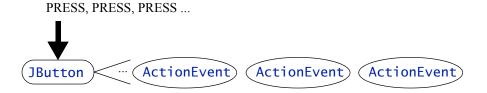
#### LISTENING TO EVENTS 8.2

If you run the program HelloButton, the program won't terminate, although main() has finished. You will need to use your computer's facility for aborting programs to end the program. What was going on?

Any program that uses Swing to create a window implicitly creates a separate thread of execution that enters into an infinite loop, looking for events such as mouse movement, button clicks, or key presses. It's like having two workers on the job, one following the instructions in main() and the methods it calls and the other watching for events and calling various methods to respond to those events. This type of program is called event driven. Hence, once main() has finished, all program behavior is in response to events.

All Swing components are *event sources* that can be observed or "listened to." In order to do something when an event is generated at some source, you need to tell the source which object to notify when the event occurs. To do so, use the method addSomethingListener(), where Something is some event type. This event model is called a *delegation model*, wherein specific objects are delegated the responsibility of handling specific events.

By default, the JButton object in the preceding example responds to mouse clicks by changing its appearance. JButton objects are also the source of java.awt.event.ActionEvent objects. An ActionEvent is generated by a button whenever you click the button with the mouse.



In order to do something when an event is generated, you need to tell the source of the event—for example, the JButton in HelloButton—where to send the event. Objects that can receive events are called *listeners*. Different types of events have different types of listeners. Objects that generate ActionEvents contain the method addActionListener() that indicates which object or objects should receive the events. The ActionEvent listener must *implement* the java.awt.event.ActionEventListener *interface*. To implement an interface, a class must define all the methods specified in the interface. Thus a class that implements the ActionEventListener interface must contain an actionPerformed() method. (Recall our discussion of interfaces in Section 7.8, *Interfaces*, on page 214. Although helpful, you don't have to read that section before proceeding with the remainder of this chapter.)

Whenever you generate an ActionEvent by clicking a button, the actionPerformed() method for each ActionEventListener that was added to the button is called with the ActionEvent object as a parameter, as shown in the following diagram.



In the following program we show how to add a listener to our "Hello, world!" button.

```
//HelloGoodBye.java -
import java.awt.Container;
import javax.swing.*;
class HelloGoodBye {
  public static void main(String[] args) {
    JFrame frame = new JFrame("HelloGoodBye");
    Container pane = frame.getContentPane();
    JButton hello = new JButton("Hello, world!");
    GoodBye listener = new GoodBye();
    hello.addActionListener(listener);
    pane.add(hello);
    frame.pack();
    frame.setVisible(true);
}
```

The class HelloGoodBye is almost the same as HelloButton; the only difference is the addition of two lines. First, we create an instance of the class GoodBye, which we use to listen for action events from the button. Then we add the listener as an action listener for the button, using

#### hello.addActionListener(listener);

We have called addActionListener() for the button, passing it a new GoodBye object. The missing piece is the class GoodBye, which we implement as

```
//GoodBye.java
import java.awt.event.*;
class GoodBye implements ActionListener {
 public void actionPerformed(ActionEvent e) {
    System.out.println("Goodbye!");
    System.exit(0);
 }
}
```

## Dissection of the Class GoodBye

import java.awt.event.\*;

We need to import an additional package, which contains the definition of the various event listener interfaces. Recall that interfaces are used to indicate that a class contains certain methods. In this example we use the interface ActionListener to indicate that GoodBye contains the method actionPerformed().

class GoodBye implements ActionListener

Here we indicate that the class GoodBye contains all methods specified in the interface ActionListener. That is, we say that the class implements the interface ActionListener.

```
public void actionPerformed(ActionEvent e) {
    System.out.println("Goodbye!");
    System.exit(0);
  }
```

This method is called when the button is clicked. It prints a message and then forces the program to exit. In this example, the method doesn't use the ActionEvent parameter passed to the method. We use this parameter in later examples.

To summarize, the following steps are needed to "listen" or respond to button presses.

## LISTENING TO A BUTTON PRESS

- 1. Create a button with new JButton("some label").
- 2. Get the Container for the JFrame using getContentPane().
- 3. Add the button to the content pane of the JFrame with add().
- 4. Create a listener class by a) adding implements ActionListener to the class declaration and b) defining an actionPerformed() method.
- 5. Add the listener object to the list of listeners for the button by calling button.addActionListener(listener), where button is a reference to the button created in step 1 and listener is a reference to an instance of the class created in step 4.

As we stated at the beginning of this section, programs that use Swing implicitly create a separate thread of execution, which enters an infinite loop. When that infinite loop detects a mouse click with the cursor over a JButton, a specific method in the JButton object is called. This method in turn generates the ActionEvent and calls the method actionPerformed() of any listeners that have been added to the JButton. The following pseudocode is for the hidden internal loop. This loop is activated as part of starting up any program that uses Swing components.

#### PSEUDOCODE FOR SWING EVENT LOOP

```
while(true)
  wait for next event;
  determine event type, call it T;
  determine GUI object where event occurred, call it O;
  call appropriate method in each T listener added to O;
end while loop
```

## 8.3 TEXT AND NUMERICAL INPUT

We demonstrated the response to a mouse click on a button, but what about reading in numbers or strings? TextInput is essentially the same as HelloGoodBye, except that it reads from a text field. Instead of a JButton object, we create a javax.swing.JTextField object. When you type into the text field and then hit Return while the cursor is in the text field, an action event will be generated. The resulting ActionEvent object is passed to the method actionPerformed() and can be used to determine what text is in the field. Here is the class TextInput.

```
//TextInput.java
import java.awt.Container;
import javax.swing.*;

class TextInput {
  public static void main(String[] args) {
    JFrame frame = new JFrame("TextInput");
    Container pane = frame.getContentPane();
    JTextField input = new JTextField("Edit this text then hit <return>");
    Echo listener = new Echo();
    input.addActionListener(listener);
    pane.add(input);
    frame.pack();
    frame.setVisible(true);
}
```

You can create an empty text field by specifying the width of the field in characters, or you can specify some initial text, as in the preceding example. Here is what the initial display looks like.



You can use the mouse to select the text in the window and type your own message. For example, you could change the window to the following.



If you hit Return after editing the text, the message you typed would appear on the console as

os-prompt>java TextInput Java programming is fun.

The action listener we use is called **Echo**.

```
//Echo.java
import javax.swing.JTextField;
import java.awt.event.*;
class Echo implements ActionListener {
 public void actionPerformed(ActionEvent e) {
    JTextField source = (JTextField)e.getSource();
    String text = source.getText();
    System.out.println(text);
}
```

The class Echo is similar to the listener class GoodBye. However, instead of exiting, we echo whatever is in the text field by using println(). The class ActionEvent has a method that can be used to find the source of the event. The method getSource() returns a generic reference that must be cast into a JTextField. (We discussed generic references and this type of casting in Section 7.11, Type conversions and Polymorphism, on page 218.) This operation is safe in that Java generates an exception if the reference isn't in fact referencing a JTextField object. In this case, it is always a JTextField because that's the only object to which we are listening. In more complicated programs we could use the operator instanceof (see Section 7.11, Type conversions and Polymorphism, on page 218) to determine the type of the object returned by getSource().

Once we have a reference to the JTextField object, we can use its getText() method to retrieve the text. At this point, if we were expecting a number, we could use methods from the various numeric classes to parse the string into a number. For example, if we were expecting an integer, we could turn the variable text into an int with

```
int value = Integer.parseInt(text.trim());
```

As we discuss later in Section 10.3.1, *Parsing Text Streams*, on page 304, we use the method trim() from class String to trim away any leading or trailing white space. We need to do so because parseInt() generates an exception if it gets any characters that aren't part of the integer, including white space.

Note that we have again created a program that never ends. You can combine the techniques from Echo, GoodBye, HelloGoodBye, and TextInput to create a program that accepts text or numeric input and then quits when a button is clicked. We leave that for you to do as an exercise.

## COMMON PROGRAMMING ERROR

If you omit the cast in the method actionPerformed(),

```
JTextField source = e.getSource();
```

you get the syntax error message Explicit cast is needed to convert a java.lang.Object to javax.swing.JTextField. The method call e.getSource() returns an Object, which holds the value for the event source. It must be properly cast to the type JTextField.

## 8.4 USING SEVERAL COMPONENTS

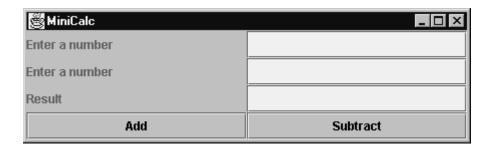
Most GUIs have more than a single button to click or a single text field. As a result, we face two new questions: How do we arrange the GUI components when there is more than one? How do we respond to events from several different components?

To control the arrangement of GUI components, Java uses layout managers. A *layout manager* is an object that determines the location of components. One type of layout manager is implemented by the class <code>java.awt.GridLayout</code>. As the name implies, <code>GridLayout</code> arranges the components in a two-dimensional grid. We specify the number of rows and columns in the grid and then add the components, one at a time. Each new component is added into the next available cell in the grid.

In the following program we use a <code>GridLayout</code> to arrange the components of a minicalculator, which is capable of adding and subtracting two numbers. The program includes two buttons: one for adding and one for subtracting. The <code>ActionListener</code> determines which button is clicked.

```
//MiniCalc.java - demo GridLayout
import java.awt.*;
import javax.swing.*;
class MiniCalc {
 public static void main(String[] args) {
    JFrame frame = new JFrame("MiniCalc");
    Container pane = frame.getContentPane();
    // create the major components
    JTextField firstNumber = new JTextField(20);
    JTextField secondNumber = new JTextField(20);
    JTextField result = new JTextField(20);
    JButton addButton = new JButton("Add");
    JButton subButton = new JButton("Subtract");
    // there will be 4 rows of 2 components each
    pane.setLayout(new GridLayout(4, 2));
    // add all of the components to the content pane
    pane.add(new JLabel("Enter a number"));
    pane.add(firstNumber);
    pane.add(new JLabel("Enter a number"));
    pane.add(secondNumber);
    pane.add(new JLabel("Result"));
    pane.add(result);
    pane.add(addButton);
    pane.add(subButton);
    // setup the listener, listening to the buttons
    DoMath listener = new DoMath(firstNumber, secondNumber, result);
    subButton.addActionListener(listener);
    addButton.addActionListener(listener);
    frame.pack();
    frame.setVisible(true);
```

The initial display looks like this.



## Dissection of the Class MiniCalc

```
import java.awt.*;
  import javax.swing.*;
  class MiniCalc {
    public static void main(String[] args) {
      JFrame frame = new JFrame("MiniCalc");
      Container pane = frame.getContentPane();
```

This program begins like our other GUI examples so far. The imports are needed because we use JFrame, JButton, JTextField, and JLabel from the package javax.swing and Container and GridLayout from java.awt. We create a JFrame, which is the top-level window, and get the content pane to which we add the other GUI components.

```
JTextField firstNumber = new JTextField(20);
  JTextField secondNumber = new JTextField(20);
  JTextField result = new JTextField(20);
  JButton addButton = new JButton("Add");
  JButton subButton = new JButton("Subtract");
```

Here we create the JButton and JTextField components that are to be part of the GUI. The JText-Field components are wide enough to display 20 characters.

```
pane.setLayout(new GridLayout(4, 2));
```

To have the content pane use a GridLayout manager, we must set the layout manager as shown. We discuss two other layout managers, BorderLayout and FlowLayout, later.

```
pane.add(new JLabel("Enter a number"));
  pane.add(firstNumber);
  pane.add(new JLabel("Enter a number"));
  pane.add(secondNumber);
  pane.add(new JLabel("Result"));
  pane.add(result);
  pane.add(addButton);
  pane.add(subButton);
```

We can now add the components to the grid. Instead of specifying a row and column number for each component, we simply add them one at a time. The components are added to the grid beginning in the upper left corner, filling the rows from left to right, and moving to the next row when a row is full. Each of the JTextField components is placed in a row with a JLabel component, which is text that serves as a label. We haven't bothered to save a reference to the JLabel components in local variables because we don't need to refer to the labels after we've added them to the GUI.

```
DoMath listener = new DoMath(firstNumber, secondNumber, result);
subButton.addActionListener(listener);
addButton.addActionListener(listener);
```

Here we create an instance of the class <code>DoMath</code>. The constructor for <code>DoMath</code> is passed the three text fields, which it needs in order to perform its task. The <code>DoMath</code> listener object is added as an <code>ActionListener</code> for both buttons.

```
frame.pack();
frame.setVisible(true);
```

Now that we've added all the components to our GUI, we call pack(), which tells the JFrame to arrange the components according to the layout manager that we specified—in this case, a grid of four rows and two columns. Finally the JFrame is ready to be shown.

We have now shown one way to control the arrangement of multiple components—with a GridLayout. We use the class DoMath to show how an object can listen to multiple buttons and determine which button was clicked.

```
//DoMath.java - respond to two different buttons
import javax.swing.*;
import java.awt.event.*;
class DoMath implements ActionListener {
 DoMath(JTextField first, JTextField second, JTextField result) {
    inputOne = first;
    inputTwo = second;
    output = result;
 public void actionPerformed(ActionEvent e) {
    double first, second;
    first = Double.parseDouble(inputOne.getText().trim());
    second = Double.parseDouble(inputTwo.getText().trim());
    if (e.getActionCommand().equals("Add"))
      output.setText(String.valueOf(first + second));
    else // must be the "Subtract" button
      output.setText(String.valueOf(first - second));
 private JTextField inputOne, inputTwo, output;
```

## Dissection of the Class DoMath

```
import javax.swing.*;
import java.awt.event.*;
class DoMath implements ActionListener
```

This class uses JTextField from javax.swing and ActionListener and ActionEvent from java.awt.event. As with the other listener classes that we've created, the class DoMath must implement the interface ActionListener.

```
DoMath(JTextField first, JTextField second, JTextField result) {
  inputOne = first;
  inputTwo = second;
  output = result;
```

Previously, the listener classes have had no explicit constructors, relying on the default constructor. An instance of DoMath needs references to the three text fields in the GUI—two for input and one for output. Each is saved in a private instance variable.

```
public void actionPerformed(ActionEvent e) {
  double first, second;
  first = Double.parseDouble(inputOne.getText().trim());
  second = Double.parseDouble(inputTwo.getText().trim());
```

Regardless of which button is clicked, we need to get the text strings from each of the input text fields and convert those strings to numbers. Here we chose to convert them to primitive double values. The call inputOne.getText() returns the String object corresponding to the text typed into the JText-Field component. We use the method trim() from the class String to eliminate any extraneous spaces a user may type before or after the number. This call isn't required, provided the user doesn't accidentally type any spaces in the text field. However, including it makes our program more robust, that is, less likely to generate an error when we could have given a normal response. The call Double.parseDouble(...) converts a String to a primitive double value.

```
if (e.getActionCommand().equals("Add"))
    output.setText(String.valueOf(first + second));
  else // must be the "Subtract" button
    output.setText(String.valueOf(first - second));
```

The class ActionEvent defines the method getActionCommand(). When the event is generated by a button, this call returns the action command string associated with the button. By default, the action command string is the same as the label on the button. The action command string can be different from the label, if the method setCommandString(), which is defined for the class JButton, is called. By testing the command string, we can determine which button was clicked. Once we know which button was clicked, we perform the appropriate operation and call output.setText() to set the text string displayed in the output text field. The call String.valueOf() is used to convert the double value to a String, as required by setText().

```
private JTextField inputOne, inputTwo, output;
```

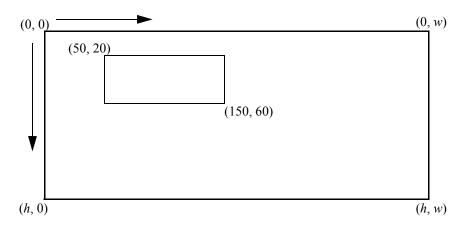
Here we declare the private data members of the class that are initialized in the constructor.

#### 8.5 DRAWING WITH SWING

We have shown how to create an application that uses a GUI containing label, button, and text field components. We have also shown how to respond to button press events and events generated when Return is hit in a text field. In addition to displaying and receiving text, GUI components are also available for displaying arbitrary images. Drawing in Java is always done on an instance of the class Graphics. We demonstrate shortly how to obtain an object on which to draw.

Java uses a coordinate system that places the origin, (0, 0), in the upper left corner of the window, with positive displacements being down and to the right. You draw rectangles by specifying the coordinates of the upper left corner of the rectangle and the rectangle's width and height. All values are in units of pixels. A pixel, short for picture element, is one dot on your computer's screen. For a black and white image, each pixel requires only one bit of storage: 0 for white and 1 for black. When you use more bits, each pixel can represent shades of gray or different colors. Eight or 16 bits per pixel, allowing for 256 or 65,536 (64K) colors, are common today.

In the following figure, the outer rectangle represents the border of the drawing window. Given a <code>java.awt.Graphics</code> object <code>g</code>, you would draw the inner rectangle with the call <code>g.drawRect(50, 20, 100, 40)</code>. It is 50 pixels in from the left edge, 20 pixels down from the top, 100 pixels wide, and 40 pixels high. The left (50) plus the width (100) gives the first coordinate of the lower right corner (150). Similarly the top (20) plus the height (40) gives the second coordinate of the lower right corner (60).



The class <code>Graphics</code> includes methods for drawing arcs, lines, ovals, and polygons. All but lines can be drawn in outline or filled in. You can also change the color, draw text strings, change the font used for text strings, and perform several other drawing-related operations.

Drawing is normally done by *extending* the class <code>JComponent</code>. As discussed in Chapter 7, *Inheritance*, you extend an existing class by adding additional methods to the original class or by overriding some of the methods that exist in the original class. Once you've created a class that extends <code>JComponent</code>, you simply add an instance of that class to a GUI. The class <code>Star</code> draws a starburst. The following simple program displays the starburst in a <code>JFrame</code>.

```
//StarTest.java - display a starburst
import java.awt.*;
import javax.swing.*;

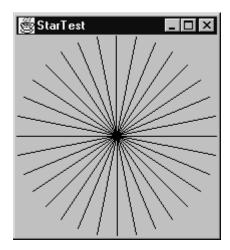
class StarTest {
   public static void main(String[] args) {
     JFrame frame = new JFrame("StarTest");
     Container pane = frame.getContentPane();
     Star star = new Star();
     pane.add(star);
     frame.pack();
     frame.setVisible(true);
   }
}
```

The preceding program is similar to others that we've already presented. The only difference is that we add an instance of the class Star to the content pane instead of a JButton or other standard Java component. The class Star is as follows.

```
//Star.java - draws a starburst
import java.awt.*;
import javax.swing.*;
import static java.lang.Math.*; // PI, cos(), sin()
```

```
class Star extends JComponent {
  protected void paintComponent(Graphics g) {
    double x1, x2, y1, y2;
    for (double angle = 0; angle < PI;</pre>
        angle = angle + PI / 16) {
      // compute coordinates of endpoints of a line
      // cosine and sine range from -1 to 1
      // multiplying by RADIUS changes the
      // range to be from -RADIUS to RADIUS
      // adding RADIUS gives the final range of
      // 0 to 2 * RADIUS
      x1 = cos(angle) * RADIUS + RADIUS;
      y1 = sin(angle) * RADIUS + RADIUS;
      x2 = cos(angle + PI) * RADIUS + RADIUS;
      y2 = sin(angle + PI) * RADIUS +RADIUS;
      g.drawLine((int)x1, (int)y1, (int)x2, (int)y2);
    }
  }
  // make the JComponent big enough to show the image
  public Dimension getMinimumSize() {
    return new Dimension(2 * RADIUS, 2 * RADIUS);
  public Dimension getPreferredSize() {
    return new Dimension(2 * RADIUS, 2 * RADIUS);
  private static final int RADIUS = 100;
}
```

The output of this program is



### Dissection of the Class Star

```
import java.awt.*;
import javax.swing.*;
import static java.lang.Math.*; // PI, cos(), sin()
```

Here we see an example of using a static import which will allow us to make unqualified use of the static members of the class Math. We use the constant PI, and the methods cos() and sin().

class Star extends JComponent {

The class <code>JComponent</code> is a standard Java component. By extending it, we create a class that already includes all the methods defined for <code>JComponent</code>. This includes the methods required of every GUI component.

protected void paintComponent(Graphics g)

The main thing we need to do in our extension of JComponent is redefine the method paintComponent(), which is where we issue any desired drawing commands. This method is called indirectly in response to the call to setVisible(true) for the JFrame that will eventually contain this Star object. Note that paintComponent() is passed a reference to a Graphics object. As mentioned earlier, the class Graphics actually implements the various drawing methods. In this example we use only one method from Graphics, the method drawLine(). The Graphics object passed to paintComponent() is part of the JComponent that we are extending. In fact all components have a Graphics object stored internally as a private field. The Graphics object itself isn't a component, and therefore it can't be added to a GUI or displayed. The Graphics object is part of the JComponent, like the String object representing a name might be part of a Student object or like the String that is part of a JButton object. In the case of a String, the value is a collection of characters. In the case of a Graphics, the value is a collection of pixel values and various other parameters that we discuss later. The methods defined for Graphics allow us to modify those pixel values.

```
for (double angle = 0; angle < PI; angle = angle + PI / 16) {
    // compute coordinates of endpoints of a line
    // cosine and sine range from -1 to 1
    // multiplying by RADIUS changes the
    // range to be from -RADIUS to RADIUS
    // adding RADIUS gives the final range of
    // 0 to 2 * RADIUS
    x1 = cos(angle) * RADIUS + RADIUS;
    y1 = sin(angle) * RADIUS + RADIUS;
    x2 = cos(angle + PI) * RADIUS + RADIUS;
    y2 = sin(angle + PI) * RADIUS + RADIUS;
    g.drawLine((int)x1, (int)y1, (int)x2, (int)y2);
}</pre>
```

You don't need to understand the mathematics in this example. The main thing to note is that there is a loop and that each iteration of the loop draws one line. The angle of the line drawn is changed with each iteration, resulting in the starburst of lines shown in the output. The calls to cos() and sin() (statically imported from java.lang.Math) compute values that correspond to two points on opposite sides of a circle with radius one and centered at the origin, (0, 0). The multiplication by RADIUS expands the lines to be 2 \* RADIUS long instead of length 2. The addition of RADIUS is used to translate the lines so that the center of the imaginary circle is moved to the point (RADIUS, RADIUS). The angle is in radians and is the angle of the diagonal of the circle produced by connecting the two points. The method drawLine() takes four parameters: the x and y coordinates of the first point and the x and y coordinates of the second point, in that order. The parameters to drawLine() must be integers, so we use an explicit cast to convert the double values to int.

```
public Dimension getMinimumSize() {
  return new Dimension(2 * RADIUS, 2 * RADIUS);
public Dimension getPreferredSize() {
  return new Dimension(2 * RADIUS, 2 * RADIUS);
```

When the method pack() for a JFrame is called, for each component the layout manager of the content pane will call one of the methods getMinimumSize() or getPreferredSize(). The choice of which to call depends on the layout manager and other factors. The value returned by this method call is used to adjust the size and placement of the component. The class JComponent includes definitions of these methods, but by default they simply return a Dimension value that is height equals 0 and width equals 0. Here we redefine those methods so that the layout manager will provide enough area for our entire starburst image to show. The class Dimension is a standard Java class that simply encapsulates two integers, treated as the width and height.

### COMMON PROGRAMMING ERROR

In drawing applications you can easily get the dimensions wrong. If the size of the frame displayed on your screen is too small, important drawing details may be lost. In the preceding application, see what happens when the methods getMinimumSize() and getPreferredSize() are omitted. The resulting window won't be large enough to display the star. You can use the mouse to enlarge the window so that the star will be displayed in full.

### THE LAYOUT MANAGER FlowLayout 8.6

The layout manager GridLayout used by the class MiniCalc earlier is fine if all the components are to be the same size. If the components need to be different sizes, a different layout manager must be used. Several different layout managers are standard classes in Java. The default layout manager for the content pane of a JFrame is called BorderLayout. We discuss the class BorderLayout in Section 9.1.1, The Class BorderLayout, on page 259.

One of the simplest layout managers is java.awt.FlowLayout. It lays out the components in rows like lines of text, putting as many components as will fit in a single row. The rows of components can be aligned left, right, or center, just like lines of text. If the window is resized, the rows are adjusted accordingly.

The following example uses a FlowLayout manager. The code shows the alignment being set to center when the FlowLayout is constructed.

```
//FlowLayoutTest.java
import java.awt.*;
import javax.swing.*;
class FlowLayoutTest {
 public static void main(String[] args) {
    JFrame frame = new JFrame("FlowLayout.CENTER");
    Container pane = frame.getContentPane();
    pane.setLayout(new FlowLayout(FlowLayout.CENTER));
```

```
pane.add(new JButton("Button 1"));
pane.add(new JLabel("Label 2"));
pane.add(new JButton("Button 3"));
pane.add(new JLabel("Label Four (4)"));
pane.add(new JButton("Button 5"));
frame.setSize(300,100);
frame.setVisible(true);
}
```

The following screen shot shows the output of the program.



If we change FlowLayout.CENTER to FlowLayout.LEFT we get



If instead we change FlowLayout.CENTER to FlowLayout.RIGHT we get



Note that, unlike the arrangement with GridLayout, the components aren't all the same width, and they don't form nice columns.

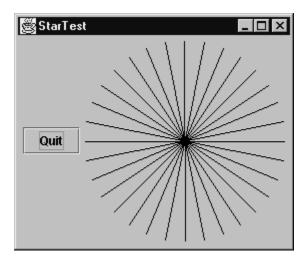
Using a FlowLayout, we can add a Quit button to our starburst drawing program as in

```
//StarTestQuit.java - added a quit button to StarTest
import java.awt.*;
import javax.swing.*;
class StarTestQuit {
  public static void main(String[] args) {
    JFrame frame = new JFrame("StarTest");
    Container pane = frame.getContentPane();
    Star star = new Star();

    //Changes from StarTest are below here
    JButton quit = new JButton("Quit");
    pane.setLayout(new FlowLayout());
    quit.addActionListener(new GoodBye());
    pane.add(quit);
    //Changes from StarTest are above here
```

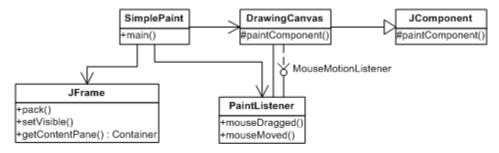
```
pane.add(star);
    frame.pack();
    frame.setVisible(true);
  }
}
```

This program uses the class GoodBye discussed earlier. The changes from the earlier class StarTest are the lines that create the button, change the layout, add the listener to the button, and add the button to the content pane. The following screen shot shows the output of the program. Clicking the Quit button causes the program to exit normally.



### 8.7 A SIMPLE DRAWING PROGRAM

One of the earliest applications of a graphical user interface with a mouse involved using the mouse to draw on the screen. The following program allows us to draw on the screen by holding down the mouse button and "painting with the mouse." The program consists of three classes: The class SimplePaint contains main(), creates the JFrame, and adds the drawing component to the frame; the class DrawingCanvas extends JComponent; and the class PaintListener responds to mouse motion and draws on the canvas. The relationship between these three new classes and some of the standard Java classes used by this program can be visualized in the following UML diagram. The "#" symbol before some of the method names indicates that the methods are protected. The  $\rightarrow$  indicates a dependence relationship between the two classes. The line with a small circle at the end indicates that PaintListener implements the MouseMotionListener interface and the dashed arrow to the small circle from DrawingCanvas indicates that the DrawingCanvas depends upon the PaintListener but only knows about it as something that implements MouseMotionListener.



First, let's consider the code for the class SimplePaint.

```
//SimplePaint.java - a program to draw with the mouse
import java.awt.*;
import javax.swing.*;
class SimplePaint {
  public static void main(String[] args) {
    JFrame frame = new JFrame("SimplePaint");
    Container pane = frame.getContentPane();
    DrawingCanvas canvas = new DrawingCanvas();
    PaintListener listener = new PaintListener();
    canvas.addMouseMotionListener(listener);
    pane.add(canvas);
    frame.pack();
    frame.setVisible(true);
}
```

The class SimplePaint doesn't need much explanation. It's nearly identical to previous examples that created a JFrame. The main difference is that the listener this time is a java.awt.event.MouseMotionListener, so we use the method addMouseMotionListener() instead of addActionListener(). We discuss shortly the interface MouseMotionListener in the dissection of PaintListener.

Next, let's consider the code for the class DrawingCanvas.

```
// DrawingCanvas.java - a blank Canvas
import java.awt.*;
import javax.swing.*;
class DrawingCanvas extends JComponent {
  public Dimension getMinimumSize() {
    return new Dimension(SIZE, SIZE);
  }
  public Dimension getPreferredSize() {
    return new Dimension(SIZE, SIZE);
  }
  private static final int SIZE = 500;
}
```

The only thing we need to do in DrawingCanvas is to define the methods used to determine the size of the DrawingCanvas. There isn't even a paintComponent() method, so all the work of this program is done in PaintListener.

Finally, let's consider the code for the class PaintListener.

```
//PaintListener.java - do the actual drawing
import java.awt.*;
import java.awt.event.*;

public class PaintListener implements MouseMotionListener {
   public void mouseDragged(MouseEvent e) {
     DrawingCanvas canvas = (DrawingCanvas)e.getSource();
     Graphics g = canvas.getGraphics();
     g.fillOval(e.getX() - radius, e.getY() - radius, diameter, diameter);
   }

   public void mouseMoved(MouseEvent e) {}
   private int radius = 3;
   private int diameter = radius * 2;
}
```

The following screen shot shows the output of one run of the program SimplePaint. We used our computer's desktop facilities to reduce the size of the window to save space. When initially displayed, the window was as tall as it is wide.



### Dissection of the Class PaintListener

```
import java.awt.*;
import java.awt.event.*;
public class PaintListener implements MouseMotionListener
```

This class is similar to the other listener classes that we've created. However, it implements a different type of listener—a MouseMotionListener. The ActionListeners that we created were required to define the method actionPerformed(). That method was called when the button was clicked or Return was hit in the text field. A MouseMotionListener must define two methods: mouseMoved() and mouseDragged(). The method mouseMoved() is called when the mouse is moved without a button being pressed. The method mouseDragged() is called when the mouse is moved while a button is being held down.

```
public void mouseDragged(MouseEvent e) {
   DrawingCanvas canvas = (DrawingCanvas)e.getSource();
```

Each time the mouse is moved—while positioned over the component that this listener is listening to—mouseDragged() is called. Each change in position detected by the system generates another call to mouseDragged(). In other words, a single stroke of dragging the mouse across the screen can result in many calls to mouseDragged(). Each call is passed a MouseEvent object that contains, among other things, a reference to the component that generated the event and the coordinates of the mouse at the time the event was generated. The call e.getSource() returns a generic reference to the component that generated the event. In general, the event could have come from any component, not just a DrawingCanvas. Here we explicitly cast the reference into a reference to a DrawingCanvas object.

Graphics g = canvas.getGraphics();

We can use the reference canvas to the DrawingCanvas object to obtain a reference to the Graphics object contained in the canvas. This Graphics object is analogous to the Graphics object that was passed to the method paintComponent() in the class Star, which we discussed earlier. Although not explicitly defined in our class DrawingCanvas—because it extends JComponent—DrawingCanvas automatically includes the method getGraphics(), inherited from JComponent.

```
g.filloval(e.getX() - radius, e.getY() - radius, diameter, diameter);
```

The MouseEvent parameter e contains the x and y coordinates of where the mouse was when the event was generated. We use getX() and getY() to obtain those coordinates and then draw a small circle, of diameter 6, centered on those coordinates. To draw a circle we use the method filloval(), which needs four int parameters. The first two specify the coordinates of the upper left corner of a rectangle. The last two specify the width and height of the rectangle. The oval is inscribed in the rectangle. By specifying the width and height to be equal, we get a circle. If the center of the circle is at (x, y), then the upper left corner of the bounding box will be at (x - radius, y - radius).

### public void mouseMoved(MouseEvent e){}

Although we're not interested in doing anything when the mouse is moved with the button up, a Mouse-MotionListener is still required to provide a definition of the method mouseMoved(). As shown here, the method does nothing.

```
private int radius = 3;
private int diameter = radius * 2;
```

To make the code more self-documenting and to make changing the size of the circle drawn easier, we capture the size of the circle in these two variables.

A problem with the paint program just described occurs when a portion of the drawing surface is obscured by another window and then is brought back to the front. Any drawing done in the obscured area is lost; that portion of the window will be blank.

If we want to be able to restore the obscured portion of the canvas, we need somehow to remember all the previous drawing operations. One approach would be to save all the coordinates of the points used for drawing the small circles. For example, we could save them in an array. Then we could add a paintComponent() method to DrawingCanvas that would redraw all the circles, using the saved coordinates from the array. An alternative is to create an offscreen image of what was drawn. Then we could add a method paintComponent() to DrawingCanvas that transfers this offscreen image to the screen. Java provides direct support for the latter approach, and we demonstrate it in the following program. This program is almost the same as the SimplePaint program. It consists of three classes: SimplePaint2, DrawingCanvas2, and PaintListener2. The class SimplePaint2 is nearly identical to SimplePaint. The only difference is that the identifiers DrawingCanvas and PaintListener have been changed to DrawingCanvas2 and PaintListener2, so we don't include it here.

The class DrawingCanvas2 is as follows.

```
//DrawingCanvas2.java - remember drawing operations
// using an offscreen image
import java.awt.*;
import javax.swing.*;
class DrawingCanvas2 extends JComponent {
  // transfer the offscreen image to the screen
 protected void paintComponent(Graphics g) {
    if (offscreenImage != null)
      g.drawImage(offscreenImage, 0, 0, SIZE, SIZE, null);
  }
  // return the graphics for the offscreen image
  // if one doesn't exist create one
  public Graphics getCanvasGraphics() {
    if (offscreenImage == null) {
      offscreenImage = createImage(SIZE, SIZE);
      offscreenGraphics = offscreenImage.getGraphics();
    }
    return offscreenGraphics;
 public Dimension getMinimumSize() {
    return new Dimension(SIZE, SIZE);
 public Dimension getPreferredSize() {
    return new Dimension(SIZE, SIZE);
```

```
private static final int SIZE = 500;
 private Image offscreenImage;
 private Graphics offscreenGraphics;
}
```

## Dissection of the Class DrawingCanvas2

```
import java.awt.*;
  class DrawingCanvas2 extends JComponent {
    // transfer the offscreen image to the screen
    protected void paintComponent(Graphics g) {
      if (offscreenImage != null)
        g.drawImage(offscreenImage, 0, 0, SIZE, SIZE, null);
```

If anything has been drawn, an offscreenImage has been created. The method paintComponent() calls q.drawImage() to transfer the offscreen memory image to the computer's screen. The first parameter for drawImage() is a reference to the offscreen image. The next two are the coordinates where the upper left corner of the image should be placed on the canvas. We are filling the entire canvas, so we place the upper left corner of the image at the upper left corner of the canvas, or (0, 0). The next two parameters are the width and height of the image as it will appear in the canvas—in this case the width and height of the canvas. Another time we might choose to stretch or shrink the image to fit a smaller rectangular area of the screen. We can do so by simply adjusting the width and height parameters in the call to drawImage(). The entire image is always drawn but is adjusted to fit the rectangle by either stretching or shrinking either or both the width and height.

```
public Graphics getCanvasGraphics() {
    if (offscreenImage == null) {
      offscreenImage = createImage(SIZE, SIZE);
      offscreenGraphics=offscreenImage.getGraphics();
    return offscreenGraphics; }
```

This method is used by the listener to get a reference to the Graphics object associated with the offscreen image. The listener needs a reference to the Graphics object so that it can draw on the image. The first time that getCanvasGraphics() is called, the offscreen image is created by calling createImage(). The method createImage() is implicitly defined for DrawingCanvas2 because it extends JComponent and createImage() is already defined in JComponent. This method is used to create an offscreen image suitable for transfer to a JComponent. We placed createImage() here instead of in the DrawingCanvas2 constructor because, at the time the constructor is called, the JComponent may not yet be ready to create an offscreen image. Once the JComponent has been displayed on the screen, the JComponent is ready to create an image. Calling createImage() in the constructor for DrawingCanvas2 simply returns null.

Users of DrawingCanvas2 are expected to draw with this offscreenGraphics which will draw on the offscreen image. An Image object can't be added to a GUI; it is simply a representation of an image in the computer's memory. The Image object contains a Graphics object for the actual drawing, in the same way that the DrawingCanvas object contains a Graphics object for drawing on the screen.

```
public Dimension getMinimumSize()
  private Image offscreenImage;
  private Graphics offscreenGraphics;
```

The remainder of DrawingCanvas2 is the same as DrawingCanvas, with the addition of the two private instance variables offscreenImage and offscreenGraphics.

The class PaintListener2 is as follows.

```
// PaintListener2.java - paints on an DrawingCanvas2,
// and its associated offscreen image.
import java.awt.*;
import java.awt.event.*;

public class PaintListener2 implements MouseMotionListener
{
   public void mouseDragged(MouseEvent e) {
      DrawingCanvas2 canvas = (DrawingCanvas2)e.getSource();
      Graphics g = canvas.getCanvasGraphics();
      g.fillOval(e.getX() - radius, e.getY() - radius, diameter, diameter);
      canvas.repaint();
   }

   public void mouseMoved(MouseEvent e){}
   protected int radius = 3;
   protected int diameter = radius * 2;
}
```

### Dissection of the Class PaintListener2

```
Graphics g = canvas.getCanvasGraphics();
g.fillOval(e.getX() - radius, e.getY() - radius, diameter, diameter);
```

The first part of PaintListener2 is the similar to the first part of PaintListener, except for the name change and the call to getCanvasGraphics() intead of getGraphics(). We are now drawing on the Graphics object associated with the offscreen image of the canvas instead of directly on the DrawingCanvas2 object.

canvas.repaint();

After drawing on the offscreen image we need to notify the component to transfer the offscreen image to the screen. A call to <code>repaint()</code> for any component will result in the underlying system calling <code>paint-Component()</code> as soon as possible. In this case <code>paintComponent()</code> will transfer the offscreen image to the screen.

```
protected int radius = 3;
protected int diameter = radius * 2;
```

In anticipation of extending PaintListener2 to add additional features (see Section 9.6, on page 328), we changed the access modifier on these two instance variables to be protected. Thus any class that extends PaintListener2 will have access to these fields. We use this approach to add the ability to change the size of the circle drawn with each stroke of the virtual pen.

# 8.8 APPLETS

One of the attractions of Java is that a special type of Java program, called an *applet*, can be embedded in an HTML document. Recall that HTML is the notation used for creating documents for display in an Internet browser. Recall also that the name *applet* is derived from *application*, suggesting a "little application." Although applets can be relatively small programs, there is no practical limitation on their size.

We place an applet in an HTML document by using an *applet tag*. Then when a browser displays the page containing that tag, the compiled applet is downloaded onto the computer where the browser is running and executed there. The output is displayed in the browser. The following simple HTML file contains an applet tag for the MiniCalcApplet discussed later in this section.

```
<html>
<body>
Below is the beginning of an applet calculator. This calculator can be used only for
addition and subtraction. You enter a number in each of the first two fields and then
click either "Add" or "Subtract". You can continue to change the values in the first
two fields. Whenever you want the new sum or difference computed, just click the
appropriate button.
>
<center>
<applet code="MiniCalcApplet.class" width=200 height=100>
</applet>
<n>
MiniCalcApplet
</center>
</body>
</html>
```

Although the details of HTML are beyond the scope of this book, we provide a brief dissection of this small HTML file.

## Dissection of the File MiniCalcApplet.html

<html> <body>

These are two examples of HTML tags, all of which are set off with angle brackets. Most tags come in pairs with a beginning tag, such as <body>, and an ending tag, such as </body>. Although not strictly required, all HTML files should begin with an <html> tag, indicating that the file is in fact an HTML file. An HTML file can have several parts, but in this file there is only one major part: the body.

```
Below is ...
```

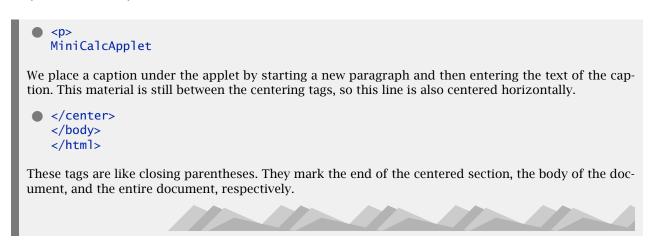
We place text in the HTML file by simply entering the text. To indicate a new paragraph we can use the paragraph tag, . Unlike most tags, the paragraph tag doesn't need a matching

```
<center>
...
</center>
```

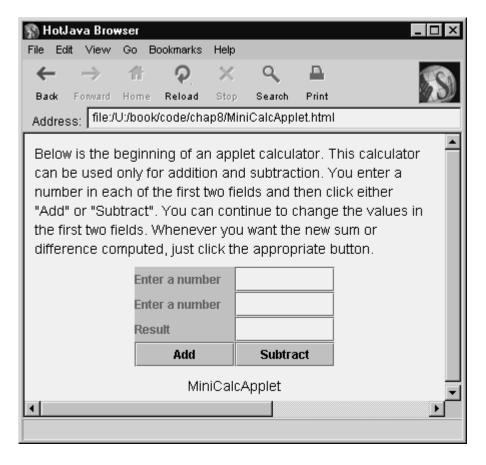
This pair of tags surrounds a section of the document, indicating that the browser should attempt to center the section horizontally on the page.

```
<applet code="MiniCalcApplet.class" width=200 height=100>
```

This part of the file is the only part that you really need to understand for the time being. The beginning applet tag <applet> contains three named parameters: code, width, and height. All three of them are required, and each is assigned a value. As their names imply, they specify the name of the file that contains the applet code and the width and height of the screen area needed by the applet. In order to work correctly as specified here, the file <code>MiniCalcApplet.class</code> must be in the same directory as the file <code>MiniCalcApplet.html</code>. Then, when a browser fetches and displays <code>MiniCalcApplet.html</code>, the browser will know to go back to the same place and look for the applet file <code>MiniCalcApplet.class</code> and execute the program it contains. Because this tag is between the centering tags, the applet will appear centered in the browser window.



The following screen shot shows the file *MiniCalcApplet.html* being viewed with the HotJava browser.



An *applet* is a Java class that extends either the class <code>java.applet.Applet</code> or the class <code>javax.swing.JApplet</code>. Because we are interested in using Swing components, we extend <code>JApplet</code>. Unlike conventional Java applications, applets don't have a <code>main()</code> method. Instead, an applet contains several methods that are called at appropriate times by the Internet browser that is viewing the HTML document with the embedded applet and hence executing the applet.

The applet method <code>init()</code> is probably the closest thing to <code>main()</code> in an application. The <code>init()</code> method is called after the applet object has been constructed but before any other applet methods are called. Many operations that we might be tempted to place in a constructor for an applet belong in <code>init()</code> instead. We want to invoke operations of an applet in our method <code>init()</code> that can't be invoked until the applet has been fully constructed and possibly manipulated by the browser.

An applet is like a JFrame in that it has a content pane that can contain Swing components such as buttons and text fields. The following code is our MiniCalc application, redone as an applet.

```
// MiniCalcApplet.java
/* <applet code="MiniCalcApplet.class" width=200 height=100></applet> */
import javax.swing.*;
import java.awt.*;
public class MiniCalcApplet extends JApplet {
 public void init() {
    Container pane = getContentPane();
    // create the major components
    JTextField firstNumber = new JTextField(20);
    JTextField secondNumber = new JTextField(20);
    JTextField result = new JTextField(20);
    JButton addButton = new JButton("Add");
    JButton subButton = new JButton("Subtract");
    // there will be 4 rows of 2 components each
    pane.setLayout(new GridLayout(4, 2));
    // add all of the components to the content pane
    pane.add(new JLabel("Enter a number"));
    pane.add(firstNumber);
    pane.add(new JLabel("Enter a number"));
    pane.add(secondNumber);
    pane.add(new JLabel("Result"));
    pane.add(result);
    pane.add(addButton);
    pane.add(subButton);
    // setup the listener, listening to the buttons
    DoMath listener = new DoMath(firstNumber, secondNumber, result);
    subButton.addActionListener(listener);
    addButton.addActionListener(listener);
}
```

### Dissection of the Class MiniCalcApplet

```
/* <applet code="MiniCalcApplet.class" width=200 height=100></applet> */
```

This comment contains an HTML applet tag suitable for displaying a MiniCalcApplet. Placing the applet tag in a comment at the beginning of the Java source file for the applet serves two purposes: First, it documents, with the applet source, appropriate values for the applet tag parameters; and second, as an aid in program development, the *appletviewer* program can be used to view applets outside a standard browser. The program *appletviewer* can be passed the name of any text file containing an applet tag, and it will attempt to display the applet. Thus, on systems wherein Java programs are run from a command line, such as Unix and Windows, the following command can be used to execute this applet.

### appletviewer MiniCalcApplet.java

Note that the source file MiniCalcApplet.java—not the compiled file MiniCalcApplet.class—is given to *appletviewer*. We still have to compile the program before attempting to run it. We eventually want to create an HTML file, such as the one just dissected, *MiniCalcApplet.html*, containing the applet tag for viewing with a Web browser. If we had already created the HTML file, we could also use it with *appletviewer* as in

### appletviewer MiniCalcApplet.html

The program *appletviewer* won't display the entire HTML document—only the applet portion.

```
import javax.swing.*;
import java.awt.*;
```

As with other Swing-based programs, we must import javax.swing.\* and java.awt.\*.

public class MiniCalcApplet extends JApplet

In our earlier Swing examples we often extended the class <code>JComponent</code>. Similarly, we can extend the class <code>JApplet</code> to create <code>MiniCalcApplet</code>. As a result, our new class automatically contains definitions of all the methods defined for <code>JApplet</code>. Many methods are required of all applets. Note also that this declaration of the class includes the modifier <code>public</code>. We've avoided using <code>public</code> when it wasn't required, which it hasn't been for most of the classes created so far. When displayed inside a browser, the class <code>MiniCalcApplet</code> is instantiated inside another Java program that is part of the browser. Therefore the applet class must be declared to be <code>public</code>.

```
public void init() {
   Container pane = getContentPane();
   // create the major components
   JTextField firstNumber = new JTextField(20);
   // code omitted in dissection - see listing above
   DoMath listener = new DoMath(firstNumber, secondNumber, result);
   subButton.addActionListener(listener);
   addButton.addActionListener(listener);
}
```

Note that the body of this method is almost identical to the body of main() in MiniCalc in Section 8.4, *Using Several Components*, on page 234. Here are the changes.

- 1. We deleted the first line, JFrame frame = new JFrame("MiniCalc"); from Mini-Calc.main(). The JApplet itself acts like the frame or top level window.
- 2. We eliminated the last two lines from MiniCalc.main(), pack() and setVisible(true). The browser that is running the applet takes care of packing and showing the applet when init() returns.

This applet version of the program uses exactly the same listener class, DoMath.

The preferred way to add graphical output to a <code>JApplet</code> is to add some appropriate component to the content pane for the applet. For example, we could add an instance of the class <code>DrawingCanvas2</code> in Section 8.7, <code>A Simple Drawing Program</code>, on page 246, to an applet, to create an applet you can draw on. The following program is the applet version of <code>SimplePaint2</code> from Section 8.7, <code>A Simple Drawing Program</code>, on page 243.

```
//SimplePaintApplet.java - applet version of program
// that draws with the mouse
/* <applet code="SimplePaintApplet.class" width=500 height=500> </applet> */
import java.awt.*;
import javax.swing.*;
```

```
public class SimplePaintApplet extends JApplet {
  public void init() {
    Container pane = getContentPane();
    DrawingCanvas2 canvas = new DrawingCanvas2();
    PaintListener2 listener = new PaintListener2():
    canvas.addMouseMotionListener(listener);
    pane.add(canvas);
}
```

#### 8.9 PROGRAMMING STYLE

In the examples presented in this chapter we used separate classes for each aspect of the programs they implement. For example, the program SimplePaint is represented by three classes.

- 1. SimplePaint contains the method main().
- 2. PaintListener implements the mouse listener needed to do the drawing.
- 3. DrawingCanvas extends JComponent, creating a new component class.

Each of these classes is placed in a separate file, but all three could be placed in a single file. The only Java requirement is that there be no more than one public class per file. In general we recommend that you follow our examples and place each class in its own file. Doing so makes finding the definition of a class easier—just look for the file with the corresponding name. Reusing the class in another program is also easier.

In the following program, we incorporate all the functionality of the three classes from SimplePaint into a single class.

```
//SimplePaintCombined.java - combine all of the features of SimplePaint,
// PaintListener, and DrawingCanvas into a single class.
// This style of programming isn't recommended.
import java.awt.*;
import javax.swing.*;
import java.awt.event.*;
class SimplePaintCombined extends JComponent implements MouseMotionListener
 public static void main(String[] args) {
    JFrame frame = new JFrame("SimplePaint");
    Container pane = frame.getContentPane();
    SimplePaintCombined canvas = new SimplePaintCombined();
    canvas.addMouseMotionListener(canvas);
    pane.add(canvas);
    frame.pack();
    frame.show();
 public void mouseDragged(MouseEvent e) {
    SimplePaintCombined canvas = (SimplePaintCombined)e.getSource();
    Graphics g = canvas.getGraphics();
    g.fillOval(e.getX() - 3, e.getY() - 3, 6, 6);
 public void mouseMoved(MouseEvent e) {}
 public Dimension getMinimumSize() {
    return new Dimension(SIZE, SIZE);
```

```
public Dimension getPreferredSize() {
    return new Dimension(SIZE, SIZE);
}
private static final int SIZE = 500;
}
```

Although possibly convenient for small programs, this style of combining many distinct capabilities into a single class is generally a bad idea and should be avoided. Even more than combining multiple classes into a single file, combining many features into a single class can interfere with the ability to reuse parts of your programs. As shown in the minicalculator example, by creating the separate DoMath listener, we were able to reuse the DoMath listener class, with no changes, in our applet version of the minicalculator.

## **SUMMARY**

- Java programs that use Swing use a delegation event model, involving event sources and event listeners. The sources are components such as JButton and JTextField. The listeners implement one or more interfaces, which include methods that are called when particular events occur in the component. Once a listener has been added to a component, the event delegation model calls the method in the listener when the event occurs. For example, JButton objects are the source of ActionEvent objects. By adding an ActionListener to a JButton object, whenever the button is pressed the actionPerformed() method of the ActionListener is called automatically, passing it an ActionEvent object.
- Swing includes many GUI components. In the examples in this chapter, we used the Swing components JButton, JTextField, JLabel, and JComponent.
- Although technically a component as well, JApplets aren't normally added as components of a GUI. Instead, the JApplet is the top-level window, like JFrame.
- All drawing is done on an instance of the class Graphics. This class includes methods for drawing rectangles, ovals, and lines. Later we discuss methods for drawing arcs, polygons, and strings.
- All drawing routines in the class Graphics use a coordinate system that places the origin in the upper left corner of the component. Positive displacements are down and to the right. All distances are in pixels.
- We can draw on a component in one of two ways. The first approach is to extend an existing class, such as JComponent, and then redefine the method paintComponent() to do the desired drawing. The other approach is to obtain a reference to the Graphics object for the component by calling getGraphics() and then make the desired drawing calls with this Graphics object. However, with this approach, the drawing is lost if the component is obscured by another window.
- The method getActionCommand() can be used with the ActionEvent passed to an actionPerformed() method to determine which button was clicked.
- A class that implements MouseMotionListener can be used to perform an action when the mouse is moved without a button being pressed, or is moved when a button is pressed. Moving the mouse with the button held down is called dragging.
- A Swing applet is a Java class that extends the class JApplet. Instead of having a main() that creates the JApplet object, applets are run by incorporating them into an applet viewer or Web browser. An HTML <applet> tag is used to place an applet in a Web page. The browser will create the JApplet object and then call init() to initialize the applet.

## **REVIEW QUESTIONS**

1. In HelloButton the following three lines draw the window:

```
pane.add(hello);
frame.pack();
frame.setVisible(true);
```

Write comments for each of these three lines and describe the result to the window.

- 2. What do each of the GUI elements JFrame, Container, and JButton do?
- 3. What method must be defined in order to implement the ActionListener interface?
- 4. What steps do you need to perform to create a program that responds to button clicks?
- 5. What event delivery model does Swing use? Describe it.
- 6. What kind of event is generated by a JTextField when you hit Return?
- 7. The call drawRect(0, 0, 10, 10) will draw a  $10 \times 10$  pixel square in which corner of the drawing area?
- 8. How do routines such as paintComponent() and actionPerformed() get called?
- 9. What method gets called when the mouse is dragged? What kind of a listener would implement this method?
- 10. What would happen if the methods getMinimumSize() and getPreferredSize() were deleted from the class DrawingCanvas in Section 8.7, A Simple Drawing Program, on page 244?
- 11. What is the purpose of an applet tag?
- 12. What method in an applet is most similar to main() in a stand-alone application?

### **EXERCISES**

- 1. Experiment with setSize() and determine the largest size of window that can be displayed on your system.
- 2. Write or obtain a four-line poem, such as "Roses are red, Violets are blue, Coding is a test, Java is best," by Anon. Then display it in a window with an appropriate title. (Hint: You may want to use the following code.)

```
// there will be 4 rows of 1 component
pane.setLayout(new GridLayout(4, 1));
```

- 3. Use an array of Strings to store the poem in the previous excercise in N lines. Use an array of JText-Field objects to display the poem.
- 4. Write a passive window for displaying the results of a computation of two or more arguments. The window should have an appropriate title such as "Roots of a quadratic." It would display the quadratic and then have two result boxes for the potentially distinct roots.

- 5. Redo the previous exercise to include event listeners. They should allow the user to select arguments and display the results. In the case of a quadratic equation, new coefficients would be input and a mouse click or other event would cause the result to be displayed.
- 6. Write a program with a window that takes as input a string. Then parse the string into words and display each individual word in its own text box. Assume that the string will have no more than eight words.
- 7. Modify the program in the previous excercise so that the words are displayed in alphabetical order.
- 8. Modify MiniCalc in Section 8.4, *Using Several Components*, on page 234, to include additional buttons for multiplication and division.
- 9. Modify MiniCalc in Section 8.4, *Using Several Components*, on page 234, to operate more like a conventional infix calculator. In MiniCalc, the only way to add three numbers is to add the first two, copy the answer in the result field into one of the input fields, and put the third number in the other input field. Instead, your calculator program should have a single JTextField that is used for both input and output. Your calculator will need an Equals button and buttons for each of the arithmetic operators. For example, to add three numbers you would enter the first number and click the Add button (nothing would appear to change). You would then enter the second number and click the Add button again; this time the sum of the first two numbers should be displayed. Finally you would enter the third number and click Equals to display the sum of the three numbers.
- 10. Instead of having it add and subtract, revise MiniCalc to produce the greatest common divisor of two integers.
- 11. Redesign the MiniCalc program to perform an arbitrary function of two arguments. The constructor for the new MiniCalc should take a parameter of type Function where Function is the following interface.

```
interface Function {
  double eval(double x, double y);
}
```

For example, to have the new MiniCalc perform addition, you could pass it a reference to an instance of

```
class MyAdder implements Function {
  double eval(double x, double y) {
    return x + y;
  }
  public String toString() {
    return "add";
  }
}
```

The classes the implement Function should include a method toString() so that the calculator can display the name of the function.

- 12. Use a GridLayout to create a JFrame with three buttons along the diagonal. You can use new Label("") to create an apparently empty grid position.
- 13. Run the FlowLayoutTest program, using all three alignment parameters. Use your normal desktop mechanism for resizing the window and observe how the components are moved around.
- 14. Create a Tic-Tac-Toe board with a 3 × 3 grid of buttons. Create an ActionListener that changes the button label to an X or an O when it is clicked. The ActionListener should remember whether the next click is an X or an O. Initially, all the buttons should have blank labels. If e is the parameter passed to the method actionPerformed(), then you can use e.getSource() to get a reference to the button that was clicked. You can then use setLabel() from the class JButton to change the label. You should have only one ActionListener, which listens to all the buttons, not one listener per button. Display your Tic-Tac-Toe board in an application or an applet.
- 15. Using the GUI from the previous exercise, write a program to play Tic-Tac-Toe with the computer as one of the players.

```
graphics.setColor(Color.white);
```

will do all subsequent drawing in white until changed. You will need to use Color.black to set the drawing color back to black. The call

```
graphics.fillRect(left, top, width, height);
```

will draw a filled-in rectangle.

- 17. Modify the program SimplePaint to include a button that toggles between painting in black and painting in white. Think of painting in white as erasing. The previous exercise shows how to change the color.
- 18. Modify the program SimplePaint to include buttons for selecting several different pen widths. You change the width of the pen by changing the size of the circle drawn when the mouse motion is detected.
- 19. Instead of having many different buttons to select different pen widths, as described in the previous exercise, modify SimplePaint to use a JComboBox object. The class JComboBox is described in Section C.2, *The Class JComboBox*, on page 435. When the user clicks and changes the item selected by the JComboBox object, an ItemEvent will be generated. The ItemListener interface specifies one method: item-StateChanged(). This method is passed one parameter: an ItemEvent. Calling the method getItem() for the ItemEvent object returns the string associated with the selected item.
- 20. Write a program to play a number guessing game as described in Section 5.7, *Searching an Ordered Array*, on page 133. Use a GUI that includes a text field to show the computer's current guess and three buttons labeled Correct, Too Big, and Too Small. As an added feature, allow the user to specify the initial range before generating the first guess. You can do so with two additional text fields. Be sure to use labels on the text fields to make your program easy to use. After the user clicks the Correct button, the game should start over.
- 21. Use the following interface, HasCanvasGraphics, to rewrite SimplePaintApplet and SimplePaint2 to use the new listener class PaintCanvasListener. The class PaintCanvasListener will be similar to PaintListener2, but the occurrences of DrawingCanvas2 will be replaced with HasCanvasGraphics.

```
import java.awt.*;
interface HasCanvasGraphics {
   Graphics getCanvasGraphics();
```

# GRAPHICAL USER INTERFACES: PART II

In Chapter 8, *Graphical User Interfaces: Part I* we introduced the basics of creating graphical user interfaces with standard Java classes from the packages <code>java.awt</code> and <code>javax.swing</code>. In this chapter we describe some additional capabilities that you can use to build GUI-based applications.

A complete discussion of the features and GUI components that are part of Swing would require an entire book. Instead of describing additional Swing components, in this chapter we focus on additional capabilities that you can use to create quality applications. For example, using only the material from the previous chapter, you'd have very limited control over the arrangement of components in the GUI for your application. Most applications today use a menu bar with cascading menus. The applications often contain several panes or areas in which you can do various things. They often use tool bars, which are collections of buttons, in addition to menus. Many applications can also be resized, with the GUI components adjusting themselves to the window size selected by the user.

# 9.1 ARRANGING COMPONENTS IN A GUI

We introduced the class <code>GridLayout</code> in Section 8.4, <code>Using Several Components</code>, on page 234 and <code>FlowLayout</code> in Section 8.6, <code>The Layout Manager FlowLayout</code>, on page 241. You can use them to arrange components in a container such as the content pane obtained from either a <code>JFrame</code> or a <code>JApplet</code>. Another common layout manager is the <code>BorderLayout</code>. In fact, it is the default layout manager for both <code>JFrame</code> and <code>JApplet</code>. To obtain more sophisticated layouts, you can nest containers inside other containers, associating a different layout manager with each container. We will demonstrate this below.

# 9.1.1 THE CLASS BorderLayout

The class <code>java.awt.BorderLayout</code> allows for placement of as many as five components in a container—one in the center, and one on each of the four borders, hence the name <code>BorderLayout</code>. The following simple program demonstrates the use of <code>BorderLayout</code>.

```
//BorderLayoutTest.java
import java.awt.Container;
import javax.swing.*;
import static java.awt.BorderLayout.*;
```

```
class BorderLayoutTest {
  public static void main(String[] args) {
    JFrame frame = new JFrame("BorderLayout");
    Container pane = frame.getContentPane();
    pane.add(new JButton("North Button"), NORTH);
    pane.add(new JButton("South Button"), SOUTH);
    pane.add(new JButton("East Button"), EAST);
    pane.add(new JButton("West Button"), WEST);
    pane.add(new JButton("Center Button"), CENTER);
    frame.pack();
    frame.setVisible(true);
}
```

The display for this program is



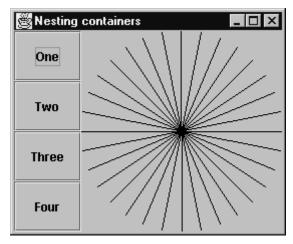
This program doesn't set the layout manager for the content pane because <code>BorderLayout</code> is the default. Recall that <code>FlowLayout</code> and <code>GridLayout</code> required only a single parameter when calling the <code>add()</code> method for the associated container. However, when adding a component to a container using <code>BorderLayout</code>, you must pass in a second parameter that indicates where to place the component. The constant <code>NORTH</code> is defined in the class <code>BorderLayout</code> and is used in this example by virtue of the static import at the top of the file. Similar constants are defined for the other locations, as shown in the program.

### 9.1.2 NESTING CONTAINERS IN OTHER CONTAINERS

Suppose that we want a panel of buttons down the left side of the GUI, with a large display area in the center for either text or graphics. Let's use the center to display the star that we drew in Section 8.5, *Drawing with Swing*, on page 238. We can then nest containers, as follows.

```
//Nesting.java - nest a JPanel container in a JFrame
import java.awt.*;
import javax.swing.*;
import static java.awt.BorderLayout.*;
class Nesting {
  public static void main(String[] args) {
    JFrame frame = new JFrame("Nesting containers");
    Container pane = frame.getContentPane();
    JPanel panel = new JPanel();
    panel.setLayout(new GridLayout(4, 1));
    panel.add(new JButton("One"));
    panel.add(new JButton("Two"));
    panel.add(new JButton("Three"));
    panel.add(new JButton("Four"));
    pane.add(panel, WEST);
    pane.add(new Star(), CENTER);
    frame.pack();
    frame.setVisible(true);
  }
}
```

The display for this program is



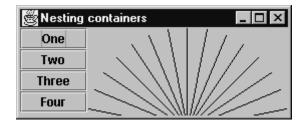
In this example we used a javax.swing.JPanel, which is a type of container, to contain the four buttons. We set the layout manager for the JPanel to be a GridLayout with a single column of four rows. We then added the buttons to the JPanel instead of directly to the content pane of the JFrame. Finally, we added the JPanel as a single component to the west border of the main content pane.

In the preceding two examples, the buttons were resized to just fill their allotted spaces. The BorderLayout layout manager always attempts to adjust the sizes of the components to fill the space. Likewise, the Grid-Layout layout manager also attempts to fill each grid cell completely. Not all layout managers do so. For example, the program using a FlowLayout in Section 8.6, The Layout Manager FlowLayout, on page 241 doesn't expand the buttons to fill the available space.

This process of grouping components in a container and then adding the container to another container can be repeated as much as necessary to achieve the desired arrangement of components.

### 9.2 GETTING A COMPONENT TO RESIZE

Although some layout managers attempt to resize a component, the components that we have created so far by extending an existing component have had a fixed size. The classes Star and DrawingCanvas are two such examples. The size of the components derived from <code>JComponent</code> is determined by the size returned by the methods getMinimumSize() and getPreferredSize() defined in the classes. If the window manager attempts to resize a component such as Star or DrawingCanvas, the resizing will be ignored. For example, if we use the mouse on our computer to adjust the size of the window for the program Nesting previously described, we get the following display.

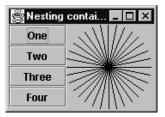


We used the mouse to make the window shorter. The result is that part of the starburst is cut off. Note that the buttons were properly resized to just fill the space. We can modify the class Star so that when the user attempts to resize the window, the starburst will be redrawn to fit inside the new window. The program for this new class, Star2, is as follows.

```
//Star2.java - draws a resizable starburst
import java.awt.*;
import javax.swing.*;
import static java.lang.Math.*;
class Star2 extends JComponent {
  public void paintComponent(Graphics g) {
    // exactly the same as Star except RADIUS was
    // replaced by radius
    // omitted to save space
 public void setBounds(int x, int y, int width, int height) {
    radius = min(width, height) / 2;
    super.setBounds(x, y, width, height);
    repaint();
 // make the Canvas just big enough to show the image
 public Dimension getMinimumSize() {
    return new Dimension(2 * radius, 2 * radius);
  }
 public Dimension getPreferredSize() {
    return new Dimension(2 * radius, 2 * radius);
 private int radius = 100;
```

The only modifications made in Star to obtain Star2 were to change RADIUS to radius, make radius a variable instead of a constant, and add the method setBounds().

The following display is generated by this program. The original display for Star2 is the same as the one for the original Star in Nesting. But now when we shrink the window, the starburst is made smaller also.



### Dissection of the Method setBounds() for Star2

public void setBounds(int x, int y, int width, int height)

The method <code>setBounds()</code> is defined for <code>JComponent</code> (and all components). It is called by the layout manager for the container that holds a component to tell the component where it is being placed and how much of the screen it is being given. The method is passed the location of the upper left corner, of where the layout manager is moving the component. The method is also passed the width and height of the rectangular area that the layout manager has allocated for the component. By overriding the method we can intercept these changes by the layout manager and adjust our component to fit the new space.

```
radius = min(width, height) / 2;
```

Here we ignore the new location and use only the new width and height. We can use them to adjust the radius. Because our code can draw only a symmetric starburst, we choose the minimum of the width and height as the new diameter, setting the radius to be one-half the diameter. The method min() was statically imported from java.lang.Math.

```
super.setBounds(x, y, width, height);
```

The JComponent from which our class is derived must also know about the size and position of the component from the layout manager. Once we have obtained the information we need, we pass the information along to the version of setBounds() in the parent class, which is JComponent in this example. We do so by using the keyword super, which we discussed in Section 7.1, A Student "Is a" Person, on page

```
repaint();
```

Once the component has been resized, we need to request that it be repainted as soon as possible. This call to repaint() results in an eventual call to the method paintComponent().

### 9.3 PROBLEM SOLVING: PLOTTING DATA

An important application of computers is the visualization of data. A common visualization of data is a plot or graph of a function. Let's say that we want to create a component that can be used to plot an arbitrary function of one variable. We also want to be able to add the component to a GUI as we would any other component. The following is a complete specification of the problem.

### **PLOTTING DATA**

- 1. The plot component must be able to plot any function of one variable.
- 2. The plot component will be given a range of values over which to plot the function, (e.g. plot the value of the function between 0 and 100).
- 3. The plot component will have a default size and will adjust the scaling of the plot so that it just fills the allotted screen space.
- 4. When resized, the plot component will readjust its scaling values so that the plot continues to just fill the allotted screen space.

In Section 9.2, Getting a Component to Resize, on page 261, we showed how to get a component to adjust its size when we resize the window. We can apply that same technique here. The main difference is that before we knew exactly what we were going to draw. For this problem, the component needs to use another object to figure out what to draw. The question we have to answer is: How do we represent a function so that different functions can be specified without changing the plot component?

The answer is to use an interface. (We discussed interfaces in Section 7.8. *Interfaces*, on page 214. If you skipped it earlier, this would be a good time to go back and read that material.) We can create an interface that describes an arbitrary function in a way that's useful for our plot component. All the plot component needs is the ability to evaluate the function at an arbitrary point. Therefore the following interface will suffice.

```
//Function.java
interface Function {
 public double valueAt(double x);
```

This interface simply specifies that any class implementing it must have a method valueAt(), which is to be used to determine the value of the function at the specified point.

The class Plot is similar to the class Star2 discussed earlier. It extends JComponent and contains the same methods—paintComponent(), setBounds(), getMinimumSize(), and getPreferredSize()—although the bodies of the methods are different. It also has two helper methods that are used to handle the scaling and positioning of the plot.

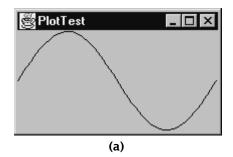
```
//Plot.iava
import java.awt.*;
import javax.swing.*;
import static java.lang.Math.*;
public class Plot extends JComponent {
 public Plot(Function f, double start, double end, double deltaX) {
    function = f;
    delta = deltaX;
    from = start;
    to = end;
                      // find max and min of f(x)
    findRange():
    setSize(200, 100); // default width, height
 public void paintComponent(Graphics g) {
    double x = from;
    double f_of_x = function.valueAt(x);
   while (x < to - delta) {
      double f_of_x_plus_delta;
      f_of_x_plus_delta = function.valueAt(x + delta);
      drawLine(g, x, f_of_x, x + delta, f_of_x_plus_delta);
      x = x + delta;
      f_of_x = f_of_x_plus_delta;
    drawLine(g, x, f_of_x, to, function.valueAt(to));
 public void setBounds(int x, int y, int width, int height) {
    xScale = (width - 2) / (to - from);
    yScale = (height - 2) / (fmax - fmin);
    dim = new Dimension(width, height);
    super.setBounds(x, y, width, height);
    repaint();
 }
 public Dimension getMinimumSize() {
    return dim;
 public Dimension getPreferredSize() {
    return getMinimumSize();
 // scale and translate the points from the
 // user's coordinate space to Java's
 private void drawLine(Graphics q, double x1, double y1, double x2, double y2) {
    g.drawLine((int)round((x1 - from) * xScale),
               (int)round((fmax - y1) * yScale),
               (int)round((x2 - from) * xScale),
               (int)round((fmax - y2) * yScale));
  }
```

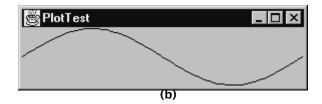
```
// determine the minimum and maximum values of
  // f(x) with x varying between from and to
  private void findRange() {
    fmin = fmax = function.valueAt(from);
    for (double x = from + delta; x < to - delta; x = x + delta) {
      double f_of_x = function.valueAt(x);
      if (f_of_x < fmin)</pre>
        fmin = f_of_x;
      if (f_of_x > fmax)
        fmax = f_of_x;
    }
  }
  private Dimension dim;
  private double fmin, fmax;
  private double xScale, yScale;
  private double from, to, delta;
  private Function function;
}
```

Before presenting the dissection of the class Plot, we present a test program that uses Plot. For it we need two more classes. The first, SinFunction, implements the interface Function. As the name suggests, the values returned will correspond to sin(x). The second, PlotTest, is where main() is located.

```
//SinFunction.java
class SinFunction implements Function {
  public double valueAt(double x) {
    return Math.sin(x);
  }
}
//PlotTest.java
import java.awt.*;
import javax.swing.*;
class PlotTest {
  public static void main(String[] args) {
    Function f = new SinFunction();
    JFrame frame = new JFrame("PlotTest");
    Container pane = frame.getContentPane();
    Plot plot = new Plot(f, 0, 2 * Math.PI, 0.1);
    pane.add(plot);
    frame.pack();
    frame.setVisible(true);
}
```

In this code we specified that a Plot component be created that plots the function specified by the SinFunction object f. We further specified that the plot let x go from 0 to 2pi, in steps of 0.1. The following screen shots show the output. Image (a) shows the initial display. Image (b) shows how the plot is adjusted when the window is resized.





### Dissection of the Class Plot

```
//Plot.java
import java.awt.*;
import javax.swing.*;
import static java.lang.Math.*;

public class Plot extends JComponent {
   public Plot(Function f, double start, double end, double deltaX) {
     function = f;
     delta = deltaX;
     from = start;
     to = end;
     findRange(); // find max and min of f(x)
     setSize(200, 100); // default width, height
}
```

The class Plot needs a constructor to specify the parameters of the plot. To plot a function f(x), we must know the function, the range of x, and the distance between successive x values. The constructor simply stores all these values in instance variables. We also set a default size. The method setSize() is inherited from the class JComponent. The call to setSize() eventually leads to a call to the method setBounds(), which is defined in the class Plot. This procedure is different from the one in Star2, where we specified the initial size by setting some instance variables. The reason we need to call setSize() here but didn't in Star2 is that in Plot we need to have setBounds() called even if the window isn't resized. We set the scaling parameters in setBounds().

```
public void paintComponent(Graphics g) {
    double x = from;
    double f_of_x = function.valueAt(x);
    while (x < to - delta) {
      double f_of_x_plus_delta;
      f_of_x_plus_delta = function.valueAt(x+delta);
      drawLine(g, x, f_of_x, x + delta, f_of_x_plus_delta);
      x = x + delta;
      f_of_x = f_of_x_plus_delta;
    drawLine(g, x, f_of_x, to, function.valueAt(to));
  }
```

The method paintComponent() plots the curve by repeatedly drawing a line from (x, f(x)) to (x + delta, f(x))f(x + delta)). Because delta may not evenly divide the range of x values, we make sure that the last point is at (to, f(to)). To avoid computing the value of the function twice at the same point, we copy f\_of\_x\_plus\_delta into f\_of\_x when we increment x. The private function drawLine() converts from the coordinate space of the function to the coordinate space of Swing and then calls the standard method drawLine() from the class Graphics.

```
public void setBounds(int x, int y, int width, int height) {
    xScale = (width - 2) / (to - from);
    yScale = (height - 2) / (fmax - fmin);
    dim = new Dimension(width, height);
    super.setBounds(x, y, width, height);
    repaint();
  }
```

As in Star2, this function is called when the layout manager places the Plot component in its container or if we resize the window containing our plot, using the desktop facilities of our computer. By computing the scaling and setting the Dimension variable dim inside setBounds(), our Plot component automatically resizes itself. After adjusting the scale factors and changing dim, we call repaint() to have the graph recomputed. In this example, we placed the Plot object in a JFrame with a content pane that uses a BorderLayout manager. The BorderLayout manager attempts to adjust the size of the Plot object to fill the JFrame.

```
private void drawLine(Graphics g, double x1, double y1, double x2, double y2) {
    g.drawLine((int)round((x1 - from) * xScale),
               (int)round((fmax - y1) * yScale),
               (int)round((x2 - from) * xScale),
               (int)round((fmax - y2) * yScale));
  }
```

In Swing, positive vertical distance is *down*, but normal plotting uses *up* for positive vertical distance. In addition, we need to adjust the origin, and scale all values so that the graph just fills the display. We use round() via static import from the standard class java.lang.Math, which returns a long when passed a double. We then cast the long to an int as required by method drawLine(). The method drawLine() in the class Graphics draws a line between two points. The first two parameters to drawline() are the x and y coordinates of the first point, and the last two parameters are the coordinates of the second point. (See Section 9.4, *The Class Graphics*, on page 268 for another way to draw lines.)

```
private void findRange() {
    fmin = fmax = function.valueAt(from);
    for (double x = from + delta; x < to - delta; x = x + delta) {
        double f_of_x = function.valueAt(x);
        if (f_of_x < fmin)
            fmin = f_of_x;
        if (f_of_x > fmax)
            fmax = f_of_x;
    }
}
```

To calculate yScale, we need to know the range of values for f(x) in the interval being plotted. To get it we simply iterate over the range of points at which the function is to be plotted and record the minimum and maximum values computed.

# 9.4 THE CLASS Graphics

Basic drawing in Java is done on an instance of the class Graphics. You can call the method getGraphics() on any component to get the Graphics object for that component. However, you should normally do your drawing within the method paintComponent(). Any drawing on the Graphics object done outside the method paintComponent() is replaced by the actions of paintComponent() the next time the window manager senses that the window needs updating.

We have used several drawing primitives in the examples so far. We used drawLine() to draw the lines of the starburst in Star. We used filloval() to draw the small filled circles for our brush strokes in Drawing-Canvas. In addition, the class Graphics includes methods for drawing filled and unfilled rectangles, unfilled ovals, filled and unfilled polygons, and text strings. For the unfilled shapes the outline of the shape is always drawn with a 1 pixel-wide line.

The following program demonstrates a sampling of some basic drawing methods. Due to the length of the program it is presented only in dissected form.

## Dissection of the class GraphicsSampler

```
//GraphicsSampler.java
import java.awt.*;
import javax.swing.*;

class GraphicsSampler extends JComponent{
  public static void main(String[] args) {
    JFrame frame = new JFrame("GraphicsSampler");
    Container pane = frame.getContentPane();
    pane.add(new GraphicsSampler());
    frame.pack();
    frame.setVisible(true);
}
```

For this example we have included the simple main() in the same class as the component that will do the drawing. This is a common idiom for adding a small test main to a class being developed.

```
public void paintComponent(Graphics g) {
      // draw some simple lines
      g.drawLine(10, 10, 100, 60);
      g.drawLine(100, 60, 50, 80);
      g.drawLine(50, 80, 10, 10);
```

The paintComponent() method in this example contains a sequence of drawing commands. The simplest thing you can draw is a line; you merely specify the coordinates of the two ends of the line. The method drawLine() takes four parameters: the x and y coordinates of the first point and the x and y coordinates of the second point, in that order. The unit of measure is the pixel, the origin is in the upper left corner, and positive displacements are down and to the right. You can change the color of the line but not its width or style (e.g., dashed). All lines are 1 pixel thick. To draw thicker lines or dashed lines you need to use the enhanced capabilities of the class java.awt.Graphics2D, which we discuss later.

```
// draw a multi-segment line with one operation
  int[] x = \{120, 140, 160, 180, 200\};
  int[] y = \{10, 80, 10, 80, 10\};
  g.drawPolyline(x, y, x.length);
```

The method drawPolyline() takes three parameters: an int array for the x coordinates, an int array for the y coordinates, and the number of points to draw. The number of points must be less than or equal to the length of the shorter of the two arrays.

```
int y0rigin = 100;
  // draw two types of rectangles
  g.drawRect(0, yOrigin, 100, 60);
```

Here we introduce the yorigin variable that we will use to keep track of vertical displacement as we draw more shapes. You can draw rectangles by using either fillRect(), for filled rectangles, or drawRect(), for outlined rectangles. As discussed in Section 8.5, Drawing with Swing, on page 237, you specify the four values *left*, *top*, *width*, and *height* to place and size the rectangle.

```
g.drawRoundRect(110, yOrigin, 100, 60, 40, 20);
  g.setColor(Color.gray);
  g.draw0va1(170, y0rigin+40, 40, 20);
```

You can also draw rectangles with rounded corners by using drawRoundRect() and fillRoundRect(). In addition to the four values for regular rectangles, you must also specify the width and height of the arcs placed at the corners. In this example we included a gray oval with width 40 and height 20 superimposed over one of the corners to show how the arc width of 40 and height of 20 are used to round the corner. We discuss changing the color later in this section.

```
y0rigin = y0rigin + 100;
  // draw an oval in a rectangle
  g.drawRect(10, yOrigin+10, 100, 60);
  g.draw0val(10, y0rigin+10, 100, 60);
```

Ovals are drawn very much like rectangles. You specify a rectangle, and the largest oval that will fit inside the rectangle is drawn. There are just two methods: draw0va1() and fill0val(). This example shows how an oval is drawn inside a rectangle.

```
y0rigin = y0rigin + 100;
// draw two types of arcs
g.drawArc(0, y0rigin, 100, 60, 0, 160);
g.fillArc(110, y0rigin, 60, 60, 45, -135);
```

Arcs are incomplete ovals. As with an oval, you specify a bounding rectangle. In addition, you specify the starting angle and the arc angle, giving both angles in integer degrees. A starting angle of 0° corresponds to 3 o'clock, and positive angles correspond to counterclockwise rotation. Arcs can be filled or drawn in outline.

In this example we draw an unfilled arc starting at  $0^{\circ}$  (3 o'clock) and then sweeping counterclockwise for  $160^{\circ}$ . We then draw a filled arc starting at  $45^{\circ}$  and then sweeping  $135^{\circ}$  clockwise to finish at 6 o'clock.

```
yOrigin = yOrigin + 100;
// draw some polygons
Polygon p = new Polygon();
p.addPoint(10, yOrigin+10);
p.addPoint(60, yOrigin+30);
p.addPoint(40, yOrigin+50);
p.addPoint(25, yOrigin+40);
g.drawPolygon(p); // draw a Polygon object
```

You draw a polygon by first creating a java.awt.Polygon object. You then add points to the polygon one at a time with the method addPoint(), each of which specifies the coordinates of a vertex.

```
// draw a polygon using 2 arrays
int[] xValues = {110, 160, 140, 125};
int[] yValues = {yOrigin+10, yOrigin+30, yOrigin+50, yOrigin+40};
g.fillPolygon(xValues, yValues, xValues.length);
//move 200 pixels right and 20 down
p.translate(200, 20);
g.drawPolygon(p); // draw translated polygon
```

You can also draw a polygon by using two integer arrays: one for the *x* coordinates of the vertices and one for the *y* coordinates of the vertices. A polygon can be filled or drawn in outline. The class Polygon also has a method translate() that you can use to translate all the points vertically and horizontally.

```
yOrigin = yOrigin + 100;
// draw some text with marker boxes to show orientation
int yCoord = yOrigin + 20;
g.drawString("Starts at (10, "+ yCoord + ")", 10, yCoord);
g.fillRect(10, yCoord, 4, 4);
```

You can use labels and text areas to place text in a GUI. Sometimes you may want or need to add text to a component that you're drawing on. In that event you can use the method <code>drawString()</code> from the class <code>Graphics</code>. It takes three arguments: the string and the two coordinates of the starting point. You can also change the font. In this example we added small filled rectangles at the coordinates of the starting points for the <code>drawString()</code> calls. Note how the position of the text relates to the starting point.

The call to setFont() needs a java.awt.Font object, which we obtain by constructing a new font. We specify the name of the font as a string, a parameter used to modify the font (making it bold in this case), and the size of the font in points. Points are a unit of measure originally used by typesetters (72 points/inch). The normal text in this book is 10 points.

```
public Dimension getMinimumSize() {
 return dim;
public Dimension getPreferredSize() {
 return dim;
private Dimension dim = new Dimension(420, 600);
```

As in previous examples, these methods and this definition of dim make the component have the desired dimensions on the screen.

You can easily change the color of the objects drawn by any of the methods discussed. To do so, call set-Color() for the Graphics object before calling the drawing method. You can use the standard class java.awt.Color to create almost any color. You can create your own color by specifying the amounts of red, green, and blue needed to form the color. You do so in the constructor for Color, passing it three integers, each in the range 0 to 255, inclusive. These are the red, green, and blue intensities, respectively, also called the RGB values. For any Color object you can also call the methods brighter() or darker() to return a new color that is brighter or darker than the original color.

The class Color also includes some predefined colors that you can use by name—for example, Color.red, Color.green, Color.white, and Color.black. Each of these predefined colors is declared to be a public static final Color value.

### COMMON PROGRAMMING ERROR

A common syntax error involves incorrectly typing the color constant names. For example, Color. Green is an undeclared identifier, but it is hard to find because it seems right. Recall that all identifiers in Java are case sensitive. The identifier Color.purple is incorrect because not all common color names are predefined.

When defining your own color, be sure that the values are appropriate. For example,

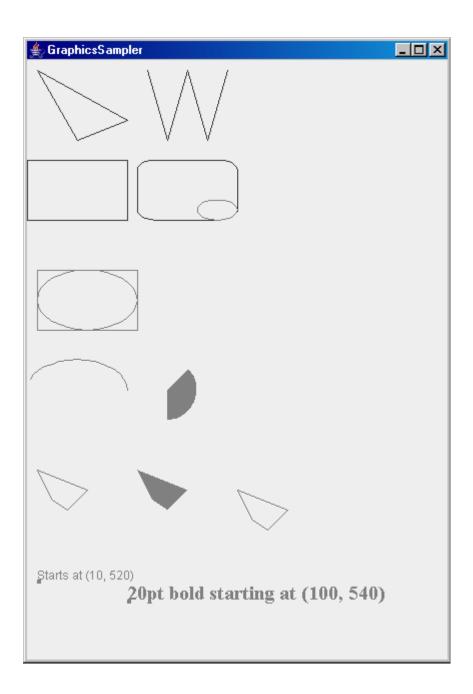
```
g.setColor(new Color(260, 10, 38));
```

leads to an IllegalArgumentsException because the value 260 is out of range. When you're mixing colors the range for the three arguments is from 0 to 255, inclusive. Also, don't use anonymous RGB values. A better definition would be

```
Color lightOrange = new Color(255, 190, 0);
g.setColor(lightOrange);
```

Here the intent is clear, making the code easier to understand and maintain.

The output from GraphicsSampler is on the next page.



# 9.5 CHANGING THE STROKE USED IN DRAWING

The class <code>java.awt.Graphics2D</code>, which extends <code>Graphics</code>, adds many sophisticated drawing operations to Java. One example is the ability to control the brush stroke used in drawing lines and shapes. The parameters of a brush stroke that we can control are

- the width of the brush,
- the dash pattern of the brush,
- the shape of the end of a brush stroke,

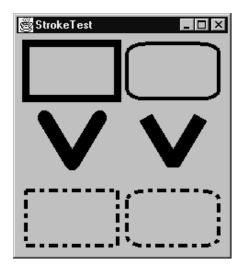
- what happens when two ends join, and
- for dashed lines, where in the dash pattern does the stroke begin.

The following program is a brief introduction to creating and setting the stroke used in drawing operations.

```
//StrokeSampler.java - examples of some Java2D strokes
import java.awt.*;
import javax.swing.*;
class StrokeSampler extends JComponent {
  public void paintComponent(Graphics g) {
    Graphics2D g2d = (Graphics2D)g;
    // draw some rectangles with wide lines
    g2d.setStroke(wideStroke);
    q2d.drawRect(10, 10, 100, 60);
    g2d.setStroke(mediumStroke);
    g2d.drawRoundRect(120, 10, 100, 60, 40, 20);
    // draw some lines with round ends/joints
    int[] xcoords = {30, 60, 90};
    int[] ycoords = {90, 140, 90};
    g2d.setStroke(wideRound);
    g2d.drawPolyline(xcoords, ycoords, 3);
    // draw some lines with bevel joints
    for (int i = 0; i < xcoords.length; i++)
      xcoords[i] = xcoords[i] + 110;
    g2d.setStroke(wideBevel);
    g2d.drawPolyline(xcoords, ycoords, 3);
    // use a dot-dash stroke
    g2d.setStroke(dotDashStroke);
    g2d.drawRect(10, 170, 100, 60);
    g2d.drawRoundRect(120, 170, 100, 60, 40, 20);
  static final BasicStroke wideStroke = new BasicStroke(8.0f);
  static final BasicStroke mediumStroke = new BasicStroke(4.0f);
  static final BasicStroke wideRound = new BasicStroke(16.0f,
            BasicStroke.CAP_ROUND /* end style */,
            BasicStroke.JOIN_ROUND /* join style */);
  static final BasicStroke wideBevel = new BasicStroke(16.0f,
            BasicStroke.CAP_BUTT /* end style */,
BasicStroke.JOIN_BEVEL /* join style */);
  static float[] dotDash = {10.0f, 5.0f, 5.0f, 5.0f};
  static final BasicStroke dotDashStroke = new BasicStroke(4.0f /*width*/,
            BasicStroke.CAP_BUTT /*end style*/,
            BasicStroke.JOIN_MITER /*join style*/,
            1.0f /*miter trim limit */,
            dotDash /* pattern array */,
            0.0f /* offset to start of pattern */);
  public Dimension getMinimumSize() {
    return new Dimension(230, 240);
```

```
public Dimension getPreferredSize() {
   return getMinimumSize();
 }
}
```

When added as the only component in a JFrame, the class StrokeSampler generates the following display.



### Dissection of the Class StrokeSampler

```
import java.awt.*;
import javax.swing.*;
class StrokeSampler extends JComponent {
  public void paintComponent(Graphics g) {
    Graphics2D g2d = (Graphics2D)g;
```

This sample class extends JComponent, as in other examples. Here we cast the Graphics object to a Graphics2D object. The class Graphics2D extends the class Graphics, so we can still do anything we could do before with Graphics. The method paintComponent() is designed to take a parameter of type Graphics instead of type Graphics2D for compatibility and historical reasons.

```
g2d.setStroke(wideStroke);
g2d.drawRect(10, 10, 100, 60);
g2d.setStroke(mediumStroke);
g2d.drawRoundRect(120, 10, 100, 60, 40, 20);
```

Here we draw rectangles as before, but we first set the stroke that is to be used. Once set, a stroke continues to be used until changed again.

```
// draw some lines with round ends/joints
int[] xcoords = {30, 60, 90};
int[] ycoords = {90, 140, 90};
g2d.setStroke(wideRound);
g2d.drawPolyline(xcoords, ycoords, 3);
// draw some lines with bevel joints
for (int i = 0; i < xcoords.length; i++)
    xcoords[i] = xcoords[i] + 110;
g2d.setStroke(wideBevel);
g2d.drawPolyline(xcoords, ycoords, 3);</pre>
```

Here we draw two multisegment lines. Each polyline consists of two line segments, forming a V. These statements are used to demonstrate how a stroke can be defined to have different end and join shapes.

```
g2d.setStroke(dotDashStroke);
  g2d.drawRect(10, 170, 100, 60);
  g2d.drawRoundRect(120, 170, 100, 60, 40, 20);
```

The final two drawing calls in this class draw two rectangles, using a dot-dash pattern. We describe shortly the stroke dotDashStroke.

```
static final BasicStroke wideStroke = new BasicStroke(8.0f);
static final BasicStroke mediumStroke = new BasicStroke(4.0f);
```

The single parameter for the BasicStroke constructor used here is the width of the stroke. The value is a floating point value. Again, the units are in pixels.

```
static final BasicStroke wideRound = new BasicStroke(16.0f,
            BasicStroke.CAP_ROUND /* end style */,
            BasicStroke.JOIN_ROUND /* join style */);
```

Here we construct a BasicStroke, specifying the width and style to be used where the ends of two line segments join. The result—previously shown in the output of the program—is that the ends of the line are rounded and that the corner where the two line segments join is also rounded.

```
static final BasicStroke wideBevel = new BasicStroke(16.0f,
              BasicStroke.CAP_BUTT /* end style */,
              BasicStroke.JOIN_BEVEL /* join style */);
```

For contrast, here we construct a BasicStroke that uses different end and join styles. The difference, as shown in the output of the program, is obvious.

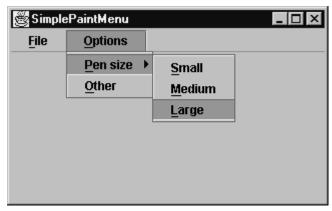
```
static float[] dotDash = {10.0f, 5.0f, 5.0f, 5.0f};
static final BasicStroke dotDashStroke = new BasicStroke(4.0f /*width*/,
          BasicStroke.CAP_BUTT /*end style*/,
          BasicStroke.JOIN_MITER /*join style*/,
          1.0f /*miter trim limit */,
          dotDash /* pattern array */,
          0.0f /* offset to start of pattern */);
```

Here we construct a BasicStroke, specifying a dash pattern by an array of float values. The line is drawn by alternating marks and spaces. The length of the first mark is specified by dotDash[0]. The length of the first space is specified by dotDash[1], the length of the second mark is specified by dot-Dash[2], and so on. When the end of the array is reached, the pattern goes back to the beginning. The array dotDash specifies a pattern that is a 10-pixel line, a 5-pixel space, a 5-pixel line, a 5-pixel space, a 10-pixel line, and so on. The miter trim limit isn't directly related to the dash pattern, but we must specify it when specifying a pattern. The miter limit controls how much a bevel join can extend to make a clean bevel. We left it at the minimum legal value, which is 1. The last parameter can be used to control starting the stroke somewhere other than at the beginning of the pattern. For example, if we had specified the offset to be 15.0f instead, the stroke would begin with a short, 5-pixel mark because that is 15 pixels into the pattern.

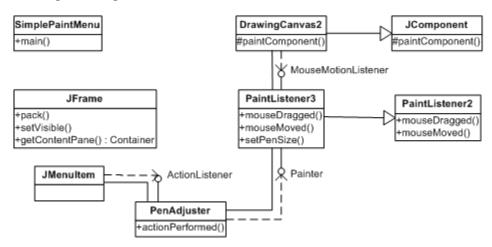
#### 9.6 ADDING MENUS TO A GUI

A standard feature on most GUI-based programs today is a menu. In this section we present a brief introduction to the support in Java for menus. We do so by adding a menu to the SimplePaint2 program discussed in Section 8.7, A Simple Drawing Program, on page 244. We could add many features via a menu, but here we add only two menus. The first is the standard File menu found in most programs today. In the File menu we add only a single item, Exit. The second menu is Options. Here we add the ability to change the diameter

of the virtual pen tip used to do the drawing. This addition appears in the following screen shot, where we have just selected the large pen size option.



The program consists of five classes and one interface. The method main() is in SimplePaintMenu. The class PaintListener3 extends PaintListener2, adding a method used to set the pen size. The interface Painter is used to isolate the mouse motion listener, PaintListener3, which does the painting, from the action event listener, PenAdjuster, which responds to the menu selections. The program also uses DrawingCanvas2 from Section 8.7, A Simple Drawing Program, on page 244 with no changes. The relationship between these five new classes and some of the standard Java classes used by this program can be visualized in the following UML diagram.



The following code lacks only DrawingCanvas2 and PaintListener2.

```
// SimplePaintMenu.java - add a menu to SimplePaint2
import java.awt.*;
import javax.swing.*;

class SimplePaintMenu {
  public static void main(String[] args) {
    JFrame frame = new JFrame("SimplePaintMenu");
    Container pane = frame.getContentPane();
    // create the canvas and mouse listener
    // just as in SimplePaint2
    DrawingCanvas2 canvas = new DrawingCanvas2();
    PaintListener3 painter = new PaintListener3();
    canvas.addMouseMotionListener(painter);
    pane.add(canvas);
```

```
9.6 Adding Menus to a GUI 277
    // create the menu bar and top level menus
    JMenuBar menuBar = new JMenuBar();
    JMenu fileMenu = new JMenu("File");
    fileMenu.setMnemonic('F');
    JMenu optionsMenu = new JMenu("Options");
    optionsMenu.setMnemonic('0');
    menuBar.add(fileMenu);
    menuBar.add(optionsMenu);
    frame.setJMenuBar(menuBar);
    // add items to the fileMenu
    JMenuItem exit = new JMenuItem("Exit",'x');
    fileMenu.add(exit);
    exit.addActionListener(new GoodBye());
    // create and add items to the optionsMenu
    // the first item is a submenu for pen size
    JMenu penAdjusterMenu = new JMenu("Pen size");
    penAdjusterMenu.setMnemonic('P');
    // create and add items to the pen size sub menu
    JMenuItem smallPen = new JMenuItem("Small", 'S');
JMenuItem mediumPen = new JMenuItem("Medium", 'M');
    JMenuItem largePen = new JMenuItem("Large", 'L');
    penAdjusterMenu.add(smallPen);
    penAdjusterMenu.add(mediumPen);
    penAdjusterMenu.add(largePen);
    // add a listener to the pen selection items
    PenAdjuster penAdjuster = new PenAdjuster(painter);
    smallPen.addActionListener(penAdjuster);
    mediumPen.addActionListener(penAdjuster);
    largePen.addActionListener(penAdjuster);
    optionsMenu.add(penAdjusterMenu);
    // for demo purposes add second (unused) item
    optionsMenu.add(new JMenuItem("Other", '0'));
    frame.pack();
    frame.setVisible(true);
// PenAdjuster.java - pass pen adjustment requests to the painter
import java.awt.event.*;
class PenAdjuster implements ActionListener {
  private Painter painter;
  PenAdjuster(Painter thePainter) {
    painter = thePainter;
  public void actionPerformed(ActionEvent e) {
    painter.setPenSize(e.getActionCommand());
// PaintListener3.java - paints on an DrawingCanvas2,
```

} }

}

} }

// and it's associated offscreen image.

// Adds a setPenSize() method to PaintListener2.

public class PaintListener3 extends PaintListener2 implements Painter {

```
// specify one of three pen sizes, Small, Medium, or Large
  // radius and diameter are inherited
  public void setPenSize(String size) {
    if (size.equals("Small")) {
        radius = 0;
        diameter = 1;
    else if (size.equals("Medium")) {
      radius = 3:
      diameter = radius * 2;
    else if (size.equals("Large")) {
      radius = 6;
      diameter = radius * 2;
    }
 }
}
// Painter.java -
     in practice this interface would contain additional methods
     such as for changing pen shape, color, pattern etc.
interface Painter {
 public void setPenSize(String size);
```

## Dissection of the Class SimplePaintMenu

```
// SimplePaintMenu.java - add a menu to SimplePaint2
import java.awt.*;
...
   PaintListener3 painter = new PaintListener3();
   canvas.addMouseMotionListener(painter);
   pane.add(canvas);
```

The first part of this class is the same as SimplePaint2, with the exception of the name change to PaintListener3.

```
JMenuBar menuBar = new JMenuBar();
JMenu fileMenu = new JMenu("File");
fileMenu.setMnemonic('F');
JMenu optionsMenu = new JMenu("Options");
optionsMenu.setMnemonic('O');
menuBar.add(fileMenu);
menuBar.add(optionsMenu);
frame.setJMenuBar(menuBar);
```

To create a menu, we first create a <code>javax.swing.JMenuBar</code>, which is a type of container. We can then add <code>javax.swing.JMenu</code> objects to the <code>JMenuBar</code>. Finally we add the <code>JMenuBar</code> to the <code>JFrame</code>, using the special method <code>setJMenuBar()</code>. When we create menus, we give them names. In addition, in this example we show how to create easily a keyboard shortcut by using the method <code>setMnemonic()</code> for <code>JMenu</code>. As the screen shot at the beginning of this section shows, the letter passed to the method <code>setMnemonic()</code> is underlined in the menu as a reminder to the user. Using the default key-bindings, the menus can be activated by hitting Alt and the selected key at the same time. For example, Alt+O will cause the <code>Options</code> menu to be displayed.

```
JMenuItem exit = new JMenuItem("Exit", 'x');
  fileMenu.add(exit);
  exit.addActionListener(new GoodBye());
```

Here we add a JMenuItem to one of the existing menus. The constructor for JMenuItem allows us to specify both the name to be displayed as the menu item and the mnemonic key. A JMenuItem is a special kind of button. Like JButton that we used in the previous chapter, we add an ActionListener to the menu item in order actually to do something when we select the menu item. Here we just use the class GoodBye from Section 8.2, Listening to Events, on page 231. When invoked, the method actionPerformed() in GoodBye forces the program to exit by using System.exit().

```
JMenu penAdjusterMenu = new JMenu("Pen size");
penAdjusterMenu.setMnemonic('P');
// create and add items to the pen size submenu
JMenuItem smallPen = new JMenuItem("Small",'S');
JMenuItem mediumPen = new JMenuItem("Medium",'M');
JMenuItem largePen = new JMenuItem("Large",'L');
penAdjusterMenu.add(smallPen);
penAdjusterMenu.add(mediumPen);
penAdjusterMenu.add(largePen);
```

A JMenu can contain both JMenuItems and other JMenus, which is how we obtain cascading menus. The Options menu eventually contains two items. The first is the Pen size submenu created here. The second, discussed later, is just a dummy item, Other, that is used to show that the menu could contain additional items. The penAdjusterMenu contains three items. We create and add these items to the menu as we did previously for the Exit item in the File menu.

```
PenAdjuster penAdjuster = new PenAdjuster(painter);
  smallPen.addActionListener(penAdjuster);
  mediumPen.addActionListener(penAdjuster);
  largePen.addActionListener(penAdjuster);
```

We dissect the class PenAdjuster shortly. It implements ActionListener. We use one instance of Pen-Adjuster to listen to all three pen adjustment menu items.

```
optionsMenu.add(penAdjusterMenu);
  // for demo purposes add second (unused) item
  optionsMenu.add(new JMenuItem("Other", '0'));
```

Here we add the penAdjusterMenu to the top-level optionsMenu. We then add a second dummy menu item that doesn't do anything. Thus we can mix submenus and regular menu items in a single menu.

```
frame.pack();
  frame.setVisible(true);
```

As always, the method main() ends by calling these two methods.

## Dissection of the Class PaintListener3

```
import java.awt.*;
import java.awt.event.*;
public class PaintListener3 extends PaintListener2 implements Painter {
```

The only change from PainterListener2 is the addition of a method that can be used by some other class to change the pen size used by PaintListener3 when it draws in response to mouse motion events. We could have just copied PainterListener2 and modified it. Instead, we used the inheritance mechanism in Java to extend PaintListener2. In this way, any improvements or corrections we make to the class PaintListener2 will automatically be incorporated in the class PaintListener3. The interface Painter specifies that the class PaintListener3 contains a method setPenSize(). We discuss Painter further shortly.

```
public void setPenSize(String size) {
   if (size.equals("Small")) {
      radius = 0;
      diameter = 1;
   }
   else if (size.equals("Medium")) {
      radius = 3;
      diameter = radius * 2;
   }
   else if (size.equals("Large")) {
      radius = 6;
      diameter = radius * 2;
   }
}
```

The method setPenSize() expects to be passed one of three strings. If one of the expected strings is passed, the radius and diameter of the pen are adjusted appropriately. If the parameter doesn't match any of the strings, the pen size is left unchanged. The instance variables radius and diameter were declared to be protected in PaintListener2. That allows us to modify and use them from a derived class such as PaintListener3. Recall that radius and diameter are used to specify the shape to be drawn. The following statement from PaintListener2 does the actual drawing.

```
g.fillOval(e.getX() - radius, e.getY() - radius, diameter, diameter);
```

## Dissection of the Classes PenAdjuster and Painter

```
import java.awt.event.*;
  class PenAdjuster implements ActionListener {
    private Painter painter;
    PenAdjuster(Painter thePainter) {
      painter = thePainter;
```

A PenAdjuster object acts like an intermediary between a menu item that it is listening to and a Painter object that can have its pen size adjusted. The PenAdjuster must be passed a reference to the Painter when it is created. The menu item knows about the PenAdjuster when the PenAdjuster is added to the menu item as an action listener.

```
public void actionPerformed(ActionEvent e) {
    painter.setPenSize(e.getActionCommand());
```

One instance of PenAdjuster is created, and that object is added as an action listener to each of the pen adjustment menu items, Small, Medium, and Large. When the method actionPerformed() is called, the PenAdjuster passes the string it gets from the menu item to the Painter associated with this Pen-Adjuster. As with JButton objects, the default action command string for a JMenuItem is the same as the string used to label the JMenuItem. All that PenAdjuster has to do is pass the string to the method setPenSize() of the Painter. As we showed earlier, the Painter object will examine the string and possibly change the pen size.

```
interface Painter {
  public void setPenSize(String size);
```

We didn't really need the interface Painter. We could have defined PenAdjuster to contain a reference to a PaintListener3 instead of a Painter. However, the class PenAdjuster doesn't need to know that PaintListener3 has methods for mouse motion events. Furthermore, we can reuse Painter and Pen-Adjuster in other programs, or we can decide to change the name of PaintListener3. In either case, we wouldn't need to make any changes to Painter or PenAdjuster.

#### **EVENT LISTENERS AND ADAPTERS** 9.7

In Section Section 8.2, Listening to Events, on page 229 we introduced the concept of event listeners. Recall that they are used to respond to events generated from a specific event source, such as a JButton, and that the various event listener types are specified by interfaces. As we discussed in Section 7.8, *Interfaces*, on page 214, an interface specifies the methods that a class must implement without providing any method implementations. For this reason, one class can implement multiple interfaces. To do so, however, the class must include the union of all methods in the interfaces.

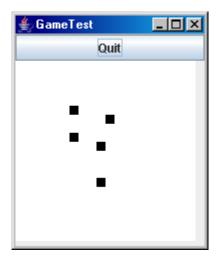
In the following program we show how a single class can handle all the events for a program. The event handler class, GameEventHandler, handles action events from a Quit JButton and mouse clicks in a JComponent. The JComponent is intended to represent a generic game board as might be used with the Predator-Prey simulation in Section 7.7, An Example: Predator-Prey Simulation, on page 207. Mouse clicks on the JComponent are used to change the states of the cells. The entire program consists of three classes. Game-Test contains main(). Its contents should be rather familiar to you by this time, so we won't discuss it further. GameBoard extends JComponent and has much in common with Plot, which we discussed in Section 9.3, *Problem Solving: Plotting Data*, on page 263. The listeners are implemented in GameEventHandler.

```
//GameTest.java
import java.awt.*;
class GameTest {
  public static void main(String[] args)
    GameBoard.State[][] state = new GameBoard.State[20][20];
    for (int row = 0; row < state.length; row++) {</pre>
      for (int col = 0; col < state[row].length; col++) {</pre>
        state[row][col] = GameBoard.State.state0;
    }
    JFrame frame = new JFrame("GameTest");
    Container pane = frame.getContentPane();
    GameBoard board = new GameBoard(400, 400, state);
    GameEventHandler actor = new GameEventHandler();
    board.addMouseListener(actor):
    pane.add(board, BorderLayout.CENTER);
    JButton quit = new JButton("Quit");
    quit.addActionListener(actor);
    pane.add(quit, BorderLayout.NORTH);
    frame.pack();
    frame.setVisible(true);
  }
}
//GameBoard.java
import java.awt.*;
import javax.swing.*;
class GameBoard extends JComponent {
  // the example supports only two valid states
  static enum State { state0, state1 }
  public GameBoard(int width, int height, State[][] board) {
    this.board = board;
    setSize(new Dimension(width, height));
  // draw the cells of the board
  protected void paintComponent(Graphics q) {
    for (int row = 0; row<board.length; row++)</pre>
      for (int col=0; col < board[row].length; col++){</pre>
        select_color(g, board[row][col]);
        g.fillRect(col * cellWidth, row * cellHeight, cellWidth, cellHeight);
  }
  /* The default update() redraws the entire display using the background
   * color, then calls paint(). To avoid the flicker of background color,
   * we override update() to just call paint().
   * This is recommended whenever paintComponent() redraws all pixels.
  public void update(Graphics g) {
    paintComponent(g);
```

```
public Dimension getMinimumSize() {
    return dim;
  }
 public Dimension getPreferredSize() {
    return getMinimumSize();
 public void setBounds(int x, int y, int width, int height) {
    dim = new Dimension(width, height);
    //adjust cell size to fill the new board size
    cellWidth = Math.round((float)width / board.length);
    cellHeight = Math.round((float)height / board[0].length);
    super.setBounds(x, y, width, height);
    repaint();
  }
 public void setCell(int row, int col, State value) {
    board[row][col] = value;
 public State getCell(int row, int col) {
    return board[row][col];
 public int getCellWidth() { return cellWidth; }
 public int getCellHeight() { return cellHeight; }
 private void select_color(Graphics g, State cell) {
    if (cell == State.state0)
      g.setColor(Color.white);
    else
      g.setColor(Color.black);
  }
 private State[][] board;
 private int cellWidth, cellHeight;
 private Dimension dim;
}
// GameEventHandler.java
import java.awt.event.*;
class GameEventHandler implements MouseListener, ActionListener {
 public void actionPerformed(ActionEvent e) {
    System.out.println("Goodbye!");
    System.exit(0);
 public void mouseClicked(MouseEvent e) {
    GameBoard board = (GameBoard)e.getSource();
    int row = e.getY() / board.getCellHeight();
    int col = e.getX() / board.getCellWidth();
    if (board.getCell(row, col) == GameBoard.State.state0)
      board.setCell( row, col, GameBoard.State.state1);
      board.setCell( row, col, GameBoard.State.state0);
    board.repaint();
```

```
public void mouseEntered(MouseEvent e) {};
public void mouseExited(MouseEvent e) {};
public void mousePressed(MouseEvent e) {};
public void mouseReleased(MouseEvent e) {};
```

Here is what the display looks like after we click five different places on the screen.



## Dissection of the Class GameEventHandler

class GameEventHandler implements MouseListener, ActionListener {

For this program we're interested in mouse click events, which requires us to implement the interface MouseListener. We also use this same event handler to respond to clicks on a "Quit" button so we also implement the interface ActionListener.

```
public void actionPerformed(ActionEvent e) {
    System.out.println("Goodbye!");
    System.exit(0);
}
```

This is the same method we used earlier in the class GoodBye from Section 8.2, *Listening to Events*, on page 231.

```
public void mouseClicked(MouseEvent e) {
    GameBoard board = (GameBoard)e.getSource();
    int row = e.getY() / board.getCellHeight();
    int col = e.getX() / board.getCellWidth();
    if (board.getCell(row, col) == GameBoard.State.state0)
      board.setCell( row, col, GameBoard.State.state1);
      board.setCell( row, col, GameBoard.State.state0);
    board.repaint();
```

This method is one of the five specified in the MouseListener interface. It is the only one we actually care about. From the event e we can obtain the GameBoard object and the coordinates of the cursor at the time the mouse button was clicked. We use them to select one cell in our GameBoard and then toggle the state in the selected cell. To have the change be reflected immediately on the screen, we call repaint(). If this listener was listening to more than one MouseEvent source, we could use the instanceof operator to determine whether it was indeed a GameBoard before doing the cast.

```
public void mouseEntered(MouseEvent e) {};
 public void mouseExited(MouseEvent e) {};
 public void mousePressed(MouseEvent e) {};
 public void mouseReleased(MouseEvent e) {};
}
```

These are the other four methods required of any class that implements the interface MouseListener. We aren't interested in any of these events, so the methods do nothing. See the following discussion of adapter classes for a way to avoid including these empty method definitions.

We don't have sufficient space in this book to cover all the AWT listener classes. To help you handle specific events, we included the following table of the AWT listener classes. The table lists the methods specified in each interface and some of the classes of objects that can generate the events for the particular listener.

CLASS DERIVED FROM EventListener	METHODS	CAN BE ADDED TO ANY
ActionListener	actionPerformed()	JButton, JList,JTextField, JMenuItem
AdjustmentListener	adjustmentVal- ueChanged()	JScrollBar
ComponentListener	<pre>componentHidden(), componentMoved(), componentResized(), componentShown()</pre>	JComponent
ContainerListener	<pre>componentAdded(), componentRemoved()</pre>	Container
FocusListener	<pre>focusGained(), focusLost()</pre>	Component
ItemListener	<pre>itemStateChanged()</pre>	JList, JCheckBox, JCheckboxMenuItem
KeyListener	<pre>keyPressed(), keyReleased(), keyTyped()</pre>	JComponent
MouseListener	<pre>mouseClicked(), mouseEntered(), mouseExited(), mousePressed(), mouseReleased()</pre>	JComponent
MouseMotionListener	<pre>mouseDragged(), mouseMoved()</pre>	JComponent
WindowListener	<pre>windowClosed(), windowClosing(), windowDeactivated(), windowDeiconified(), windowIconified(), windowOpened</pre>	JFrame

## 9.7.1 LISTENER ADAPTER CLASSES

For each AWT listener interface that specifies more than one method, AWT also provides an adapter class. An *adapter class* implements the corresponding interface, defining each method to do nothing—purely for convenience. Because the adapters are classes, you can't combine two adapters into a single class. Recall that Java doesn't allow multiple inheritance (see Section 7.9, *Multiple Inheritance*, on page 215). However, you can combine one adapter and multiple interfaces.

For example, we could have implemented our GameEventHandler by using the MouseAdapter.

```
// GameEventHandler2.java - demo MouseAdapter
import java.awt.event.*;

class GameEventHandler2 extends MouseAdapter implements ActionListener {
  public void actionPerformed(ActionEvent e) {
    System.out.println("Goodbye!");
    System.exit(0);
}
```

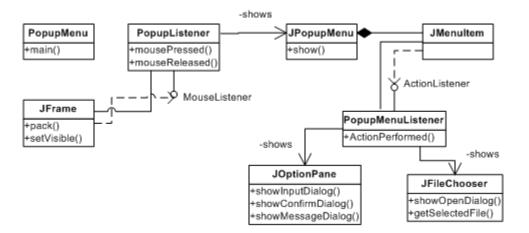
```
public void mouseClicked(MouseEvent e) {
    GameBoard board = (GameBoard)e.getSource();
    int row = e.getY() / board.getCellHeight();
    int col = e.getX() / board.getCellWidth();
    if (board.getCell(row, col) == GameBoard.State.state0)
      board.setCell( row, col, GameBoard.State.state1);
    else
      board.setCell( row, col, GameBoard.State.state0);
    board.repaint();
}
```

Because the class MouseAdapter provides "do nothing" implementations of all of the interface MouseListener methods, we need only redefine the one we care about.

#### 9.8 POP-UPS AND DIALOGS

The GUI programs we have looked at so far always had a single IFrame (or IApplet) that contained all of the other GUI components, or so it seemed. The menus in SimplePaintMenu were actually small separate windows that "popped-up" when needed. The standard Java packages provide pre-built classes to handle many common pop-up menu and dialog box situations. We present a sampling of them in the following program.

The program PopupMenu is comprised of three classes, PopupMenu which contains main(), PopupListener which listens for mouse events that might signify that the popup should be displayed, and PopupMenuListener that responds to clicks on the menu items of the popped-up menu. The program also uses the standard Java classes JPopupMenu, JOptionPane, and JFileChooser. The relationship between the major classes in this program can be visualized in the following UML diagram. The black diamond indicates that the JPopupMenu is composed of several JMenuItem objects.

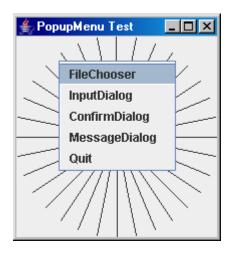


Here is the main class, PopupMenu.

```
// PopupMenu.java
import java.awt.*;
import java.awt.event.*;
import javax.swing.*;
class PopupMenu {
  public static void main(String[] args) {
    JFrame frame = new JFrame("PopupMenu Test");
    Container pane = frame.getContentPane();
```

```
// create the menu and menu items
   // add the items to the menu
   // attach a listener to each menu item
   JMenuItem item;
   ActionListener listener = new PopupMenuListener();
   JPopupMenu popup = new JPopupMenu();
   for (int i = 0; i < choices.length; i++) {</pre>
     item = new JMenuItem(choices[i]);
     popup.add(item);
     item.addActionListener(listener);
   // pop-up the menu on mouse clicks
   MouseListener popupListener = new PopupListener(popup);
   frame.addMouseListener(popupListener);
   // just something to give the frame some size
   pane.add(new Star());
   frame.pack();
   frame.setVisible(true);
}
```

The main() method begins and ends with the usual JFrame operations. The key new element is the class JPopupMenu. Like JMenu, a JPopupMenu can have JMenuItems added to it. We then use a MouseListener to trigger the popup menu. If you run the program and then right click (control-click on a one button Mac) it will look like this:



The class PopupListener simply invokes show() for the JPopupMenu when the appropriate mouse event occurs. The actual specific type of mouse event that is used to trigger a popup menu is system dependent. The method <code>isPopupTrigger()</code> returns true if a <code>MouseEvent</code> was also a popup trigger.

```
// PopupListener.java
import java.awt.event.*;
import javax.swing.*;
```

```
class PopupListener extends MouseAdapter {
  private JPopupMenu popup;
 PopupListener(JPopupMenu popupMenu) {
    popup = popupMenu;
 public void mousePressed(MouseEvent e) {
    maybeShowPopup(e);
 public void mouseReleased(MouseEvent e) {
   maybeShowPopup(e);
 private void maybeShowPopup(MouseEvent e) {
    if (e.isPopupTrigger()) {
      popup.show(e.getComponent(), e.getX(), e.getY());
    }
 }
}
Most of the action for this program takes place in PopupMenuListener.
// PopupMenuListener.java
import java.awt.*;
import java.awt.event.*;
import javax.swing.*;
class PopupMenuListener implements ActionListener {
 public void actionPerformed(ActionEvent e) {
    String cmd = e.getActionCommand();
    Component parent = (JComponent)e.getSource();
    if (cmd.equals("FileChooser")) {
      // pop-up a file chooser that let's you select a file
      JFileChooser choose = new JFileChooser();
      choose.showOpenDialog(parent);
      String fileName = choose.getSelectedFile().getName();
      System.out.println(fileName);
    }
    else if (cmd.equals("InputDialog")) {
      // Input some string in a dialog box.
      String input = JOptionPane.showInputDialog(parent, "Type something");
      System.out.println(input);
    }
    else if (cmd.equals("ConfirmDialog")) {
      // Confirm with Yes/No/Cancel (integers 0/1/2)
      int response = JOptionPane.showConfirmDialog(parent, "Really?");
      System.out.println("response is " + response);
    }
    else if (cmd.equals("MessageDialog")) {
      JOptionPane.showMessageDialog(null, "Your message goes here.");
```

```
else if (cmd.equals("Quit")) {
    // Confirm with Yes or No
    int response = JOptionPane.showConfirmDialog(parent,
        "Really quit?", "Quit", JOptionPane.YES_NO_OPTION);
    if (response == JOptionPane.YES_OPTION) {
        System.exit(0);
     }
    }
}
```

The class <code>javax.swing.JOptionPane</code> is highly configurable and can be used for a wide variety of dialog windows. The class has constructors with from 0 to 6 parameters. However, many common interactions can be easily handled with one of several static methods. Even these static methods have a variety of options for customization. This program demonstrates some of the most common dialogs with minimal customization.

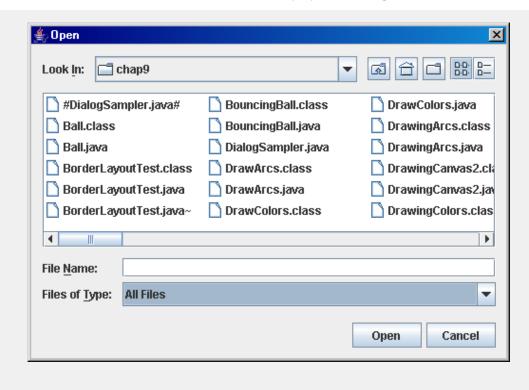
## Dissection of class PopupMenuListener

```
oclass PopupMenuListener implements ActionListener {
   public void actionPerformed(ActionEvent e) {
      String cmd = e.getActionCommand();
      Component parent = (JComponent)e.getSource();
```

This class responds to menu item selections via an ActionEvent. We will use the action command for the event to determine which menu item was selected. Recall that by default the action command string is the same as the label on the menu item. We will also use the source of the event as the parent component for the dialogs that we create. Any of the dialogs discussed below can be used in an otherwise non-GUI program by simply passing null as the parent component paramete.

```
if (cmd.equals("FileChooser")) {
   // pop-up a file chooser that let's you select a file
   JFileChooser choose = new JFileChooser();
   choose.showOpenDialog(parent);
   String fileName = choose.getSelectedFile().getName();
   System.out.println(fileName);
}
```

The class <code>javax.swing.JFileChooser</code> presents standard windows for opening and saving files. Here we show how to use it to select a file for opening. The only difference between <code>showOpenDialog()</code> and <code>showSaveDialog()</code> is in the labels for the window. In both cases the user can browse to a selected file and the selected file can then be obtained by calling <code>getSelectedFile()</code>. We have chosen to simply print out the name of the selected file for demonstration purposes. The dialog looks like this:



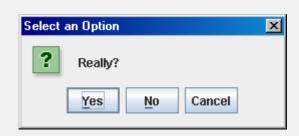
```
else if (cmd.equals("InputDialog")) {
    // Input some string in a dialog box.
    String input = JOptionPane.showInputDialog(parent, "Type something");
    System.out.println(input);
  }
```

JOptionPane.showInputDialog() provides a simple way to get text input from the user. This dialog can be used in programs that have no other GUI components by simply passing null in place of parent as the first parameter. The dialog looks like this:



```
else if (cmd.equals("ConfirmDialog")) {
    // Confirm with Yes/No/Cancel (integers 0/1/2)
    int response = JOptionPane.showConfirmDialog(parent, "Really?");
    System.out.println("response is " + response);
  }
```

JOptionPane.showConfirmDialog() as used here presents the standard Yes/No/Confirm dialog. It returns when the user has selected one of the three options. Specific return values can be tested using the constants JOptionPane.YES\_OPTION, JOptionPane.NO\_OPTION, and JOption-Pane.CANCEL\_OPTION. The dialog looks like this:



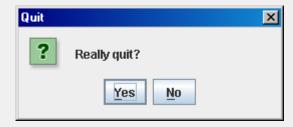
```
else if (cmd.equals("MessageDialog")) {
    JOptionPane.showMessageDialog(null, "Your message goes here.");
}
```

This is the simplest of the standard JOptionPane dialogs. It simply prints a message. The method returns when the user clicks the OK button displayed with the dialog. The dialog looks like this:



```
else if (cmd.equals("Quit")) {
    // Confirm with Yes or No
    int response = JOptionPane.showConfirmDialog(parent,
        "Really quit?","Quit", JOptionPane.YES_NO_OPTION);
    if (response == JOptionPane.YES_OPTION) {
        System.exit(0);
    }
```

This example shows how the confirm dialog can be customized to show only YES and NO buttons with no option for CANCEL. The dialog looks like this:



#### PROGRAMMING STYLE 9.9

For large applications, you need to design the graphical user interface carefully. One useful technique involves the use of story boards. Story boards are mock-ups of screen shots from a program. These story boards can be used to test the ease of use of a GUI before you write any code.

To test a GUI using story boards, first create a series of mock-up screen shots, showing the screen as it should appear when a user performs a particular task. Then show a test user the first screen and ask the user to perform a particular task. The user says out loud what he or she would do—for example, "click on the button labeled open." When the user does this, display the story board showing the new appearance of the screen to the user. Continue this process until the user successfully completes the task or tries to do something inappropriate or unexpected. Unexpected behavior may signal the need for changes in the design of the GUI.

You can create story boards with pencil and paper, a computer drawing program, or a GUI builder. Many GUI builders for Java allow you to quickly arrange graphical components in a frame or applet. Some even allow automatic creation of connections between event sources and event listeners by using direct manipulation of the components as displayed on the screen. Using these tools, you can quickly put together a GUI. As with a paper story board, you should test these GUIs long before you build the rest of the program by having someone try the GUI. When the user clicks the button, you may need to bring up a different display if the action associated with the button can't be built quickly with the GUI builder.

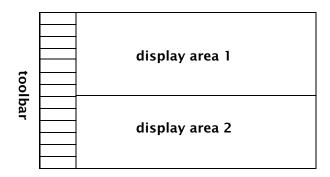
## **SUMMARY**

- The class BorderLayout is the default layout manager for the content pane of a JFrame. A BorderLayout manager places one component on each border and one in the center.
- With a single container, you are limited to a single layout, such as BorderLayout or GridLayout. By nesting one container inside another, you can create many different layouts. The standard plain container class is JPanel.
- A layout manager may attempt to resize—for example, when the user resizes the window on the desktop. The layout manager calls the method setBounds() for the component, indicating the new location and size of the component. By defining setBounds() in a class that extends JComponent, you can have a custom component make adjustments so that any drawing done by the component still fits within the resized screen area.
- All drawing is done on an instance of the class Graphics. This class has methods for drawing lines, rectangles, ovals, arcs, polygons, and strings. It also has methods for setting the color and font.
- The basic drawing pen supported by the class Graphics draws lines and shapes with only a 1-pixel-wide line. The class Graphics2D, which extends Graphics, adds, among other things, the ability to draw wide lines and dashed lines.
- You can add a JMenuBar to a JFrame with the method setMenuBar(). A JMenuBar is a special container that can hold several JMenu objects. Each JMenu can contain sub-JMenu objects or JMenuItems. You can use these three classes together to create the standard menu bars found at the tops of many applications. When selected, a JMenuItem generates an ActionEvent just like a button.

- There are different event listener interfaces for the different types of events that can occur. Some event listener interfaces specify several methods. In those cases, there is also an adapter class, which defines each method in the interface to do nothing. The adapters are strictly for convenience and provide no additional functionality.
- Java provides the class JPopupMenu that can be used to create menus that pop up in response to some action such as a mouse click.
- The standard Java class JFileChooser can be used to create standard file selection dialogs for opening or saving files.
- The standard Java class JOptionPane provides several useful static methods that can be used to create a variety of standard dialog windows such as an alert, simple input, or Yes/No/Cancel.

## **REVIEW QUESTIONS**

- 1. What is the default layout manager for the content pane of a JFrame object?
- 2. How could you create a GUI that has a column of buttons along the left edge and then two large display areas on the right? The outline of the components should look like



- 3. What type of event listener should you use to respond to selection of a menu item?
- 4. How does an event listener, listening to multiple sources, know where the event came from?
- 5. What is the difference between MouseListener and MouseAdapter?
- 6. How do you create cascading menus?
- 7. What sort of dashed or dotted line would result from creating a stroke with the following pattern array?

```
float[] pattern = { 10.0f, 4.0f, 4.0f, 4.0f, 4.0f, 4.0f, 4.0f };
```

- 8. What do you need to do if you want to draw thick lines?
- 9. There are two different ways to draw polygons using drawPolygon(). Describe them. Which should you use if you want to draw the same polygon in several places on the display?

## **EXERCISES**

1. In a first attempt to create three buttons, we came up with

```
//HelloButton3.java
import javax.swing.*;
import java.awt.*;
public class HelloButton3 {
 public static void main(String[] args) {
    JFrame frame = new JFrame("HelloButton");
   Container pane = frame.getContentPane();
    JButton hello = new JButton("Hello, world 1!");
    pane.add(hello):
    JButton more = new JButton("Hello, world 2!");
    pane.add(more);
    JButton end = new JButton("Hello, world 3!");
    pane.add(end);
    frame.pack();
    frame.setVisible(true);
 }
```

Can you explain why only one button is displayed? Try to rewrite this code and have the buttons displayed in a nonoverlapping manner.

- 2. Add axes and labels to the class Plot defined in Section 9.3, *Problem Solving: Plotting Data*, on page 264.
- 3. Use the class Plot defined in Section 9.3, *Problem Solving: Plotting Data*, on page 264, to plot  $2x^2 + 3x + 4$ in a stand-alone application using JFrame.
- 4. Redo the previous exercise using a JApplet instead of a JFrame.
- 5. Create a bar-chart component that extends JComponent. The constructor for the bar chart should take a single parameter that is an array of integer values corresponding to the lengths of the bars. Select a default size for the component and then scale the bars so that the longest bar is as tall as the component is high. Also scale the width of the bars so that they just fill the width of the bar chart. Include a method setBounds() so that, if the user resizes the component, the width and height of the bars are adjusted to continue to just fill the component. Write a simple program to test your component.
- 6. Create a bar-chart drawing class like the one in the previous excercise, but with the added ability to superimpose bars for two different arrays of data simultaneously by drawing them in different colors. (Hint: Always draw the longer bar first and then change the color and draw the shorter bar.) You can use predefined constants Color. red and Color. blue. Test your class in an applet or an application.
- 7. Use the class GameBoard as a starting point and create a GUI for the Predator-Prey simulation in Section 7.7, An Example: Predator-Prey Simulation, on page 207. Use a  $10 \times 10$  square to represent each cell in the world. Fill the square with green for grass, red for fox, and pink for rabbits. The user can change any square by clicking it. Each click should change the square to the next value in the cycle of possible values (empty, grass, fox, or rabbit). For example, if a square is empty, clicking on it twice will change it to a fox. Control the progress of time with a button. Each click of the button should advance time one generation. You can use a BorderLayout, with the world in the center, a Quit button on one border, and the Next generation button on another border.
- 8. Modify the program in the previous exercise to use a menu. The menu bar should include a File menu with the option Exit and a LifeForm menu with the options Empty, Grass, Fox, and Rabbit. The currently selected life form is used to set a square when the user clicks on a square.

9. Use the following code to draw a series of smaller enclosed rectangles of different colors.

```
public void paintComponent(Graphics g) {
   g.setColor(Color.white);
   g.fillRect(...); //fill in values
   g.setColor(Color.yellow);
   g.fillRect(...);
   g.setColor(Color.green);
   g.fillRect(...);
   g.drawRect(...);
}
```

Display your result in a window.

- 10. Create an application or applet that can be used to experiment and create colors. The program display should contain a rectagular area in the selected color, six buttons, and three text fields. Two buttons and a text field are associated with each of the three colors, red, green, and blue. Clicking one of the buttons will increase the associated color component, and clicking the other button will decrease it. The text field should display the current color component value, which should be in the range 0-255. Also, allow the text fields to be edited directly. Each time a component is changed, an area of the display should be filled to display the selected color. Create the color with the expression new Color(red, green, blue), where red, green, and blue are integer values in the range 0-255.
- 11. Redo the previous exercise using a menu bar with three menus—one for each of the colors red, green, and blue. Each menu should contain items More, Less, Max, and None.
- 12. Draw a hexagon whose sides are all the same length. Such a figure is called a *regular hexagon*. In drawing the hexagon, color the interior red and leave the exterior white.
- 13. Use a GridLayout to draw a series of regular polygons down the screen. Start at the top with a triangle and end with an octagon.
- 14. Investigate the fonts available on your Java system. Redo the previous exercise, labeling each regular polygon with a text field that uses a different font. Your system should support the fonts LucidaSans and Roman in plain, bold, and italic.
- 15. Develop a simple text window that has a pull-down menu and submenus. Label the chief menu Format and the submenus Font, Size, and Style. When these various options are selected, change the text window accordingly. This procedure is similar to most editing and desktop publishing programs that allow you to change fonts.
- 16. Create an application or applet for plotting arrays of data using drawPolyLine().
- 17. Given the position and velocity of a ball, you can approximate the new position and velocity of the ball after time *delta* with the equations

$$\begin{aligned} x_{t+delta} &= x_t + vx_t \times delta; \\ y_{t+delta} &= y_t + vy_t \times delta; \\ vx_{t+delta} &= vx_t; \\ vy_{t+delta} &= vy_t - 32. \end{aligned}$$

Write an application or an applet to simulate a bouncing ball. To begin you can control the simulation by clicking a button for each tick of the clock. At each tick, compute the new position of the ball and draw it. Assume that the ball is in a box and reverse the sign of the *y* velocity component whenever the ball hits the top or bottom of the box. Likewise, reverse the sign of the *x* velocity component whenever the ball hits either side of the box. To get your simulation to run automatically, you can use the following template. (The method <code>sleep()</code> is discussed in Section 13.7.1, *Calling sleep() from Anywhere*, on page 410.) The class <code>Ball</code> is similar to the sample drawing classes such as <code>Star</code> and others.

```
//BouncingBall.java - driver for ball simulation
class BouncingBall {
 public static void main(String[] args)
    // the following is needed when calling sleep
    // see Chapter 11 for more about exceptions
   throws InterruptedException
    Ball ball = new Ball();
   // code to create a JFrame, add ball to its
   // container pane and show the JFrame goes here
   Thread self = Thread.currentThread();
   while (true) {
      //call some method in Ball to set new position
     ball.repaint();
     self.sleep(100); //pauses 1/10th second
   }
 }
}
```

- 18. Create an application or an applet that lets the user select from a list of functions, specify a range of values and a delta, and then plot the function. Provide a menu of functions from which the user can choose.
- 19. A JButton can be used to select one of several options by changing the label of the JButton. Create a test program that uses a button to cycle among four labels: empty, grass, rabbit, and fox. Each time the button is clicked the label should be changed to the next label in the cycle. Use an ActionListener to echo to the console the currently selected label.

# READING AND WRITING FILES

In our programs so far, user input has been from the keyboard or mouse. All output has been printed to the console or displayed in a graphical user interface. In this chapter we show you how to read data from a file and write data to a file.

Excluding graphical input and output, all input and output (I/O) in Java is done with streams. A Java *stream* is a sequence of bytes. An input stream can come from a file, from keystrokes at the console, or from a network. Likewise, an output stream may go to a file, to the console screen, or out over a network. At the top level, the same classes are used for all types of stream input and output. The primary differences among the various classes we present are caused by the need for different byte streams to be reassembled differently to form meaningful values inside a program.

If you have some sequence of 32 bits, the meaning of those 32 bits depends on whether they correspond to an int, a float, two 16-bit Unicode characters, or something else. In the same way, you can interpret a stream of bytes in different ways. You must be careful to match the method used to write the stream with the method used to read the stream.

# 10.1 TYPES OF FILES

In Java, as with most operating systems today, files can be broken into two broad categories: text files and binary files.

In a *text file*, each byte is interpreted as a single character. In most cases the character set is the ASCII character set. You can display a text file by using almost any editor on your computer. A text file may use special sequences of characters to encode text formatting or other information. For example, HTML files used on the Internet are text files with tags for formatting. Recall that HTML tags are generally enclosed by angle brackets like this>. The source files for Java programs are text files.

The other broad category of files is *binary files*, which includes everything but text files. Binary files typically use the same bit patterns to represent data as those used to represent the data in the computer's main memory. These files are called binary files because the only thing they have in common is that they store the data as sequences of 0s and 1s. There are a huge number of different binary file formats—Java class files, executable images for your computer, the normal file format for most word processors, the image and audio files used for the Internet, and many more.

For example, suppose that you wanted to store a sequence of int values in a file. You know that an integer is 32 bits, or 4 bytes, long, so you could just place the 4 bytes for each integer in the file, one after another, to create a binary file. That would also be a very compact way of storing the integers. However, if you tried to look at this file with a normal editor, you would just get garbage on your screen. The reason is that the editor expects characters and wouldn't recognize that you were encoding integers. The editor would interpret each byte as an ASCII character that in no way resembles the text representation of the corresponding integer. For example, the int value 1234 occupies 32 bits, or 4 bytes. The binary representation of 1234 is the sequence of 0s and 1s in the following figure. The 32-bit sequence has been divided into the four 8-bit bytes.

byte0	byte1	byte2	byte3
0000000	00000000	00000100	11010010

If each byte is viewed as an integer (see Section A.1, *Integer Binary Representation*, on page 425), you would get the following:

byte0	byte	1	byte2	byte3
0	0		4	210

Unlike Java, most text editors use ASCII values instead of Unicode. To a text editor, none of these 4 bytes correspond to printable ASCII characters. For comparison, here are the four bytes needed to represent the text string "1234".

byte0	byte 1	byte2	byte3
49	50	51	52

The ASCII code for the digit 1 is 49, the code for the digit 2 is 50, and so on. If what you cared about was space on the disk for storing the numbers, the binary representation might be the right choice. Although 1234 takes 4 bytes in either format, any integer value greater than 9999 will require more than 4 bytes when stored as text. Recall that 4 bytes are sufficient to store numbers as large as 2 billion, or 10 decimal digits, when stored in binary form.

Instead of writing the 4 bytes of an int to a file, you can write the sequence of text characters used to represent the number. Such sequences would include the 10 digits and possibly the minus sign. You would also have to use some special character to separate one number from the next—for example, a space or a comma. This format would be a text file, which would use much more space on your computer's disk. If you wanted to be able to view or modify the file with an editor or use e-mail to send the numbers to someone else, this format might be preferable.

Java's standard package java.io contains classes that support the reading and writing of both binary and text files.

# 10.2 WRITING TEXT FILES

The simplest way to write text to a file is to use the class PrintWriter from the standard package java.io. The class PrintWriter has the familiar methods print() and println() that we've been using to write to the console. The class PrintWriter has a constructor that takes the name of a file as a string.

```
//HelloFile.java - writing to a file
import java.io.PrintWriter;
```

```
class HelloFile {
 public static void main(String[] args) throws java.io.IOException
    PrintWriter out = new PrintWriter("hello.txt");
    out.println("Hello, file system!");
    out.close();
}
```

If you run this program, it will create a file, hello.txt, which you can view with any text editor. The contents of the file will be the one line "Hello, file system!".

### Dissection of the Class HelloFile

import java.io.PrintWriter;

We must import PrintWriter from the package java.io.

public static void main(String[] args) throws java.io.IOException

Many of the methods for I/O can generate an I/O exception. As discussed in Chapter 11, Coping with Errors, an exception is something unexpected that occurs. Often an exception is really an error. In this example, if the output file couldn't be opened for some reason, an IOException would be generated. A statement or method that generates an exception is said to throw an exception. The class IOException is defined in the package java.io. We can either give the full name of the exception, java.io.IOException, as in this example, or we could change the import to java.io.\* and then use the shorter name, IOException.

PrintWriter out = new PrintWriter("hello.txt");

The class PrintWriter needs the name of the file as a string. This name can include directory information, which is platform dependent. When no directory information is specified, the file is created in the operating system's notion of the current directory.

```
out.println("Hello file system!");
  out.close();
```

Anything that we can do with System.out.print() or System.out. println() we can do with the corresponding method from PrintWriter. Closing the file when the program terminates is essential. Failure to close the PrintWriter stream may cause some or all of the output not to appear in the file.

# 10.3 READING TEXT FILES

Reading a text file is as easy as reading from the keyboard. All along we've been using the class Scanner to read from the console via new Scanner (System.in). We can just as easily create a Scanner that is associated with some file. The following program reads in the file that we wrote in HelloFile, printing each "token" on a separate line. In this case, a token is a white space delimited string.

```
//HelloFileRead.java - reading a text file
import java.util.Scanner;
import java.io.*;
```

```
class HelloFileRead {
  public static void main(String[] args) throws IOException
  {
    Scanner input = new Scanner(new File("hello.txt"));
    while (input.hasNext()) {
        System.out.println(input.next());
    }
  }
}
```

We can of course use any of the Scanner methods to parse the input as desired. In the next example, we use a Scanner object to read one int, one double, and one String from the file *sample.txt*.

```
//FileReadInput - reading primitive values from a file
import java.util.Scanner;
import java.io.*;

class FileReadInput {
   public static void main(String[] args) throws IOException
   {
      Scanner input = new Scanner(new File("sample.txt"));
      int x = input.nextInt();
      double y = input.nextDouble();
      String s = input.next();
      System.out.println("x = "+x);
      System.out.println("y = "+y);
      System.out.println("s = "+s);
   }
}
```

The first thing in the file *sample.txt* is expected to be a sequence of characters representing an integer, the next a floating point value, and, finally, anything. The method next() returns the next white-space-delimited sequence of characters in the input. If the file *sample.txt* contains the single line

```
-1234 0.0001234 1234 567
```

the output would be

```
x = -1234
y = 1.234E-4
s = 1234
```

The third input item, 1234, was actually read as a string. Note that it stopped at the white space between 1234 and 567.

The next program counts the number of words in a file.

```
//WordCount.java - count the words in a file
import java.util.Scanner;
import java.io.*;

class WordCount {
   public static void main(String[] args) throws IOException
   {
      Scanner input = new Scanner(new File(args[0]));
      int count = 0;
```

```
while (input.hasNext()) {
      String word = input.next();
    System.out.println("There were "+count+" words.");
 }
}
```

## 10.3.1 PARSING TEXT STREAMS

The Scanner class is quite versatile and can satisfy many input and string parsing needs. For example you can change the delimiter for a Scanner to be something other than one or more white space characters using the method Scanner.useDelimiter(). You can also use a Scanner to parse arbitrary strings in a program. The expression new Scanner(someString) creates a Scanner that "reads" from the given string. For example the following code fragment prints 1234.

```
int x = new Scanner("1234 abc").nextInt();
System.out.println(x);
```

The delimiter is specified by a string representing a regular expression. See the Java documentation for java.util.regex.Pattern for more information about regular expressions in Java. As an example suppose we would like the delimiter to be a comma. The following example shows how to do this.

```
Scanner input = new Scanner("123,456,789");
input.useDelimiter(",");
System.out.print(input.nextInt() + " ");
System.out.print(input.nextInt() + " ");
System.out.println(input.nextInt());
```

The output from the above frament is 123 456 789. You need to be careful when changing the delimiter. There is no implicit removal of white space once you change the delimiter. For example, if the string passed to create in the above example was changed to "123, 456, 789" by adding spaces, the program would fail throwing an InputMismatchException. Here is a slightly more complicated version that uses as a delimiter a comma, possibly surrounded by one or more white space characters.

```
input.useDelimiter("\\s*,\\s*");
```

The double backslash characters are string escape sequences that translate to a single backslash so the parameter to useDelimiter() is a string with seven characters: backslash, s, \*, comma, backslash, s, and \*. The sequence \s matches any white space character. The \* means repeat the previous pattern zero or more times. So the result is that this delimiter pattern matches zero or more white space characters, then a comma, then zero or more additional white space characters. The following code fragment demonstrates this.

```
Scanner input = new Scanner("123 , \n456,
                                             \t789");
input.useDelimiter("\\s*,\\s*");
System.out.print(input.nextInt() + " ");
System.out.print(input.nextInt() + " ");
System.out.println(input.nextInt());
```

The output from this fragment is 123 456 789. Recall that \n and \t are the string escape sequences for newline and tab, both of which are white space characters.

If you have a string that you know contains just a single primitive value, you can alternatively use methods in the classes Integer, Long, Float, and Double to convert the string to the appropriate value.

TYPE REPRESENTED BY STRING S	CONVERT WITH
int	<pre>Integer.parseInt(s.trim())</pre>
long	Long.parseLong(s.trim())
float	<pre>Float.parseFloat(s.trim())</pre>
double	<pre>Double.parseDouble(s.trim())</pre>

The method trim() from the class String trims any leading or trailing white space. The methods parse-*Type*() will throw a NumberFormatException if they encounter white space in the string being converted.

## 10.4 FORMATTING TEXT OUTPUT

Most programming languages provide some form of formatted output. Formatted output takes two general forms. The approach used for stream output in the programming language C++ is to have the stream remember how certain values should be formatted. A mechanism is then provided for changing the formatting information in the stream. For example, to print three integers i, j, and k in columns 10 characters wide, using C++ streams, we would use

```
cout << setw(10) << i << j << k;
```

In C++ cout is the name of the standard output stream, which is analogous to System.out in Java. The symbol << is an operator that sends its right operand to the stream that is the left operand. The value of the expression stream << value is the stream after doing whatever it is supposed to do with value. Thus, when evaluated from left to right, the expression first sends out the formatting command setw(10), which sets the width of the subsequent output fields to be 10 characters wide. Then the integers i, j, and k are sent in order.

In the other form, some type of formatting information is included in the statement that does the printing. This is the approach used by Java (beginning with version 5.0) and the programming language C. To print three integers i, j, and k in columns 10 characters wide in Java we can use:

```
System.out.printf("%-10d %-10d %-10d", i, j, k);
```

We can of course do this type of printing not only with the PrintStream referred to by System.out, but using any reference to a PrintWriter or PrintStream object. The first parameter for the method printf() is a format string. The percent sign marks the format specification for one value to be printed. The -10d indicates that the value is an integer (d for decimal) in a field 10 characters wide that is left-justified in the field. The specification %10d makes the value right-justified in the field. Similar format specifications are provided for printing strings, floating point values, and other types.

The formatting capability provided by prinf() in Java is quite extensive and is fully documented in the class java.util.Formatter. We provide a description of a subset of that functionality here.

A format string consists of conversion specifications intermixed with plain text. The general form of a conversion specification is

```
%[argument_index$][flags][width][.precision]conversion
```

The square brackets indicate optional portions of the conversion specification. The meaning and permissability of the optional portions depends upon the conversion character(s). The conversion part of the specification is either one character, or two characters where the first is 't' or 'T'.

## 10.4.1 CONVERSION CHARACTERS

Some conversions are only valid for specific types of arguments. There are five categories of conversions which indicate the types of legal aguments for conversions in that category. In addition, the two conversion characters, % and n do not correspond to any arguments. The conversion character % produces a % in the output string. The conversion character n produces a platform dependent line separator in the result string. This line separator may be either 1 or 2 characters long. Here are the five categories of conversions that do apply to some type of argument.

- 1. General can be used with any argument type.
- 2. Character used with char, Character, byte, Byte, short, Short, int, and Integer. This conversion is only valid for int and Integer if the argument is between 0 and 0x10FFFF inclusive.
- 3. Integral used with byte, Byte, short, Short, int, Integer, long, Long, and BigInteger.
- 4. Floating Point used with float, Float, double, Double, and BigDecimal.
- 5. Date/Time used with long, Long, Calendar, and Date.

The conversion characters are described briefly in the following table. In some cases we have made unstated simplifying assumptions or omissions for brevity. You should consult the Java specification for the complete details.

SYMBOL	CATEGORY	DESCRIPTION
b	general	For boolean types, the result is true or false. For null the result is false. For all other non-boolean values the result is true.
h	general	The hexadecimal value of the hash code of the argument or null if the argument is null.
S	general	The normal string representation of primitives or the result of calling toString() for non-primitive types.
С	character	A Unicode character.
d	integral	A decimal integer.
0	integral	An octal integer.
X	integral	A hexadecimal integer.
е	floating point	Computer rendering of scientific notation. The same notation used for floating point literals.
f	floatint point	A decimal number (no exponent).
g	floating point	The same as either e or f depending upon the magnitue of the argument.
a	floating point	A hexadecimal floating point value.
t	date/time	Prefix indicating one more conversion character follows. See the Java specification for details.
%	no arg	Produces a % in the result.
n	no arg	Produces a platform dependent line separator.

The conversion characters applicable to numeric types can be modified with one or more flags as shown in the following table. In addition, the flag '-' is applicable to all conversion specifications.

FLAG	DESCRIPTION
-	The result will be left justified in the field. The default is right justification.
#	A conversion character specific alternate format should be used.
+	Always include a + for postive values. The default is no + sign.
6 6	Insert a leading space in front of postive values. An IllegalFormatFlagsException will be thrown if both ''and + are present.
0	Pad with leading zeros instead of spaces to fill the field.
,	Locale specific grouping characters will be inserted (e.g. 123,456 in the US).
(	Negative numbers will be enclosed in paretheses.

## 10.4.2 WIDTH AND PRECISION SPECIFICATIONS

The width specifier in a conversion specification is a positive integer specifying the minimum number of characters that should be inserted into the result for this conversion. If no width specifier is included then just enough characters to hold the converted value are included in the result.

The precision specifier is interpreted differently for different conversion characters as shown in the following table. If a conversion character is not shown in the table then the precision specification is not allowed and its presence will result in an exception.

CONVERSION CHARACTERS	EFFECT OF PRECISION SPECIFICATION
e, f	The precision specifies the number of digits that will be shown to the right of the decimal point.
g	The precision specifies the maximum number of significant digits that will be shown in the result.
b, h, s	The precision specifies the maximum number of characters that will be shown for this argument.

## 10.4.3 SELECTING ARGUMENTS FOR CONVERSION

By default the conversion specifications are matched, in order, with the arguments following the format string. This can be modified using the argument\_index\$ portion of a conversion specification.

The argument index, if present, is used to indicate which of the arguments following the format string this conversion specification should be applied to. The first argument following the format string is 1\$, the second 2\$, and so on. Arguments may be referenced by more than one argument index. The conversion specifications that do not include an argument index are assigned implicit argument indicies in order, ignoring conversion specifications that have explicit argument indicies. For example, in "%s %3\$d %s %2\$d %s", the three "%s" conversions will be applied to arguments 1, 2, and 3, in that order.

## 10.4.4 JAVA'S PRINTF() VERSUS C'S

For readers already familiar with programming in C, the influence of C's printf() on Java's printf() is obvious. What may not be so obvious are the differences. We would like to bring your attention to a few of them.

The most important difference is that in Java, if you attempt to apply a format conversion to the wrong type of argument, the printf() will throw an exception. In C, the program will print something, most likely garbage.

Similarly, in Java if you fail to provide as many arguments as there are conversion specifiers in the format string, the printf() will throw an exception. In C, the program will quietly print garbage.

Of less importance are some small differences in the format specifications. For example, C uses the precision specification with integral conversions to specify the minimum number of digits to print - padding with leading zeros if necessary. Also C has fewer conversion characters, for example, there are no conversions specifically for date and time, and C does not have a boolean type.

## 10.4.5 VAR ARGS

The printf() facility in Java uses another feature that is new in Java 5.0, namely variable length argument lists, or "var args" for short. Variable argument support is a modest extension of the autoboxing feature dicussed in Section 7.11.2, *Automatic Boxing of Primitives*, on page 220. It gives the appearance of having a single method that accepts a variable number of arguments of unspecified types. This is actually achieved by passing an array of Object that is automatically constructed at the call site. This is shown in the following example.

```
//VarArgs.java - demonstrate variable argument lists
class VarArgs {
  public static void main(String[] args) {
    varArgs(1,2,"three",4.4);
    varArgs("five",6);
    varArgs();
  }
  static void varArgs(Object... args) {
    for(Object obj : args) {
      System.out.print(obj + ", ");
    }
    if (args.length > 0)
      System.out.println(args[0]);
    else
      System.out.println("no args");
  }
}
```

## Dissection of VarArgs.java

```
//VarArgs.java - demonstrate variable argument lists
class VarArgs {
  public static void main(String[] args) {
    varArgs(1,2,"three",4.4);
    varArgs("five",6);
    varArgs();
}
```

We call the method varArgs() once with 4 arguments, once with two, and once with zero arguments. In addition, the types of the arguments vary. Without variable argument support, this would only be legal if we provided three overloaded definitions of varArgs() with the appropriate number and type of parameters. Using the variable argument support we only need one method definition.

```
static void varArgs(Object... args) {
```

The parameter type <code>Object...</code> declares that this method expects an array of <code>Object</code>. Except for the autoboxing at the call site, it is just as if the method had instead been declared as

```
static void varArgs(Object[] args) {
```

The ... is the signal to the compiler to box any primitive values and combine all of the parameters into an array.

```
for(Object obj : args) {
   System.out.print(obj + ", ");
}
```

We use this loop to print each of the arguments.

```
o if (args.length > 0)
    System.out.println(args[0]);
```

This shows that args is indeed an array and normal array indexing is allowed.

```
else
    System.out.println("no args");
```

This shows that indeed you can call the method even with zero parameters, in which case you get an array of length zero.

You can use the ... for variable arguments with any Java type. For example, here is the same example but with a variable number of integers being passed.

```
//IntVarArgs.java - demonstrate variable argument lists
class IntVarArgs {
  public static void main(String[] args) {
    varArgs(1,2,3);
    varArgs(1,2);
    varArgs();
}
```

```
static void varArgs(int... args) {
    for(int str : args) {
      System.out.print(str + ", ");
    if (args.length > 0)
      System.out.println(args[0]);
    else
      System.out.println("no args");
}
```

The variable arguments facility should be used sparingly, only when absolutely required. It should not be used to undermine the strong type checking of Java. For example, if you have a method that works with either 2 or 3 integers, you should create two overloaded methods instead of using variable arguments. The problem with using variable arguments when overloading will suffice is that it moves error detection from compile time to run time. For example, with method overloading if you supply too few parameters you get a syntax error from the compiler. Using var args you will not detect a shortage of parameters until runtime, if at all. The shortage might appear as an ArrayIndexOutOfBoundsException, or worse it might simply go undected causing erroneous output.

# 10.5 PROBLEM SOLVING: ENCRYPTING TEXT FILES

An important technique for providing security for files is encryption. In ordinary text, called *clear text*, the text is written in words that are part of the reader's vocabulary. In this book, that vocabulary is English, With encryption, the text is transformed to apparently meaningless characters. Typically, encryption is done with an algorithm called a *cipher*. For example, a simple cipher is the *Caesar cipher*. Here the algorithm replaces each clear text letter by a letter chosen to be n places later in the alphabet. The number of places, n, is called the cipher key. For example, if the key is 1, the clear text "I came, I saw, I conquered." becomes "I dbnf, I tbx, J dporvfsfe."

The characters in Java are encoded as Unicode, and these codes are 2-byte integers. For example, the character 'A' is the number 65 and the character 'a' is the number 97. The blank character '' is the integer 32. We restrict our discussion here to encoding the uppercase alphabetic characters. The set of characters 'A', 'B',..., 'Z' correspond to the integers 65-90.

The Caesar cipher can be implemented as a Java method that transforms the character value by the value of the key.

```
//Caesar Cipher method
static char caesarCipher(char c, int key) {
  return (c - 'A' + key) \% 26 + 'A';
```

This code is easy to break because it relies on a very simple substitution. If we have a long message in this cipher, we can use frequency tables to decrypt this cipher (see Exercise 15 on page 368). Or we can write a program that runs through the keys 1-26 and see if they yield clear text.

A slight generalization of the Caesar cipher is the *Vianere cipher*. Here we use a repeated series of displacements such as 1 3 5 to produce the text.

```
Clear text
                  I AM GOING TO UCSC
Offset
                  1 35 13513 51 3513
Vignere cipher
                  J DR HRNOJ YP XHTF
```

One immediate improvement is that different occurrences of a particular letter are mapped to different cipher letters. For example, the first I in the example above is mapped to J but the I in GOING is mapped to N. The longer the Vignere key, the better the cipher. Such an encryption method can be coded in the following form.

```
//Vignere Cipher method
static char vignereCipher(char c, int[] key) {
   //left as an exercise
}
```

This code is more difficult to break. Indeed, the longer the sequence of keys, the harder it is to break the cipher. If the key is as long as the clear text, we have the *Vernam* cipher, better known as a *one-time pad*. Such a system is provably unbreakable. If the one-time pad involves a randomly generated set of displacements, the cipher is random and only someone with the key can decrypt it.

We can simulate such a scheme by using a pseudorandom number generator to produce the one-time pad. Our scheme first involves taking the uppercase alphabet and mapping it onto 0-25. Next, we add in random-Letter—a value between 0 and 25, inclusive. We now have values between 0 and 50 that are pseudorandom, and we map them back onto the values 0-25 and add 'A' to get Unicode character values for the characters in the cipher text. Thus our total encryption formula is

```
((c - 'A' + randomLetter) % 26 + 'A')
and the corresponding decryption formula is
((c - 'A' + 26 - randomLetter) % 26 + 'A')
```

We must start encryption and decryption at the same point in our pseudorandom sequence. What happens if we forget to do so? (See Exercise 16 on page 321.)

Let's use our random number simulation of the one-time pad to encrypt the characters of clear text. In our code we use the class Random to obtain appropriate random numbers. It is found in <code>java.util.Random</code>. The method <code>nextDouble()</code> acting on a Random object returns a random value between 0 and 1. Extending this to decryption is left for you to do as Exercise 17 on page 368.

```
//VernamCipher.java - cipher based on random pad
import java.io.*;
import iava.util.*:
                    //random number methods
class VernamCipher{
 public static void main(String[] args) throws IOException
    if (args.length < 3) {
      System.out.println("Usage: " +
        "java VernamCipher clearFile codeFile key(an int)");
      System.exit(1);
    }
    // open the input and output files
    Scanner in = new Scanner(new File(args[0]));
    PrintWriter out = new PrintWriter(args[1]);
    // use the key to start the pseudo-random sequence
    Random r = new Random(Integer.parseInt(args[2]));
    // encrypt one line at a time
   while (in.hasNext()){
      out.println(encrypt(in.nextLine(), r));
    in.close();
    out.close():
```

```
/**
     Encrypt one string using a Vernam cipher.
     @param message - a string to be encrypted
    @param r - the source of random characters for the encryption
    @return the encrypted string
  public static String encrypt(String message, Random r)
    // for monitoring purposes print the unencrypted string
    System.out.println(message);
    char c;
    // for efficiency use a StringBuffer instead of a String
    StringBuffer cipher = new StringBuffer(message.length());
    for (int i = 0; i < message.length(); i++){
      c = message.charAt(i);
      if (Character.isLetter(c)) {
        // for simplicity we only handle upper case letters
        int newc = Character.toUpperCase(c) - 'A';
        newc = (newc + (int)(r.nextDouble() * 26)) % 26 + 'A';
        cipher.append( (char)newc );
      }
      else {
        // don't change non-letters
        cipher.append(c);
      }
    }
    String result = cipher.toString();
    // for monitoring purposes print the encrypted string
    System.out.println(result);
    return result;
}
```

# 10.6 READING AND WRITING BINARY FILES

For development purposes, you should use text files whenever possible. When that isn't possible or desirable, you can use the two classes DataInputStream and DataOutputStream to read and write binary files. The methods in DataInputStream include

```
readBoolean()
readByte()
readChar()
readDouble()
readFloat()
readInt()
readLong()
readShort()
readUTF()
```

Each method has a counterpart in DataOutputStream that begins with write instead of read. In each case the method reads or writes the specified primitive type, or in the case of UTF, it reads or writes string values. The strings are encoded with a modified UTF-8 format; hence the name of the method. This format allows Unicode strings composed entirely of characters from the ASCII set to be encoded with 1 byte per character instead of the 2 bytes required for Unicode characters without any encoding.

There is no type information stored in a binary file other than information that is explicitly written by the program creating the binary file. Therefore it is essential that the sequence of reads when reading a file must exactly match the sequence of writes used to generate the file.

In the following program, 1 int and 10 double values are written to a file and then are read back in from the file. Note that nothing is written to the file to separate the values. The binary format knows to read 4 bytes for an int and 8 bytes for a double. If you try to view the output file *test.bin* with an editor, you will see garbage. Again, we need two classes to read (or write) a file. The class <code>DataInputStream</code> has the desired methods <code>readInt()</code> and so on, and the class <code>FileInputStream</code> creates a byte stream from a file.

```
//BinIOTest.java
import java.io.*;
class BinIOTest {
 public static void main(String[] args) throws IOException {
    DataOutputStream out =
        new DataOutputStream(new FileOutputStream("test.bin"));
    double x = 1.0;
    int count = 10:
    out.writeInt(count);
    for (int i = 0; i < count; i++) {
      out.writeDouble(x);
      x = x / 3.0;
    }
    out.close();
    DataInputStream in = new DataInputStream(new FileInputStream("test.bin"));
    count = in.readInt():
    for (int i = 0; i < count; i++) {
      System.out.println(in.readDouble());
 }
}
```

Compare the preceding example with the following example, which does the same thing but with a text file. Here we use println() to place a newline character between each consecutive pair of values in the file. Without these newline characters, all the characters would run together, and we wouldn't know where one number ends and the next begins. The file *test.txt* can be viewed with any standard text editor. If this were a real application that was storing millions of values instead of 10 values, the execution time and size difference of the two resulting files, *test.bin* and *test.txt*, would be substantial.

```
//TextIOTest.java
import java.io.*;
import java.util.Scanner;

class TextIOTest {
   public static void main(String[] args) throws IOException {

    PrintWriter out = new PrintWriter("test.txt");
    double x = 1.0;
    int count = 10;
    out.println(count);
    for (int i = 0; i < count; i++){
        out.println(x);
        x = x / 3.0;
    }
    out.close();</pre>
```

```
Scanner in = new Scanner(new File("test.txt"));
    count = in.nextInt();
    for (int i = 0; i < count; i++){
      System.out.println(in.nextDouble());
    }
 }
}
```

Trying to use a DataInputStream object to read from System.in is tempting. Although the following program will compile and execute, it won't give the expected results for text based input. As we explained in Section 10.1, Types of Files, on page 299, the DataInputStream is interpreting each byte as 1 byte of a 4-byte integer. However, the bytes produced by the keyboard are the ASCII representations of each digit.

```
//MisuseDataInputStream.java - doesn't read correctly
import java.io.*;
class MisuseDataInputStream {
 public static void main(String[] args) throws IOException {
    DataInputStream input = new DataInputStream(System.in);
    System.out.println("Enter 4 integers.");
    for (int i = 0; i < 4; i++){
      int value = input.readInt();
      System.out.println("You entered " + value);
    }
 }
}
```

#### COMMON PROGRAMMING ERROR

Care must be taken when you're writing files. Depending on the operating system you're using and the file protections that are set, it may be possible to accidentally overwrite an existing file. In general, Java systems allow you to open an existing file for output. Using the methods previously described, any existing data are replaced with the new data. For example, if you execute the program HelloFile while in a directory that already contains a file named *hello.txt*, any data previously in the file are lost and no warning will be given.

To append data to an existing file you can use the class RandomAccessFile. This class is intended for use with binary files and provides methods similar to those in the classes DataInputStream and DataOutputStream. In addition, it allows you to skip to the end of the file before beginning to write.

Another way to append data to a file is to create an output stream and copy the existing file to a temporary file. Then using the same output stream, you can append new data. When finished, you can close the output stream and rename the temporary file using the method renameTo() in the class java.io.File. If you take this approach, be sure to select a long meaningless file name for the temporary file. A good solution is to name the file something like *temp123456*, where the digits are chosen randomly by calling Math.random(). An even better solution is to use the method File.createTempFile(), which creates a temporary file in the operating system's default temporary directory.

The class File also has methods that allow you to determine whether a file already exists and, if so, whether the file can be read or written.

# 10.7 DETECTING THE END OF AN INPUT STREAM

Because streams are most often, at least historically, associated with files, the end of a stream is referred to as end-of-file (EOF). Detecting the end of a stream varies from class to class. The EOF is a sentinel, or guard,

value that is important for most file-reading programming idioms. Its detection is often used to terminate processing on that file.

If you're using the class Scanner, you can test whether more text remains to be read by calling hasNex-tXXX() where XXX can be any of several different things such as Int or Float. If you fail to check for EOF by calling one of these "hasNext" methods and try to read beyond the end of the stream, the read operation will throw a NoSuchElementException.

A third way of signalling EOF can be found in <code>java.io.BufferedReader</code>, among other places. The method <code>BufferedReader.readLine()</code> reads the next line of input and returns it as a <code>String</code>. If there are no characters to return because the EOF has been reached then the method returns <code>null</code>. For methods such as <code>readLine()</code> that return a value of type <code>String</code>, the value <code>null</code> is a legal return value that doesn't correspond to any string value that could be read in. Note that <code>null</code> isn't the same as the empty string, "". An empty string occurs if a line containing nothing but a newline character is read, which is quite different from encountering the EOF.

The class <code>DataInputStream</code> uses both throwing an exception and returning a distinguished value to signal EOF. The methods for reading specific primitive values discussed in this chapter throw an <code>EOFException</code> when you try to read beyond the end of a stream. However, <code>DataInputStream</code> also includes methods for reading individual bytes that don't throw <code>EOFExceptions</code>. For example, the method <code>read()</code> returns the next byte as an <code>int</code> value between 0 and 255, inclusive. If the end of the stream has been reached, the method returns <code>-1</code>. The class <code>DataInputStream</code> also contains a method <code>readLine()</code> that tries to interpret bytes as a string in much the same way as <code>readLine()</code> in <code>ReadInput</code>. The version in <code>DataInputStream</code> doesn't always work correctly, shouldn't be used, and is being phased out. If you try to use it, you'll get a warning message that the method has been <code>deprecated</code>. This message means that the method may not be available in future versions of Java. For reading complete lines of text, the method <code>readLine()</code> in the standard Java class <code>Buff-eredReader</code> can be used.

We summarize this information in the following table.

CLASS	Метнор	EOF DETECTED BY
java.util.Scanner	nextXXX()	<pre>hasNextXXX();</pre>
		NoSuchElementException
java.io.DataInputStream	readPrimitive()	EOFException
	readLine()	returns null
	read()	returns -1
java.io.BufferedReader	readLine()	returns null
	read()	returns -1

In the following example we demonstrate detecting EOF with the standard Java class BufferedReader. The program simply opens the file specified on the command line and echoes its contents to the console.

```
// Echo.java - echo file contents to the screen
import java.io.*;
```

```
class Echo {
 public static void main(String[] args) throws IOException {
    if (args.length < 1){
      System.out.println("Usage: java Echo filename");
      System.exit(0);
    BufferedReader input = new BufferedReader(new FileReader(args[0]));
    String line = input.readLine();
   while (line != null) {
      System.out.println(line);
      line = input.readLine();
 }
}
```

Unfortunately the class BufferedReader does not have a constructor that takes a String as a file name. You must first create a FileReader and then use this FileReader object to create the BufferedReader.

# 10.8 SERIALIZATION AND WRITING OBJECTS

Serialization is the process of taking one or more values in a Java program and turning them into a sequence of bytes suitable for storage in a file system or transmission over a network. Serialization of primitive values is simple and can be done with a DataOutputStream. Serialization of objects is only slightly more complex.

Not all objects can be serialized. For example, you can't serialize an instance of one of Java's I/O classes such as PrintWriter. If you could, then that would mean you could create a PrintWriter, do some printing to it, serialize it to disk, and then later read it back in from disk and continue writing. Although this is doable in theory, it is not something that is supported.

Most objects that can be serialized, can be serialized using the built-in classes for reading and writing objects, ObjectInputStream and ObjectOutputStream from the package java.io. The following example shows that essentially all you need to do is have your class implement the interface Serializable. That interface acts as a flag indicating that the class can be serialized. There are no methods in the interface Serializable. This example consists of three classes. MyObject is a sample user defined class that will be serialized. It contains fields of other objects and an array to show that these will be automatically serialized as well. SerializeOut is a test program that creates a MyObject instance, sets some values for it and then writes it to a file. SerializeIn reads the file created by SerializeOut showing that the MyObject instance was successfully reconstructed from the file.

```
//MyObject.java - sample serializable class
class MyObject implements java.io.Serializable {
  int[] someArrav:
 StringBuffer sbuf, other;
 MyObject(int size, String s) {
    someArray = new int[size];
    sbuf = new StringBuffer(s);
}
 public String toString() {
    StringBuffer result = new StringBuffer();
    result.append("someArray = ");
    for (int i : someArray) {
      result.append(i + " ");
    result.append(", sbuf = " + sbuf + ", other = " + other);
    return result.toString();
  }
```

```
// This method is NOT required.
 private void writeObject(java.io.ObjectOutputStream out)
    throws java.io.IOException
    System.out.println("Writing");
    out.defaultWriteObject();
 // This method is NOT required.
 private void readObject(java.io.ObjectInputStream in)
    throws java.io.IOException, ClassNotFoundException
    System.out.println("reading");
    in.defaultReadObject();
 }
}
//SerializeOut.java - dumps a MyObject to a file
import java.io.*;
class SerializeOut {
 public static void main(String[] args) throws IOException {
    MyObject obj = new MyObject(2, "abc");
    obj.someArray[0] = 10;
    obj.someArray[1] = 20;
    ObjectOutputStream out =
      new ObjectOutputStream(new FileOutputStream(args[0]));
    obj.other = obj.sbuf;
    out.writeObject(obj);
    obj.other.append(" more");
    System.out.println(obj);
 }
}
//SerializeIn.java - reads a MyObject from a file
import java.io.*;
class SerializeIn {
 public static void main(String[] args)
    throws IOException, ClassNotFoundException
    ObjectInputStream in =
      new ObjectInputStream(new FileInputStream(args[0]));
    MyObject obj = (MyObject)in.readObject();
    System.out.println(obj);
    obj.other.append(" more");
    System.out.println(obj);
}
```

It is important to observe that the reconstructed MyObject in SerializeIn has the fields other and sbuf both pointing at the same StringBuffer object. The ObjectOutputStream and ObjectInputStream preserve this sharing of references. This means that you can safely use these classes for serializing even complex data structures such as graphs.

#### Dissection of MyObject.java

class MyObject implements java.io.Serializable {

In most cases, all you need to do to be able to read and write your objects with ObjectInputStream and ObjectOutputStream, is have your class implement the interface Serializable. There are no required methods.

```
int[] someArray;
  StringBuffer sbuf, other;
  MyObject(int size, String s) {
    someArray = new int[size];
    sbuf = new StringBuffer(s);
  }
```

To demonstrate the automatic recursive serialization of fields, we have included an array and two StringBuffer fields in this class.

```
public String toString() {
    StringBuffer result = new StringBuffer();
    result.append("someArray = ");
    for (int i : someArray) {
      result.append(i + " ");
    result.append(", sbuf = " + sbuf + ", other = " + other);
    return result.toString();
  }
```

This is a standard toString() method dumping all of the fields of the object.

```
// This method is NOT required.
  private void writeObject(java.io.ObjectOutputStream out)
    throws java.io.IOException
    System.out.println("Writing");
    out.defaultWriteObject();
  }
```

Like the comment says, this method is not required. It is included to show you how to include special processing during serialization if required. Notice the unusal type signature for this method. It is declared to be private, yet it is never called from within this class. The call to defaultWriteObject() invokes the standard serialization code - what you get if you don't provide a writeObject() method yourself.

```
// This method is NOT required.
private void readObject(java.io.ObjectInputStream in)
  throws java.io.IOException, ClassNotFoundException
  System.out.println("reading");
  in.defaultReadObject();
}
```

As with writeObject(), this method is not required. It is here to show how you can intervene in the reading of a serialized object if necessary.

## 10.9 PROGRAMMING STYLE

Although GUI-based programs currently dominate much of computing, many programs are still invoked from a command line such as a Windows or Unix command line interpreter, also called a *shell*. These programs typically have one or more parameters that are specified on the command line. Remembering the exact sequence or names of some parameters is often difficult. GUI-based programs often have a help button or menu item that can be helpful to a user. Command line-based programs can also provide online help. A standard way of providing minimal help is a default help message that's printed when no command line arguments are specified. By convention this message takes the form

Usage:programName arg1 arg2 ...

This message may be followed by a detailed explanation of the arguments or entry into an online help facility. For any Java programs that use command line arguments, you should follow this convention. Very early in the program, the size of the args array passed to main() should be checked. If the array isn't the right length, the usage message should be printed.

Also by convention, command line arguments beginning with a dash are optional arguments. Combining several of these optional arguments is often possible. A common type of online help is to have a command line argument -help or -h, indicating that an extended help message should be printed out—or, if available, an interactive online help facility should be invoked. You can easily check for arguments that begin with a dash by using

```
if (args[i].charAt(0) == '-') ...
```

Building online help facilities into your command line-based programs can make them much more user friendly.

## **SUMMARY**

- A file is a sequence of text or binary data that is typically accessed sequentially. A Java stream is a sequence of bytes. An input stream may be coming from a file, from keystrokes at the console, or from a network. Likewise, an output stream may be going into a file, to the console screen, or out over a network.
- You can use the class PrintWriter from the package java.io to write text to a file. The primary methods in the class PrintWriter are the familiar print() and println().
- You can use the familiar Scanner class to read from a file by passing the constructor for Scanner a reference to an instance of java.io.File. The File object can be constructed from a string representing the name of the file.
- The Scanner class can be used to parse strings. You can also change the delimiter used to separate the tokens recognized by a Scanner object.
- Beginning with Java 5.0, you can format text output using the method printf() in the PrintStream and PrintWriter classes.
- The printf() method is an example of a method that accepts a variable number of arguments.

- An important source of security for files is encryption. In encryption, ordinary text, called clear text, is transformed to apparently meaningless characters. Typically, encryption involves the use of an algorithm called a cipher.
- The two classes DataInputStream and DataOutputStream can be used to read and write binary files. These classes provide methods for reading and writing strings and all primitive types.
- There are two primary approaches to detecting the end of file (EOF) when reading data. If a distinguished value is available, such as null for readLine(), then EOF is signaled by having the method return the distinguished value. If no distinguished value is available—that is, all values are legal values—then EOF is signaled by throwing an EOFException.
- Many, if not most, Java objects can be serialized that is, they can be converted to a stream of bytes. These bytes can then be stored in a file or sent across a network. Java provides support for this with the ObjectInputStream and ObjectOutputStream classes from the package java.io.

## **REVIEW QUESTIONS**

- 1. What two major file divisions were discussed in this chapter? Give an example of each.
- 2. To what type of object does Console.in refer?
- 3. What is a Caesar cipher? How can a Caesar cipher be broken?
- 4. What is a Vignere cipher? Why is a Vignere cipher harder to break than a Caesar cipher?
- 5. A Vernam cipher is better known as a \_\_\_\_\_.
- 6. What cipher discussed in this chapter is provably unbreakable?
- 7. What method can be used to capitalize alphabetic characters?
- 8. How is EOF detected when numbers are being read with the class ReadInput?
- 9. How is EOF detected when numbers are being read with the class DataInputStream?
- 10. In general, which results in a more compact representation of numeric data: a text file or a binary file?
- 11. True or false? The method readInt() from the class DataInputStream can be used to read integers from the keyboard if you construct a DataInputStream from System.in.
- 12. Give a Java expression, without using method calls, that converts an uppercase letter to its lowercase equivalent.
- 13. When you use writeInt() from DataOutputStream, how many bytes are written for the value 123456789? How many bytes are written for the same value if you use println() from PrintWriter?
- 14. What method from which class can be used to read strings from a binary file?
- 15. If the file *someData* already exists and you open the file for writing with new PrintWriter(new File-Writer("someData")), what happens? Are the data in the file overwritten? Or are new data added to the end of the file?

#### **EXERCISES**

- 1. Write an array of strings to a file named *strings.txt*. Initialize the array with the four strings "I am", "a text", "file written", and "to strings.txt".
- 2. Create an array of strings and fill it with values by reading them from the console using method read-Line() from java.io.BufferedReader. Write the array to *save.txt*. Specify the number of strings by asking the user to enter the number of lines to be read.
- 3. Redo Exercise 2 to end when the input is a special sentinel character. For example, you may use an empty string as the sentinel. You need not save all the input as an array of strings. You can use a single String variable to receive each line in turn.
- 4. Write a program that prints 1000 random numbers to a file.
- 5. Write a program to read 1000 random numbers from a file (see Exercise 4) and plot their distribution. That is, divide the interval 0–1 into tenths and count the numbers that fall into each tenth.
- 6. Modify Exercises 4 and 5 to allow the user to specify the number of random numbers and the name of the file on the command line. Store the number of numbers as the first item in the output file.
- 7. Redo Exercise 6 without storing the number of numbers as the first entry in the file. Use hasNextDouble() in the class Scanner to detect the end of the input file.
- 8. Redo Exercise 6, using writeDouble() and readDouble() from DataOutputStream and DataInput-Stream, respectively.
- 9. Read a text file and write it to a target text file, changing all lowercase to uppercase and double spacing the output text. Use String and StringBuffer variables for internal manipulations.
- 10. Write a program that reads the bytes of a file one at a time with read(), which is a method in every input stream inherited from InputStream. You can open an input stream from a file with the expression new FileInputStream("filename"). Use System.out.println() to print the bytes, placing 4 bytes on each output line. Print the bytes in hexadecimal, padding with zeros so that each byte is represented by two digits. The hexadecmal digits are 0-9 and a-f. You can get the hexadecimal string representation of an int value x by using Integer.toHexString(x). Try running this program on a text file and then on a binary file. Try it on several class files created by compiling some Java source files. Do you notice anything about the first 2 bytes of those files?
- 11. Modify the program in the previous exercise to also print the character value of any bytes in the range 32 to 126, inclusive. Print the characters on the same line separated by a few spaces—for example,

4578616d Exam 706c6521 ple!

- 12. Create a class Table that represents a table of floating point values. A table is specified by a two-dimensional array of double values, a one-dimensional array of strings that label the rows, and a one-dimensional array of strings that label the columns. The class contains a constructor and one method, print(). The print() method should take one parameter, an OutputStream. The method print() should print the table, nicely formatted to the specified OutputStream. Use the printf() to format the output. Print at most two digits to the right of the decimal point for the values in the table.
- 13. Locate and read the documentation for java.text.NumberFormat. Construct a NumberFormat object with a pattern for monetary amounts. For example, in the United States, 12345.67 should be formatted

as \$12,345.67. Use NumberFormat to modify the table in the previous exercise so that the values in the table are formatted as monetary values.

- 14. Make up a Vignere code based on the word "APPROXIMATE" as a key. Use it to encrypt a clear text file and write it out to the end of the clear text in the same file.
- 15. Write a program that reads a text file and computes the relative frequency of each of the letters of the alphabet. You can use an array of length 26 to store the number of occurrences of each letter. You can use Character.isLetter() to test for letters and then Character.toLowerCase() to convert all letters to lowercase. Subtracting 'a' will then give you a value in the range 0 to 25, inclusive, which you can use to index into the array of counts.
- 16. Run the program from the previous exercise on several large text files and compare the results. How can you use this information to break a Caesar cipher?
- 17. Modify the class VernamCipher in Section 10.5, Problem Solving: Encrypting Text Files, on page 310, to allow the user to specify the key as a third, optional command line argument. If none is specified on the command line, prompt the user to enter the seed at the terminal. This seed is the key needed to decode the encrypted file.
- 18. Write a program to decode the results of encoding a file using the VernamCipher program in Section 10.5, Problem Solving: Encrypting Text Files, on page 310. You will need to use the same seed for encoding and decoding. What happens if you insert one extra character or drop one character from the encrypted file?
- 19. Write a program that tests whether a file exists. It should read the file name from the console and then print "exists" or "doesn't exist." The class File in the standard package java.io contains a method exists().
- 20. Write a program with a GUI that reads text one line at a time from a designated file and displays the line in a JTextField. The file name should be placed in a text window and a button event used to open it. There should be a button for signaling that you want the next line.
- 21. Alter the program in the previous exercise to include a designated named output file. The text line should be modifiable and the modified text should be sent to the output file. Note how this is the beginning of an editor program.
- 22. A JTextArea component is like a JTextField, but it can display multiple lines. In Section C.6, The Class JTextArea, on page 438 we show how to use a JTextArea. Use a JTextArea component to create a simple editor. Allow the user to enter and edit text, save the text to a file, and specify a file for editing.

# COPING WITH ERRORS

"To err is human" (Alexander Pope, 1717).

With very few exceptions most software contains defects produced by human error. Some defects are detected and removed during software development. Some defects result in program failures when the "completed" software is used, and some defects may go undetected.

In this chapter we will discuss four different strategies for dealing with software defects. We will begin by describing Java's exception handling mechanism. The Java exception handling mechanism makes it possible to design programs to "catch" certain types of unexpected conditions that occur during program execution and take remedial action. These unexpected conditions could be due to erroneous input, or failures in one part of a program that can be dealt with thus preventing the entire program from failing. Such unexpected conditions are called *exceptions*.

Exception handling mechanisms allow a program to recover and continue when one part of the code does something erroneous. Better still would be to design the code to not exhibit the erroneous behavior in the first place. This is generally handled using testing which is the second major topic of this chapter. Although formal proofs of correctness have been pursued for many years, such techniques are still largely a research topic, leaving testing as one of the primary mechanisms for ensuring software quality.

Testing involes providing some input to a program or method and observing the results to see if they are as expected. Test case generation is often done as a separate process from coding. A complimentary process to the development of external testing is to build a form of testing right into the code. These built in tests are called *assertions*. Java has a keyword, <code>assert</code>, that can be used to insert tests directly into the code that remain part of the source. When an assertion fails it throws an exception.

Once a defect has been detected either as a result of testing or as the result of some failure during use, it is still necessary to locate the cause of the defect. This can be a very difficult and time consuming process. A debugger is a tool that can aid in the process, allowing a programmer to control the execution of a program and observe the internal state of the program as it executes. In the last section of this chapter we will discuss debugging and give an introduction to debuggging using the standard Java debugging tool, jdb.

## 11.1 EXCEPTIONS

Until now, we have viewed an exception as an error that resulted in the program being terminated. However, there are many kinds of exceptions; sometimes the program doesn't need to be terminated. Some exceptions that we have discussed in previous chapters are

- ArrayIndexOutOfBounds—when an array index is less than zero or too big;
- NullPointerException—when an attempt is made to call a method or access a field of an object using a reference that is null; and
- ArithmeticException—results from attempting to divide by zero.

## 11.1.1 EXCEPTION HANDLING WITH try AND catch

A *robust program* deals gracefully with unexpected input. For example, when asking a user to enter numeric data in a certain range, a robust program determines whether the data are numeric and within range. If the data aren't numeric or are out of range, some appropriate action, such as asking the user to reenter the data, should be taken. In general, this type of run-time error checking can be done with the basic control flow constructs from Chapter 3, *Statements and Control Flow*; however, doing so can be cumbersome.

Suppose that we want to prompt the user for an integer, read it in, and do the checking suggested. Here is how we currently read in an integer.

```
Scanner input = new Scanner(System.in);
...
int myData = input.nextInt();
```

In order to make this procedure robust, we need some mechanism for indicating that the next sequence of characters in the input stream doesn't constitute a valid integer. One option would be to modify the method nextInt() to take a status parameter. Because the parameter would need to be modified by nextInt(), we need to pass a reference to an object that contains a boolean. We can do so by using the following trivial class.

```
class Status {
  boolean flag;
}
```

By using true to indicate success and false to indicate failure, we could check the value of the status parameter with

```
//nextInt() isn't defined to work this way
Status status = new Status();
int myData = input.nextInt(status); //hypothetical
if (!status.flag) {
    // put error handling code here
}
```

However, there are some problems with this approach. We needed to add an extra parameter to the method <code>nextInt()</code>, declare the <code>status</code> variable in our code, and then test <code>status</code>. More important, we needed to test the value, even though we expect that <code>status</code> will always be <code>true</code>. So, under normal conditions, the time needed to set and test the status variable is wasted time. To add to the complexity of this approach, the preceding code may be inside another method that doesn't know how to handle the error case. In this situation, we also have to add a status parameter to the enclosing method. Then the error handling code simply sets the status parameter passed to it to be <code>false</code> and returns as shown in the following code.

```
int processInput(..., Status status) {
 //nextInt() isn't defined to work this way
 int myData = input.nextInt(status);
 if (!status.flag) {
              // have to return something
    return 0; // assume return value will be ignored
 // go on with normal processing
```

Programning language designers recognized this pattern of returning status values that are then tested. They realized that they could clean up such programs by providing a special mechanism for this procedure. The mechanism is called exception handling.

An exception is a condition in a program that is expected to occur only rarely if ever. In the preceding example, if the user follows instructions and enters the proper type of data, no exception (think of it as an error) will occur. In the exceptional case, when the user fails to follow instructions and types something that isn't an integer, the method trying to read the integer will throw an exception.

The word *throw* describes the action of an exception occurring. It may be useful to think of throw as in "to throw a special value to the operating system." Unless the program explicitly does something to recover from the exception, the program aborts and displays an error message.

The word *catch* describes the construction used to specify what to do when an exception occurs. The program specifies some code that is to be executed if an exception occurs, in which case the error is caught and prevented from aborting the program.

A catch includes a block of code for handling the exception type, specified as a parameter, after the keyword catch. It occurs immediately after a try block. Syntactically, a try block is a block of code that has the keyword try as a prefix. The basic layout for catching an exception is

```
try {
  // some code here that might throw an exception
catch (ExceptionType Identifier) {
  // some code here to handle the exception
```

If any statement in the block following try throws an exception of type ExceptionType, control is immediately transferred to the code in the block following catch. The rest of the statements in the block with the statement throwing the exception are skipped. When the catch block is executed, the variable *Identifier* refers to a type *ExceptionType* object that describes the exception.

The first example discussed in this section, when written using exceptions, becomes

```
int myData;
try {
 myData = input.nextInt();
catch (InputMismatchException e) {
  // put error handling code here
```

The method Scanner.nextInt() throws a InputMismatchException if the next set of characters in the input stream doesn't constitute a legal integer. If the exception is thrown, no characters from the input stream are consumed and myData remains unchanged—the assignment won't happen.

If instead the error is going to be handled at a higher level, then we don't need to do anything at the point of the call to readInt(). The method processInput() becomes

```
int processInput(...) {
    ...
    int myData = input.nextInt();
    // go on with normal processing
    ...
}
```

In this case, when the exception occurs in <code>nextInt()</code>—because there is no try block—the exception is immediately passed up to the next higher level. That is, as before, the assignment to <code>myData</code> doesn't occur. In addition, the method <code>processInput()</code> returns immediately, throwing the same exception thrown by <code>nextInt()</code>. There is no return value because any assignment involving the value returned from <code>processInput()</code> also doesn't happen.

Presumably, in this situation, the exception is caught at a higher level—for example, at the point that processInput() is called. So let's try this approach, which seems to work better.

```
inputReadOK = false; // allow loop to execute once
while (!inputReadOK)
  try {
    x = processInput(...);
  }
  catch (InputMismatchException e) {
    System.out.println(e);
    input.next(); //discard the bad input value
    System.out.println("Please try again...");
  }
  inputReadOK = true; // successful input
}
// go on with normal processing
```

The following code robustly reads one integer from the console. If the user doesn't type an integer, he or she is prompted to try again.

```
// ExceptionExample.java - catch an exception
import java.util.*;
public class ExceptionExample {
 public static void main(String[] args) {
    Scanner input = new Scanner(System.in);
    int aNumber = 0;
    boolean success = false;
    System.out.println("Type an integer.");
    while (!success) {
        aNumber = input.nextInt();
        success = true;
      }
      catch (InputMismatchException e) {
        String inputString = input.next();
        System.out.println(inputString + " is not an integer. Try again!");
      }
    System.out.println("You typed " + aNumber);
    // continue with code to process aNumber
 }
}
```

## Dissection of the Class ExceptionExample

```
while (!success) {
```

This loop continues until the assignment success = true is executed.

```
try {
    aNumber = input.nextInt();
    success = true;
  }
```

If an InputMismatchException occurs while any statement in the try block is being executed, control is immediately transferred to the first statement in the catch block. In this case, the call to nextInt() may throw a InputMismatchException, in which case aNumber remains unchanged and the subsequent assignment success = true won't execute; hence the while loop repeats.

```
catch (InputMismatchException e) {
    String inputString = input.nextInt();
    System.out.println(inputString + " is not an integer. Try again!");
  }
```

In this example we ignore the parameter. Having arrived at this catch block tells us all we need to know—an InputMismatchException occurred in the previous try block. Because nextInt() is defined not to consume any input characters if it fails, we use next() to read the offending white-space delimited string of characters. We print an appropriate message and then continue with the statement following the try-catch statement. The exception has been handled and normal execution resumes.

```
while (!success) {
    . . .
    success = true;
  System.out.println("You typed " + aNumber);
  // continue with code to process aNumber
```

Eventually the user will type a legal integer. At that time the assignment to success is reached, the end of the try block is reached, the catch block is skipped, and the loop exits.

#### COMMON PROGRAMMING ERROR

Failure to verify that data were correctly entered is a common programming error. In robust programs input should be tested to determine whether it is both syntactically and semantically correct. Frequently, good practice is to ask the user to confirm the value entered. The following loop does just that.

```
while (!confirm.equals("Y")) {
 //...ask for data in dollars
 System.out.println("Did you mean " + dollars);
 System.out.println("Please Enter Y or N:");
 confirm = input.next();
```

This technique can be combined with the exception handling methodology of the preceding example.

## 11.1.2 CATCHING AN EOFException

As discussed in the Section 10.7, *Detecting the End of an Input Stream*, on page 313, sometimes an exception is used to detect the end of a file. For the standard Java class <code>java.io.DataInputStream</code>, when primitive values are being read, no special value can be returned to indicate the end of the file has been reached. Instead, an exception is thrown.

Java methods have *throws clauses* that indicate some exceptions that can happen while executing the method. Such exceptions are known as *checked exceptions*. There are also run-time exceptions, such as divide-by-zero, which are *unchecked*. A checked exception must have a corresponding catch to handle it or be declared in a throws clause; otherwise, you have a syntax error.

The following program reads integers from a binary file and prints them to the screen. Recall that a binary file doesn't store the individual characters that make up the number. Instead, the file stores the bytes that correspond to the binary representation of the number. Storage of each integer value uses 4 bytes. You can't view the files read by this program with a normal text editor.

```
// BinaryInput.java - read some integers from a binary file
import java.io.*;
class BinaryInput {
  public static void main(String[] args) throws IOException
    DataInputStream input = null;
    if (args.length != 1) {
      System.out.println("Usage: " + "java BinaryInput filename");
      System.exit(1);
    }
    try {
      input = new DataInputStream(new FileInputStream(args[0]));
    catch (IOException e) {
      System.out.println("Could not open " + args[0]);
      System.out.println(e);
      System.exit(1);
    }
    // count is used to print 4 values per line
    int count = 0;
    try {
      while (true) {
        int myData = input.readInt();
        System.out.print(myData + " ");
        // print a newline every 4th value
        if (count % 4 == 0)
          System.out.println();
    catch (EOFException e)
      // just catch the exception and discard it
    // add a newline after the last partial line if necessary
    if (count % 4 != 0)
      System.out.println();
}
```

## Dissection of the Class BinaryInput

```
import java.io.*;
  class BinarvInput {
    public static void main(String[] args) throws IOException
      DataInputStream input = null;
      if (args.length != 1) {
        System.out.println("Usage: " + "java BinaryInput filename");
        System.exit(1);
```

The user is expected to supply the name of the input file on the command line. If no file name is provided, a message is printed to remind the user how to utilize this program. The throws clause indicates that IOException is a checked exception that needs to be caught.

```
trv {
  input = new DataInputStream(new FileInputStream(args[0]));
catch (IOException e) {
  System.out.println("Could not open " + args[0]);
  System.out.println(e);
  System.exit(1);
}
```

We don't need to catch this exception, but by catching it, we can print a clear message to the user. For instructional purposes we also print out the actual exception. In a real program we probably wouldn't include the second println().

```
int count = 0:
try {
  while (true) {
    int myData = input.readInt();
    count++:
    System.out.print(myData + " ");
    // print a newline every 4th value
    if (count \% 4 == 0)
      System.out.println();
}
catch (EOFException e) {
  // just catch the exception and discard it
}
```

The reading is done inside a try-catch statement. Note that, at first glance, the loop appears to loop forever. However, the loop is exited when the input.readInt() call throws an EOFException. At that point, control is passed to the catch block. In this case there is nothing to do: There is no error, and we don't want to print anything. We just continue with normal processing.

```
if (count % 4 != 0)
    System.out.println();
```

After execution of the try block has been completed, execution continues with this statement. It is used to add a newline in case the last line contains less than four numbers.

To test the preceding program we need a sample input binary file. We can use the following program to generate a sample input file for <code>BinaryInput</code>. It writes 10 integers using a <code>DataOutputStream</code>. You won't be able to view the contents of the file generated by the following program with a normal text editor.

```
// BinaryOutput.java - create a sample binary file
import java.io.*;
class BinaryOutput {
  public static void main(String[] args) throws IOException {
    DataOutputStream output = null;
    if (args.length != 1) {
      System.out.println("Usage: " + "java BinaryOutput filename");
      System.exit(1);
    }
    try {
      output = new DataOutputStream(new FileOutputStream(args[0]));
    catch (IOException e) {
      System.out.println("Could not open " + args[0]);
      System.out.println(e);
      System.exit(1);
    for (int i = 1; i <= 10; i++)
      output.writeInt(i * 100);
}
```

The output of BinaryInput when executed with the file created by BinaryOutput is

```
100 200 300 400
500 600 700 800
900 1000
```

#### 11.1.3 ABRUPT RETURN FROM METHODS THAT THROW EXCEPTIONS

As indicated in Section 11.1.2, *Catching an EOFException*, on page 328, when an exception occurs in a method without a try block, the method returns immediately. There is no return value, and the rest of the statements in the method are ignored, as shown in the following program.

```
// ExceptionExampleTwo.java - show control flow when
// an exception occurs during nested method calls
import java.util.Scanner;
class ExceptionExampleTwo {
  static Scanner input = new Scanner(System.in);
 public static void main(String[] args) {
    int x = 0;
    System.out.println("main starting");
      x = callone();
      System.out.println("callOne OK x = " + x);
    catch (ArithmeticException e) {
      System.out.println("callOne not OK: " +e+ "nx = "+x);
      e.printStackTrace();
      x = -1;
    System.out.println("main exiting x = " + x);
  }
```

```
static int callOne() {
    System.out.println("callOne starting");
    int result = callTwo();
    System.out.println("callOne returning result = " + result);
    return result:
  }
  static int callTwo() {
    int num = 0;
    System.out.println("type a number");
    int someInt = input.nextInt();
    num = 1000 / someInt;
    System.out.println("callTwo returning num = " + num);
    return num;
  }
}
```

If we run the program and use the input value of 10, we get the "normal" execution output shown

```
os-prompt>java ExceptionExampleTwo
main starting
callone starting
type a number
10
callTwo returning num = 100
callOne returning result = 100
callOne OK x = 100
main exiting x = 100
os-prompt>
```

If we run the program and use the input value 0, we get the "exceptional" execution output shown.

```
os-prompt>java ExceptionExampleTwo
main starting
callOne starting
type a number
callOne not OK: java.lang.ArithmeticException: / by zero
main exiting x = -1
os-prompt>
```

The string java.lang.ArithmeticException: / by zero results from the method toString() of the exception object referred to by e, the parameter passed to the catch block. Note that the last println() calls in both callone() and callTwo() weren't executed. Those methods both terminated abruptly. Because the exception wasn't caught in callTwo(), the method terminated abruptly, throwing the same exception thrown by the attempt to divide by zero. Likewise, callone() doesn't catch the exception consequently thrown by the call to callTwo(), so callOne() also terminates abruptly, again throwing the same exception. Finally, the exception is caught in main().

#### 11.1.4 CATCHING SEVERAL DIFFERENT EXCEPTIONS

We can have several different catch blocks in a single try-catch statement. For example, suppose that we wanted to let the program ExceptionExample in Section 11.1.1, Exception Handling with try and catch, on page 326, continue with the default value of 0 for the variable aNumber if there is no more input. The method nextInt() throws a NoSuchElementException if it encounters the end-of-file before reading a number. On most systems we can signal the end of input from the keyboard by typing either Ctrl+D or Ctrl+Z. We add this capability to ExceptionExample as follows.

```
// TwoCatchExample.java - use two catch clauses
import java.util.*;
public class TwoCatchExample {
  public static void main(String[] args) {
    Scanner input = new Scanner(System.in);
    int aNumber = 0;
    boolean success = false:
    System.out.println("Type an integer.");
    while (!success) {
      trv {
        aNumber = input.nextInt();
        success = true;
        System.out.println("You typed " + aNumber);
      }
      catch (InputMismatchException exception) {
        String inputString = input.next();
        System.out.println(inputString + " is not an integer. Try again!");
      }
      catch (NoSuchElementException exception) {
        System.out.println("Continuing with default value 0.");
        aNumber = 0:
        success = true;
    }
    // continue with code to process a_number
}
```

Note that we added a second catch block. If no exceptions occur during the try block, then both catch blocks are skipped and execution proceeds normally, following the last catch block. If an exception does occur, the first catch block that specifies an exception type that either matches the exception or is a super class of the exception type that was thrown, will be executed. When the catch block is finished, execution continues normally with the statement following the last catch block. At most, one of the catch blocks will be executed.

If one of the exception types that you're trying to catch is a superclass of another that you're also trying to catch, the one that is a superclass must be listed last. Otherwise, the more specific catch block is never executed.

Suppose that ExceptionSuper and ExceptionSub are two exception classes, where ExceptionSub is a subclass of ExceptionSuper. The following try block would be incorrect.

```
try {
    ...
} catch(ExceptionSuper e) {
    ...
} catch(ExceptionSub e) {
    ...
}
```

Luckily, the Java compiler will detect this error and report it with a message something like

```
Filename.java:100: exception ExceptionSub has already been caught
    catch(ExceptionSub e) {
```

Putting the catch blocks in the other order in the previous example would be fine.

## 11.1.5 THE finally CLAUSE

Java provides a finally clause of a try block as code that is always executed whether or not an exception is thrown. This code is sometimes referred to as *cleanup code*. It is most often used to release special resources, such as open files, back to the system.

The following class, BinaryInput2, is a redesigned version of the class BinaryInput. It provides a class method, readBinaryInput(), that reads integers from a binary file and prints them to the screen. This method is very similar to the method main() in the class BinaryInput. The most significant change is the addition of a finally clause that does some last bit of clean up. Namely, it makes sure that a final newline is written and that the appropriate open file is closed. Presumably, some other class would make a call to BinaryInput2.readBinaryInput(), passing it the name of the binary file from which to read and the number of integers to read from the file.

```
// BinaryInput2.java - read some integers from a binary file
import java.io.*;
class BinaryInput2 {
  public static int readBinaryInput(String filename, int howMany)
       throws IOException
    DataInputStream input = null;
      input = new DataInputStream(new FileInputStream(filename));
    catch (IOException e) {
      System.out.println("Could not open " +filename);
      System.out.println(e);
      throw e;
    }
    int count = 0;
    try {
      while (count < howMany) {</pre>
        int myData = input.readInt();
        count++;
        System.out.print(myData + " ");
        // print a newline every 4th value
        if (count % 4 == 0)
          System.out.println();
       }
    catch (EOFException e) {
      // just catch the exception and discard it
    finally {
      if (count % 4 != 0)
        System.out.println();
      if (input != null)
        input.close();
    return count;
 }
}
```

## Dissection of the Class BinaryInput2

This class contains the single method <code>readBinaryInput()</code>. In addition to the file name, we pass in the number of integers to read. The method reads <code>howMany</code> integers or until the end-of-file is reached. The method returns the number of integers actually read and printed.

```
DataInputStream input = null;
try {
   input = new DataInputStream(new FileInputStream(filename));
}
catch (IOException e) {
   System.out.println("Could not open " +filename);
   System.out.println(e);
   throw e;
}
```

In BinaryInput.main(), we called System.exit() after catching an exception while trying to open the file. In this version, we print the error message as before, but instead of aborting the entire program, we pass the exception to the calling environment by rethrowing the exception we just caught. The method calling BinaryInput2.readBinaryInput() can either catch the exception or let the program abort.

```
int count = 0;
try {
   while (count < howMany) {
     int myData = input.readInt();
     count++;
     System.out.print(myData + " ");
     // print a newline every 4th value
     if (count % 4 == 0)
         System.out.println();
   }
}
catch (EOFException e) {
   // just catch the exception and discard it
}</pre>
```

We changed the loop so that it reads at most howMany integers. Unlike the earlier version, this loop can either exit normally or exit as the result of an EOFException.

```
finally {
  if (count % 4 != 0)
    System.out.println();
  if (input != null)
    input.close();
}
```

The code in this finally block will be executed no matter how the try block exits. If the try block exits because of an EOFException, then the finally clause executes after the catch, which is empty in this example. If the try block exits normally because the while loop terminates, the finally clause executes before continuing and executing the final return statement. If the try block exits because of some uncaught exception, the finally clause still executes before the method is terminated abruptly and the exception is thrown to the calling method. Note that this last case makes the code behave differently than it would if we simply placed the statements in the finally block, immediately after the try-catch statement, without using finally.

#### return count;

This statement is executed after the code in the finally clause. This statement is executed if the loop terminates normally or if an EOFException is thrown. This statement won't be executed if some other exception happens during execution of the previous try-catch statement.

#### 11.1.6 PROGRAM CORRECTNESS: THROWING AN EXCEPTION

In the constructor for the class Counter in Section 6.8, Constructor Methods and Object Creation, on page 168, we used the modulus operator to guarantee that the value field of the constructor was initialized to a value consistent with the description of the class. This approach could lead to an error in a program that would be hard to detect. Suppose that we had forgotten that the class Counter was defined to wrap around at 100 and instead thought it would wrap around at 1000—or not wrap at all. We might then decide to construct a Counter value starting at 200, as in

```
Counter bigCounter = new Counter(200);
```

Depending on how we used this Counter object, our misunderstanding might go undetected until the program containing this code was in use, possibly in a crucial situation.

An alternative definition of the constructor for Counter could notify the caller when an invalid parameter is passed to the constructor. The technique used in Java to send such a notification is to throw an exception. In the preceding sections we introduced exception handling, which is how the caller detects and attempts to handle an exceptional condition. The following modified constructor for the class Counter throws an exception when an attempt is made to initialize the Counter with an invalid value.

```
public class Counter {
  //constructors
  public Counter() {}
  public Counter(int v) {
    if (v < 0 \mid | v > = 100)
      throw new Exception("Invalid initial value.");
    else
      value = v;
  }
```

Using this modified version of the class Counter, our attempt to construct a Counter using 200 would fail the first time it was executed. In this way, any test of the program that executes the assignment to big-Counter would generate an exception, allowing for the error to be detected early in the development pro-

The test if  $(v < 0 \mid | v >= 100)$  is called a *precondition* because it determines whether the input is suitable, that is, allows the constructor or method to work correctly. Similarly, tests can be added at the end of a method or constructor to determine whether certain conditions have been established. Such a test is called a postcondition because it tests a relationship that must hold if the method did its work correctly.

As methods become longer and more complicated, the use of preconditions and postconditions—also called assertions—becomes even more important. They are easy to write, add robustness to code, and help other readers of the code understand its intent. Java provides direct support for assertions with the keyword assert, as discussed in Section 11.3, Assertions, on page 342.

## 11.1.7 RuntimeExceptions AND THE throws CLAUSE

You may have noted that sometimes we used a throws clause when defining methods that might throw an exception. For example, in Section 10.2, *Writing Text Files*, on page 300, we added the clause

#### throws java.io.IOException

to the declaration of the method main() because opening a file for writing might encounter some kind of problem. At the same time, other methods that might throw exceptions didn't require a throws clause. For example, any time an array is indexed, an IndexOutOfBoundsException can occur, yet we never included the clause

#### throws IndexOutOfBoundsException

The designers of Java decided that certain exceptions that might occur "during the normal operation" of Java programs didn't need to be declared. In general, these are exceptions that might occur almost anywhere, such as trying to use a reference variable that isn't referencing any object (NullPointerException) or indexing an array with an index out of range. These are known as *unchecked exceptions*. From a practical point of view, requiring all such exceptions to be declared with a throws clause would have defeated any advantage of its use. All but the most trivial methods would have needed a throws clause with many exceptions in it. More than 20 subclasses of Exception are defined in the package java.lang.

The designers knew that they couldn't identify in advance all exceptions that shouldn't be declared with a throws clause. Hence they created an exception class <code>java.lang.RuntimeException</code> from which all such exceptions must be derived. Any exception class that is a subclass of <code>RuntimeException</code> doesn't need to be declared with a throws clause. Any exception class that isn't a subclass of <code>RuntimeException</code> must be handled in the method where it can occur, or the method must declare it, using a throws clause. These are the checked exceptions. All exceptions defined in the package <code>java.lang</code> are subclasses of <code>RuntimeException</code>.

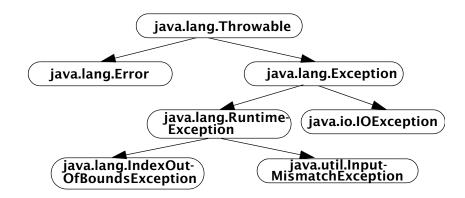
The standard Java input methods throw a java.io.EOFException when they encounter the end-of-file. The class java.util.InputMismatchException is a subclass of java.lang.RuntimeException, which is why we didn't need to include a throws clause in the program examples in the early chapters of this book that used Scanner.

As a general rule you shouldn't create new exception classes that are subclasses of RuntimeException. Creating such exception classes defeats the compile-time checks normally used to notify you that an exception might occur. This notification allows you to add exception handling code, making your program more robust. As you gain programming experience, you will become increasingly aware of the standard Java exceptions derived from RuntimeException and can decide whether you want to add code to handle any of these exceptions.

To create a new type of exception, extend an existing exception class. The *reference* in a throw statement such as

#### throw reference

must be a subclass of the class <code>java.lang.Throwable</code>. The base of all such throwable classes that represent exceptions that a reasonable program might be expected to try and handle is the class <code>java.lang.Exception</code>. The class <code>Exception</code> is a subclass of <code>Throwable</code>. The class <code>java.lang.Error</code> is a separate subclass of <code>Throwable</code> that represents throwable conditions that a reasonable program isn't expected to be able to handle. Like subclasses of <code>RuntimeException</code>, subclasses of <code>Error</code> don't need to be declared with a <code>throws</code> clause. The following diagram illustrates a partial inheritance hierarchy, showing some of the core throwable classes.



## 11.2 TESTING

Edsger Dijkstra, a famous computer scientest, once said, "testing can be used to show the presence of bugs but never to show their absence." Ideally we would like to assert that our program is defect free. Unfortunately proving that a program is free of defects is still an active research area and most programmers have to settle for trying to do a good job with testing and other quality assurance methods such as code inspections.

Entire books have been written about software testing. Testing comes in many flavors: unit testing, integration testing, functional testing, blackbox testing, branch coverage testing, statement coverage testing, regression testing, and more.

In Section 4.9.4, *Twenty-one Pickup: Testing*, on page 105 we introduced branch coverage and statement coverage as two metrics that could be used to guide the development of a test suite. The idea was to try and create a set of input sequences for the program that would exercise every statement or every branch. Although this is not sufficent to show a program is free of defects, you certainly won't discover a defect in a statement or branch choice that is not executed by your test suite.

Unit testing involves writing separate tests for each "unit". The exact specification of what constitutes a unit is up to the project team. A typical unit might be a class or a method, but could also be a larger subset of a large program.

Integration tests are tests designed to be run on the fully integrated system. The tests focus not on testing the internals of the various units but instead focus on creating tests that exercise the interfaces between the units.

Functional testing dictates that each test focus on a specific function of the program. For example, try out each of the command line parameters or click on each of the menu items in a GUI.

In blackbox testing, the test writer does not know anything about the internal implementation of the software being tested. In contrast, some test writers know about the implementation details of the program and use this information to guide the test development.

Regression testing is used to try and make sure that as new features are added or bugs are fixed, that previously known "good code" has not been broken. Regression testing is more about the testing process than the actual test suite development. The key is to have a sufficiently automated test process that can easily run your test suite after any major change in order to make sure you didn't break something that used to work.

#### 11.2.1 TESTING WITH JUNIT

The open source project, junit, has developed a framework for writing and running tests for Java programs. In the remainder of this section we will show how you can create a suite of tests for two programs used as

examples earlier in the text, TwentyOnePickup and PredatorPrey. We will not provide complete test suites, but only the beginning with enough information to allow you to use junit for testing your own programs.

In order to use junit you will need to download the *junit.jar* file from junit.sourceforge.net and install it appropriately on your computer.

A junit test suite is composed of one or more test cases. Junit includes a class TestSuite that is just what it says it is, a suite of test cases. The junit class TestCase is slightly misnamed because it can contain one or more test cases. The actual test cases correspond to specially named methods in the TestCase class. The best way to see this is to look at a small example test suite.

```
// TestTwentyOnePickup.java
import junit.framework.TestCase;
public class TestTwentyOnePickup extends TestCase {
  public void testComputerMove() {
    assertEquals(20,TwentyOnePickup.computerMove(21));
    assertEquals(19,TwentyOnePickup.computerMove(20));
    assertEquals(16, TwentyOnePickup.computerMove(19));
    assertEquals(16,TwentyOnePickup.computerMove(18));
}
  public void testComputerWin() {
    assertEquals(0,TwentyOnePickup.computerMove(3));
    assertEquals(0,TwentyOnePickup.computerMove(2));
    assertEquals(0,TwentyOnePickup.computerMove(1));
  public void testUserMove() {
    // expected input is 4 1 -3 1 0 1
    assertEquals(20, TwentyOnePickup.playerMove(21));
    assertEquals(20, TwentyOnePickup.playerMove(21));
    assertEquals(20, TwentyOnePickup.playerMove(21));
  public void testUserMoveAtEnd() {
    // expected input is 3 2 0 2 2
    assertEquals(0, TwentyOnePickup.playerMove(2));
assertEquals(0, TwentyOnePickup.playerMove(2));
    assertEquals(0, TwentyOnePickup.playerMove(2));
  }
}
```

The simplest way to create a set of test cases using junit is to extend the class <code>junit.framework.TestCase</code>. We then add <code>public void</code> methods that take no arguments and name them starting with "test" as shown in this example.

In this example we have created four test cases. In fact, we could have broken each of the test cases down further with only one assert per test case, but it seemed appropriate to group them as suggested by the method names. The first method, testComputerMove(), tests to see that we have properly implemented the computer move strategy for each of four different consecutive values.

The second method, testComputerWin(), makes sure the computer will take the last of the stones if given the chance.

The last two methods test the playerMove() method to make sure that it properly enforces only making legal moves. When we run the test cases we will need to provide the indicated input values.

Each of the test cases uses the method assertEquals() to test the expected value, which is the first parameter, and the actual test value. The method assertEquals() is inherited from TestCase. There is no m, but there is an assertFalse() which can be used to assert that two values are different.

To run this test suite we can use the following program.

```
import junit.framework.TestSuite;
class TestMain {
 public static void main(String[] args) {
    TestSuite suite = new TestSuite(TestTwentyOnePickup.class);
    junit.textui.TestRunner.run(suite);
}
```

The constructor for TestSuite takes as a parameter the class object for the test case class. This is the same reference we would get if we used the expression (new TestTwentyOnePickup()).getClass(). The TestSuite class uses what is called reflection to discover the names of all static void methods in the class that start with test. We could have explicitly added each of the test methods ourself but the reflection feature of Java allows TestSuite to find all of the methods for us.

The method TestRunner.run() will invoke each of the test methods and summarize the results. Because we are testing a method that reads from System.in, we will have the program read the test input from a file. Here is the result of running the program on a file containing "4 1 -3 1 0 1 3 2 0 2 2".

```
os-prompt>java TestMain < userInput.txt
The computer removes 1 stones leaving 20.
The computer removes 1 stones leaving 19.
Your move - how many stones do you wish to remove?
There are 0 stones remaining.
Time: 0.15
OK (4 tests)
```

The methods being tested generated some ouput which we ellided to save space. The final result is that all of the tests passed. To see what happens when a test fails, we modified getUserMove() to fail to check that there were sufficient stones remaining. Specifically the line

```
while ( move > numberOfStones || move < 1 || move > 3 ) {
was changed to
while ( move < 1 \mid \mid move > 3 ) {
```

and then we ran the tests again. This time the output is

```
os-prompt>java TestMain < userInput.txt
The computer removes 1 stones leaving 20.
The computer removes 1 stones leaving 19.
Your move - how many stones do you wish to remove?
There are -1 stones remaining.
Time: 0.161
There was 1 failure:
1) testUserMoveAtEnd(TestTwentyOnePickup)
  junit.framework.AssertionFailedError:
  expected:<0> but was:<-1>
at TestTwentyOnePickup.testUserMoveAtEnd(TestTwentyOnePickup.java:24)
at sun.reflect.NativeMethodAccessorImpl.invoke0(Native Method)
at sun.reflect.NativeMethodAccessorImpl.invoke(Unknown Source)
at sun.reflect.DelegatingMethodAccessorImpl.invoke(Unknown Source)
at TestMain.main(TestMain.java:6)
FAILURES!!!
Tests run: 4, Failures: 1, Errors: 0
```

From this output we can immediately see the name of the test that failed, testUserMoveAtEnd, the expected and actual test values, and the line number in the test case where the failure was detected. Junit distinguishes between failures and errors. A failure is the result of a failed assertion in the test suite. An error is an unexpected error such as a NullPointerException or ArrayIndexOutOfBoundsException.

The testing of TwentyOnePickup was a particularly simple test suite. It required no setup and did not deal with objects, just simple static method calls. A slightly more complex example is the following which is the beginning of a test suite for the PredatorPrey program from Section 7.7, *An Example: Predator-Prey Simulation*, on page 207.

```
import junit.framework.TestCase;
public class TestPredatorPrey extends TestCase {
 private World world;
 protected void setUp() {
   world = new World(10);
    // a fox with no rabbits around
   world.cells[3][3] = new Fox(3,3,1);
    // a fox with rabbits nearby
   world.cells[5][5] = new Fox(5,5,1);
    // rabbit that should get eaten by the fox
   world.cells[5][6] = new Rabbit(5,6,1);
    // a rabbit and grass near the rabbit at 5,6
   world.cells[6][7] = new Rabbit(6,7,2);
   world.cells[5][7] = new Grass(5,7);
   world.cells[6][6] = new Grass(6,6);
 }
 protected void tearDown() {
    // let the World object get garbage collected
    world = null;
 public void testFoxStarves() {
    assertEquals(".", world.cells[3][3].next(world).toString());
```

```
public void testFoxLives() {
    assertEquals("Fox age 2", world.cells[5][5].next(world).toString());
 public void testRabbitDies() {
    assertEquals(".", world.cells[5][6].next(world).toString());
 public void testNeighborCounts() {
    // 5,6 is a rabbit with some neighbors
    world.cells[5][6].computeNeighbors(world);
    assertEquals(1,Rabbit.neighborCount.get());
    assertEquals(1,Fox.neighborCount.get());
    assertEquals(2,Grass.neighborCount.get());
    assertEquals(4,Empty.neighborCount.get());
 }
}
```

#### Dissection of TestPredatorPrey Program

import junit.framework.TestCase; public class TestPredatorPrey extends TestCase {

As with our previous example, we collect the tests into a class that extends junit.framework.TestCase.

```
private World world;
  protected void setUp() {
    world = new World(10);
    // a fox with no rabbits around
    world.cells[3][3] = new Fox(3,3,1);
    // a fox with rabbits nearby
    world.cells[5][5] = new Fox(5,5,1);
    // rabbit that should get eaten by the fox
    world.cells[5][6] = new Rabbit(5,6,1);
    // a rabbit and grass near the rabbit at 5,6
    world.cells[6][7] = new Rabbit(6,7,2);
    world.cells[5][7] = new Grass(5,7);
    world.cells[6][6] = new Grass(6,6);
```

The method setUp() will be called by the test runner before each test is run. It is used to create any objects or perform other initialization that will be needed by the test cases in this class. For our test we create a World object and place some specific simulated living things into the world. This test is by no means complete but is the start of a complete test. In the test methods that follow we will test some features of the Fox class, the Rabbit class, and the computeNeighbors() method from the class Living.

```
protected void tearDown() {
  // let's the World object get garbage collected
  world = null;
}
```

Complimentary to setUp(), the method tearDown() will be called by the test runner after each test is run. This is important if this TestCase class is part of a larger test suite and we want to make sure we don't keep the test objects around any longer than needed. By setting world to null we have removed the last reference to the World object and all of the objects it refers to.

```
public void testFoxStarves() {
    assertEquals(".", world.cells[3][3].next(world).toString());
}
public void testFoxLives() {
    assertEquals("Fox age 2", world.cells[5][5].next(world).toString());
}
public void testRabbitDies() {
    assertEquals(".", world.cells[5][6].next(world).toString());
}
```

Each of these three test methods checks one of the intended behaviors of moving to the next generation in a simulation. The first tests that a fox with no rabbits nearby will starve and die. The second tests that a fox properly ages and survives where there is a rabbit around for it to eat. The third tests that the rabbit actually got eaten by the fox.

```
public void testNeighborCounts() {
    // 5,6 is a rabbit with some neigbhors
    world.cells[5][6].computeNeighbors(world);
    assertEquals(1,Rabbit.neighborCount.get());
    assertEquals(1,Fox.neighborCount.get());
    assertEquals(2,Grass.neighborCount.get());
    assertEquals(4,Empty.neighborCount.get());
}
```

In this final test example we test the computeNeighbors() method that is inherited from the abstract class Living by the Rabbit class. Notice how each of the four test methods in the TestCase class make use of the setup that was performed by setUp().

In the previous two examples we have only demonstrated a small subset of the features and capabilities of the junit framework. You should consult the documentation for junit if you find that the limited set of options we have described are insufficent for your needs.

# 11.3 ASSERTIONS

Java includes the keyword assert which can be used to insert tests directly into source code. Assertions serve two purposes. First, assertions are a form of executable documentation about what is supposed to be true about some aspect of a program during execution. It could be an assertion about the expected range of input values to a method, an assertion about some intermediate computation, or an assertion about the result returned from a method. Second, assertions are a form of testing that can run automatically every time the program is run.

The assert keyword was added to Java beginning with version 1.4. If you are using a 1.4 Java compiler you will need to tell the compiler you are using assert as a keyword by adding the compiler flag -source 1.4 to your compile line. This was done to allow for a gradual transition of code that might have been using assert as an identifier. For example, to compile MyProgram.java that uses the assert keyword, with a version 1.4 Java compiler type:

```
javac -source 1.4 MyProgram.java
```

If you are using a version 5.0 or later compiler, no special flag is required in order to have the compiler recognize assert as a keyword.

#### 11.3.1 ADDING ASSERT STATEMENTS TO YOUR PROGRAM

Let's begin by redoing our enhanced Counter class from earlier in this chapter. Earlier we modified Counter to throw an exception if the initialization parameter was out of an expected range. Here is that example again:

```
public class Counter {
  //constructors
  public Counter() {}
  public Counter(int v) {
    if (v < 0 \mid | v >= 100)
      throw new Exception("Invalid initial value.");
    else
      value = v;
  }
}
```

We could achieve the essentially the same result using assert as shown here.

```
public class Counter {
  //constructors
  public Counter() {}
  public Counter(int v) {
    assert (v >= 0 && v < 100):"Invalid initial value.";
    value = v;
  }
}
```

The use of assert instead of the explicit *if-statement* has two advantages. First, it explicitly indicates that this is an assertion and not part of the "normal" processing of the method. Second, for performance sensitive code the execution overhead of the assert is extremely small when assertions are disabled - the default behavior. This removes the temptation to strip out the assertions once the code is thought to be finished.

When the assertion fails the program throws an AssertionError exception. For example, with the above Counter class definition, the statement

```
Counter c = new Counter(100);
```

will throw an exception generating the following output if not caught.

```
Exception in thread "main" java.lang.AssertionError: Invalid initial value.
    at Counter.<init><Counter.java:5>
    at ...
```

There are two forms of the assert statement:

```
assert booleanExpression;
assert booleanExpression: expression;
```

The first form throws an AssertionError exception if the *booleanExpression* is false. The second form is the same with the addition that *expression* will be part of the exception message as seen in the previous example with Counter.

#### 11.3.2 ENABLING AND DISABLING ASSERTIONS

By default, assertions are disabled when your program runs. To enable assertions you pass the flag -ea to the Java virtual machine. For example:

java -ea MyProgram

will enable all assertions except those in the standard Java classes.

You can also selectively enable or disable assertions in a specific class ( -ea:className ) or package ( -ea:packageName...). The flag -da is used to disable assertions. You can combine uses of -ea and -da to enable just the assertions you wish. The flags are processed in order. For example, the following command will enable all assertions in the package somePackage except for the class SomeClass.

java -ea:somePackage... -da:somePackage.SomeClass MyProgram

You can also enable or disable assertions in the standard Java classes using -esa and -dsa which are short for -enablesystemassertions and -disablesystemassertions.

## 11.3.3 PRECONDITIONS, POSTCONDITIONS, AND INVARIANTS

Preconditions are conditions, boolean expressions, that must be true at the start of a method or block of code in order for the method or block to execute properly. Postconditions are conditions that are expected to be true when a method or block of code completes. Users of a method can write their code to rely on the conditions asserted by a postcondition. Likewise, callers of a method are expected to establish the preconditions for a method before calling the method.

An invariant is a condition that should "always be true." For example an invariant might assert that some array will always be less than a specified amount. Java does not directly support asserting such global invariants but the assert statement can be used to check an invariant at specific points in a program.

As methods become longer and more complicated, the use of preconditions, postconditions, and invariants becomes even more important. They are easy to write, add robustness to code, and help other readers of the code understand its intent. Assertions also help guarantee that defects do not go undetected appearing only as undetected incorrect output. Moreover, assertions help you think about correctness. This discipline is beneficial in and of itself. The placement of assertions isn't restricted to the beginning and ending statements of a method, but such placement is natural. The use of assertions is considered to be good programming style.

# 11.4 DEBUGGING

Programming errors can be roughly divided into two groups: compile time errors and runtime errors. Compile time errors are also referred to as *syntax errors* and runtime errors are logical or *semantic errors*. A key difference between syntactic and semantic errors is that you know when all of the syntax errors have been eliminated, assuming a correct compiler. Unfortunately, in general you can never be sure that you have eliminated all of the semantic errors from a program.

Syntax errors are much easier to locate and generally easier to correct than runtime errors. The compiler usually directs you to the line in your program where the error occurs. Occasionally the error is actually on some other line but the other line is either nearby or logically related to the line indicated. For example, forgetting a semicolon will result in an error message pointing at the line after the line with the missing semicolon. Another example is if you forget to add the keyword static to a static method. There error message will point at the line where you attempt to call the static method when in fact the error is in the method declaration.

There are two steps to removing a semantic error. First you need to cause the program to exhibit some unexpected behavior. Then you need to determine the cause of the unexpected behavior. This later activity is called debugging. Runtime program errors are called bugs because early computers were built using mechan-

ical switches called relays, instead of transistors. As the story goes Grace Hopper was trying to determine why a computer was not working correctly and the source of the problem turned out to be a moth caught between the contacts of one of the relays, preventing the relay from closing.

The simplest form of debugging is to examine the source code. Often the nature of the unexpected behavior will allow a programmer familiar with the code to determine a small subset of the program that is most likely responsible for the error. Then with a careful code inspection the defect will come to light.

When simple code examination is insufficient it may be necessary to gather additional information about the state of the program at the time the erroneous behavior occurred. A very simple way to do this is insert print statements into the program that print out various program variables and indicate what steps the program is executing. For example, you might want to print a message each time a particular method is called including the values of the parameters passed to the method. This technique does not require any special tools or knowledge, however, it can be very time consuming if you need to keep changing what you want printed out as you pursue the source of the error.

Debuggers make it possible to easily examine the state of a running program. Using a debugger you can:

- stop the program each time it reaches a particular source line in the program or enters a particular method,
- examine or modify the value of local variables, parameters, and fields of objects,
- stop the program when a particular local variable or field is accessed or modified,
- execute one statement at a time, stopping after each statement to allow for examination of variables.
- and much more.

The standard Java Software Development Kit includes a command line debugger, jdb. Most Integrated Development Environments (IDEs) also include a graphical debugger which is generally easier to use than jdb.

#### 11.4.1 IDB

The standard Java debugging tool, jdb, provides basic debugger functionality with a command line interface. The simplest way to use the debugger is to simply substitute jdb for java when running a program. For example, to debug SimplePaintMenu from Section 9.6, *Adding Menus to a GUI*, on page 275 type the following at your OS command line:

```
idb SimplePaintMenu
```

The first thing you will probably want to do is tell the debugger to stop the program when it reaches a particular point of interest. Such a stopping point is called a *breakpoint*. Once you have set one or more breakpoints you start the program running. When one of the breakpoints is reached, the debugger will halt the program with an appropriate message. At that point you can examine program variables or continue execution until another breakpoint is reached. Before running jdb on SimplePaintMenu below, we intentionally inserted a mistake into the program. We changed the label for the small pen menu to be "small" instead of "Small".

### Dissection of a debugging session for SimplePaintMenu

os-prompt> jdb SimplePaintMenu

```
Initializing jdb ...
```

The debugging session begins by starting the debugger with the main class as a paremeter. If the program being debugged accepted command line parameters they could also be included. We just substitute jbd for java. The greater than symbol > is the prompt from jdb that it is ready for the next command.

```
    stop in PaintListener3.setPenSize
    Deferring breakpoint PaintListener3.setPenSize.
    It will be set after the class is loaded.
```

The first command we give is to set a breakpoint in the method setPenSize() of the PaintListener3 class. Because the Java virtual machine has not yet loaded the class it can't actually set the breakpoint but it is telling us that it understood the command and will set the breakpoint when the code for PaintListener3 is actually brought into the computer's memory.

```
> run
run SimplePaintMenu
Set uncaught java.lang.Throwable
Set deferred uncaught java.lang.Throwable
>
VM Started: Set deferred breakpoint PaintListener3.setPenSize
```

To start the programming running we issue the command run. The debugger echos the command it is running, which would include any command line parameters if we had provided any at startup. It then prints information showing that the debugger will automatically halt the program if an uncaught exception is thrown by the program. Then we see the > prompt which means we could type another command, but the debugger then immediately continues because the class PainterListener3 has been loaded into memory and it notifies us that the breakpoint has been successfully set. The validity of a deferred breakpoint is not checked until the class is loaded. If we had misspelled the name of the method here is where the mistake would be caught with an appropriate message being printed. In addition, if there was a mistake in a deferred breakpoint, the program will be stopped at the point that the error was noticed so you will have a chance to correct it.

This next few lines of output occur only after we switch to the running application and use the menus to try and change the pen size. This action causes the program to reach the breakpoint we set and the program execution is halted. The earlier > prompt has now changed to AWT-EventQueue-0[1]. This new prompt tells us that it was the AWT-EventQueue0 thread that actually hit the breakpoint (see Section 8.2 Listening to events). The [1] tells us that we are currently set to examine the variables for the method activation that is at the top of the execution stack. It is possible to examine variables for other methods that have not yet completed using the up and down commands to jdb.

```
AWT-EventQueue-0[1] list
       // specify one of three pen sizes,
       // Small, Medium or Large
9
10
        // radius and diameter are inherited
        public void setPenSize(String size) {
11
12 =>
          if (size.equals("Small")) {
13
               radius = 0;
14
               diameter = 1;
15
16
          else if (size.equals("Medium")) {
17
             radius = 3;
```

Here we used the list command to list a few lines of code around the point where execution was halted. We see that the actual line at where execution was halted is indicated by =>. Also the line numbers are printed. We can use these line numbers in setting additional breakpoints with the command "stop at ClassName: lineNumber". At this point we can also list other parts of the current class with the command "list lineNumber".

```
AWT-EventQueue-0[1] locals
Method arguments:
size = "small"
Local variables:
```

Next we examine the values of the local variables, which include any parameters passed to the method.

The command next continues execution until the next source line in the current method is reached. In this case we see that conditional for the if statement must have evaluated to false and we are now ready to test the conditional for the else-if that follows it. If we had not already noticed it, this unexpected behavior should cause us to carefully examine the conditional expression and see that we have been passed "small" but are expecting "Small".

```
AWT-EventQueue-0[1] cont
> watch PaintListener3.radius
Set watch modification of PaintListener3.radius
>
Breakpoint hit: "thread=AWT-EventQueue-0", PaintListener3.setPenSize(), line=12 bci=0
12 if (size.equals("small")) {
```

At this point we have found our bug and could terminate the session. However, we will continue and demonstrate two more commands. Here we have used cont which is the continue command. It resumes the execution from the place where it last stopped resulting in the original > prompt being printed. We then tell the debugger to stop if the program attempts to modify the field radius in the class PaintListener3. We get another > prompt and then no further output is generated until we switch to the application and again try to change the pen size. Notice that the breakpoint remains active until we remove it, which we can do with the command "clear PaintListener3.setPenSize".

```
AWT-EventQueue-0[1] cont
>
Field (PaintListener2.radius) is 3, will be 6:
   "thread=AWT-EventQueue-0", PaintListener3.setPenSize(), line=21 bci=61
21    radius = 6;
```

We immediately continue from the breakpoint at the start of setPenSize() and then execution halts at line 21 just before radius is changed from 3 to 6.

```
AWT-EventQueue-0[1] dump this
this = {
    PaintListener2.radius: 3
    PaintListener2.diameter: 6
}
```

In addition to examing all locals with the command local, we can dump the contents of an object with dump. In this example we are dumping the contents of the object that is pointed to by the current value of the special keyword this, which is the PaintListener3 object that is being manipulated.

```
AWT-EventQueue-0[1] quit
```

At this point we end the current debugging session.

# 11.4.2 USING JDB ON PROGRAMS THAT READ FROM SYSTEM.IN

The program jdb reads its commands from the standard input stream, System.in. Consequently if you try and use jdb, by typing "jdb MyProgram", to debug a program that also reads input from System.in, there is no way for you to send input to your program because all of your typing is caught by jdb. Fortunately there is another way to use jdb to debug your program.

You can attach jdb to a program that has already been started, provided the program was started with some additional parameters to the Java virtual machine telling it that it is ok for a debugger to attach to the program. This can be done in several ways, we will show you just one way.

In the following example we run the debugger on TwentyOnePickup from Section 4.9, *Problem Solving: A Computer Game*, on page 97 that has been modified to contain an error for illustrative purposes.

```
os-prompt>java -agentlib:jdwp=transport=dt_shmem, server=y, address=someString
TwentyOnePickup
Listening for transport dt_shmem at address: someString
```

The java command should be all on one line, although it appears to break across two lines in the example above. The parameters given above will result in the program being suspended once the Java virtual machine has been started. Consequently there will be no output from the program yet.

In another console window we need to start up the debugger and attach it to this program. We show how to do this in the following example.

```
os-prompt>jdb -attach someString
Set uncaught java.lang.Throwable
Set deferred uncaught java.lang.Throwable
Initializing jdb ...
>
VM Started: No frames on the current call stack
main[1]
```

At this point we are connected and can begin issuing debugger commands.

# Dissection of a debugging session for TwentyOnePickupos-prompt> jdb -attach someString

```
Set uncaught java.lang.Throwable
Set deferred uncaught java.lang.Throwable
Initializing jdb ...

VM Started: No frames on the current call stack
main[1] stop in TwentyOnePickup.playerMove
Deferring breakpoint TwentyOnePickup.playerMove.
It will be set after the class is loaded.
```

The first thing we do is set a breakpoint in the method playerMove().

```
main[1] step
  > Set deferred breakpoint TwentyOnePickup.playerMove
  Step completed: "thread=main", TwentyOnePickup.main(), line=15 bci=0
            printInstructions();
  main[1] list
          * stones in the pile to start with.
  11
          * The last one to remove a stone wins.
  12
  13
          public static void main(String[] args) {
  14
  15 =>
           printInstructions();
            // create the initial pile with 21 stones
  16
            int numberOfStones = 21;
  17
  18
            // keep track of who moved last
  19
            boolean playerMovedLast = false;
  20
            while (numberOfStones > 0) {
```

To show that the program has not yet done anything, we issue a step and then a list command and see that it is now ready to execute the first line of main(). We also get a message that the deferred breakpoint has now been set because the class was loaded into the computer's memory.

```
main[1] cont
  Breakpoint hit: "thread=main", TwentyOnePickup.playerMove(), line=56 bci=0
            int move = getUserMove(numberOfStones);
  main[1] list
  52
          *
               The number of stones remaining after the
  53
               user's move.
          */
  54
  55
          static int playerMove(int numberOfStones) {
  56 =>
            int move = getUserMove(numberOfStones);
  57
  58
            numberOfStones = numberOfStones - move:
            System.out.println("There are "+numberOfStones
  59
                               + " stones remaining.");
  60
  61
            return numberOfStones:
```

We continue executing the program and it hits the breakpoint in playerMove().

```
main[1] step
```

Here we illustrate the difference between the commands step and next. The command next would have continued execution until it reached the next statement in the current method (line 98). The command step executes just one source line, which in this case takes us into the method getUserMove().

```
main[1] where
  [1] TwentyOnePickup.getUserMove (TwentyOnePickup.java:96)
  [2] TwentyOnePickup.playerMove (TwentyOnePickup.java:56)
  [3] TwentyOnePickup.main (TwentyOnePickup.java:21)
```

The where command is very useful. It shows us all of the method invocations that are on the current call stack. Here we see that main() at line 21 called playerMove(), which in turn called getUserMove() from line 56, which is currently stopped at line 96.

```
main[1] next
> 
Step completed: "thread=main", TwentyOnePickup.getUserMove(), line=98 bci=8
98     int move = input.nextInt();
main[1] next
>
```

After issuing two more next commands we get the debugger prompt > which tells us the program is running. At this point we need to switch over to the other command window where we started the program and enter some value. For testing purposes we will enter 4 as the number of stones we want to remove.

Once we have entered input for the program (in the other window), the breakpoint is reached. We immediately ask to examine all local variables. We see that indeed a 4 was read in as the user input.

Issuing two more next commands we see that the loop body was never entered. If we didn't already realize it, at this point this should allow us to notice that we have an error in the conditional for the loop. We have used and operators where we needed or operators.

```
main[1] quit
```

We have found the bug so we terminate the debugging session.

# 11.4.3 OTHER JDB COMMANDS

The previous examples have illustrated only a small subset of the available jdb commands. For a complete list of the jdb commands and a brief description use the jdb command help. Here we describe a few more of the commands that are most likely to be of use and repeat for reference those commands we did use in our examples.

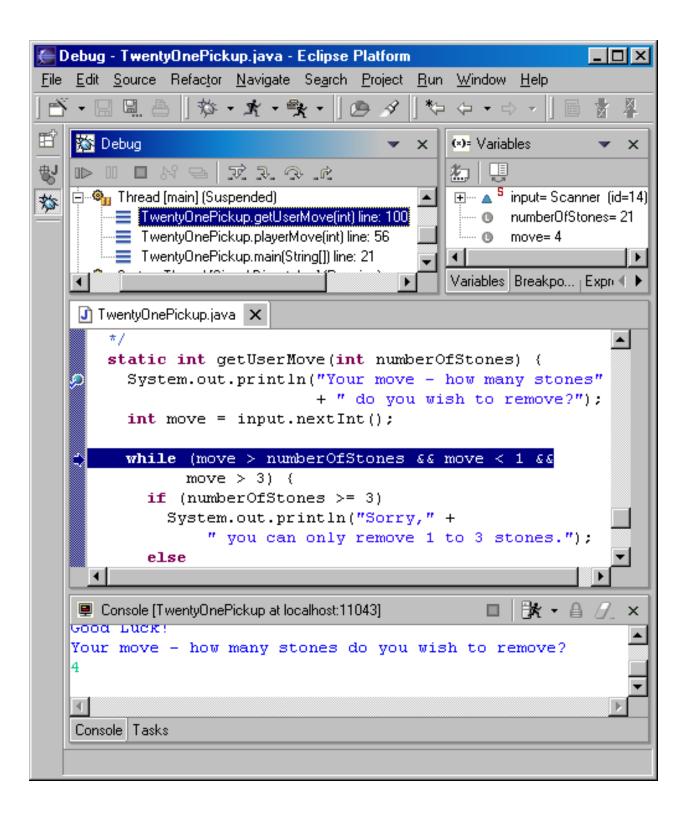
- clear classname.methodname remove a breakpoint
- cont continue exeucting from a breakpoint
- eval expression evaluate an expression and print the value (as of jdk5.0 this still only works for simple variables not arbitrary expressions)
- list list source lines around where program execution halted
- list *n* list lines around source line number *n*
- locals print the value of all local variables and method parameters
- next execute up to the next line in the current method
- print expression same as eval
- quit exit the debugger
- run begin program execution
- step execute one line of code
- stop at *classname*: *n* set a breakpoint at a line number
- stop in classname. methodname set a breakpoint in at the start of a method
- up/down move up or down the call stack allowing for examination of locals in other active methods on the stack
- watch classname.variable stop program execution just before the program writes to a field

#### 11.4.4 A DEBUGGER WITH A GUI

Most programmers today have access to an Integrated Development Environment (IDE) that includes a graphical interface to a debugger. The standard debugger jdb has the advantage that it is available on any platform on which Java runs. The disadvantage is that the command line interface is not as easy to use as a well designed GUI.

To give you a sense of what a GUI debugger looks like, here is a screen shot of the Eclipse IDE (http:// www.eclipse.org) while debugging the TwentyOnePickup program. In this shot we can see that there is a breakpoint set on the first line of getUserMove() as shown by the symbol in the margin to the left of the line of code displayed in the center pane and that execution has stopped on the while statement, indicated by the arrow highlighting of the line. We can also see the variables listed in the upper right pane and the call stack in the upper left pane. Also, the console window is at the bottom showing the program output and our input value of 4.

All of this information is available using jdb but with a GUI it can all be visible at once without having to issue separate commands for each piece. To set or remove a breakpoint you simply click in the margin to the left of the line where you want to set or remove the breakpoint. The local varibles are displayed automatically. Continuing or single stepping the execution is performed by clicking on the approriate command symbol in the debug window.



# **SUMMARY**

- An exception is generally used to describe something unexpected that happens in a program, such as an array index out of bounds error or some problem with reading from a file.
- A try block can be used to catch or "handle" an exception. If an exception of the specified type is thrown during execution of the try block, control is transferred immediately to the catch block.
- Some exceptions, such as IndexOutOfBoundsException, are generated automatically by the Java virtual machine. Exceptions can also be generated explicitly by a program, which is called throwing an exception. A throw statement is used to throw an exception.
- Programs can throw an instance of a predefined exception type or you can create your own exception types by subclassing one of the existing exception classes.
- All exceptions are derived from the class java.lang.Throwable. Those exceptions that are also derived from java.lang.RuntimeException or java.lang.Error don't need to be declared with a throws clause at the beginning of a method. These are unchecked exceptions. New exception classes that are a subclass of java.lang.Exception but not a subclass of java.lang.RuntimeException must be declared with a throws clause. In general, user-defined exceptions shouldn't be derived from Runtime-Exception or Error. Doing so defeats some of the type-checking safeguards that can help detect errors at compile time.
- They are many approaches to testing software: unit testing, integration testing, functional testing, blackbox testing, and regression testing are some of them.
- The widely used open source project junit, provides a framework for building and running tests. Such a framework makes it easy to organize and run a set of tests.
- Assertions are a form of testing built directly into a program. Java provides support for assertions with the keyword assert.
- The simplest and most common use of assertions is as preconditions and postconditions on methods.
- The standard Java development kit includes a debugger that can be used to view the internal state of a program as it executes.

# **REVIEW QUESTIONS**

- 1. True or false? Exceptions happen only when a run-time error is discovered.
- 2. What types of exceptions don't have to be declared with a throws clause at the beginning of a method definition? What are such exceptions called?
- 3. If an exception isn't handled by a method, what happens to it?
- 4. Give an example of a standard Java exception that must be declared with a throws clause and one that doesn't have to be declared with a throws clause.
- 5. What happens if you use an array index that is out of range?
- 6. True or false? Every user-defined exception is a checked exception.

7. What is wrong with the following code fragment? try { . . . } catch (Exception e) { } catch (IndexOutOfBoundsException e) { 8. What is printed by the following program? class ExceptionReview { public static void main(String[] args) { int x; try { x = foo(10);} catch (MyException e) { System.out.println("Caught an exception: " + e); x = 99; } System.out.println(x); static int foo(int x) { System.out.println("foo started with " + x); int temp = bar(x); System.out.println("foo returning " + temp); return temp; } static int bar(int y) { System.out.println("bar started with " + y); if (y > 0)throw new MyException("just a test"); System.out.println("when is this executed?"); return y; } } class MyException extends RuntimeException { public MyException(String message) { super(message); } }

- 9. What happens if you divide by zero? Be sure to test it.
- 10. Is a finally clause executed if no exception is thrown inside its try block?

# **EXERCISES**

- 1. Write a robust method for reading in integers. If the user fails to type in a valid integer, print a message, skip over the offending line of input, and try again. To skip over the rest of a line of input you can use nextLine() from Scanner, which reads everything up to and including the next newline character.
- 2. Redo Exercise 1 to fail if the user types in an integer outside the range 0 to 100 inclusive. This technique is important in data entry programs where valid data is known to be in a given range.
- 3. Redo Exercise 1 to include user confirmation of the entered value.
- 4. If you attempt to open a file for reading using

## new FileReader(filename)

and the file doesn't exist, a java.io.FileNotFoundException will be thrown. Use a try block to create a robust program to read a file and echo its contents to the screen. The program should prompt for the name of the file. If the file can't be opened, the program should print a suitable message and ask for a new file name to be entered.

- 5. Use a try block in the method computeNeighbors() in class Living for the Predator-Prey simulation in Section 7.7, An Example: Predator-Prey Simulation, on page 207. Using try-catch, you can lift the restriction that computeNeighbors() is never called with a border cell. If an attempt is made to go beyond the array bounds, the exception should be caught and treated as an empty cell. Modify Living and PredatorPrey to allow updates of the border cells.
- 6. Using a DataOutputStream, create a program that writes some random numbers to a file. Have the user specify on the command line the number of random values to write. Using a DataInputStream, create another program to read in those random values. The program that reads the random numbers shouldn't be told how many numbers are in the file. Instead the end-of-file should be detected by using a try block to contain the read statement. Print the distribution of random values using 10 intervals.
- 7. Write a program to print out the real roots of the quadratic expression  $ax^2 + bx + c$ . Recall that, for there to be two real roots, the discriminant  $b^2 - 4ac$  must be greater than 0. If this condition fails, use an exception to generate a message that the quadratic expression doesn't have two real roots. Compare this methodology to one that avoids throwing an exception when handling this case.
- 8. Write a factorial method that throws an exception if the integer n would lead int factorial (int n) to compute an incorrect value because of integer overflow. Recall that int values go only to approximately 2 billion. Test the method by printing a table of values for n! until this exception occurs.
- 9. Repeat the previous exercise for long factorial(long n).
- 10. The method Math.sqrt() when given a negative argument returns Double.NaN (not a number). Write and test your own version mySqrt() that throws an exception when given a negative number.

# DYNAMIC DATA STRUCTURES

Dynamic data structures can expand and contract during computation, for example, as in a list of things to do, from which you can add or delete items. Computers are frequently used to store and manipulate lists of data, which can be represented many ways in a computer. In Section 5.13, *The container ArrayList*<>, on page 150, we showed how to use a standard Java class that is an implementation of a dynamic data structure. In this chapter we show how to implement a dynamic list and similar data structures.

The choice of how best to represent a list is based on how the list is to be manipulated. Will items be added at the top of the list, at the bottom, or somewhere in the middle? Will the items in the list be in some particular order, such as alphabetical? Which will be more common: searching for an item in the list or inserting a new item in the list? Many more such questions have to be considered in deciding how to implement a list. For the most part these are questions for an advanced text on data structures.

A data structure that we've already used to store lots of information is the array. The single most important difference between arrays and the list implementations that we describe in this chapter is that the capacity of an array can't change. If you need to change the size of a list implemented as an array, you have to create a new array, larger or smaller, and then copy the items from the old array to the new, resized array.

The list data structures we describe in this chapter are called dynamic data structures because they can be readily resized. A second important characteristic of such data structures is that at least part of the data structure will be implemented by a class that contains, as a member, a reference variable of the same type as the class itself. For this reason, these data structures are frequently called *self-referential*, or *recursive*, *data structures*.

# 12.1 SELF-REFERENTIAL STRUCTURES

The simplest type of self-referential list structure is a sequence of elements. Each element contains some data and a reference, often called a *pointer*, to the next element in the list. We can use this approach in Java, as shown in the following example, allowing us to create an arbitrarily long list of integer values.

```
//IntListElement.java - a self-referential class
class IntListElement {
   IntListElement(int value) { data = value; }
   IntListElement next; //self-referential
   int data;
}
```

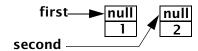
The declaration of IntListElement has two fields. The field data stores the data member for this element. The reference variable next is called a *link*. Each element is linked to a succeeding element by way of the member next. For convenience, we also include a constructor that fills in the data field. A list implemented with this structure can be conveniently displayed pictorially with links shown as arrows. In the following figure the field data contains the value 2. The field next is pointing to some other, unspecified element.



If there is no succeeding element because we are at the end of the list, the field next contains the special value null. We can create two elements with

```
IntListElement first = new IntListElement(1);
IntListElement second = new IntListElement(2);
```

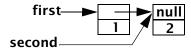
The next field defaults to null. Pictorially, the result of this code is



To make these two elements into a list, with first as the first element in the list, we link them.

```
first.next = second;
```

The diagram now looks like this.



The links allow us to retrieve data from successive elements, beginning with the first element. Thus

```
first.next.data
```

has the value 2.

#### COMMON PROGRAMMING ERROR

A common mistake is to attempt to access a field or method for an object with a reference value that is null. Doing so generates a NullPointerException. For example, given the short list that we just created, attempting to reference first.next.next.data would generate a NullPointerException. The expression first.next.next refers to the reference value stored in the field next of the second element in the list. This field is null, so first.next.next.data is asking for the field data of the null value.

In some cases letting the program abort with the exception is acceptable. In other cases using the mechanism for handling exceptions may be appropriate—to catch the exception and take some corrective action. Yet another approach is to test for a null reference before accessing any fields. Better still is to design the program so that such null references can never occur.

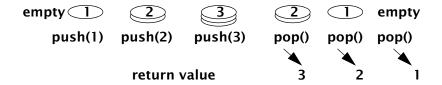
# 12.2 A LINKED LIST IMPLEMENTATION OF A STACK

We've already discussed arrays, which are a type of container. That is, an array contains a sequence of values of the same type. Another common container used in computers is a stack. A *stack*, like an array, stores a sequence of similar objects. The difference between stacks and arrays lies in how you put values in the container. In an array, you create the container with a fixed size and then store and retrieve values at any index in the array. In contrast, a stack is like a stack of plates. You can add a plate to the top of the stack, you can look at the plate on the top of the stack, and you can remove a plate from the top of the stack. You can't look at, add, or remove plates from the middle.

A stack class for storing integers should have the following methods.

```
class IntStack {
  int top() { ... };
  void push(int value) { ... };
  int pop() { ... }
  boolean empty() { ... }
}
```

The method top() returns the value of the integer on the top of the stack without changing the stack's contents. If the stack is empty, it returns a 0. The method push() pushes an integer value onto the top of the stack, increasing the number of integers contained in the stack. The method pop() returns the same thing as top(), but it also removes the top value from the stack, reducing the number of integers contained in the stack by 1. If the stack is empty, pop() returns 0 and the stack is unchanged. The method empty() returns true if the stack is empty and false otherwise. The following figure shows the integers 1, 2, and 3 being pushed onto a stack and then popped back off.



There are many ways to implement the operations of the class IntStack. An important aspect of Java (and other object-oriented languages) is the language syntax that allows you to hide and change the implementation without affecting other parts of a program that use the class. Here we implement the class IntStack, using the class IntListElement introduced at the beginning of the chapter.

```
//IntStack.java - a stack implemented with a list
class IntStack {
  int top() {
    if (top != null)
      return top.data;
    else
      return 0;
  }
  int pop() {
    int result = top();;
    if (top != null)
      top = top.next;
    return result;
```

```
void push(int value) {
    if (top == null) {
        top = new IntListElement(value);
    }
    else {
        IntListElement temp = new IntListElement(value);
        temp.next = top;
        top = temp;
    }
}
boolean empty() { return top == null; }
private IntListElement top = null;
}
```

#### Dissection of the Class IntStack

```
int top() {
  if (top != null)
    return top.data;
  else
    return 0;
}
```

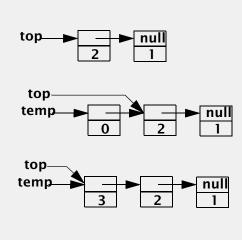
The private instance variable top is a reference to the IntListElement at the top of the stack. If the stack is empty, top equals null and the result of top() is 0. Otherwise, the result of top() is the data field for the element referred to by top. Note again that Java allows a variable and a method to have the same name.

```
int pop() {
   int result = top();
   if (top != null)
      top = top.next;
   return result;
}
```

We reuse the method top() to find the value that should be returned from pop(). By reusing our code in this way, we reduce the chances of making a mistake. If the stack isn't already empty, we move top to point to the next element in the list, thus removing what was the top element from the stack. We need to save the result before moving top; otherwise, we wouldn't be able to find the value to return.

```
void push(int value) {
  if (top == null) {
    top = new IntListElement(value);
  }
  else {
    IntListElement temp = new IntListElement(value);
    temp.next = top;
    top = temp;
  }
}
```

We must check for the special case of pushing onto an empty list, in which case we simply set top to be a new element. If the stack isn't empty, we create a new element, set its link to point to the current top of the stack, and then move top to point to the new element. In both cases, we then store the new value in the data field of the new top element. The next figure shows the sequence of inserting an element into a nonempty stack. Here, 1 and 2 were previously pushed onto the stack, and we are now executing push(3).



boolean empty() { return top == null; } private IntListElement top = null;

The method empty() is straightforward, returning true if the stack is empty. The only instance variable is the pointer to the top element. Note the absence of any specification of how large the stack will be.

# 12.3 A SINGLY LINKED LIST

A stack is a specialized list because it permits insertion and removal of elements from only one end of the list, the top. In this section we consider a more general list class that implements a singly linked list. This class allows for insertion, removal, and examination of elements at any point in the list. We could just use the class IntListElement in each program that uses lists. Doing so, however, would require us to recode repeatedly the error prone operations of connecting and disconnecting links. Instead, we create a class that does all of the work for us.

With a stack, we always know where an insertion or removal is going to take place, namely, at the top. With a general linked list, we need some way to specify where the insertion or removal should be performed. We specify the location by having a variable called current that refers to an arbitrary element in the list. We can then move current from one element to the next. Here is a specification of our class, without any method bodies.

```
class IntList {
 void insert(int value)
  /* Insert after the element referred to by current and move current
  * to the new element. If current doesn't refer to any element.
   * then insert at the head and move current to the new element.
 int next()
  /* Advance current to the next element and return that element.
   * If there is no next element or the list is empty, throw an exception.
   * If current doesn't refer to any element on entry to this method,
   * then the first element in the list is returned and current is set
   * to the first element.
   */
```

}

```
int current()
/* Return the current element. If the list is empty or there is no
* current element, throw an exception.
 * Initially current doesn't refer to any element.
void remove()
/* Remove the current element advancing current to the next element.
 * If there is no next element, which happens when removing the last
 * element, set current to not refer to any element.
 * This is equivalent to moveToHead().
 * If current doesn't refer to any element on entry, remove() does nothing.
void moveToHead()
/* Set current to not refer to any element, which is interpreted to mean
 * before the first element.
 * A subsequent call to next() will return the first element.
boolean hasNext()
/* Returns true if current isn't the last element in the list.
 * This is intended to be used in conjunction with next() to loop through
 * a list, examining each element.
 * Note that this isn't telling us if the list is empty, but rather whether
 * a subsequent call to next() will succeed without causing an exception.
```

Before looking at an implementation of this class, let's look at some code involving the use of the class.

```
//IntListTest.java
class IntListTest {
  public static void main(String[] args) {
    IntList list = new IntList();
    // insert the integers 1 through 10 in the list
    for (int i=1; i<=10; i++)
      list.insert(i);
    // print the list
    list.moveToHead();
    while (list.hasNext())
      System.out.println(list.next());
    // try an insertion and a deletion
    list.moveToHead():
                      // current is 1
    list.next():
                      // current is 2
    list.next();
    list.insert(25); // insert 25 between positions 2 and 3
                      // current is 3
// remove 3
    list.next();
    list.remove();
    // print the list again
    list.moveToHead();
    while (list.hasNext())
      System.out.println(list.next());
 }
}
```

This program prints 1 through 10 and then prints 1 through 10 with 3 replaced by 25. We use <code>next()</code> both to retrieve a particular element and to advance <code>current</code>. If we aren't concerned about the current element, we can simply ignore the value returned by <code>next()</code>. Note that the <code>IntListTest</code> program doesn't refer to <code>IntListElement</code>. Thus the code is independent of how <code>IntList</code> is implemented. It could be implemented

with an array (see Exercise 8 on page 385), with a list built of IntListElements, as we do shortly, or with some other class.

Owing to the length of IntList, we present only the dissection, without first presenting the complete class.

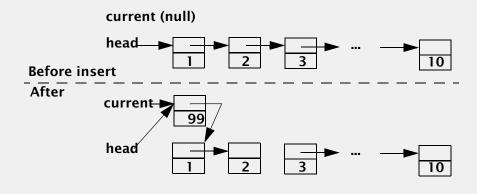
#### Dissection of the Class IntList

```
class IntList {
    private IntListElement head, current, previous;
```

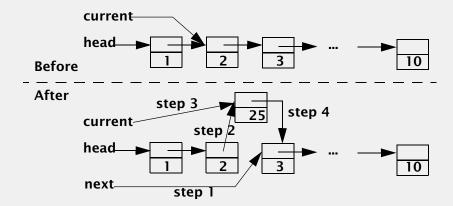
There are three instance variables. The variable head always refers to the first element in the list or is null if the list is empty. The variable current can refer to any element in the list. If current is null, it is assumed to be pointing before the first element. In this way, insert() always inserts an element after current. The variable previous always points to the element before current. It is needed to simplify removal of elements. If current is pointing to the first element, previous will be null.

```
void insert(int value) {
    previous = current;
    if (current != null) {
      IntListElement next = current.next;
                                                //step 1
      current.next = new IntListElement(value);//step 2
      current = current.next;
                                                //step 3
      current.next = next;
                                                //step 4
    else if (head != null) {
      current = new IntListElement(value);
      current.next = head;
      head = current;
    else /* list is empty */
      head = current = new IntListElement(value);
  }
```

Note that inserting in a list is much more complicated than pushing onto a stack. The variable previous is always set to current because current moves forward to the new element. There are three cases: current is pointing to some element in the list, current isn't pointing to any element but the list isn't empty, and the list is empty. The last case is easy: Point head and current at the new element. The middle case causes a new element to be inserted before the current first element. The following diagram shows an example of the middle case. Part (a) shows the initial list of 10 elements created by the first loop in IntListTest just after the first list.moveToHead(). Part (b) shows the result of subsequently executing listInsert(99).



The remaining case is the general case when an element is inserted after an existing element. The following diagram shows the insertion of 25 between 2 and 3, as done with IntListTest. The comments //step 1 and so on in the code correspond to the similar labels on the arrows in the diagram.



```
int next() {
   previous = current;
   if (current == null)
      current = head;
   else
      current = current.next;
   return current.data;
}
```

If current is null, the "next" element is the first element in the list. If current is null at the return statement, a NullPointerException is thrown automatically. A better solution is to test for this situation and throw a NoSuchElementException. (See Exercise 5 on page 427.)

```
int current() {
   return current.data;
}
```

This statement simply returns the value of the field data for the element pointed to by current. If current is null, this statement throws a NullPointerException automatically. As with next(), a better solution is to throw a NoSuchElementException.

```
void remove() {
   if (head == null) return;
   if (current == null) return;
   if (current == head)
     head = current = current.next;
   else
     current = previous.next = current.next;
}
```

There are four cases for removal: The list is empty, no element is selected, the first element is selected, and some element other than the first is selected. Only the last two actually remove an element. Removing the first element is special because we also need to adjust head to point to whatever followed the first element. Removing an element other than the first element requires the use of previous to link the element prior to the removed element to the element that followed the removed element.

```
void moveToHead() {
  previous = current = null;
boolean hasNext() {
  if (current != null)
    return current.next != null;
    return head != null;
}
```

These two short methods complete our implementation of IntList. There are two cases for hasNext(). First, if current is null, the next element is the first element if there is one. We therefore test whether the list is empty. Second, if current isn't null, the next element is whatever comes after current. In this case we test whether the next field of current isn't null.

# 12.4 MORE OPERATIONS ON LISTS

In the previous section, we introduced and described a class IntList that supported arbitrary insertion and removal but implemented only a minimal set of operations. Many more common operations can be used on lists, and implementing them once in our list class allows us to use them in other programs. The following is a list of typical operations; for completeness we included those already implemented.

## **LINEAR LIST OPERATIONS**

- Create an empty list.
- Insert after the current position.
- Insert before the current position.
- Insert at position *n* in the list. Zero means insert before the first element.
- Remove the element at the current position.
- Find an element in the list.
- Append the elements of one list to the elements of another list.
- Count the elements in a list.
- Retrieve the current element.
- Move the current position forward.
- Move the current position backward.
- Move to the head of the list.
- Move to the end of the list.
- Print a list.

# 12.4.1 IMPLEMENTING THE METHOD toString() FOR THE CLASS IntList

Some list operations are easier to implement than others. A simple but useful operation is printing a list, which we did in our examples. However, by creating a method to print the list, our programming task becomes simpler. As discussed in Section 7.2, Overriding Instance Methods, on page 197, the preferred

approach for printing objects in Java is to create a method toString(), which returns a String representation that can then be printed. Here is a simple implementation of toString().

```
//excerpt from IntList.java
public String toString() {
   IntListElement cursor = head;
   StringBuffer result = new StringBuffer();
   while (cursor != null) {
      result.append(cursor.data + "\n");
      cursor = cursor.next;
   }
   //Convert the final StringBuffer to a String using
   //method toString() from class StringBuffer.
   return result.toString();
}
```

The class String is implicitly derived from the class Object, as are all classes except the class Object itself. Because the method toString() is defined as a public method in Object, the method, when redefined in other classes, must also be public—hence the keyword public in the definition. (See the discussion in Section 7.3, *The Access Modifiers private and public Revisited*, on page 199.)

Often, a convenient way of working with lists is to write recursive methods. We can easily write a method that recursively calls itself to generate a string representation of a list when given a reference to the first element in the list.

```
static String toStringRecursive(IntListElement first) {
  if (first == null)
    return "";
  else
    return (first.data + "\n") + toStringRecursive(first.next);
}
Using this method, toString() in the class IntList can be implemented as
public String toString() {
  return toStringRecursive( head );
}
```

Note that there are no local variables in either of these last two methods. The result is built in the stack of method calls. Suppose that the list contains the elements 10, 20, and 30, in that order. Then a call to toString() would result in the following sequence of calls.

```
toString()
  toStringRecursive(first.data is 10)
    toStringRecursive(first.data is 20)
     toStringRecursive(first.data is 30)
     return "30\n"
  return "20\n30\n"
  return "10\n20\n30\n"
return "10\n20\n30\n"
```

Note how the result is built in reverse order as the method calls return.

#### 12.4.2 DOUBLY LINKED LISTS

Implementing the "move current position backward" operation would be rather difficult if we used our classes IntList and IntListElement. In order to move backward, we would have to move back to the beginning and then move forward again, stopping when current reached what used to be the preceding element. To solve this problem, we can create a new list element class that contains two links—one pointing to

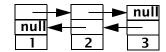
the next element and one pointing to the preceding element. Such a list is called a *doubly linked list*. Here is our new list element.

```
//IntDListElement - for doubly linked lists
class IntDListElement {
   IntDListElement(int value) { data = value; }
   IntDListElement next;
   IntDListElement previous;
   int data;
}
```

We can create a list of three elements with the following code.

```
IntDListElement first = new IntDListElement(1);
IntDListElement second = new IntDListElement(2);
IntDListElement third = new IntDListElement(3);
first.next = second;
second.previous = first;
second.next = third;
third.previous = second;
```

Pictorially, this approach would result in the following structure.



We leave it for you to do as an exercise to utilize this doubly linked element in a doubly linked list class that supports moving both backward and forward through the list.

# 12.5 A GENERIC STACK

The list and stack classes that we implemented in the preceding sections work only with integers. What if we wanted to store strings or other objects on a stack? One solution would be to create different classes for each type, which is cumbersome and unnecessary. Recall that Java supports polymorphism, which means that we can create one class to handle many different types. The changes to our previous classes are minor. Let's start with a generic list element.

```
//ListElement.java - a generic list element
class ListElement {
   ListElement(Object value) {
    data = value;
   }
   ListElement next;
   Object data;
}
```

We replaced int with Object. The class Object is a standard Java class, and a reference of type Object can refer to any nonprimitive type. In order to store primitive type values in our generic ListElement, we need to use the standard Java classes that turn primitive types into reference types (see Section 7.11.2, *Automatic Boxing of Primitives*, on page 220). Recall that there is one such class for each primitive type. For example, the class Integer corresponds to the primitive type int, and new Integer(3) is a nonprimitive value that corresponds to the primitive value 3. To create a ListElement storing the value 3, we can use

```
ListElement elem1 = new ListElement(new Integer(3));
```

Similarly, we could create a ListElement containing the float value 1.25 with

```
ListElement elem2 = new ListElement(new Float(1.25f));
```

Strings don't require any special handling because they are already reference types. Here is a ListElement containing a String.

```
ListElement elem3 = new ListElement("element 3");
```

To retrieve the data from the elements, the program using the data has to know what type of value is really there. Provided that we know the true type, we can use a cast to convert from Object back to the true type (see Section 7.11, *Type conversions and Polymorphism*, on page 218). For example, if the list contains only Float values, we can use the following expression to retrieve a value.

```
Float x = (Float)elem2.data;
```

To recover a primitive float value from its Float class counterpart we use

```
float value = x.floatValue();
```

The other primitive types are similar; we make the obvious substitution for float in floatValue(). If you don't know what type of value is stored in a ListElement, Java provides the operator instanceof that you can use to test the type. (Recall our discussion of instanceof in Section 7.11, *Type conversions and Polymorphism*, on page 218.)

Now that we have a generic list element, we can use it to create a generic list or a generic stack. Here, we make the change for the stack and leave the changes for the list for you to do as an exercise. In the following code, the changes are shown in italics. We replaced all occurrences of int with Object and all occurrences of IntListElement with ListElement. The only other change was the name of the class—from IntStack to Stack.

```
//Stack.java
class Stack {
  Object top() {
    if (top != null)
      return top.data;
    else
      return null;
  void push(Object value) {
      if (top == null) {
        top = new ListElement(value);
      }
      else {
        ListElement temp = new ListElement(value);
        temp.next = top;
        top = temp;
      }
  Object pop() {
    Object result = top();
    if (top != null)
      top = top.next;
    return result;
  boolean empty() { return top == null; }
  private ListElement top = null;
}
```

In the following program we use the new stack to store double floating point values.

```
//GenericStackTest.java
class GenericStackTest {
  public static void main(String[] args) {
    Stack stack = new Stack();
    stack.push(1.111);
    stack.push(2.222);
    stack.push(3.333);
    while (!stack.empty()) {
      double temp = (Double)stack.pop();
      System.out.println(temp);
 }
}
```

Notice that we take advantage of auto-boxing to convert the primitive double values into the object Double values in the calls to push() (see Section 7.11.2, *Automatic Boxing of Primitives*, on page 220). The Java compiler determines that a primitive has been used were an Object is expected and does the boxing for us. We didn't have to first store the popped value in temp. We did so merely to show how to convert the popped value to a primitive value of type double. The same output would be obtained if the body of the loop was simply

```
System.out.println(stack.pop());
```

The output of this program is

3.333 2.222 1.111

#### COMMON PROGRAMMING ERROR

When implementing linked list data structures, you must take great care to ensure that you always create proper linked lists. For example, you could create a circularly linked list that has no beginning or end. That's fine, if that's what you intended; but if your intent was a linear linked list, operations such as hasNext() may never return false. Then an innocent loop such as

```
while (list.hasNext()) {
  ... = list.next();
  . . .
```

becomes an infinite loop.

One good defense against such errors is the use of assertions, either formal or informal. A formal assertion is one that you actually place in the code to be checked. If the assertion fails, an exception can be thrown. An informal assertion can be in the form of a comment that should be checked by the implementer of the method.

An example of an assertion on the insert method for a list might be "The next field for the last element in the list is null." As discussed in Section 11.1.6, Program Correctness: Throwing an Exception, on page 335, just the process of thinking about the assertions you might write can result in better code. For example, consider writing the assertion that the last element in the list is null. You should realize that you need to be sure that

the first element inserted in an empty list and an element inserted at the end of a list must have their next fields set to null.

Another way to avoid problems resulting from errors in implementing your own list class is to use an existing list class that has been thoroughly debugged. Java includes an extensive collection of classes for handling lists, stacks, and related structures. These classes are included in the package <code>java.util</code>. For example, there is a class <code>java.util.LinkedList</code>. Another is <code>java.util.ArrayList</code> which was discussed in Section 5.13, The container ArrayList<, on page 150.

# 12.6 AN EXAMPLE: POLISH NOTATION AND STACK EVALUATION

Ordinary notation for writing expressions is called *infix*, wherein operators separate arguments. Another notation for expressions, which is very useful for stack-oriented evaluation, is called *Polish*, or parenthesisfree, *notation*. In Polish notation the operator comes after the arguments. Thus, for example,

3, 7, + is equivalent to the infix notation 3 + 7

In Polish notation, going from left to right, the operator is executed as soon as it is encountered. Thus

A Polish expression can be evaluated by an algorithm using two stacks. The Polish stack contains the Polish expression, and the evaluation stack stores the intermediate values during execution. The following two-stack algorithm evaluates Polish expressions wherein all operators are binary.

## A TWO-STACK ALGORITHM TO EVALUATE POLISH EXPRESSIONS

- 1. If the Polish stack is empty, halt with the top of the evaluation stack as the answer.
- 2. If the Polish stack isn't empty, pop the Polish stack into a variable called opval.
- 3. If opval contains a value, push the contents of opval onto the evaluation stack.
- 4. If opval contains an operator, pop the evaluation stack twice, first into b and then into a. Compute (a opval b) and push the result onto the evaluation stack. Go to step 1.

We illustrate the use of this algorithm in the following table, where we evaluate the expression

OPVAL	Polish Stack	EVALUATION STACK	Соммент
	13, 4, -, 2, 3, *, +	Empty	Initial configuration
13	4, -, 2, 3, *, +	Empty	Step 2
	4, -, 2, 3, *, +	13	Step 3
4	-, 2, 3, *, +	13	Step 2
	-, 2, 3, *, +	4, 13	Step 3

OPVAL	POLISH STACK	EVALUATION STACK	COMMENT
-	2, 3, *, +	4, 13	Step 2
	2, 3, *, +	9	Step 4
2	3, *, +	9	Step 2
	3, *, +	2, 9	Step 3
3	*, +	2, 9	Step 2
	*, +	3, 2, 9	Step 3
*	+	3, 2, 9	Step 2
	+	6, 9	Step 4
+	Empty	6, 9	Step 2
	Empty	15	Step 4

Next, we write a program that implements this two-stack algorithm and test it with an evaluation of the Polish expression 16, 9, \*, 3, 7, +, +. We represent each element of the expression as a string.

```
//Polish.java - two stack Polish evaluation algorithm
class Polish {
  public static void main(String[] args) {
    String[] expression = {"13", "4", "-", "2", "3", "*", "+"};
    Stack stack = new Stack();
    Stack intArguments = new Stack();
    String opval;
    for (int i = expression.length - 1; i >= 0; i--)
      stack.push(expression[i]);
    while (!stack.empty()) {
      opval = (String)stack.pop();
      if (!isOperator(opval))
        intArguments.push(opval);
      else
        intArguments.push(eval(opval, intArguments));
    System.out.println(" = " + intArguments.top());
  static boolean isOperator(String s) {
    return s.equals("+") || s.equals("*") || s.equals("-");
 // apply a binary operator to the top two operands
  // on the stack
  static String eval(String operator, Stack stack) {
    String a, b;
    b = (String)stack.pop();
    a = (String)stack.pop();
    if (operator.equals("+"))
      return String.valueOf(Integer.parseInt(a) + Integer.parseInt(b));
    else if (operator.equals("-"))
      return String.valueOf(Integer.parseInt(a) - Integer.parseInt(b));
    else
      return String.valueOf(Integer.parseInt(a) * Integer.parseInt(b));
 }
}
```

By using code that has already been written and tested, such as Stack in the preceding example, you have less work to do in implementing a program to evaluate a Polish expression.

# 12.7 QUEUES

A *queue* is a representation of a list of values for which the normal mode of operation is to insert objects at one end and remove them from the other. In the United States, people stand in lines to get into a theater or check out at a store. In England these lines are called queues. People enter at the tail and leave from the head. A queue is a *first-in-first-out* (FIFO) data structure. In contrast, the stack we discussed earlier is a *last-in-first-out* (LIFO) data structure—the last value pushed in is the first value popped out.

As with a stack, a queue can be implemented with an array or as a linked list of elements. Here we present a generic queue based on our generic class ListElement, which is similar to the class Stack. However, instead of push(), top(), and pop(), we have add(), which adds an element at the front, front(), which returns the element at the front of the queue, and pop(), which removes the element from the front of the queue and returns it. Also the class maintains two pointers—one to the head, or first element, and one to the tail, or last element. Recall that the stack had only one pointer, which referred to the top element.

```
//Queue.java
class Queue {
  Object front() {
    if (head != null)
      return head.data;
    else
      return null;
  }
  void add(Object value) {
    if (head == null) {
      head = tail = new ListElement(value);
    else {
      tail.next = new ListElement(value);
      tail = tail.next;
    }
  }
  Object pop() {
    Object result = front();
    if (head != null)
      head = head.next;
    return result;
  boolean empty() { return head == null; }
  private ListElement head = null;
  private ListElement tail = null;
}
```

Our program to demonstrate the use of Queue is almost identical to the one we used for Stack. The main difference is in the output. This program prints the values in the order they are added to the queue. The output is

```
1.111
2.222
3.333
```

```
//QueueTest.java
class QueueTest {
  public static void main(String[] args) {
    Queue queue = new Queue();

    queue.add(1.111);
    queue.add(2.222);
    queue.add(3.333);
    while (!queue.empty()) {
        double temp = (Double)queue.pop();
        System.out.println(temp);
    }
  }
}
```

# 12.8 ITERATORS

An *iterator* is a construct that allows you to iterate over a collection of objects such as a list or an array. Any integer variable can be used as an iterator for an array. It is often useful to be able to maintain more than one iterator simultaneously for a single collection of objects. For example, using one forward iterator and one backward iterator you can reverse the elements in a list, implemented as an array, as shown in the following example.

```
int foward = 0, backward = list.length - 1;
while (forward < backward) {
  int temp = list[forward];
  list[forward] = list[backward];
  list[backward] = temp;
  forward++;
  backward--;
}</pre>
```

There are two iterators in this example: forward and backward. Because arrays are a fundamental type in Java and many other programming languages, there is a built-in syntax for iterators that iterate over arrays.

Two things are required of an iterator. You need some way to advance the iterator and fetch the next value. You also need some way to determine when you've iterated over the entire collection. For an array, increment or decrement the index variable and then test it to determine whether you've reached the end or the beginning of the array.

What about an iterator for classes such as List or Stack described in this chapter? The classes that implement singly linked lists have one implicit iterator built into the class. You can use the method next() to iterate over all the elements of such a list and the method hasNext() to detect when the entire list has been scanned. The following example involves the use of this implicit iterator to iterate over the entire list.

```
IntList list;
// code to fill the list would go here
list.moveToHead();
while (list.hasNext()) {
  int value = list.next();
  // do something with value
}
```

Using this implicit iterator, you can't maintain two simultaneous iterators moving at different times or in different directions. However, you can create a separate class to implement an iterator for a class such as List. The simplest iterators have only the two methods next() and hasNext().

In general, an iterator class will be aware of some details about how a collection is implemented. For the class IntList, the iterator class needs to know that the list is stored as a linked list of IntListElement objects. The standard way to create an iterator is to ask the class over which the iteration will occur to create one. This method is typically called iterator() in Java. Here is the new iterator() method for the class IntList.

```
IntListIterator iterator() {
  return new IntListIterator(this);
}
```

All this method does is create an IntListIterator object passing it a reference to the list. The following implementation of the iterator class requires that the field head in the class IntList be changed from private to package access (see Section 12.11.1, *Package Access*, on page 381) and that IntListIterator and IntList be in the same package.

```
// IntListIterator.java - simple forward iterator
class IntListIterator {
 // Create an iterator positioned before the first
 // element of the list.
 IntListIterator(IntList list) {
    current = null;
    this.list = list;
 }
 // Create an iterator positioned at element pos.
 IntListIterator(IntList list, IntListElement pos) {
    // pos must refer to an element in list
    current = pos;
    this.list = list;
 //Same as next() in IntList.
  int next() {
    if (current == null)
      current = list.head;
    else
      current = current.next;
    return current.data:
  //Same as hasNext() in IntList.
 boolean hasNext() {
    if (current != null)
      return current.next != null:
    else
      return list.head != null;
 }
  int current() {
    return current.data;
 IntListIterator copy() {
    return new IntListIterator(list,current);
 IntListElement current;
 IntList list;
}
```

Using this class you can now have as many forward iterators at the same time for a list as you want. Suppose that you want to determine whether a list is in fact composed of two identical shorter lists concatenated together. The following method checks for this condition.

```
//IteratorExample.java
class IteratorExample {
  static boolean checkDuplicate(IntList list) {
    // count the number of elements in the list
    int numElements=0;
    IntListIterator iterator = list.iterator();
   while (iterator.hasNext()) {
      iterator.next();
      numElements++;
    }
    // check for an even number of elements
    if (numElements%2 != 0)
      return false;
    // now compare the first half with the second half
    IntListIterator first = list.iterator();
    IntListIterator second = list.iterator();
    // advance second to the start of the second half
    for (int i = 1; i \le numElements / 2; i++)
      second.next();
   while (second.hasNext())
      if (first.next() != second.next())
        return false;
    return true;
 }
}
```

In the next example, involving two methods, we show how you can use iterators to process a list recursively. You can add these two methods to the class IntList. The first method simply creates an empty list and calls a private helper method to do the copy.

```
static IntList copy(IntListIterator iter) {
  return new IntList().copyHelper(iter);
}
The second method is the recursive helper method.

private IntList copyHelper(IntListIterator iter) {
  if (iter.hasNext()) {
    insert(iter.next());
    return copyHelper(iter);
  }
  else
    return this;
}
```

# Dissection of the Method copyHelper()

```
• if (iter.hasNext()) {
```

This test is for the base case of the recursion, which occurs when the iterator has been advanced over all elements and there are no more elements to process.

```
insert(iter.next());
return copyHelper(iter);
```

If there are more elements to be copied, we insert the next element from the list being copied into this IntList object, which is the copy. Recall that the static method copy() created a new IntList object, which is the copy. The method copyHelper() operates on this new IntList object. After inserting the next element in the copy, we recursively call copyHelper(), which eventually returns a reference to this, after all the elements have been copied.

```
else
    return this;
```

When all the elements have been inserted in the copy, which is the object referred to by this, the IntList object being operated on by copyHelper() simply returns a reference to the copy. This action causes all the recursive calls made in the repeated executions of the statement

```
return copyHelper(iter);
```

to return also.

# 12.8.1 USING ITERATORS TO IMPLEMENT THE METHOD append()

Let's implement the method append() for the class IntList. This method appends all the elements of one list to the end of another list. We present three different versions. Our first solution doesn't take advantage of any iterator methods. Our second solution uses the existing built-in iterator in IntList. The third solution shows how iterators can be used to create a simple implementation of append().

In the first solution, which doesn't use the other methods in IntList, the built-in iterators for the two lists aren't repositioned.

```
void append(IntList list) {
 IntListElement thisList = head;
 IntListElement otherList = list.head;
 IntListElement previous = null;
 // Position thisList on the last element by advancing it off the end
 // and then backing up one. After the loop, previous will point to the
 // last element or be null if the list is empty.
 while (thisList != null) {
    previous = thisList;
    thisList = thisList.next;
 thisList = previous;
 // Loop over the other list and insert each element
  // onto the end (pointed to by thisList).
 while (otherList != null) {
    // do the insert
    thisList.next = new IntListElement(otherList.data);
    thisList = thisList.next;
    // move to the next element of other list
    otherList = otherList.next;
 }
}
```

Use of the higher-level operations provided by a class is preferable, even when we're adding additional methods to a class. Their use minimizes the number of methods that must change in response to some low-level

implementation detail change. The following code is a first attempt at the second solution, which uses the existing routines from the class IntList.

```
void append(IntList list) {
    // Position first list's built-in iterator on its
    // last element.
    while (hasNext())
        next();

    // Position the second list's built-in iterator on
    // its first element.
    list.moveToHead();

    // Add each element from the second onto the end
    // of the first.
    while (list.hasNext())
        insert(list.next());
}
```

The problem with this code is that it changes the value of the field current for both lists. Such a change is probably unexpected by the programmer using append(), particularly the change in current for the explicit parameter list. To resolve this problem, we can save both current and previous for both lists and then restore them before the method returns, as in

```
void append(IntList list) {
  // Save positions of built-in iterators.
 IntListElement saveCurrent = current;
 IntListElement savePrevious = previous;
 IntListElement listCurrent = list.current;
 IntListElement listPrevious = list.previous;
 // Position first list's built-in iterator on its
  // last element.
 current = head;
 while (hasNext())
    next();
 // Position the second list's built-in iterator on
 // its first element.
 list.moveToHead();
 // Add each element from the second onto the end
  // of the first.
 while (list.hasNext())
    insert(list.next());
  // Restore the built-in iterators.
  current = saveCurrent;
 previous = savePrevious;
 list.current = listCurrent;
 list.previous = listPrevious;
}
```

An even more robust solution is to use iterators. We first need to add a new method, <code>insert()</code>, that allows us to insert an element after the position indicated by an iterator. This method is similar to our current <code>insert()</code>, but instead of allowing insertion only after the single implicit iterator, we allow insertion after an arbitrary iterator. We leave the implementation of this new <code>insert()</code> for you to do as an exercise. Once we've added the new method <code>insert()</code> to the class <code>IntList</code>, we can implement append() as follows.

```
void append(IntList list) {
   IntListIterator thisList = this.iterator();
   IntListIterator otherList = list.iterator();
   // Move iterator for first list to its last element.
   while (thisList.hasNext())
      thisList.next();
   // Add each element from the second onto the end of the first.
   while (otherList.hasNext())
      thisList = insert(thisList, otherList.next());
}
```

This last solution completely isolates the implementation of append() from the implementation details of the class IntList. This version of append() works for any list implementation that has iterators and a method insert() that takes an iterator and a value to insert.

#### 12.8.2 SORTING A LINKED LIST

A simple sorting algorithm for linked lists is the *insertion sort*, which is similar to sorting a hand of playing cards by picking the cards up one at a time and placing each in its proper position. This isn't an "in place" sort, meaning that we don't modify the original list. Instead, we create a new list that is a copy of the original elements but in the proper order. In the following method to sort an IntList list, we use our original class IntList with the addition of a method to insert an element at a position specified by an iterator, as just discussed.

```
//IntListSort.java
class IntListSort {
  static IntList sort(IntList list) {
    IntList sorted = new IntList();
    IntListIterator listIter = list.iterator();
    while (listIter.hasNext()) {
      // select the next item to be inserted
      int newItem = listIter.next():
      IntListIterator sortedIter = sorted.iterator();
      IntListIterator previous = null;
      // loop until a bigger item is found in sorted
      while (sortedIter.hasNext() && newItem > sortedIter.next()) {
        previous = sortedIter.copy();
      // insert before the bigger item which we do
      // by inserting after the element before it
      if (previous == null) // insert at head
        previous = sorted.iterator():
      sorted.insert(previous, newItem);
    }
    return sorted;
}
```

#### Dissection of the Class IntListSort

```
IntList sorted = new IntList();
```

We create a new empty list that will eventually contain the elements from list, but in order, with the smallest elements first.

```
IntListIterator listIter = list.iterator();
  while (listIter.hasNext()) {
```

We create an iterator and then examine each of the elements in list, using a while statement. The body of the loop inserts the elements from list in the list sorted so that elements in sorted are always in nondecreasing order.

```
int newItem = listIter.next();
IntListIterator sortedIter = sorted.iterator();
IntListIterator previous = null:
// loop until a bigger item is found in sorted
while (sortedIter.hasNext() && newItem > sortedIter.next()) {
  previous = sortedIter.copy();
```

We use two iterators to find the insertion point. The iterator previous is null until the loop body has been executed at least once. From then on, previous points to the element before the element pointed to by sortedIter. When the loop exits, previous points to the element preceding the insertion point or is null, in which case insertion should be done at the head of sorted.

```
if (previous == null) // insert at head
    previous = sorted.iterator();
  sorted.insert(previous, newItem);
```

We check for the special case of insertion at the head. To insert an element at the head we create a new iterator, positioned before the first element. Once previous has been set properly, we do the insertion.

# 12.9 ITERATORS AND THE INTERFACE Iterator

As we have shown, iterators need two methods: hasNext() and next(). The standard Java interface Iterator in the package java, util requires these two methods and a method remove().

Early versions of Java used an interface java.util.Enumeration, which was logically equivalent to Iterator, but without the method remove(). The method names were slightly different: hasMoreElements() and nextElement().

A class that implements the interface Iterator must include a method remove(), but the method isn't required to actually remove the item indicated by the iterator. If the method remove() doesn't remove the item, it should throw an UnsupportedOperationException.

The use of this interface simplifies code that includes iterators: All loops that have iterators now become more alike. When combined with the stylistic use of the method iterator() to return an iterator for a container, many loops now take on the form

```
Iterator i = container.iterator();
while (i.hasNext()) {
 SomeType localVariable = (SomeType)i.next();
 // do something with localVariable
```

We used Iterator instead of some type-specific iterator class. Its use means one less class for the reader of the code to understand. The only disadvantage is that we may need to cast the result of next() to the appropriate type. The specification of next() in the interface Iterator returns a value of type Object. As we have shown, this operation is safe in the sense that, if we attempt an illegal cast, an exception is thrown.

The interface Iterator is useful only for iterators that iterate over containers of nonprimitive values. The added overhead of wrapping a primitive type into a wrapper class and then unwrapping it is probably not worth it for containers such as our IntList from Section 12.3, *A Singly Linked List*, on page 361. The interface Iterator is useful when we're working with generic containers. For example, the standard Java class LinkedList has a method iterator() that returns an Iterator object that can be used to iterate over the elements in the LinkedList.

# 12.10DELETING OBJECTS

We have shown how to create objects by using the keyword new. Each new object occupies some space in the computer's memory. So what happens to objects that are no longer needed? In some programming languages, notably C and C++, we have to explicitly tell the computer when certain data structures are no longer needed. In Java, the computer automatically figures out when an object is no longer needed and removes it. This procedure is called *garbage collection*. Using 1990s terminology, it would be better named *memory recycling*, but garbage collection was used to refer to this activity before the recycling of garbage became popular.

How does the computer know when an object is no longer needed? It doesn't, but it does know when the object can no longer be referenced by the program. If there are no legal references to an object, the memory allocated to the object can be recycled. Consider the following example.

```
Scanner in = new Scanner(System.in);
String word = in.next();
while (!word.equals("quit")) {
   // do some processing with the word
   word = in.next();
}
```

Each call to <code>next()</code> returns a reference to a new <code>String</code> object. At the end of each loop iteration, <code>word</code> refers to a new <code>String</code> object, losing the reference to the previous object. If the rest of the loop doesn't create any additional references to the object referred to by <code>word</code>, the memory for all but the last <code>String</code> object can be recycled.

We don't have space for an extensive discussion of garbage collection algorithms. But to give you some idea of how they work, we describe simplified approximations of two approaches.

One way to determine if there are any legal references to an object is to locate all the variables in the active methods. A method is active if it has been called and hasn't yet returned. For each of these variables that is a reference type, mark the object it refers to as live. Then examine each live object and find the reference type variables in those objects and mark the objects they refer to as live. Continue this process until no new objects are marked as live. Any object not marked is garbage and can be recycled. This process, called *mark and sweep garbage collection*, is typically done when the computer begins to run low on memory. It can be time-consuming—possibly taking several seconds—which can be annoying to people using the system.

Another approach is to count the references to a particular object as they are created. When a reference is overwritten, the count of references to the object is decremented. When an assignment results in a new reference to the object, the count is incremented. When the count goes to zero, the object can be collected. This process is appropriately called *reference counting*, which doesn't cause the delays of mark and sweep. Instead, it slows the entire system a bit all the time because all operations that can affect references must deal with adjusting the counts.

# 12.11PACKAGES

Even the simplest Java program uses classes that were written by others. This ability to reuse parts of programs is essential for building complex software systems. Collections of functions, procedures, or classes are

often called *libraries*. Essentially all programming languages or their development systems provide some mechanism for a program to use these routines or classes.

In Java, groups of related classes can be placed in a package. There are two primary reasons for organizing classes into packages. First, a field or method that is defined without any of the access modifiers—public. protected, or private—can be accessed only from a method in a class from the same package. Thus classes can expose some implementation details to other classes in the same package but not to classes outside the package.

The second reason for using packages is to provide separate name spaces. This provision allows two different programmers or organizations to have classes with the same name and still use these classes in one program. For example, a software company that would like to define some of the standard mathematics functions slightly differently could create its own class Math. It should be placed in a package with a name derived from the company's name because part of the Java specification is a naming scheme for packages. This scheme is somewhat like Internet addresses in reverse, with the most general part of the name first. So a company with the Internet address www.coolsoft.com might name its package containing the class Math com.coolsoft.general. If the CoolSoft Corporation had a collection of classes that it used for handling standard container data structures, it might put them in a different package called com.coolsoft.containers. This package might have classes with some of the same names as those in the standard Java package java.util. For example, finding a better name than Stack for a class that implements a stack is difficult. There is already a class named Stack in java.util. Without packages to provide different name spaces, you couldn't write a program using com.coolsoft.containers.Stack in one place and java.util.Stack somewhere else.

#### 12.11.1 PACKAGE ACCESS

As mentioned, a primary reason for using packages is to allow a group of classes to share methods and fields but not allow those methods or fields to be accessed from outside the package. In addition, a package can contain entire classes that aren't visible outside the package. We've already shown how the modifier private can be used to hide implementation details inside a class. In the same way, package access hides implementation details inside a package. Package access is the default obtained by not specifying any access modifier. Another access level that we've used is public, the access modifier that must be used for any classes, methods, or fields that are to be made visible or exported from the package. In the following example we modified IntListElement, IntStack, and IntList to create a package intContainers that exports the two classes IntStack and IntList. Only the parts that we changed are shown. The missing portions can be filled in from the earlier examples in this chapter.

```
// IntListElement.java
package intContainers;
class IntListElement {
 // omitted
// IntStack.java
package intContainers;
public class IntStack {
 public int top()
                                // body omitted
 public void push(int value)
                                // body omitted
 public int pop()
                                // body omitted
 public boolean empty()
                                // body omitted
// IntList.java
package intContainers;
```

```
public class IntList {
  public void insert(int value) // body omitted
  public int next() // body omitted
  public void remove() // body omitted
  public void moveToHead() // body omitted
  public boolean hasNext() // body omitted
  private IntListElement head, current, previous;
}
```

We added the keyword public to all the methods in IntList and IntStack. We also added it to the class definitions for those two classes. Note that the class IntListElement isn't public. It has package access, specified by the absence of either private or public. Package access prevents IntListElement from being used outside the package. The class IntListElement is an implementation detail that shouldn't be visible outside the package.

#### 12.11.2 USING PACKAGES

Let's review how to use packages. Whenever you want to use a class from an existing package, you need to use an import statement to import the class. For simplicity, you should usually import all the classes from a package with import statements such as

```
import java.util.*;
```

This statement imports all the classes in the package <code>java.util</code>. The "\*" is called a wildcard, meaning "give me all the classes." You can also import specific classes. For example,

```
import java.util.Scanner;
```

Another alternative is not to use an import statement but instead always spell out the full name of the class. It is called the *qualified name* and includes the package name. This option can be rather awkward and isn't recommended if you intend to use the name of the package more than once. For example, you could use the following create a Scanner for reading from the console.

```
java.util.Scanner in = new java.util.Scanner(System.in);
```

The import statement told the compiler that if it encountered a class name it didn't recognize, it should try looking for it in the imported package. Import statements can save a lot of typing.

This explicit naming mechanism makes the use of two different Math classes possible. Here is how you could use the class Math from CoolSoft.

```
double x = Math.sqrt(y); // from java.lang
double y = com.coolsoft.Math.sqrt(y);
```

You don't have to import the package <code>java.lang</code>. It is imported by default into every Java class. That's why we could write <code>Math.sqrt()</code>, which uses the class <code>Math</code> from package <code>java.lang</code>, without having to use an <code>import</code> statement. The package <code>java.lang</code> is the only package that is automatically imported.

#### 12.11.3 CREATING PACKAGES

Every Java program can use classes from one unnamed package. Any classes in a single directory on your computer's disk that don't specify a package name are part of the same unnamed package. To place the classes in a named package, you need to do two things: add a package statement to the top of each class in the package as shown in the preceding example, and place the classes in an appropriately named location on your computer's disk. For the <code>intContainers</code> package that we introduced, you need to place the source files in a subdirectory with the same name as the package, <code>intContainers</code>. If you're using a Unix or Windows command line to compile your Java programs, then with your current directory set to the one <code>containing</code> the subdirectory <code>intContainers</code>, execute the command

# javac intContainers/\*.java

Note that the current directory is *not intContainers* but the one *containing* the subdirectory *intContainers*. This command compiles all the Java source files in the subdirectory. The command shown is for Unix. On Windows you would use a "\" instead of a "/" to separate the subdirectory from the wildcard file name. If you're using an integrated development environment, you'll probably have to create a project that includes the files from the package and then compile them. A *project* is the term used by most integrated development environments for a group of related files.

By default, Java looks for packages in the directory where Java was installed and then looks for packages in the current working directory. You can change this procedure by changing the CLASSPATH environment variable. Check with your instructor or read the documentation for your system to determine the appropriate way to make this change. For small projects this change usually isn't necessary.

# 12.12PROGRAMMING STYLE

Code reuse is the practice of reusing existing code in new programs. It has been an important part of programming from the beginning, but the amount of reuse is increasing. The complexity of current applications requires code reuse because it reduces development time and improves reliability. Increased reliability, in general, is the result of thorough testing of the reused components.

There are two aspects of code reuse: creation of reusable components and use of those components. One of the strengths of Java is the large and growing collection of reusable components called classes and collected as packages. In this chapter we introduced some basic data structures. You need to know how these data structures are created. When building real applications, you should, in general, not reimplement these basic data structures. Instead, you should select some appropriate reusable class or package that provides the functionality that your application needs.

If you determine that the performance of a class from a preexisting package is inadequate for your application, you should be able to replace that package easily with an implementation of your own.

The standard Java classes include several useful containers, such as LinkedList, and Stack, in the package java.util.

#### SUMMARY

- Self-referential structures use references or pointers to link items of the same type.
- The simplest self-referential structure is the linear or singly linked list. Each element points to the next element, with the last element having a link value of null.
- Many list processing algorithms are naturally implemented recursively. Frequently, the base case is when the end of the list is reached. The general case recurs by moving to the "next" element.
- The abstract data type (ADT) stack is implementable as a linked list, with access restricted to its first element, called the top. The stack has a last-in-first-out (LIFO) discipline implemented by the routines push() and pop().
- The ADT queue is also implementable as a linked list, with access restricted to its front and rear ends. The queue has a first-in-first-out (FIFO) discipline implemented by the routines add() and pop().
- A doubly linked list has a link to the next and preceding elements. Having both forward and backward links facilitates some list operations.

- Generic list structures can be created by having the list elements store a reference to an <code>Object</code>. Any nonprimitive type can be stored directly in such an element. The wrapper classes from <code>java.lang</code> in conjunction with auto-box/unbox can be used to store primitive values in such a list.
- Iterators are used to iteratively process all the elements in a container, such as a list. An iterator at the very least needs the two methods next() and hasNext().
- A group of related classes can be placed in a package. By doing so, you can expose some implementation details to other classes in the same package without making them generally accessible. Packages also provide for distinguishing between two classes with the same name—but from different packages. This provision allows both classes to be used if desired in a single program.

# **REVIEW QUESTIONS**

- 1. What causes a NullPointerException?
- 2. What is the default value for reference fields?
- 3. Draw a picture of a singly linked list containing the values 10, 20, and 30, in that order.
- 4. Draw a picture of a doubly linked list containing the values 10, 20, and 30, in that order.
- 5. A stack is used to process data in what order, LIFO or FIFO?
- 6. A queue is used to process data in what order, LIFO or FIFO?
- 7. What standard Java type is used to turn a primitive int value into an object? Why would you want to do that?
- 8. Why would you need an iterator for IntList when it already has methods hasNext() and next()?
- 9. IntList could have been implemented without the field previous. What operation would have been slowed down significantly if previous weren't used?
- 10. Name one operation that would be much faster on a doubly linked list than a singly linked list.
- 11. How is package access specified? What does it mean?
- 12. What was wrong with using the implicit iterator in IntList to implement append() as shown in 1Section 12.8.1, *Using Iterators to Implement the method append()*, on page 377?
- 13. Each time a method is called, some context such as the value of local variables and the address of the last statement executed must be saved. When the called method returns, the context of the method that did the call is restored. What data structure is used to store these contexts? (*Hint*: Think about methodA() calling methodB() calling methodC() and then each returning in turn.)
- 14. If you wanted to write a computer program to simulate a bank teller, what data structure would you use to store the objects representing each simulated customer as he or she arrives at the bank?

#### **EXERCISES**

- 1. Write an insertion method that inserts an element before the first element of an IntList list.
- 2. Write an insertion method that inserts an element after the last element of an IntList list.
- 3. Write an insertion method that inserts an element at the first position in an IntList list following an element storing a particular data value. If there is no such element, insert the element after the last element.
- 4. Generalize the previous three exercises. Write an insertion function that inserts an element in the nth position in a list, where 0 means that the element is placed at the head of the list. If n is larger than the length of the list, insert the element at the tail of the list.
- 5. Modify the method next() in the class IntList to throw a NoSuchElementException if there is no next element. The syntax for throwing the exception is

throw new NoSuchElementException();

- 6. Add a constructor to the class IntList that takes an array of integers and uses the array to build an initial list. The elements in the list should be in the same order as the elements in the array.
- 7. Add a method toIntArray() to the class IntList that returns a new array of integers containing the same elements as the list, in the same order.
- 8. Write a class that implements the same methods as IntList but represent the list as an array of integers. Add a constructor that allows the programmer to specify the maximum size of the list. Use this constructor to set the size of the internal array.
- 9. Write a class List that can store a list of any nonprimitive type values. Start with IntList and make the same changes that were made to create the generic class Stack.
- 10. Create a class Data that contains two integer fields—one for age and one for weight—and one string field for a name. Build a list of Data objects, using List from the previous exercise. Then write a method to count the number of objects in the list that have age and weight fields above a given value.
- 11. Write a class IntDList that uses the IntDListElement defined in Section 12.4.2, Doubly Linked Lists, on page 366. Include methods for insertion and deletion and a method toString().
- 12. Add a method removeDups() to your class IntDList in the previous exercise. The method removes from the list, elements having duplicate values.
- 13. Write a class DListElement that is a generic list element, similar to ListElement, but doubly linked.
- 14. Use the DListElement of the previous exercise to implement a class DList with methods that function like those in IntList.
- 15. Add the method previousElement() to the class DListElement in the previous exercise.
- 16. Write a program that uses the class Stack to reverse the words in a sentence. The sentence to be reversed should be read with Scanner.next(). Use Scanner.hasNext() to check for the end of the input. Use lastIndexOf() and subString() from the class String to remove the period at the end of the last word of the sentence.
- 17. Add a method concatenate(IntList list) to the class IntList. This method doesn't copy the elements of list, as does append(); instead, it simply sets the field next of the last element in the list referred to by this to point to the first element of the list referred to by list. Draw a diagram of the list

that would result from <code>listOne.concatenate(listOne)</code>, where <code>listOne</code> refers to a list of two elements. What happens if the resulting list <code>listOne</code> is printed, using the method <code>toString()</code> from Section 12.4.1, <code>Implementing the Method toString()</code> for the <code>Class IntList</code>, on page 366?

- 18. In the previous exercise you constructed a cycle. A *cycle* is a pointer chain that points back to itself. Cycles are particularly nasty run-time bugs that can be hard to recognize. Add a method <code>iscycle()</code> to the class <code>IntList</code> that returns <code>true</code> if a cycle is detected and <code>false</code> otherwise. (*Hint*: Save a reference to the initial element of the list and follow the links until either <code>null</code> is reached or the initial element is encountered.)
- 19. Modify concatenate() in Exercise 17 to throw an IllegalArgumentException if the result of the operation would create a cycle. Don't do the concatenation in that situation. The exception IllegalArgumentException is defined in the package java.lang.
- 20. Add a static method copyCat(IntList a, IntList b) to the class IntList that returns a concatenated copy of the lists a and b. The original lists a and b should remain undisturbed. This method should work fine if called with both arguments referencing the same list.
- 21. Add a method insert(IntListIterator iter, int value) to class IntList. The method inserts a new element with the field data set to value, following the element referred to by the iterator iter. The method should return a new IntListIterator, positioned at the element just inserted. Note that an iterator not pointing to any element (current == null) should be interpreted as positioned before the first element. This interpretation allows for insertion at any point. The iterator can't be positioned after the last element.
- 22. Using the iterator class IntListIterator, add a method with the signature

```
static IntList concatenate(IntListIterator index1, IntListIterator index2)
```

to the class IntList. This method should return a new list that is the result of concatenating copies of the elements starting from index2 to a list made from copies of the elements starting from index1. Write an iterative solution.

- 23. Use recursion to redo the previous excercise.
- 24. Starting with a linked list of objects as specified in Exercise 10, write a routine sortAge() to sort the list according to its age values. Write a function sortName() to sort the list in lexicographic order according to the name values.
- 25. Evaluate the following Polish expressions by hand.

```
7, 6, -, 3, *
9, 2, 3, *, 4, -, +
1, 2, +, 3, 4, +, *
```

26. Write corresponding Polish expressions for

```
(7 + 8 + 9) * 4
(6 - 2) * (5 + 15 * 2
6 - 2 * 5 + 15 * 2
```

- 27. Use the Polish program that we wrote in Section 12.6, *An Example: Polish Notation and Stack Evaluation*, on page 371, to evaluate the six Polish expressions given or derived in the previous two exercises.
- 28. The following lines occur in the eval() function in the class Polish:

```
b = (String)stack.pop();
a = (String)stack.pop();
```

What happens if you write

```
a = (String)stack.pop();
b = (String)stack.pop();
```

instead? The program should work properly on some Polish expressions but not on others. Explain why.

- 29. Write a routine that allows you to interactively initialize the contents of a Polish stack. Write a program to test your routine.
- 30. Add a constructor to the class Queue that builds a queue from an array of objects.
- 31. Add a method toArray() to the class Queue that returns an array containing the same elements as the Queue. Did you copy the elements into the new array or just the references to the elements? Discuss the two alternatives.
- 32. Use a general linked list structure to program sparse matrix addition. In a *sparse matrix* most of the values are zero. A nonzero element of a sparse matrix is represented by the triple (i, j, value). For each row i, the triples will be linked as a linear list. There will be an array with as many entries as there are rows in the matrix. Each array entry will be the list for the corresponding row. To add matrix A to matrix B, we take each row in the two matrices and merge them into a matrix C. For each row index, if only one matrix contains elements for that row, that row is duplicated in C. If both matrices contain elements for a given row, the rows are merged. If both rows have a triple with the same column value, in the output row  $c_{ij}$  is the sum  $a_{i,i} + b_{i,i}$ . Otherwise, the element with smallest column number becomes the next element of the output row.
- 33. Although sparse matrix addition can be performed with just row linked lists, for multiplication both row- and column-linked lists are required. You won't be able to use the list classes developed in this chapter. Each element can potentially have both a row successor and a column successor. If the elements are implemented by the class SMElement, there will be two SMElement arrays—one for the rows and one for the columns. Each nonnull array entry in the row array will be a link to the first nonzero row entry. The columns are handled similarly. Program sparse matrix multiplication.
- 34. Implement a sparse polynomial class. Use a linked list of elements to represent the nonzero terms of the polynomial. A term is a real coefficient and a power. Write a complete polynomial manipulation package. Your package should be able to input and output polynomials, and it should be able to add, subtract, multiply, and copy polynomials.

# THREADS: CONCURRENT PROGRAMMING

Some programming problems can most naturally be represented as a collection of distinct tasks to be performed concurrently. In some cases, the concurrency is obvious and unavoidable, as when two computers communicate over a network. For example, fetching a file over the Internet involves the task of reading the file from the network on one computer and the task of writing the file to the network from a different computer. In other cases, all the tasks may execute on one computer, in which case concurrent execution may only be desirable, not required. For example, suppose that you were asked to design and implement a new Internet browser. Among other things, you might want the browser to be able to load large graphics files in one window while you simultaneously followed several other links in another window. The computer is essentially being asked to do two things at once. Most operating systems today provide some support for doing several things at once, but support in popular languages was limited or nonexistent prior to Java.

Many different terms are applied to a unit of sequential program execution that is executing within a computer system that is executing many such units simultaneously. The largest unit is usually called a process. A *process* is the term for a program when it is executing. Most operating systems support concurrent execution of multiple processes. To grasp the difference between a process and a program, consider that you could have two different processes, each executing the same program.

The other terms most commonly used for units of sequential execution are *tasks* and *threads*, both of which generally refer to the execution of less than an entire program. This is in contrast to process, which usually refers to the execution of an entire program. Java provides language support for concurrent execution of parts of programs called threads. Three fundamental capabilities must be provided to support concurrent programs or threads.

- Concurrency—There must be some way to create multiple execution threads.
- Synchronization—There must be some way for two threads to synchronize in order to avoid interfering with each other.
- Communication—There must be some way for two threads to exchange information.

In this chapter we describe how each of these capabilities is handled in Java.

# 13.1 IMPLICIT THREADS FROM AWT

Programs that use AWT or Swing to create graphical user interfaces implicitly create a concurrent thread to respond to input events and call the appropriate event listeners. We can get a clear sense of the two threads by having our method main() do something after creating the components of a GUI. The following program increments a count once a second, while at the same time responding to simple button clicks in a GUI. The program has three classes. The class AwtThread contains main(), which starts the GUI and then loops, printing count once per second. The class ClickCounter is a simple ActionListener that counts the number of times the button it is listening to is clicked. The class GoodBye is a simple ActionListener that exits when the button it is listening to is clicked. We discussed GoodBye in Section 8.2, Listening to Events, on page 231 and don't repeat it here.

```
//AwtThread.java - doing two things at once
import java.awt.*;
import javax.swing.*;
class AwtThread {
 public static void main(String[] args) throws InterruptedException {
    createGUI();
    int count = 0;
   while (true) {
      count++;
      // go to sleep for 1 second = 1000 milliseconds
      Thread.currentThread().sleep(1000);
      System.out.println("count is now " + count);
      System.out.flush(); // force output to print now
 static void createGUI() {
    JFrame frame = new JFrame("AwtThread");
    Container pane = frame.getContentPane();
    JButton quit = new JButton("Quit");
    quit.addActionListener(new GoodBye());
    pane.add(quit, BorderLayout.NORTH);
    JButton counter = new JButton("Click to count");
    counter.addActionListener(new ClickCounter());
    pane.add(counter, BorderLayout.SOUTH);
    frame.pack();
    frame.setVisible(true);
 }
}
//ClickCounter.java - count button clicks
import java.awt.event.*;
class ClickCounter implements ActionListener {
 public void actionPerformed(ActionEvent e) {
    System.out.println("Total clicks is " + count);
  int count = 0;
```

Earlier when we discussed GUIs we never did any processing in main() after building a GUI. All computation was driven by events. If you run the preceding example, you'll see that a window appears with the two buttons Quit and Click to count. When you click the counter button, the number of clicks is printed. No mat-

ter what you do, about once a second the variable count in main() is incremented and its value printed. You can go back and forth as much as you like. Actually, two threads are running. One is waiting for GUI events, and the other is executing main() with its while statement. The thread reading the GUI events is created by part of the AWT code, but it uses the mechanisms we describe shortly. We discuss the method sleep() used in this example in Section 13.7.1, Calling sleep() from Anywhere, on page 410.

# 13.2 CREATING THREADS

A Java thread is represented by an instance of a class. The simplest way to create a thread that actually does something is to extend the class Thread from the package java.lang. The following example creates two simple threads. Each prints a message periodically, using the method sleep() to control the speed at which it prints its messages.

```
//TwoThreads.java - create two simple threads
class TwoThreads{
 public static void main(String[] args) {
    SimpleThread t1 = new SimpleThread(1, 1000);
    SimpleThread t2 = new SimpleThread(2, 1300);
    t1.start();
    t2.start();
 }
}
```

The class SimpleThread implements the threads. The constructor for SimpleThread takes two parameters: an integer used to distinguish the threads and the number of milliseconds to delay between each message. The delays are chosen so that the threads progress at different rates. In much the same way that calling the setVisible(true) method for a JFrame starts a thread inside AWT to listen for events, calling start() in this example starts a thread that is executing the instructions specified by the class SimpleThread.

```
//SimpleThread.java - periodically print a message
class SimpleThread extends Thread {
 SimpleThread(int threadId, int threadDelay) {
    id = threadId;
    delay = threadDelay; // in milliseconds
  public void run() {
    System.out.println("Thread" + id + " started.");
    System.out.flush(); //needed to see the effect
    for (int i = 0; i < 10; i++) {
        sleep(delay); // sleep delay milliseconds
      }
      catch (InterruptedException e) {
        System.out.println("sleep interrupted: "+e);
      System.out.println("Thread" + id + ": i = " +i);
    System.out.println("Thread" + id + " finished.");
 private int id;
 private int delay;
}
```

# Dissection of the Class SimpleThread

class SimpleThread extends Thread {

The class Thread is from the package <code>java.lang</code>. The class Thread implements most of the functionality required to have a separate thread. All we need to do is override the method <code>run()</code>. This is a powerful use of inheritance.

```
SimpleThread(int threadId, int threadDelay) {
   id = threadId;
   delay = threadDelay; // in milliseconds
}
```

We use the constructor to initialize a simple id variable used to distinguish the messages in the output. The variable delay controls the rate at which messages are printed.

```
public void run() {
    ...
}
```

The method <code>run()</code> must be overridden to define the actions for this thread. This method is analogous to <code>main()</code> for the single threaded programs that we've been writing. The Java virtual machine eventually calls the method <code>run()</code> in response to a call to <code>start()</code> for an object that extends the class <code>Thread</code>. We don't call <code>run()</code> directly because the Java virtual machine needs to create some internal data structures to handle the new execution thread.

```
System.out.println("Thread" + id + " started.");
System.out.flush(); //needed to see the effect
for (int i = 0; i < 10; i++) {
    try {
       sleep(delay); // sleep delay milliseconds
    }
    catch (InterruptedException e) {
       System.out.println("sleep interrupted: " + e);
    }
    System.out.println("Thread" + id + ": i = " +i);
}
System.out.println("Thread" + id + " finished.");</pre>
```

When the thread starts, we print an appropriate message. The method <code>flush()</code> forces the output to come to the screen. Without this method, the system might buffer the output for later display. We then loop 10 times, printing a message each time around the loop. To control the rate of execution, we use the method <code>sleep()</code>, which is inherited from the class <code>Thread</code>. The parameter for <code>sleep()</code> is the number of milliseconds that the thread should be put to sleep. At the end of the delay, the thread will be awakened and continue executing. This technique is the same used to control the displaying of images in an animation. In that case, the delay would be more like 100 milliseconds or less. The method <code>sleep()</code> can be interrupted, throwing an <code>InterruptedException</code> which must be caught. The specification of <code>run()</code> in <code>Thread</code> doesn't allow us to simply throw the exception up the line with a <code>throws</code> clause.

#### 13.2.1 THE INTERFACE RUNNABLE

Although the simplest way to create a thread is to extend the class Thread, it is not always the perferred way to create a thread. The often preferred alternative is to implement the interface java.lang.Runnable as shown in the following variation of TwoThreads and SimpleThread.

```
//TwoThreads2.java - uses a class that implements Runnable
                     instead of one extending Thread
//
class TwoThreads2{
  public static void main(String[] args) {
    Thread t1 = new Thread(new SimpleThread2(1, 1000));
    Thread t2 = new Thread(new SimpleThread2(2, 1300));
    t1.start();
    t2.start();
 }
}
```

The change in TwoThreads2 is that instances of SimpleThread2 are passed to a Thread constructor. The constructor expects an object that has a method run() as specified by the interface java.lang.Runnable.

```
//SimpleThread2.java - implements Runnable instead of extending Thread
class SimpleThread2 implements Runnable {
  SimpleThread2(int threadId, int threadDelay) {
    id = threadId;
    delay = threadDelay; // in milliseconds
  }
 public void run() {
    System.out.println("Thread" + id + " started.");
    System.out.flush(); //needed to see the effect
    for (int i = 0; i < 10; i++) {
      try {
        Thread.currentThread().sleep(delay);
      catch (InterruptedException e) {
        System.out.println("sleep interrupted: "+e);
      System.out.println("Thread" + id + ": i = " +i);
    System.out.println("Thread" + id + " finished.");
 private int id;
 private int delay;
}
```

There are only two changes to go from SimpleThread to SimpleThread2. The first change is that SimpleThread2 implements Runnable instead of extending Thread. This is the important change. One big advantage of this approach is that your thread class can now extend some existing class.

The other change is in the call to sleep(). Because SimpleThread2 does not extend Thread it does not inherit the sleep() method from Thread. Instead we use the static method Thread.currentThread() to get a reference to the thread that is currently running and invoke sleep() on that thread.

# 13.3 COMMUNICATION BETWEEN TWO THREADS

There are many ways for threads to communicate. The three most common forms are via shared objects, messages, or remote method invocation (RMI). In this section we discuss communication via shared objects. Later we show how to send messages over a network connection called a socket. We don't discuss RMI in this book because of space limitations.

In order for two threads to communicate via a shared object, both must have a reference to the same object. Once that has been established, any modifications made to the object by one thread are also observed by the other thread. One way to think of this is like a bulletin board on which anyone having access to it can write messages to be read by others with similar access.

One way to create a shared object is to pass it to the threads when we create them. In the following program, we modify our two-threads example, passing a reference to a shared Counter object when constructing a Racer object. Each Racer object clicks the shared counter 1,000,000 times and then prints the value. We set the modulus of the counter at 10 million because we are interested in counting the total number of clicks.

```
//TwoRacers.java - two threads sharing a counter
class TwoRacers {
  public static void main(String[] args) {
    Counter counter = new Counter(0, 10000000);
    Thread t1 = new Thread(new Racer(1, counter));
    Thread t2 = new Thread(new Racer(2, counter));
    t1.start();
    t2.start():
 }
}
//Racer.java - click the counter 1,000,000 times
class Racer implements Runnable {
 Racer(int id, Counter counter) {
    this.id = id;
    this.counter = counter;
  }
 public void run() {
    System.out.println("Thread" + id + " started.");
    for (int i = 0; i < 1000000; i++)
      counter.click();
    System.out.println("Thread" + id + " finished counter is " + counter.get());
 private int id;
 private Counter counter;
```

In the preceding example one Counter object is being shared by two threads. Both call exactly the same click() method and increment the same instance variable value inside a single Counter object. The threads more or less take turns. This is the same kind of sharing, called *timesharing*, that lets many different people simultaneously use one computer or lets one person do several things at once on a computer. For example, most systems allow a user to do something else while downloading large files with an Internet browser.

The example also demonstrates the need for synchronization, which we discuss next. If you run the example using the Counter class described in Exercise 6 on page 189 in Chapter 6, the total number of clicks reported by the thread that finishes last varies from run to run, and will almost always be less than 2,000,000, the expected answer. When both threads try to click the counter at "exactly the same time," some of the clicks are missed. We explain how they get missed in the next section.

# 13.4 SYNCHRONIZING TWO THREADS

Two types of synchronization occur between two threads. The first, *mutual exclusion synchronization*, is used to protect certain *critical sections* of code from being executed simultaneously by two threads, such as the method click() in the class Counter. This protection is often necessary to maintain the correctness of the code. The second, signal-wait synchronization, is used when one thread needs to wait until another thread has completed some action before continuing. Java has mechanisms for both.

All synchronization in Java is built around a relatively simple mechanism called a *lock*, and every Java object has an associated lock. Using appropriate syntax, we can specify that the lock for an object be locked when a method is called. Any further attempts to call a method for the locked object by other threads cause the thread to be suspended until the lock is unlocked. To make a method lockable, we simply specify that the method is synchronized by adding synchronized to the method header along with any access modifiers.

Note that a lock only locks out *other* threads. The thread that locked a lock is said to be *holding the lock*. The thread holding a lock won't be blocked if it calls another synchronized method for the same object. When a thread unlocks a lock, the thread is said to release the lock. The terms holding and releasing of a lock reflect the use of locks in operating systems to control access to resources that can't be used by two programs at the same time, such as a printer. A program that is using a particular resource such as a file is said to be holding the resource. When the program has finished with the resource it releases the resource for other programs to use.

# 13.4.1 MUTUAL EXCLUSION USING synchronized

How did clicks get missed in the program TwoRacers? Recall that a statement such as

```
value = (value + 1) % modulus;
```

is compiled as a sequence of primitive bytecodes. First, some bytecodes will compute (value + 1) % modulus, leaving the result on the Java virtual machine's stack. Then the result on the top of the stack is stored in the variable value. We summarize this process with the following pseudocode.

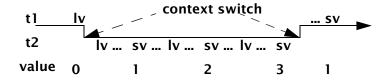
#### PSEUDOCODE FOR COMPUTING value

load value load 1 add load modulus mod store value

Each Java thread has its own stack that it uses for executing bytecodes. When the Java virtual machine switches from running one thread to running another, it switches to a different stack. This switch is called a context switch. Think of it as each thread having its own calculator. Operations on one calculator don't affect the operations on the other calculator until the result is stored in memory. Because the threads share the same memory, once a result has been stored any future loads, even in other threads, encounter the modified result.

When two threads are executing simultaneously, except as discussed shortly, the Java virtual machine can decide to switch from one thread to the other at any time. Suppose that thread t1 is somewhere after the load value bytecode but before the store value bytecode when the Java virtual machine decides to let thread t2 execute for awhile. When thread t1 finally resumes, it stores whatever is on the top of its stack in value. In the meantime, if thread t2 has clicked the counter several times, all those clicks will be lost. The

integer in the field value computed by thread t2 is overwritten by the older, incorrect integer from t1's stack. This type of error is called a *race condition*—hence the name of the class Racer. The following illustration shows a context switch while t1 is attempting to click the counter. The bytecodes load value and store value are abbreviated lv and sv, respectively.



The solution in this case is to turn the method click() into a critical section. We don't want to allow both threads to be executing the bytecodes for click() at the same time on the same Counter object. All we need to do is declare that the method is synchronized by adding the keyword synchronized to the declaration, as in

```
//in class Counter
public synchronized void click() {
  value = (value + 1) % modulus;
}
```

So why not just make all methods synchronized? The reason is that synchronization of a method adds extra work for the computer. Each time a synchronized method is called, the computer must check to be sure that no other thread is already executing in the method. More importantly, in some cases two threads must be allowed to execute simultaneously to achieve a desired result.

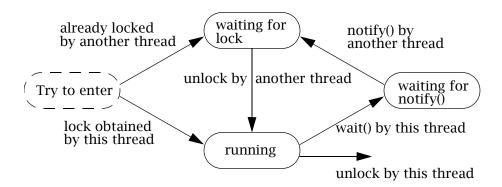
#### 13.4.2 SIGNAL-WAIT SYNCHRONIZATION

Suppose that you have two threads, one called the producer and the other called the consumer. The *producer* computes some value, that is, "produces it," and then places it in a shared object. The *consumer* reads the produced value and does something with it, that is, "consumes it." We want this activity to repeat until some terminating condition is reached, and we want the producer and consumer, whenever possible, to execute simultaneously. How do we make sure that the consumer doesn't consume the value until it has been produced? The answer is that the producer *signals* when there is a new value to consume and that the consumer *waits* until signaled by the producer. Furthermore, the producer has to wait until the consumer has read the preceding value before writing in a new one. Thus the producer has to wait for the consumer to signal that it has read the new value. This problem is called the *producer-consumer problem*, and it models many real-world computer applications.

Signal-wait synchronization is accomplished with two methods inherited from <code>Object:wait()</code> and <code>notify()</code>. Although not shown in the preceding example, when a thread is executing inside a synchronized method for some object, call it <code>obj</code>, any other thread that attempts to call <code>any</code> synchronized method for <code>obj</code> is blocked. It doesn't need to be the <code>same</code> method for blocking to occur. Note that synchronization actually takes place at the object, not the thread. As it executes, a thread of execution "moves" from object to object by calling methods in different objects.

When wait() is called from within a synchronized method, the thread is suspended and the lock for the object is released. That is, other threads are now allowed to make calls to synchronized methods for the object.

When notify() is called from within a synchronized method, one thread, if there is one, that is suspended as a result of a wait() call while executing in the same object, resumes. This thread won't actually begin execution until the thread calling notify() has returned from its synchronized method and released the lock. The following diagram shows the states that a thread can be in as a result of synchronization. Note that a thread can be in one of three states: It can be waiting for the lock; it can be waiting for a notify() from another thread; or it can be running, in which case it is holding the lock.



Thus there can be two or more threads "inside" synchronized methods for the same object. However, all but one are either suspended, or just awakened, waiting for the executing thread to release the lock. The methods wait() and notify() combined with synchronized methods turn any object into a synchronization construct, which is known as a *monitor*.

Our producer-consumer program looks very much like our previous examples at the top level. Let's create the two threads and start them running.

```
//ProducerConsumer.java - signal/wait synchronization
class ProducerConsumer {
 public static void main(String[] args) {
    Buffer buffer = new SingleBuffer();
    Thread prod = new new Thread(new Producer(buffer));
    Thread cons = new Thread(new Consumer(buffer));
    prod.start();
    cons.start();
 }
}
```

Each thread is passed a reference to a shared buffer used to communicate the produced value from the producer to the consumer. The producer and the consumer are very similar and repeatedly try to put/get a value in/from the buffer.

```
//Producer.java - "produce" the integers 0-9
class Producer implements Runnable {
  Producer(Buffer buf) {
    buffer = buf:
  }
 public void run() {
    System.out.println("Producer started.");
    for (int i = 0; i < 10; i++) {
      // code to produce a value here
      System.out.println("Producer produced " + i);
      buffer.put(i); // let i be the produced value
    System.out.println("Producer is finished.");
 private Buffer buffer:
}
```

```
//Consumer.java - consume first 10 integers from buffer
class Consumer implements Runnable {
   Consumer(Buffer buf) {
     buffer = buf;
   }

   public void run() {
     System.out.println("Consumer started.");
     for (int i = 0; i < 10; i++) {
        int value = buffer.get();
        System.out.println("Consumer received " + value);
        // code to "consume" the value here
     }
     System.out.println("Consumer is finished.");
   }
   private Buffer buffer;
}</pre>
```

All synchronization is done in the Buffer object. If the buffer wasn't synchronized, the producer and consumer would just execute as fast as they could. The consumer might get the same value several times from the buffer, or the producer might try to put a new value in the buffer before the consumer read the old one. In anticipation of a variation on this problem we define our buffer implementation in terms of a simple interface.

```
//Buffer.java
interface Buffer {
  void put(int newValue);
  int get();
}
//Buffer.java - a synchronized shared buffer
class SingleBuffer implements Buffer{
  synchronized void put(int newValue) {
    while (!empty)
      try {
        //wait for previous value to be consumed
        wait();
      }
      catch(InterruptedException e) {
        System.out.println("wait interrupted: " + e);
    value = newValue;
    empty = false;
    notify();
  }
  synchronized int get() {
    while (empty)
      try {
        wait(); // wait for buffer to fill
      catch(InterruptedException e) {
        System.out.println("wait interrupted: "+e);
    empty = true;
    notify();
    return value;
  private boolean empty = true;
  private int value;
```

# Dissection of the Class SingleBuffer

synchronized void put(int newValue)

Both put() and get() are synchronized. Thus, if one thread is executing put(), the other thread will be blocked if it tries to execute put() or get() for the same object. The mutual exclusion implied by synchronized applies to all methods for a single object, not just simultaneous calls to the same method.

```
while (!empty)
    try {
        wait(); // wait previous value to be consumed
    }
    catch(InterruptedException e) {
        System.out.println("wait interrupted: " + e);
    }
    value = newValue;
    empty = false;
    notify();
```

We use the boolean field empty to keep track of whether the buffer contains a produced item that hasn't yet been consumed or whether the buffer is empty. The buffer is initially empty, with empty set to true. We repeatedly check whether the buffer is empty. If it is, the loop terminates and we proceed to put the new value in value, set empty to false, and call notify(). The call to notify() wakes up the consumer if it is waiting inside get(). If the buffer isn't empty, we call wait() to suspend the producer. This thread remains blocked until some other thread—the consumer in this case—calls notify() from within a synchronized method of the same Buffer object. The wait() call, like the sleep() call, can throw an exception that we need to catch.

```
while (empty)
   //omitted
empty = true;
notify();
return value:
```

The body of get() is almost the same as put(). We loop until the buffer isn't empty in this case, setting empty to true once the loop exits. The call to notify() awakens the producer if it is waiting inside the method put().

#### 13.4.3 CONDITION VARIABLES VERSUS SEMAPHORES

Many different synchronization techniques have been developed. The synchronization technique that Java implements is called *monitor sychronization*. Each object with synchronized methods is called a monitor, and only one thread is allowed "into" the monitor at a time; that is, the entrance to synchronized methods is "monitored."

To allow for more sophisticated sychronization, monitors were extended in various ways to allow controlled suspension and resumption of several threads inside a monitor. In some versions of monitors, a thread can wait for a *condition variable* to become true and other threads can signal that a condition variable has become true. These waits and signals correspond to Java's wait() and notify(). An important aspect of condition variables is that if no thread is waiting, a signal has no effect. Also, just because a condition was signaled as being true doesn't mean that it will still be true when the signaled thread finally resumes.

This is the reason that we used the loop while (!empty)... instead of if (!empty)... in our producer-consumer example. In this particular example, which one we use won't make any difference. For example, if the consumer is awakened, empty is guaranteed to be false. When using this type of synchronization, you should always check the condition that must be satisfied in order to continue before you actually continue. In other circumstances—for example, if there were two consumers—one consumer might wake up and find that some other consumer had already consumed the value. In that case, the consumer should just go back to waiting.

Another fundamental sychronization method is *semaphore synchronization*. At first glance, semaphores look much like monitors with acquire() and release(). Conceptually, a semaphore is an object with two methods, acquire() and release(), and an internal counter. The method acquire() decrements the internal counter and blocks if the counter is less than zero. The method release() increments the internal counter and, if the value is less than or equal to zero, awakens one of the threads blocked in acquire().

There is an important difference between semaphores and the monitor style of synchronization in Java. The Java monitors don't have an internal counter. In Java, if you call notify() and no thread is blocked, nothing happens. A subsequent wait() will always wait. With semaphores, if you call release(), a thread that comes along later will read the effect of that release via the internal counter and possibly pass right through a call to acquire() without blocking.

Beginning with Java 5.0 the standard Java packages include <code>java.util.concurrent</code>. This package includes a number of classes and interfaces that are useful for concurrent programming. In particular there is a <code>Sema-phore</code> class. We can use semaphores to implement the buffer for our producer-consumer problem as shown by the following example. The class <code>SemaphoreBuffer</code> can be substituted for <code>SingleBuffer</code> in our original <code>ProducerConsumer</code> code.

```
//SemaphoreBuffer.java - use a Semaphore for synchronization
import java.util.concurrent.Semaphore;
class SemaphoreBuffer implements Buffer {
  public void put(int newValue) {
    try {
      // take control of the empty buffer
      empty.acquire();
    catch (InterruptedException e) {
      // panic - no recovery
      throw new Error(e.toString());
    value = newValue;
    // release the now full buffer
    full.release();
 }
 public int get() {
      //take control of the full buffer in order to empyt it
      full.acquire();
    catch (InterruptedException e) {
      // panic - no recovery
      throw new Error(e.toString());
    int result = value;
    // release the empty buffer so it can be refilled
    empty.release();
    return result:
  }
```

```
// initially there is one empty buffer slot
 private Semaphore empty = new Semaphore(1);
 // initially there are no full buffer slots
 private Semaphore full = new Semaphore(0);
 private int value;
}
```

# 13.4.4 ArrayBlockingQueue

Now that we have shown how you can do low level synchronization using Java object locks or a semaphore, we would like to point out that java.util.concurrent has many classes that robustly handle many of the synchronization problems you will encounter. As an example, the producer-consumer problem above can be easily handled using any implementation of java.util.concurrent.BlockingQueue.

The following example uses an array implementation of a BlockingQueue to provide a bounded buffer of produced items waiting for consumption. In our example above, our buffers only had room for a single produced item. This meant that the producer could not get ahead of the consumer. In some situations this might be desirable but in others it might be preferrable to allow the producer to get ahead. The following implementation of the Buffer interface can be used in our original ProducerConsumer code with the line:

```
Buffer buffer = new BoundedBuffer(10);
which will create a buffer capable of storing 10 items at once.
//BoundedBuffer.java
import java.util.concurrent.ArrayBlockingQueue;
class BoundedBuffer implements Buffer {
  BoundedBuffer(int size) {
    queue = new ArrayBlockingQueue<Integer>(size);
}
  public void put(int newValue) {
    try {
      queue.put(newValue);
    }
    catch(InterruptedException e) {
      throw new Error(e.toString());
    }
  }
  public int get() {
    int result;
    try {
      result = queue.take();
    catch(InterruptedException e) {
      throw new Error(e.toString());
    return result;
  }
  private ArrayBlockingQueue<Integer> queue;
}
```

#### Dissection of BoundedBuffer

//BoundedBuffer.java - a synchronized shared buffer import java.util.concurrent.ArrayBlockingQueue; class BoundedBuffer implements Buffer {

We will use the standard class <code>ArrayBlockingQueue</code> to do most of the work. We could have had our producer and consumer use <code>ArrayBlockingQueue</code> directly but we chose to continue to reuse our existing code as much as possible by using <code>ArrayBlockingQueue</code> to create another implementation of the interface <code>Buffer</code>.

```
BoundedBuffer(int size) {
   queue = new ArrayBlockingQueue<Integer>(size);
}
```

The size is the number of elements that can be put into the queue (buffer) without blocking. Our buffer will store integers. We will use Java's auto-boxing to let us put primitive int values into our Array-BlockingQueue<Integer> which is expecting Integer object values instead.

```
public void put(int newValue) {
    try {
       queue.put(newValue);
    }
    catch(InterruptedException e) {
       throw new Error(e.toString());
    }
}
```

The method put() handles all necessary synchronization and blocking. If the queue is full the thread calling put() will block until another thread removes one or more items. In some situations it might be appropriate to just propogate the InterruptedException up out of put(). We wanted this implementation of Buffer to work with our existing ProducerConsumer so we catch the exception and turn it into an error.

```
public int get() {
   int result;
   try {
     result = queue.take();
   }
   catch(InterruptedException e) {
     throw new Error(e.toString());
   }
   return result;
}
```

The method take() will block if there are no items in the queue, otherwise it will remove one item from the queue and return it. As with put(), we want this implementation to work with the existing Producer-Consumer program so we catch the exception and turn it into an error which does not require a throws clause.

```
private ArrayBlockingQueue<Integer> queue;
}
```

The declaration of the queue completes BoundedBuffer.java.

# 13.5 PASSING MESSAGES TO ANOTHER COMPUTER

All threads created as part of a single Java program run on the same computer and can share objects, as we've discussed. What about communicating with a Java program on another computer, connected with a network? In this situation several options are available. Two approaches supported in standard Iava are message passing, using a network connection called a socket, or remote method invocation called RMI. As mentioned earlier, here we discuss only message passing.

A *socket* is a connection to another computer via a TCP/IP network, the dominant protocol for communicating over the Internet. Associated with every socket in Java is an input stream and an output stream. Once connected, the programs on the two ends of the connection communicate by reading and writing over these streams. When one program writes to its output stream, the same bytes appear on the input stream of the other program.

To create a socket that is connected to another computer, you need to know two things: the network name of the computer, or host name, and which port to connect to. Of the thousands of ports, most preallocated system ports lie in the range 0-1000, so unless vou're connecting to an existing system server, you should choose a port number greater than 1000. For example, port 80 is the http port for connecting to web servers, and port 25 is the standard port for connecting to e-mail servers. On Unix systems you can find the assigned ports by examining the file /etc/services. You're free to pick any number that isn't reserved—it just needs to be agreed upon at both ends of the connection. Think of the port number as being somewhat like a telephone extension.

The first step in creating a socket connection is having one of the programs begin listening for connections. This program is traditionally called the *server*. It is waiting for a request to do something. The server first begins listening for connections on a specific port. When another computer, or another program on the same computer, tries to connect to the same port, a socket connection is established. The following program listens for connections and then simply prints out the characters it receives from a socket; it also sends the same characters back over the socket.

```
//MiniServer.java - server that echos what it receives
import java.io.*;
import java.net.*;
class MiniServer{
  public static void main (String args[]) throws java.io.IOException {
    if (args.length != 1) {
      System.out.println("Usage: " + "java MiniServer portnumber");
      System.exit(1);
    }
    int portnum = Integer.parseInt(args[0]);
    ServerSocket sock = null;
    try {
      sock = new ServerSocket(portnum);
    catch (IOException e) {
      System.out.println("Could not listen on port: " + portnum + ", " + e);
      System.exit(1);
    }
    System.out.println("Now listening at port " + portnum);
```

```
Socket clientSocket = null;
    try {
      clientSocket = sock.accept();
    catch (IOException e) {
      System.out.println("Accept failed: " + portnum + ", " + e);
      System.exit(1);
    BufferedReader input = new BufferedReader(
        new InputStreamReader(clientSocket.getInputStream()));
    PrintWriter output = new PrintWriter(clientSocket.getOutputStream());
    System.out.println("Connection established.");
    int i = 0;
    String line = input.readLine();
   while (line!=null) {
      System.out.println(line);
      output.println("line " + i + ":" + line);
      output.flush():
      line = input.readLine();
    }
 }
}
```

#### Dissection of the Class MiniServer

```
import java.io.*;
import java.net.*;
```

We need classes from both packages. The socket classes are in java.net, and the stream classes are in java.io.

```
• if (args.length != 1) {
    System.out.println("Usage: " + "java MiniServer portnumber");
    System.exit(1);
}
int portnum = Integer.parseInt(args[0]);
```

The program expects the port number to be specified on the command line.

```
ServerSocket sock = null;
try {
    sock = new ServerSocket(portnum);
}
catch (IOException e) {
    System.out.println("Could not listen on port: " + portnum + ", " + e);
    System.exit(1);
}
```

A ServerSocket is used to listen for incoming connections. It doesn't create a connection. Rather, it simply creates the local connection to the port so that the server can listen for connections as shown below.

```
Socket clientSocket = null;
try {
  clientSocket = sock.accept();
catch (IOException e) {
  System.out.println("Accept failed: " + portnum + ", " + e);
  System.exit(1);
}
```

The call to accept() for the ServerSocket object actually begins listening. The call won't return until an incoming request to connect is received. The call returns the actual Socket object to be used to communicate with the other program.

```
BufferedReader input = new BufferedReader(
      new InputStreamReader(clientSocket.getInputStream()));
  PrintWriter output = new PrintWriter(clientSocket.getOutputStream());
  System.out.println("Connection established.");
```

Associated with each socket is an InputStream object and an OutputStream object. These are what the program actually uses to send and receive data over the socket. The same techniques used for reading and writing files in Chapter 10, Reading and Writing Files, can be used to read and write data over a socket. Here we chose to read and write text streams. As discussed in Section Section 10.3.1. Parsing Text Streams, on page 303, a BufferedReader object can be used to read lines of text. To construct a BufferedReader object from the InputStream object returned by getInputStream(), we must first turn the InputStream into an InputStreamReader. Similarly, we can construct a PrintWriter object from the OutputStream object returned by getOutputStream(). We could have used the more primitive InputStream and OutputStream objects to read and write bytes, but using the classes shown allows us to easily transfer text strings over the socket.

```
\bullet int i = 0;
  String line = input.readLine();
  while (line != null) {
     System.out.println(line);
     output.println("line "+ i + ":" + line);
     output.flush();
     line = input.readLine();
  }
```

With the appropriate streams established, we can now loop: reading one line in from the socket, printing it to the screen, and then echoing the line back out over the same socket. The method readLine() returns null if there is nothing more to read from the input stream, at which point the loop exits and the program terminates. We added a line number on the echoed result to make clear that the data being read by our test client is in fact the line from the MiniServer server. The call to flush() is required to force the data to move over the socket to the other end without delay.

A program that connects to an established server is called a *client*. The following class is a trivial client for our MiniServer.

```
//MiniClient.java - simple client for MiniServer
import java.io.*;
import java.net.*;
class MiniClient{
  public static void main (String args[]) throws java.io.IOException {
    if (args.length != 2) {
      System.out.println("Usage: " + "java MiniClient hostname portnumber");
      System.exit(0);
    }
```

```
int portnum = Integer.parseInt(args[1]);
    Socket sock = new Socket(args[0], portnum);
    BufferedReader input = new BufferedReader(
          new InputStreamReader(sock.getInputStream()));
    PrintWriter output = new PrintWriter(sock.getOutputStream());
    System.out.println("Connection established.");
    System.out.println("type some characters then return:");
    BufferedReader consoleIn =
          new BufferedReader(new InputStreamReader(System.in));
    String line = consoleIn.readLine();
    while (line != null) {
      output.println(line);
      output.flush():
      line = input.readLine();
      System.out.println("got back:" + line);
      System.out.println("type some characters then return:");
      line = consoleIn.readLine();
 }
}
```

#### Dissection of the Class MiniClient

Socket sock = new Socket(args[0], portnum);

The name of the server is the first command line argument. The server name and the port number are used to open the socket. The constructor won't complete its task until the socket is established. The client end is simpler than the server end. Here we simply attempt to connect to a port. If there's no server listening on the port, the constructor generates an IOException.

These statements are essentially identical to those in MiniServer after the socket is established. At this point both ends of the socket look the same.

```
System.out.println("type some characters then return:");
BufferedReader consoleIn =
        new BufferedReader(new InputStreamReader(System.in));
String line = consoleIn.readLine();
while (line != null) {
    output.println(line);
    output.flush();
    line = input.readLine();
    System.out.println("got back:" + line);
    System.out.println("type some characters then return:");
    line = consoleIn.readLine();
}
```

Here we prompt the user to type something at the console. Whatever is typed at the console of the client machine is printed on the console of the server machine. In addition, the MiniServer sends back whatever it receives after prepending the word line followed by the line number. Here we print out the line that is bounced back. As in MiniServer, the program ends when Console.in.readLine() returns null. On most Unix computers the use signals EOF at the console by typing Ctrl+D. On Windows machines the user signals EOF at the console by typing Ctrl+Z.

# 13.6 A MULTITHREADED SERVER

In the client-server example in Section 13.5, Passing Messages to Another Computer, on page 403, we didn't actually create any explicit threads. Each program running on a different computer, or at least in a different Iava virtual machine, had only one main thread. However, a typical server, such as a Web server, should be capable of handling many simultaneous connections. To build in this capability we create new threads in the MiniServer to handle each incoming connection.

The multithreaded server is similar to the earlier, single-threaded version. There is now a loop around the call to accept(). The Socket that is returned from accept() is used to initialize a Worker. The Worker object handles all communication over the socket that it is passed. This new server works with the same client, MiniClient.

The class MultiServer is the same as the class MiniServer to the point at which the message "Now listening at port xxx" is printed. The revised code follows.

```
//MultiServer.iava - a multithreaded server
import java.io.*;
import java.net.*;
class MultiServer {
    //omitted - same as MiniServer
    System.out.println("Now listening at port " + portnum);
    Socket clientSocket = null;
    while (true) {
      try {
        clientSocket = sock.accept();
      catch (IOException e) {
        System.out.println("Accept failed: " + portnum + ", " + e);
        System.exit(1);
      Thread worker = new Thread(new Worker(clientSocket));
      worker.start():
 }
}
```

The loop that actually reads from a socket is now in the class Worker. The run() method for the Worker contains the code that opens the input and output streams for the socket and then loops, reading from the input and echoing the result back out over the output. The method run() isn't allowed to throw an IOException. so the entire body is wrapped in a try-catch statement that reports the error and exits. An IOException is thrown when the client breaks the connection. We also added class and instance variables that are used to distinguish the different worker threads.

```
//Worker.java - handle one connection
import java.io.*;
import java.net.*;
class WorkerThread implements Runnable {
  WorkerThread(Socket socket) {
    clientSocket = socket;
    workerNumber++:
    number = workerNumber;
  }
```

```
public void run() {
    try {
      BufferedReader input = new BufferedReader(
          new InputStreamReader(clientSocket.getInputStream()));
      PrintWriter output = new PrintWriter(clientSocket.getOutputStream());
      System.out.println("Connection " + number + " established.");
      String line = input.readLine();
      while (line != null) {
        System.out.println(line);
        output.println("worker " + number + ":"+line);
        output.flush();
        line = input.readLine();
    }
    catch (IOException e) {
      System.out.println( e );
    System.out.println("worker " + number + " exiting");
 }
 private Socket clientSocket;
 private static int workerNumber = 0;
 private int number;
}
```

#### 13.6.1 REUSING WORKER THREADS

In the MultiServer program, each time a new connection is established a new Thread is created. Thread creation is a relatively expensive operation. On a real server that was expected to handle hundreds or even thousands of connections, the extra overhead of creating the threads could become a problem.

The standard Java package java.util.concurrent provides several classes that can be used to manage pools of worker threads that get reused instead of being created for a single connection and then destroyed.

Here is a variation of the MultiServer that uses a java.util.concurrent.Executor to manage a pool of worker threads.

```
while (true) {
      try {
        clientSocket = sock.accept();
      catch (IOException e) {
        System.out.println("Accept failed: " + portnum + ", " + e);
        System.exit(1);
     pool.execute(new Worker(clientSocket));
 }
}
```

#### **Dissection of PoolServer**

```
class PoolServer {
    public static void main (String args[])
          throws java.io.IOException,InterruptedException
      // omitted same as MiniServer
      System.out.println("Now listening at port " + portnum);
      Socket clientSocket = null;
```

The first portion of all three servers, MiniServer, MultiServer, and PoolServer is the same.

Executor pool = Executors.newCachedThreadPool();

The class Executors has a number of factory methods for creating particular kinds of Executor objects. An Executor can run jobs in separate threads. In this case the object returned by the call to newCachedThreadPool() is actually an instance of ThreadPoolExecutor, which is an implementation of the Executor interface. In our application we only care that it is an Exectuor.

```
while (true) {
    try {
      clientSocket = sock.accept();
    catch (IOException e) {
      System.out.println("Accept failed: " + portnum + ", " + e);
      System.exit(1);
    pool.execute(new Worker(clientSocket));
  }
```

This is basically the same loop as the one used in MultiServer. The only difference is that here we use pool.execute() to run the task. Because we used newCachedThreadPool() to create the Executor, the call to execute() will run the Worker in a thread taken from a pool of threads. The pool will be expanded as necessary and threads will be reused as the workers finish. Recall that a worker will finish when it's run() method completes.

Different types of thread pools could be created by simply changing the type of Executor that is created. For example we could have created a fixed size pool using Exectuors.newFixedThread-Pool (POOL\_SIZE).

# 13.7 MORE ABOUT THE METHODS sleep(), wait(), AND notify()

We have presented some examples of how to use the three methods sleep(), wait(), and notify(). In this section we look closer at each of them.

## 13.7.1 CALLING sleep() FROM ANYWHERE

All execution of a Java program is associated with a Thread object. As we showed earlier in this chapter, a single Java program can have many threads. The Java virtual machine runs them so that they appear to be running at the same time. In fact, the Java virtual machine is using timesharing to run first one and then another, switching periodically from one to the next.

Hence one Thread object is always associated with the method that is currently being executed. We demonstrated in the SimpleThread example in Section 13.2, *Creating Threads*, on page 391, that the method sleep() is defined in the class Thread and as such can be called directly from within the method run() of a class that extends Thread. In fact, as we showed in the first example in this chapter, AwtThread, we can call sleep() in any method; all we need to do is get a reference to the current Thread object. We do that by calling the static method Thread.currentThread() at any time. This method returns a reference to the thread currently running. Thus we called Thread.currentThread().sleep() in the method main() of AwtThread.

Recall that <code>sleep()</code> can throw an <code>InterruptedException</code> and that in <code>run()</code> we were forced to catch the exception. The method <code>run()</code> was inherited from <code>Thread</code>. Because <code>run()</code> wasn't defined in <code>Thread</code> to throw an <code>InterruptedException</code>—or any exception class that is a superclass of <code>InterruptedException</code>—we can't throw such an exception in our definition of <code>run()</code>. In <code>AwtThread</code>, <code>main()</code> isn't inherited, so we can define it to throw any exception. We could have used a <code>try-catch</code> statement to catch the exception had we so desired.

#### 13.7.2 CALLING wait() AND notify() OUTSIDE A SYNCHRONIZED METHOD

The methods wait() and notify() are inherited from class Object. Thus we might consider calling wait() for any object. For example, what happens if we call wait() for some arbitrary String object?

```
String s = "Wait for this?";
s.wait();
```

This code is syntactically correct; that is, it will compile with no errors. However, the thread that executes this statement must hold the lock for the object s, or an IllegalMonitorStateException will be thrown. Until now, the only way we have been able to obtain the lock is by calling a synchronized method.

However, we can also obtain the lock for any object by using a synchronized statement. The general form for a synchronized statement is

```
synchronized ( Expression ) Block
```

The *Expression* must evaluate to a reference. If we let *Expression* refer to the object *obj*, the execution of *Block* is controlled by the lock for *obj*. That is, the thread is suspended if any other thread is executing inside a synchronized method for *obj* or is executing another synchronized statement for *obj*. As with synchronized methods, calls to wait() and notify() using *obj* can be placed inside *Block*. They can also be in methods that are called from inside *Block*.

The following is an example of waiting with a synchronized statement.

```
String s = "Wait for this?";
...
synchronized(s) {
   s.wait();
}
```

This code can be placed in any method. The thread that executes it blocks until some other thread executes a notify() call on the same object. The ellipses indicate the use of statements to pass a reference to s to some other thread so that the current thread can eventually be awakened.

Suppose that you were writing a program and wanted to share an object for a class written by someone else. Suppose also that it isn't possible for you to modify the class to change the methods into synchronized methods as we did for the class Counter. This situation is perfect for use of the synchronized statement. In the following program we modified the class Racer to work with Counter, as it was before we changed the method click() into a synchronized method.

# 13.7.3 THE METHOD notifyAll()

A call to the method <code>notify()</code> for an object wakes up one thread if any threads are waiting as a result of calls to <code>wait()</code> for the object. If there is more than one thread, one thread is chosen arbitrarily. A call to the method <code>notifyAll()</code> for an object wakes up all the threads waiting for the object. These threads, although "awakened," still need to wait to obtain the lock for the object before they proceed. Only one thread can hold the lock at any one time. The lock is passed from one thread to the next as the running thread leaves its synchronized method or block. Presumably each thread checks to determine whether it should proceed with its processing or go back to waiting.

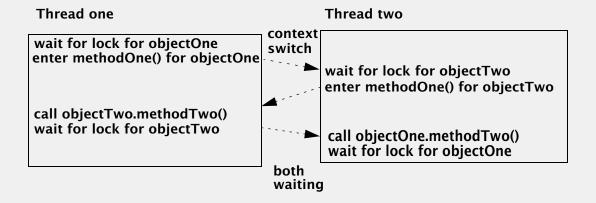
You should use the method notify() when you know that there is at most one thread waiting or when any of the waiting threads can do the job. For example, in the producer-consumer problem, the producer can use notify() because only the consumer can be waiting.

You should use the method notifyAll() when you know that only a particular subset, including possibly none, of the waiting threads are the ones that need to be awakened. Consider a variation of the producer-consumer problem, with specialized consumers for different values. The producer would use notifyAll() to awaken all waiting consumers. Each consumer would check and determine whether the newly produced value was for it; if so, it would consume the value. If the value wasn't for that consumer, it would just go back to waiting. An apparent alternative would be to use notify() and have the awakened consumer, if it didn't want the value, call notify() to awaken the next consumer. The problem with this approach is that the specification of notify() doesn't guarantee the notification of all consumers. The choice of which waiting process to awaken is arbitrary. Two consumers could keep waking up each other without ever awakening a third consumer, which was the one that was needing the value.

#### **COMMON PROGRAMMING ERROR**

Multithreaded programs can exhibit two types of errors that never occur in sequential programs. The first type is a race condition. We showed earlier how two threads with references to a shared object can interfere with each other. Errors caused by race conditions are notoriously difficult to detect. The error may not appear, even with extensive testing, because the context switch never happens to occur in the right place to exhibit the error. Moreover, once the error has been observed, it may be difficult to reproduce the condition, making locating the problem in the code difficult. For this reason, you must take extreme care when writing programs with multiple threads that share objects.

The second type of error unique to multithreaded programs is called *deadlock*. It occurs when each thread in a group of threads is holding one lock and waiting for another lock that is currently held by another thread in the group. The potential for deadlock is one of the reasons that you can't simply add synchronized to all your methods in the hope of eliminating all race conditions. Suppose that you have a class with two methods—call them methodOne() and methodTwo(). If methodOne() calls methodTwo() for a different object of the same class, synchronizing the methods can lead to deadlock. If one thread is executing methodOne() for the first object and another thread is executing methodOne() for the second object, each thread will be holding the lock for one of the objects and waiting for the lock for the other object as shown in the following diagram.



A complete discussion of avoiding deadlock is beyond the scope of this book. Briefly however, one technique for avoiding deadlock is to use resource ordering. With *resource ordering*, each lockable object (resource) is given a number. A thread is always required to obtain the locks for lower numbered resources before obtaining locks for higher numbered resources. This procedure isn't provided by the language; it is something that must be done by careful programming.

In this example there are two resources, <code>objectOne</code> and <code>objectTwo</code>. For resource ordering, their numbers correspond to their names. Thread 1 locks the resources in the proper order: <code>objectOne</code> and then <code>objectTwo</code>. However, thread 2 locks the resources in the wrong order, locking the higher numbered object, <code>objectTwo</code>, before the lower numbered object, <code>objectOne</code>.

The solution to this problem depends on what is actually being done by the two threads. One solution would be to have each of the two threads explicitly obtain the locks for both objectOne and objectTwo in the proper order before proceeding to call methodOne() for either object. We can do so by using a synchronized statement. Suppose that the actual call to methodOne() looked like the following in the code executed by both threads:

```
objectA.methodOne(objectB);
```

where, for thread 1, objectA is actually a reference to objectOne and objectB is actually a reference to objectTwo. Instead of relying on synchronized methods, we can obtain both locks as follows.

```
synchronized(objectA) {
 synchronized(objectB) {
    objectA.methodOne(objectB);
 }
}
```

However, this solution would still get the locks in the wrong order for thread 2, because in thread 2 objectA is a reference to objectTwo. To allow each thread to obtain the locks in a different order, we could add a resource number field to the objects. If we did that, the code might look like the following.

```
Resource resOne, resTwo;
if (objectA.getResNumber() < objectB.getResNumber()) {</pre>
  resOne = objectA;
  resTwo = objectB;
else {
  resOne = objectB;
  resTwo = objectA;
synchronized(res0ne) {
 synchronized(resTwo) {
   objectA.methodOne(objectB);
 }
}
```

With this code, the earlier deadlock would be avoided because both threads would lock the locks in the same order. Thus it would be impossible for one thread to be holding one of the locks while waiting for the other lock.

Obviously, avoiding deadlock can be rather complicated. The simplest solution is to design your program, whenever possible, to never lock more than one lock at a time. Deadlock can never occur if a thread holds only one lock at a time. You can read more about deadlock in any book about operating system principles.

# 13.8 FUTURES

The standard Thread class allows one thread to wait for another thread to terminate via the method join(). A call to someThread.join() will block until the thread someThread terminates - that is until it's run() method returns.

A more flexible way of scheduling some computation to run asynchronously and then at some point in the future wait for that computation to return a result can be done using <code>java.util.concurrent.FutureTask</code>. The following program is intended as a template for a program with the following general behavior.

- Several tasks need to be started up to run in parallel.
- Each task performs some work and then returns a result.

In our sample program each task reads an integer from a socket (as a string of digits), does some processing on that integer and returns the result. In a real application the task might read an arbitrary amount of data from the socket (or elsewhere) and perform and arbitrarly complex computation in order to compute its result. This program is different from MultiServer and PoolServer because in those previous examples the server didn't collect any results from the asynchronous worker threads.

```
//DataCollector.java - an example using FutureTask from java.util.concurrent
import java.io.*;
import java.net.*;
import java.util.*;
import java.util.concurrent.*;
class DataCollector {
  static final int NUM_TASKS = 4;
 public static void main (String args[])
      throws IOException, InterruptedException, ExecutionException
    if (args.length != 1) {
      System.out.println("Usage: " + "java DataCollector portnumber");
      System.exit(1);
    // set up the server socket listening for connections
    int portnum = Integer.parseInt(args[0]);
    ServerSocket sock = null;
    try {
      sock = new ServerSocket(portnum);
    catch (IOException e) {
      System.out.println("Could not listen on port: " + portnum + ", " + e);
      System.exit(1);
    System.out.println("Now listening at port " + portnum);
    Socket clientSocket = null;
    // create the pool of workers
    ExecutorService pool = Executors.newCachedThreadPool();
    // used to hold the unconsumed futures
    Vector<FutureTask<Integer>> tasks = new Vector<FutureTask<Integer>>();
```

```
while (true) {
      // start off some workers as connections come in
      for (int i = 0; i < NUM_TASKS; i++) {
        try {
          clientSocket = sock.accept();
        catch (IOException e) {
          System.out.println("Accept failed: " + portnum + ", " + e);
          System.exit(1);
        // worker asynchronously computes a result
        tasks.add(new FutureTask<Integer>(new IntWorker(clientSocket)));
        pool.execute(tasks.elementAt(i));
      }
      System.out.println("begin collecting results - waiting if necessary");
      for (int i = 0; i < NUM_TASKS; i++) {
       System.out.println("task " + i + " returned " + tasks.remove(0).get());
   }
 }
}
```

#### **Dissection of DataCollector**

//DataCollector.java - an example of FutureTask from java.util.concurrent
import java.io.\*;
import java.net.\*;
import java.util.\*;
import java.util.concurrent.\*;
class DataCollector {
 static final int NUM\_TASKS = 4;

This program uses a number of standard classes. The number of asynchronously executing tasks is controlled by this compile time constant  $NUM\_TASKS$ .

```
public static void main (String args[])
        throws IOException, InterruptedException, ExecutionException
    if (args.length != 1) {
      System.out.println("Usage: " + "java DataCollector portnumber");
      System.exit(1);
    }
    // set up the server socket listening for connections
    int portnum = Integer.parseInt(args[0]);
    ServerSocket sock = null;
    try {
      sock = new ServerSocket(portnum);
    catch (IOException e) {
     System.out.println("Could not listen on port: "+ portnum + ", " + e);
     System.exit(1);
    System.out.println("Now listening at port " + portnum);
    Socket clientSocket = null:
```

The beginning of this program is essentially identical to MiniServer. It is creating a server socket on which to accept connections.

```
// create the pool of workers
Executor pool = Executors.newCachedThreadPool();
// used to hold the unconsumed futures
Vector<FutureTask<Integer>> tasks = new Vector<FutureTask<Integer>>();
```

We create a worker pool just as we did in PoolServer. We also create a Vector to store the *futures*. A future is a result that will be computed at some time in the future.

```
while (true) {
```

As with the previous servers, this one goes into an infinite loop accepting remote connections and starting workers. The difference here as you will see in the next bit of code, is that this server starts NUM\_TASKS asynchronous workers, then collects results from those NUM\_TASKS workers, then starts NUM\_TASKS more and so on.

```
// start off some workers as connections come in
for (int i = 0; i < NUM_TASKS; i++) {
    try {
        clientSocket = sock.accept();
    }
    catch (IOException e) {
        System.out.println("Accept failed: " + portnum + ", " + e);
        System.exit(1);
    }
    // worker asynchronously computes a result
    tasks.add(new FutureTask<Integer>(new IntWorker(clientSocket)));
    pool.execute(tasks.elementAt(i));
}
```

This loop starts NUM\_TASKS asynchronous worker threads. Each worker is remembered as a FutureTask in the Vector tasks. The FutureTask instances are parameterized with the type Integer which will be the type of result retrieved from the "future" when it completes. When the loop exits, NUM\_TASKS instances of IntWorker will have been started. All, some, or none of them may have finished by the time the loop finishes.

```
System.out.println("begin collecting results - waiting if necessary");
for (int i = 0; i < NUM_TASKS; i++) {
   System.out.println("task " + i + " returned " + tasks.remove(0).get());
}</pre>
```

This loop collects the results from the asynchronous tasks. In this example each result is an Integer which we simply print to the standard output. The expression tasks.remove(0) removes and returns the first FutureTask from the Vector. The method get() from class FutureTask returns the value from asynchronous task. If the task is not yet complete then the call to get() will block until the task has completed.

The IntWorker objects needed by DataCollector are similar to the Worker objects from the earlier examples. Operationally the difference is that the earlier Worker objects had no notion of a result or return value. The IntWorker objects on the other hand have to have a result. This is managed using the standard interface Callable instead of Runnable. Here is the code for IntWorker.

```
//IntWorker.java - produces one integer result
import java.io.*;
import java.net.*;
import java.util.*;
import java.util.concurrent.*;
class IntWorker implements Callable<Integer> {
 IntWorker(Socket socket) {
    clientSocket = socket;
   workerNumber++;
    number = workerNumber;
 public Integer call() {
    int result;
    try {
      Scanner input = new Scanner(clientSocket.getInputStream());
      PrintWriter out = new PrintWriter(clientSocket.getOutputStream());
      System.out.println("\tworker " + number + " started");
      // simulate some long execution by sleeping
      try {
        Thread.currentThread().sleep(2000);
      catch (InterruptedException e) {/* ignore it */ }
      result = input.nextInt();
      System.out.println("\tworker " + number + " received " + result);
      clientSocket.close();
    }
    catch (IOException e) {
      System.out.println( e );
      result = 0;
    return result;
 private Socket clientSocket;
 private static int workerNumber = 0;
 private int number;
}
```

#### **Dissection of IntWorker**

class IntWorker implements Callable<Integer> {

The interface java.util.concurrent.Callable is similar to Runnable but provides for a return value. In this case the return value will be of type Integer.

```
IntWorker(Socket socket) {
    clientSocket = socket;
    workerNumber++;
    number = workerNumber;
}
public Integer call() {
```

The constructor for IntWorker is essentially the same as for Worker. The method call() required by the Callable interface is like run() but with a non-void return type.

```
int result;
try {
    Scanner input = new Scanner(clientSocket.getInputStream());
    PrintWriter out = new PrintWriter(clientSocket.getOutputStream());
    System.out.println("\tworker " + number + " started");
```

This is the same client socket setup used in Worker. The print statement is to help you see how the program is executing when you run it.

```
// simulate some long execution by sleeping
try {
   Thread.currentThread().sleep(2000);
}
catch (InterruptedException e) {/* ignore it */ }
```

In a real program this is where the work would get done. The input from the socket would be used to compute some result. We use sleep() so that you can actually see the tasks running concurrently via the various print statements.

```
result = input.nextInt();
System.out.println("\tworker " + number + " received " + result);
clientSocket.close();
}
catch (IOException e) {
   System.out.println( e );
   result = 0;
}
```

For this simple test the result is simply the value read from the socket. If there is a problem reading from the socket we print an error message and set the result to 0.

```
return result;
```

This is the result that will be returned by tasks.remove(0).get() from near the end of class DataCollector.

In order to run DataCollector and have it do anything, we need a client program that will connect to the DataCollector and send it integers over a socket. The following program IntGenerator will do that for us. It is very similar to MiniClient.

```
while(true) {
      // to simulate work for the client sleep 5 seconds
      Thread.currentThread().sleep(5000);
      // connect to the server
      Socket sock = new Socket(args[0], portnum);
      Scanner input = new Scanner(sock.getInputStream());
      PrintWriter output = new PrintWriter(sock.getOutputStream());
      // send a random number
      int result = rand.nextInt();
      System.out.println("\tSending: " + result);
      output.println(result);
      output.flush();
      // make sure the integer is received by
      // waiting for other guy to close the socket
      try {
        String response = input.next();
      catch (Exception e) { /* ignore it */ }
      sock.close();
    }
 }
}
```

The actual output of running DataCollector and some IntGenerator clients will vary from execution to execution. Here is the output resulting from one sample run. For this run, four different IntGenerator clients were started at essentially the same time all trying to connect to the DataCollector.

```
os-prompt>java DataCollector 4444
Now listening at port 4444
        worker 1 started
        worker 2 started
        worker 3 started
        worker 1 received -1027045619
begin collecting results - waiting if necessary
task 0 returned -1027045619
        worker 4 started
        worker 2 received -1989778283
task 1 returned -1989778283
        worker 3 received -1844708987
task 2 returned -1844708987
        worker 4 received -1417243180
task 3 returned -1417243180
        worker 5 started
        worker 6 started
        worker 7 started
        worker 5 received -1769887349
begin collecting results - waiting if necessary
```

### 13.9 PROGRAMMING STYLE

Although synchronized methods are easy to create, as illustrated earlier, using them correctly isn't always so easy. One of the advantages of the data abstraction provided by the class construct is the ability to insulate the implementation details of a class from its uses. However, use of synchronized methods can unnecessarily expose implementation details of a class to the users of the class.

As discussed earlier, a synchronized method must first obtain the lock for the object used to call the method. For example, if critical() is a synchronized method in the class SomeClass, and someObj is an instance of SomeClass, then

```
someObj.critical();
```

must first obtain the lock for someObj before executing. However, there is no way to prevent a programmer from using someObj in a synchronized statement such as

```
synchronized(someObj) {
   // make calls, possibly obtaining other locks
}
```

When the program contains statements such as this one, reasoning about the correctness of SomeClass with respect to whether or not it will deadlock becomes much more difficult. In any part of the program we can lock the lock needed by the call to critical().

An alternative that doesn't expose this synchronization aspect of SomeClass is to use an internal private lock object and synchronized statements instead of sychronized methods. The following example shows how to do this.

```
class SomeClass {
  void critical() {
     synchronized(lock) {
          // method body here
     }
  }
  private Lock lock = new Lock();
}
```

The class Lock can be a trivial empty class or any existing class, such as Boolean or Object. We never use the lock object except for its implicit built-in lock, which is part of every object in Java.

In the preceding example, note that we need only to examine the code for the class SomeClass and the classes called by the methods in SomeClass, to determine whether the code might deadlock. Users of SomeClass can't accidentally cause the method critical() to be locked out by inappropriate use of synchronized statements that synchronize on instances of SomeClass.

### **SUMMARY**

- A thread is a sequence of instructions executed by a program. In Java programs, many threads can be executing simultaneously.
- Programs that use AWT are implicitly multithreaded. They contain a main thread specified by main(), and a second thread that responds to events and drives the GUI-based part of the program.
- Threads are created in Java by extending and instantiating the class Thread. The top level, or main, instructions for the thread are specified in the method run(). To start the thread, call the method start(). You shouldn't call the method run() directly.
- The easiest way for two threads to communicate is for them to share an object. Any modifications made to the object by one of the threads is observed by the other.
- When you use shared objects, you should synchronize the threads' actions. Without synchronization, race conditions can cause errors. Race conditions can be hard to track down because the behavior of a program may not be consistent from one execution to the next.

- The keyword synchronized on a method prevents multiple threads from simultaneously accessing that method or other synchronized methods in the same object.
- A thread executing inside a synchronized method can explicitly yield control to another thread by calling wait(). The thread remains suspended until it resumes as the result of a call to notify() or notify-All().
- A call to notify() for an object results in at most one thread, suspended by a call to wait() for the same object, being resumed. The resumed thread isn't actually allowed to continue until the thread calling notify() has released the lock. If several threads are waiting, an arbitrary thread is selected to be resumed. If no threads are waiting, this call has no effect.
- The method notifyAll() is similar to notify(), except that all threads suspended by calls to wait() for the same object resume. These threads still need to wait and take turns obtaining the lock for the synchronized object.
- A thread that has resumed after a wait() should verify that the condition that it was waiting for has actually been satisfied. In our example, the consumer needs to verify that the buffer is full before proceeding.
- The style of synchronization used in Java involving synchronized methods (also known as critical sections), along with methods wait() and notify(), is called monitor synchronization. Although similar in appearance, semaphores behave somewhat differently. Specifically, a monitor wait() always waits, at least briefly, but a semaphore acquire() may continue without waiting, as a result of previous release() operations.
- A socket can be used to connect two programs running on different computers. In Java, data are read and written over a socket by first obtaining an input stream and an output stream associated with the socket. These streams can then be used like any other stream, such as the stream to the console or to a file.
- Creating a socket connection is asymmetrical, although once established, the connection is symmetrical. The server must first begin listening for connection requests, using the accept() method from class ServerSocket. The client can then connect by creating a client socket implemented by the class Socket. The accept() call returns the server end of the client socket to the server.
- By combining sockets and threads, you can create a multithreaded server to handle simultaneously many client connections with a single Java program.

### **REVIEW QUESTIONS**

- 1. What three capabilities are needed to support multiple threads?
- 2. What is the implicit thread created inside AWT used for?
- 3. What are the units of time for the method sleep()?
- 4. What method do you call to run a thread?
- 5. What method in a thread is similar to main() for the entire program?
- 6. Failing to synchronize a shared object can result in a \_\_\_\_\_ condition.
- 7. A lock is associated with what? A thread, an object, a method, or a variable?
- 8. What is the difference between a Java monitor wait() and a semaphore acquire()?

9. State why you should generally use

```
while ( condition ) {
   wait();
}
instead of

if ( condition ) {
   wait();
}
```

- 10. Once a socket connection has been established, reading and writing data over the socket are just like
- 11. What class defines the method sleep()? wait()? notify()?
- 12. Even after being awakened via notify(), a thread must wait for what before continuing?

### **EXERCISES**

- 1. Add a menu or other GUI component to class AwtThread from Section 13.1, *Implicit Threads from AWT*, on page 390, that allows you to control the speed of counting. For example, the menu could have faster and slower selections that affect the time argument for the method sleep().
- 2. Write and test the class ThreeThreads, which is similar to TwoThreads from Section 13.2, *Creating Threads*, on page 391, but with three threads. Use sleep() to make thread 1 run twice as fast as thread 2 and thread 2 run twice as fast as thread 3.
- 3. Modify the classes Racer and TwoThreads2 to prompt the user for the number of times to click the counter. Using an unsynchronized counter, run the program with different values. Approximately how large does the input value need to be for the race condition to show up? Start with 10 and increase by a factor of 10 for each run.
- 4. Modify the class ProducerConsumer to create two producers and two consumers. Does the program still work?
- 5. Modify the Buffer used in the class ProducerConsumer to use a ring buffer. A ring buffer can store as many as *n* items simultaneously. It behaves like a queue with producers inserting items at one end and consumers removing items from the other end. Use an array of size *n*. The buffer must maintain two indices for the array: one for the insertion point and the other for the removal point. If all array cells are empty, any consumers will block. If all cells are full, any producers will block. What problem might arise if you used a queue with unbounded capacity instead of a fixed size array?
- 6. Write a program to implement a simple countdown timer. The program should prompt the user for the number of minutes and seconds. After you enter the seconds the timer starts automatically. Have it print out the time remaining every 5 seconds. You can cause your terminal to beep when the time has expired by printing out a string that includes \007, which is the escape sequence for the character to ring the bell on your terminal.
- 7. Create a version of the program in the preceding exercise that uses a graphical user interface. Include a **Start** button and a text field for entering the time setting. As the time ticks down, display the time remaining in the text field. You may notice that using **sleep()** to control the progress of the timer doesn't always give accurate results.

- 8. If you used sleep (5000) to count off 5 seconds in the previous two exercises, you have failed to account for the actual time required to execute the parts of the program. You can use the method Date.get-Time() to get the current time in milliseconds since midnight January 1, 1970, GMT. Use this method to create a more accurate timer.
- 9. Another classic program for studying concurrent programming issues is called the *dining philosophers* problem. In this problem, five philosophers are seated around a table. In the center of the table is a bowl of spaghetti. In front of each philosopher is a plate and between each plate is a fork, as shown in the diagram.



Each philosopher needs two forks to eat the spaghetti. Each philosopher repeatedly thinks then eats. In order to eat, the philosopher must pick up the fork on the right and the fork on the left. If either fork is busy, the philosopher simply waits for his or her colleague to put the fork down. The problem is to make sure that none of the philosophers starve. One solution is to have some start with their left fork and others start with their right fork. Implement the dining philosophers problem in Java. Each philosopher should be represented by a separate thread. The forks are to be objects with synchronized methods pickup() and putDown().

- 10. Modify the MiniServer and MiniClient programs to implement a simple Internet talk program. With this program, two users on different computers can each type at their consoles, and anything that they type will be echoed on the other person's console. Be sure to use some prefix on each line to separate what the local user is typing from what the remote user is sending. You'll need two threads: one to accept input from the console and send it out over the socket and the other to accept input from the socket and echo it to the console.
- 11. Write a version of the talk program in the previous exercise that uses a graphical user interface. You can use two JTextArea objects; one for the local user to type in and another to echo the text from the remote user.
- 12. The HTTP protocol used for Web servers is text based. In other words, control messages exchanged between client and server are text. Web browsers normally connect to port 80, but they can be directed to any port by appending :nnn to the URL, giving the port number nnn. Create a Web server that responds to all requests by sending a simple message in HTML. The server should listen for connections on port 80 if your computer allows it; if not, use another port. When a connection is made, ignore the input stream and use the following code to send a message over the output stream, output.

```
String message =
  "<HTML>\n" + "<center><H1>Hello from. Your Name Here</H1>" + "</html>\n":
output.println("HTTP/1.0 200 OK\n" +
               "Date: <gone> \n" +
               "Server: 1.0 \n" +
               "Content-type: text/html \n" +
               "Content-length: " +
               message.length() + "\n" +
               message):
output.flush();
```

Modify the message any way you like. For example you could include the count of how many times this "Web server" had been visited. The lines before the message comprise a header, which tells the client what's coming. When your server is running, point a Web browser at it by entering the url http:// yourComputersName:nnn, where nnn is the port number. You can leave off the nnn if you use port 80. To learn more, find some HTTP documentation and make your server actually interpret the incoming requests.

- 13. Write a class Semaphore that implements semaphore synchronization. The class should have two methods: signal() and wait(). Use your class Semaphore to synchronize access to the shared buffer in the producer-consumer problem. Compare this solution to the one based on monitor synchronization in Section 13.4.2, Signal-Wait Synchronization, on page 397.
- 14. Use the method <code>sleep()</code> and a <code>JApplet</code> to create a simple animation. The applet should include an instance of a class—call it <code>Animation</code>—that extends <code>JComponent</code>, similar to <code>Star</code> in Section Section 8.5, <code>Drawing with Swing</code>, on page 238. Override the method <code>paintComponent()</code> in class <code>Animation</code> to draw a simple object—it could be as simple as a circle representing a ball. In a separate thread, created and started from the method <code>init()</code> of the <code>JApplet</code>, repeatedly modify the position of the object and call <code>repaint()</code> for the <code>Animation</code> object. You will need to pass a reference to the <code>Animation</code> object to the thread so that it can call the <code>Animation</code>'s <code>repaint()</code> and also call some method in the <code>Animation</code> to adjust the image, for example changing the position of the ball. You may also want to override the <code>update()</code> method of the <code>Animation</code> to just call <code>paint()</code>. The default <code>update()</code> method, inherited from <code>JComponent</code>, redraws the component in the background color and then calls <code>paint()</code>. This drawing of the background can cause a flicker.

# GETTING DOWN TO THE BITS

In this appendix we take a closer look at how numeric primitive types are represented and how some operations can be used to manipulate individual bits of memory. All data are represented using strings of 1s and 0s. We show how those strings of 1s and 0s are combined to represent more familiar numeric symbols.

### A.1 INTEGER BINARY REPRESENTATION

Integers are stored as binary numbers. Binary numbers are represented using only 2 digits, compared to the more familiar decimal numbers, which are represented using 10 digits. The number of distinct digits used in representing numbers determines the base. For example, decimal numbers are called base 10 numbers. Likewise, binary numbers are base 2 numbers. In this appendix when we switch to a different number base, we use the notation (*sequence of digits*) base, where base is the selected number base. Any numbers not written this way or otherwise indicated are decimal, or base 10, numbers.

### A.1.1 TWO'S COMPLEMENT BINARY ARITHMETIC

Recall that decimal notation of numbers uses a positional notation to combine powers of 10 multiplied by one of the 10 digits 0 through 9. Therefore 123 equals

$$1 \times 10^2 + 2 \times 10^1 + 3 \times 10^0$$

Because computers use only two digits, 0 and 1, integers are stored in binary notation, which combines powers of 2 multiplied by one of the two digits 0 or 1. The binary notation for the decimal notation value  $(123)_{10}$  is  $(1111011)_2$ , which is the same as

$$1 \times 2^{6} + 1 \times 2^{5} + 1 \times 2^{4} + 1 \times 2^{3} + 0 \times 2^{2} + 1 \times 2^{1} + 1 \times 2^{0}$$

or, after doing the multiplications, 64 + 32 + 16 + 8 + 0 + 2 + 1.

The magnitude of the largest number respresentable in binary notation depends on the number of bits available, that is, the number of binary digits that can be stored. The number of bits for the integral types is given in the table in Section 2.9.1, *The Integer Types*, on page 27. From that table, we see that type byte uses 8 bits and the range of values is from -128 to 127, inclusive. How are negative numbers represented, and why is 127 the largest value when the binary notation number  $(111111111)_2$  fits in 8 bits and equals 255? The answers are related.

In some early computers, negative numbers were represented by allocating the left-most bit as a sign bit—0 for positive and 1 for negative—called *signed magnitude*. For the 8-bit integer type, that would mean  $(00000010)_2$  is +2 and  $(10000010)_2$  is -2. This notation isn't very convenient for doing binary arithmetic.

A more convenient form is called *one's complement*. In it we negate a number by simply changing all 1s to 0s and all 0s to 1s. Therefore -2 would be represented as  $(11111101)_2$ . Note that all negative numbers have a left-most bit of 1, as in the earlier form, but now we can perform normal binary arithmetic on any two numbers, with the modification that any carry out of the left-most position must be added back into the right-most bit. For example



This representation does have a drawback: There are two forms of 0, +0 and -0, represented by all 0s and all 1s, respectively. To avoid this problem, most computers today use what is known as *two's complement* binary representation for negative numbers. The positive numbers and 0 appear the same way in all three representations. To obtain the *n*-bit two's complement representation for a negative number, we add  $2^n$  and treat the result as an *n*-bit unsigned number. For example, -2 as an 8-bit two's complement binary number would be  $2^8 + (-2)$  which is 256 - 2 = 254 or  $(111111110)_2$  in binary. As with one's complement, arithmetic is straightforward; in fact we simply throw away any carry out of the last position. Using our same example we now get

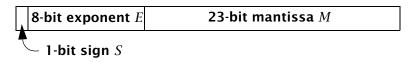


There is an even easier way to negate a number in two's complement; that is, we can compute the one's complement by toggling all the bits and then add 1. One peculiarity of two's complement is that there is a slight asymmetry in the negative and postive numbers. Because zero uses one of the bit combinations with a leftmost 0, the magnitude of the most negative number is 1 greater than the magnitude of the most positive number as shown in Section 2.9.1, *The Integer Types*, on page 27.

### A.2 FLOATING POINT REPRESENTATIONS

The floating point numbers are represented using the IEEE 754-1985 standard. It is based on *scientific notation* for numbers. In scientific notation, numbers are represented by a sign, some significant digits called the *mantissa*, and some power of 10, called the *exponent*. For example, in  $-2.56 \times 10^{-53}$ , the sign is negative, the mantissa is 2.56 and the exponent is -53.

Floating point numbers are represented by allocating 1 bit for the sign, some bits for the mantissa (stored as an integer), and some bits for the exponent. Specifically, Java uses the IEEE standard, which allocates the bits as shown for the single precision floating point type float.



The bits are interpreted as follows.

- If *S* is 0, then the number is positive; otherwise it is negative.
- *E* is the exponent as a power of 2, not of 10. The exponent is interpreted as an excess-127 code integer. That is, the actual value of the exponent is E - 127, treating E as an unsigned binary integer. The result is that the exponent ranges from -127 to 128.
- M is the mantissa. Normal scientific notation places one decimal digit before the decimal point, for example,  $1.27 \times 10^{-15}$ . Similarly, M represents the mantissa with the binary point to the right of the first significant binary digit. Since the first significant digit is 1 unless the entire value is 0, the leading 1 isn't stored. Therefore the actual value of the mantissa is  $(1.M)_2$ . The only exception is when the exponent,  $E_1$ is 0, in which case the actual value of the mantissa is  $(0.M)_2$ .

For example, suppose that the 32 bits of a floating point value are

### 0 01001101 01101110000011010111011

The sign is 0, so the number is positive. The exponent is  $(01001101)_2$  - 127, which is 77 - 127, or -50. The mantissa is  $(1.01101110000011010111011)_2$ , which is approximately 1.4298929. So the complete number is  $1.4298929 \times 2^{-50}$ , or approximately  $1.27 \times 10^{-15}$ .

Unlike some programming languages, floating point arithmetic operations in Java never generate an exception. Instead, three special values can result: positive infinity, negative infinity, and Not a Number. The way that these three special values arise is shown in the following table.

NAME	PRINTS AS	RESULTS FROM	EXAMPLE
Positive infinity	+Inf	Overflow	1.0e31f*1.0e8f
Negative infinity	-Inf	Overflow	-1.0e31f*1.0e8f
Positive 0	+0	Underflow	1.0e-44f*0.0001
Negative 0	-0	Underflow	-1.0e-44f*0.0001f
Not a Number	NaN	Undefined	0.0/0.0

Excess-127 is used for the exponent to facilitate comparison of two floating point values. If  $x_h$  and  $y_h$  are the binary representations of two positive floating point values x and y, then  $x_b < y_b$  if and only if x < y. That is, the same hardware used to compare integers can be used to compare floating point values. That wouldn't be true if one's complement or two's complement representations were used for the exponent. At the same time, addition and subtraction of exponents is more difficult. For most programs, this cost is outweighed by the easier comparison.

### COMMON PROGRAMMING ERROR

The computer, using floating point numbers, can't exactly represent all numbers. Hence, when doing arithmetic with floating point numbers, the computer can't produce precise results. This inability leads to both representational and roundoff errors. Roundoff errors result when, in performing a mathematical computation (e.g., adding two numbers), the result is inexact. On a computer, 1.0 + e can end up being 1.0, with *e*, some very small number, getting lost.

As an exercise, run the following program and explain the result. The simplest solution to roundoff errors is to modify the program to avoid combining numbers having widely differing magnitudes.

```
//TestFloat.java - Compute with doubles
class TestFloat {
  public static void main(String argv[]) {
    double sum = 0.0;
    double d = 1000000.0;
    double e = 1.0 / 100000;
    for (int i = 1; i <= 1000; i++)
        sum = sum + d + e;
        System.out.println(sum);
    }
}</pre>
```

### A.3 MANIPULATING BITS

Java has seven operators that allow you to manipulate directly the bits used to represent the integral types. An int value can be viewed as an ordered collection of 32 boolean values, where 1 means true and 0 means false. These are not actual Java primitive type boolean values; they can just be viewed in a similar way. For example, you can't use them in the test expression for an if statement. You can, however, perform boolean operations on them.

### A.3.1 BITWISE OPERATORS

There are four bitwise operators: & (and), | (or),  $^$  (exclusive-or), and  $^$  (complement). The first three operators are binary operators. When these binary operators are applied to two integer values, the specified boolean operation is applied between each pair of bits in the two operands. For example 3 & 5 is equal to 1, as shown below:

Only the right-most bit position contains 1 (true) in both operands, so all bits of the result are  $\emptyset$  (false) except the right-most.

Similarly, the result of  $(3 \mid 5)$  is 7, which has the binary representation  $(111)_2$ . The boolean operation exclusive-or results in true if and only if exactly one of the operands is true. Java doesn't provide this operator for the primitive boolean type. As a bitwise operator, the result bit is 1 if exactly one of the operand bits is 1. The value of the expression  $(3 \land 5)$  is 6, which has the binary representation  $(110)_2$ . The unary complement operator  $\sim$  changes all 0s to 1s and 1s to 0s.

These operations are summarized in the following table, where *x* and *y* are each one bit:

х	Υ	X & Y	X   Y	<i>X</i>	~X
0	0	0	0	0	1
0	1	0	1	1	1
1	0	0	1	1	0
1	1	1	1	0	0

When these operators are applied to integral types, binary numeric promotion is first applied, as with the arithmetic operators (see Section 2.10.2, *Type Conversion*, on page 32). That is, both operands are converted to either type int or type long.

These operators make possible the compact storage of many 1-bit data values. For example, *pixels* are the dots that are displayed to create a computer-generated image. For black and white images, each pixel is represented by a single bit. If the bit is 1, the pixel is black, and if the bit is 0, the pixel is white. Using the bitwise operators we can store 8 pixel values in a variable of type byte. For example, a graphical representation of the letter A might be encoded by the 10 bytes 24, 60, 36, 102, 102, 126, 126, -61, -61, -61. We can illustrate this result by taking their binary representations and stacking them as follows.

```
24
      00011000
                           **
                          ****
60
      00111100
36
      00100100
102
      01100110
                         **
                             **
102
      01100110
                         **
126
      01111110
                         *****
126
      01111110
                         *****
-61
      11000011
      11000011
-61
      11000011
-61
```

In addition to its being a compact representation of the graphical A, we can convert it to a reverse video version by applying the complement operator  $\sim$  to each of the 10 values.

These operators have many other applications. The & operator is typically used to *mask out* certain bits. For example, the expression (x & mask) retains the bit values from x in each position where mask has a 1 and all other bits are set to 0, or *masked out*. Likewise, we can force certain bits to be "on" by using the | operator. In the expression (x | flagBits), the result retains all the 1s in x and, in addition, turns on (i.e., sets to 1) any bits that are 1 in flagBits.

Be careful of expressions like (x & y < 13), which is equivalent to ((x & y) < 13). This is not an expression that is true whenever x and y are both less than 13 and false otherwise. See the Common Programming Error box at the end of this appendix.

### A.3.2 SHIFT OPERATORS

In addition to turning bits on and off with the bitwise operators, integers, viewed as a sequence of bits, can be shifted right or left. Shifting bits to the left s bits is the same as multiplying by  $2^s$ . This action is equivalent to always shifting in 0s to fill any vacated bits. The following sequence shows the initial value 3, represented using 8 binary digits, being shifted left, 1 bit at a time.

```
00000011 equals 3
00000110 equals 6
00001100 equals 12
```

Shifting a positive value s bits to the right is equivalent to integer division by  $2^s$ . Shifting negative values to the right can be done by either shifting in 0s, called *zero extension*, or shifting in duplicates of the sign bit, called *sign extension*. The next sequence shows shifting to the right with sign extension of an 8-bit value two's complement negative number. Java operates only on 32-bit and 64-bit values, but we use 8 for simplicity. For this example, simply note how the bits move to the right and that the left-most bit retains it original value.

```
11110100 equals -12
11111010 equals -6
11111101 equals -3
```

The next sequence shows 0 extension of the same starting value. Note that, after the first shift, the value becomes positive. The second shift shows that shifting to the right is like division by 2 for positive values.

```
11110100 equals -12
01111010 equals +250
00111101 equals +125
```

Recall that 0x01020408 is Java notation for an int literal in hexadecimal notation, which is useful when dealing with bits. Converting a hexadecimal number to binary is easy. Hexadecimal numbers can be converted to binary one digit at a time, as illustrated here.

```
0 1 0 2 0 4 0 8 (hex)
0000 0001 0000 0010 0000 0100 0000 1000 (binary)
```

Hexadecimal notation is used in the examples for the shift operators, summarized in this table

OPERATOR	.OPERATION	EXAMPLE
<<	Shift left	0x01020408 << 4 equals 0x10204080
>>	Shift right, sign extend	0x80402010 >> 4 equals 0xf8040201
>>>	Shift right, 0 fill	0x80402010 >>> 4 equals 0x08040201

For example, shift operators can be used to assemble int and long values from bytes read from a network. If a 32-bit integer was read from some low-level device or network connection as 4 bytes—call them byte0, byte1, byte2, and byte3—these four bytes might be assembled into an int with the expression

```
int x = byte0 | (byte1 << 8) | (byte2 << 16) | (byte3 << 24)
```

### COMMON PROGRAMMING ERROR

The symbol & is both the bitwise operator, as just discussed, and a form of logical operator. If x and y are boolean valued expressions, then (x & y) has the same value as (x && y). The only difference is that, in the former case, both expressions x and y will be evaluated no matter what. In the latter case, which is the most commonly used, y will be evaluated only if x is true. The common form, &&, is called the *conditional-and* or *short-circuit-and* operator because the second operand isn't always evaluated.

Similarly, the common form of logical-or,  $|\cdot|$ , is actually the *conditional-or* operator. It evaluates its right operand only if the left evaluates to false. The symbol  $|\cdot|$  is the logical-or operator that always evaluates both of its operands.

These double meanings for & and | can result in a very insidious mistake when we try to code statements such as "If x and y are both less than 13 do ... .". It is tempting to code this statement as

```
if (x \& y < 13) \dots
```

The correct Java expression is

```
if (x < 13 \& y < 13) \dots
```

or better still, use the conditional-and operator

```
if (x < 13 \&\& y < 13) ...
```

The bad part about this error is that the first statement is syntactically correct – it will compile. The result of (x & y) is a number that can be compared against 13, although it won't necessarily yield the desired result in this case.

# REFERENCE TABLES

For reference, here we include a complete operator precedence table and the standard Java math functions.

## **B.1 OPERATOR PRECEDENCE TABLE**

The precedence and associativity of all Java operators is given in the following table. The operators in the same row have the same precedence. The rows are ordered with the operators having the highest precedence at the top.

PRECEDENCE								Associativi TY
Highest	()	[ ]	.expr++	expr				Left to right
	unary +	unary -	++expr	expr	~	1	cast	Right to left
	new						cast	Right to left
	*	/	%					Left to right
	+	-						Left to right
	>>	>>>	<<					Left to right
	>	>=	<	<=	ins	tanc	eof	Left to right
	==	!=						Left to right
	&							Left to right
	٨							Left to right
								Left to right
	&&							Left to right
	П							Left to right
	?:							Right to left
Lowest	=	op=						Right to left

Keep this table handy when you begin using Java. Some useful generalizations can help you learn this table for the most commonly used operators. Unary operators have high precedence; then come multiplicative operators, followed by additive operators. In the middle of the precedence table are the relational operators, followed by the equality operators, followed by logical operators. Lowest on the list are assignment and operator-assignment.

# **B.2** THE STANDARD JAVA MATH FUNCTIONS

Java provides a standard library of mathematics functions in the class <code>java.lang.Math</code>. Because the package <code>java.lang</code> is part of every program by default, you can access the functions in the <code>Math</code> class by specifying the name of the class, <code>Math</code>, followed by a dot and the name of the method implementing the function. The functions in the class <code>Math</code> are listed in the following table.

return_type name(args)	COMMENTS
double sin(double a)	Return the sine of a, where a is in radians.  The argument a is automatically converted, using a widening primitive conversion if necessary. To store the result in anything other than a double requires an explicit cast such as float x = (float)sin(y);
double cos(double a)	Return the cosine of a.
double tan(double a)	Return the tangent of a.
double asin(double a)	Return the arcsine of a. The result is in radians.
double acos(double a)	Return the arccosine of a. The result is in radians.
double atan(double a)	Return the arctangent of a. The result is in radians.
double atan2(double a, double b)	Return the arctangent of b/a. This argument can be used to convert from rectangular coordinates to polar coordinates. The result is in radians.
double exp(double a)	Return $e^{a}$ , where $e$ is the base of the natural logarithms.
double log(double a)	Return the natural logarithm of a.
double sqrt(double a)	Return the square root of a.
double pow(double a, double b)	Return a <sup>b</sup> .

return_type name(args)	COMMENTS
double ceil(double a)	Return the ceiling of a, that is, the smallest integer not less than a. The result, although mathematically an integer, isn't a Java integral type.
double IEEEremainder(double a, double b)	Return $a-bn$ , where $n$ is the integer value nearest to $a/b$ .
double floor(double a)	Return the floor of a, that is, the largest integer not greater than a—see comment for ceil.
double rint(double a)	Return the result of rounding to the nearest mathematical integer—see comment for ceil.
int round(float a)	Return the result of adding 0.5 then casting to an int.
long round(double a)	Return the result of adding 0.5 then casting to a long.
double random()	Return a uniform pseudorandom value greater than or equal to 0.0 but less than 1.0—Section 4.6, <i>Problem Solving: Random Numbers</i> , on page 91
T  abs(T  a)	Return the absolute value of a; <i>T</i> can be any of int, long, float, or double. The return type will be the same as the argument type.
T min(T a, T b)	Return the smaller of a and b; <i>T</i> can be any of int, long, float or double. If both arguments aren't of the same type, then a widening primitive conversion will be applied to make them the same.
T max(T a, T b)	Return the larger of a and b—see comment above for min.

For a complete specification of the behavior of these functions in the vicinity of extreme values, refer to the documentation for the class java.lang.Math that came with your Java system. Surprisingly, for example, taking the abs() of the most negative int value returns that same negative value. This situation is an overflow, and Java doesn't signal integer overflow via an exception. The most negative int value has no corresponding positive int value.

# SUMMARY OF SELECTED SWING COMPONENTS

Early versions of Java provided the GUI building package AWT. Later, the more comprehensive and flexible GUI package Swing was added. In this appendix we provide a summary of selected Swing components. The components covered are those that correspond to the GUI building components in the original AWT package. We selected this subset of Swing because it includes the components most commonly used.

All the components described have additional features that we don't discuss here. For a complete description of the methods available with any component, check the documentation that came with your Java development system. This information is also freely available from Sun Microsystems on the World Wide Web at *java.sun.com*.

Although already covered, for ease of reference we include the classes <code>JButton</code>, <code>JLabel</code>, and <code>JTextField</code> in this section. Included in the descriptions are the names of the primary listeners that can be added to the various components. We described these listeners in Section 9.7, <code>Event Listeners</code> and <code>Adapters</code>, on page 281.

# C.1 THE CLASS JButton

This book contains several examples of the use of the class <code>JButton</code>. You construct a <code>JButton</code> by passing it a string that is used as the label for the button. To have some action performed when a button is pressed, you add an instance of <code>ActionListener</code> to the <code>JButton</code> object, using <code>addActionListener()</code>. A second string, called the <code>action command</code>, is associated with a button. This command is passed to the <code>actionPerformed()</code> method of any <code>ActionListener</code> as part of the <code>ActionEvent</code>. By changing the action command, you can change the behavior of a button as the program executes.

## C.2 THE CLASS JComboBox

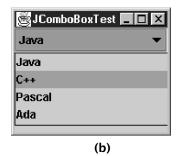
A JComboBox component creates a pull-down list of options from which to choose. You create an empty JComboBox object and then add items. In its simplest form, the items are strings. The item currently selected is displayed when the list isn't pulled down. To have some action performed when a new item is chosen, you add an ItemListener to the JComboBox object.

The following example shows what a JComboBox object looks like.

```
//JComboBoxTest.java - a pull-down menu
import javax.swing.*;
import java.awt.*;
class JComboBoxTest {
  public static void main(String[] args) {
    JFrame frame = new JFrame("JComboBoxTest");
    Container display = frame.getContentPane();
    JComboBox list = new JComboBox():
    list.addItem("Java");
    list.addItem("C++");
    list.addItem("Pascal");
list.addItem("Ada");
    display.add(list, BorderLayout.NORTH);
    frame.pack();
    frame.setVisible(true);
  }
}
```

The screen shot in part (a) is the normal view, showing Java selected. The screen shot in part (b) is what happens when you press the mouse button while pointing at the JComboBox object and then drag down to select C++.





# C.3 THE CLASS JList

A JList component creates a list of options from which to choose. It is similar to a JComboBox, except that the list is always displayed instead of being hidden and then displayed only when selected. The currently selected item is highlighted, and more than one item can be selected simultaneously. To have some action performed when a new item is selected, you add an ItemListener, as for JComboBox. The list items can be something other than simple strings. A JList can be made scrollable by placing it inside a JScrollPane (discussed in Section C.8, *The Class JScrollPane*, on page 440).

```
//JListTest.java - a simple list of items
import javax.swing.*;
import java.awt.*;
class JListTest {
 public static void main(String[] args) {
    JFrame frame = new JFrame("JListTest");
    Container display = frame.getContentPane();
    String[] items = {"Java", "C++", "Pascal", "Ada", "Fortran"};
    JList list = new JList(items);
    display.add(list);
    frame.pack();
    frame.setVisible(true);
 }
}
```

Here is the output. We selected the first item, Java.



### C.4 THE CLASS JLabel

A JLabel is a very simple component. It displays static text or an image. No events are particular to the class JLabel. Because a JLabel is also a Component, you could add a ComponentListener to it. You can change the text by calling setText(), but the user can't edit the text.

The JLabel constructor accepts an optional second parameter that can be used to set the alignment of the text. The possible values for the alignment parameter are JLabel.LEFT\_ALIGNMENT, JLabel.RIGHT\_ALIGNMENT, JLabel.CENTER\_ALIGNMENT, JLabel.TOP\_ALIGNMENT, and JLabel.BOTTOM\_ALIGNMENT. We used labels in MiniCalc in Section 8.4, Using Several Components, on page 234

# THE CLASS JTextField

A JTextField is like a JLabel, but the user can edit it. JTextField is the standard component for getting simple keyboard input such as numbers or strings from the user. It can be constructed with a default string to display, or it can be constructed to have space for a fixed number of characters.

To have an action performed when the text is changed, you have two options. In our earlier examples we added an ActionListener. The ActionListener's actionPerformed() method is called when the user hits Return in the JTextField. For finer control over text changes, you can add a TextListener to the JTextField object. The textValueChanged() method in TextListener is called whenever any change is made to the text, which includes adding or deleting a single character. As shown in the MiniCalc example in Section 8.4, Using Several Components, on page 234, you can call the getText() method of the JTextField to identify the new contents of the field.

Whether a JTextField is editable by the user can be controlled with the method setEditable(). The default is for the text to be editable. Calling setEditable() with false changes it to be not editable.

### C.6 THE CLASS JTextArea

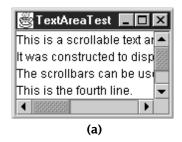
A JTextArea is like a JTextField, except that it can display mutliple lines. When you place the JTextArea in a JScrollPane, the text area can be scrolled. In a scrollable container, you can specify the number of lines to display and the number of characters per line when constructing the JTextArea. In addition to replacing the entire text string associated with a JTextArea, you can replace portions of the text. You can also respond to changes in the text by using a TextListener, as with JTextField.

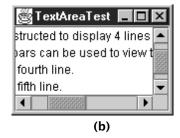
Using a JTextArea, adding simple text editing capability to any application is trivial. The JTextArea is a simple editor that supports insertion and deletion of text. In the following example you can edit the text in the window with the usual editing operations. The mouse and arrow keys can be used to move the insertion point or highlight a section to replace or delete with the Delete or Backspace key.

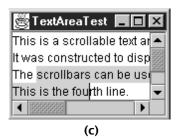
This example creates a small text area to display four lines of at least 20 characters each. Any text that goes beyond this can be viewed by using the scroll bars.

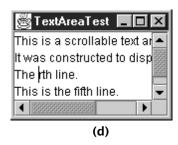
```
//TextAreaTest.java
import javax.swing.*;
import java.awt.*;
class JTextAreaTest {
 public static void main(String[] args) {
    JFrame frame = new JFrame("TextAreaTest");
    Container display = frame.getContentPane();
    JTextArea area = new JTextArea(4, 20);
    JScrollPane pane = new JScrollPane(area);
    area.append("This is a scrollable text area.\n");
    area.append("It was constructed to display 4 lines");
    area.append(" of 20 characters.\n");
    area.append("The scrollbars can be used to view");
    area.append(" text that doesn't ");
    area.append("fit into the window.\n");
    area.append("This is the fourth line.\n");
    area.append("This is the fifth line.\n");
    display.add(pane);
    frame.pack();
    frame.setVisible(true);
 }
}
```

The following are four views of the output. The screen shot in part (a) shows the one that comes up first. Part (b) shows the window after the user has scrolled to the right. Part (c) shows how the mouse can be used to drag and select text. If Delete were hit at the point shown in part (c), the highlighted text would be deleted, resulting in the text shown in part (d).









### THE CLASS JPanel

A JPanel has no appearance of its own. A JPanel is a Container used to group several components. For example, a JFrame's content pane, using a BorderLayout manager, can display only five components. However, because any one of those five components could be a JPanel, you can actually display any number of components. You can of course put JPanels inside JPanels.

The following example places a JPanel on the north and south borders of the main content pane and then places two labels in each of the JPanel objects. To fill out the display, additional labels are placed in the remaining BorderLayout positions.

```
//JPanelTest.java - a simple container
import javax.swing.*;
import java.awt.*;
class JPanelTest {
  public static void main(String[] args) {
    JFrame frame = new JFrame("JPanelTest");
    Container display = frame.getContentPane();
    JPanel top = new JPanel(new BorderLayout());
    JPanel bottom = new JPanel(new BorderLayout());
    top.add(new JLabel("one west"),BorderLayout.WEST);
    top.add(new JLabel("one east"),BorderLayout.EAST);
    bottom.add(new JLabel("two north"), BorderLayout.NORTH);
    bottom.add(new JLabel("two south"), BorderLayout.SOUTH);
    display.add(top, BorderLayout.NORTH);
    display.add(bottom, BorderLayout.SOUTH);
    display.add(new JLabel(" display center "), BorderLayout.CENTER);
display.add(new JLabel("display west"), BorderLayout.WEST);
    display.add(new JLabel("display east"), BorderLayout.EAST);
    frame.pack();
    frame.setVisible(true);
}
```

In the output the labels "two north" and "two south" are flush left because the default alignment for labels is left-aligned.



### C.8 THE CLASS JScrollPane

A JScrollPane is like a JPanel that can be scrolled. A JScrollPane can contain only a single component, but because that Component can be a JPanel, the JScrollPane can effectively scroll any collection of components.

We showed a simple example of a JScrollPane in the preceding JTextArea example. In the following example we included several of the components described earlier in this appendix.

```
//JScrollPaneTest.java
import javax.swing.*;
import java.awt.*;
class JScrollPaneTest {
  public static void main(String[] args) {
    JFrame frame = new JFrame("JScrollPaneTest");
    Container display = frame.getContentPane();
    JPanel panel = new JPanel(new BorderLayout());
    JScrollPane pane = new JScrollPane(panel);
    panel.add(new JButton("North"), BorderLayout.NORTH);
    panel.add(new JTextField("South"), BorderLayout.SOUTH);
    panel.add(new JLabel("Center"), BorderLayout.CENTER);
    String[] listItems = {"West1", "West2", "West3"};
    JList list = new JList(listItems);
    panel.add(list, BorderLayout.WEST);
    JComboBox choice = new JComboBox();
    choice.addItem("East1");
    choice.addItem("East2");
    choice.addItem("East3");
    panel.add(choice, BorderLayout.EAST);
    display.add(pane);
    frame.pack();
    frame.setVisible(true);
 }
}
```

In part (a) the screen shot shows the display when the program starts. By default the JFrame makes itself large enough to show the entire JScrollPane. We used the desktop resize facility to reduce the size of the window, as shown in part (b). Note that scrollbars have been added automatically.





(a)

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